MINUTES OF THE ANNUAL MEETING
OF THE
POWER AUTHORITY OF THE STATE OF NEW YORK

March 25, 2014

Table of Contents

	Subject	Page No.	Exhibit
	Introduction	**2**	
1.	Adoption of the March 25, 2014 Proposed Meeting Agenda	3	
2.	**Consent Agenda:**	**4**	
	a. Minutes of the Regular Meeting held on January 28, 2014	5	
	b. Annual Review and Approval of Guidelines for the Investment of Funds and 2013 Annual Report on Investment of Authority Funds Resolution	6	2b-A
	c. Annual Report of Procurement Contracts, Guidelines for Procurement Contracts and Annual Review of Open Procurement Service Contracts Resolution	10	2c-A-1 – 2c-A-3
	d. Annual Review and Approval of Guidelines and Procedures for and Annual Report of the Disposal of Personal Property Resolution	12	2d-A; 2d-A-1
	e. Annual Review and Approval of Guidelines and Procedures for the Disposal of Real Property, Guidelines and Procedures for the Acquisition of Real Property and Annual Reports for the Disposal and Acquisition of Real Property Resolution	14	2e-A – 2e-C; 2e-C-1
	f. Niagara Power Project Robert Moses Generator Step-Up Transformer Replacement – Capital Expenditure Authorization Request Resolution	17	
	g. Procurement (Services) Contract – St. Lawrence/FDR Power Project – Massena Substation Auto-Transformer Removal and Site Preparation – Contract Extension Resolution	19	
	h. Procurement (Services) Contract – Niagara Power Project – On-Call Testing and Inspection Services – Contract Award Resolution	21	

Subject		Page No.	Exhibit
i.	Procurement (Services) Contract – Niagara Power Project – Lewiston Pumped Generating Plant Life Extension and Modernization Program – Installation of Auxiliary Equipment Phase II – Contract Award Resolution	23	
j.	Procurement (Services) Contract – Environmental Health and Safety Oversight Services for the South East New York Region – Contract Awards Resolution	26	
k.	Procurement (Services) Contract – St. Lawrence-FDR Power Project – Independent FERC Consultant's Part 12D Safety Inspection and Follow-up Services – Contract Award Resolution	28	
l.	Procurement (Services) Contract – Information Technology Operations Network – Time Warner Cable Inc. – Contract Award Resolution	30	
m.	Procurement (Services) Contracts – Business Units and Facilities – Awards, Extensions and/or Additional Funding Resolution	32	2m-A; 2m-B
n.	Revisions to Expenditure Authorization Limits Table for Non-Hedge Physical Fuel-Related Transactions Resolution	40	2n-A
o.	Membership in Electric Power Research Institute – Renewal Resolution	42	
p.	Selection of Investment Manager for the Authority's Other Post-Employment Benefits Trust Fund Resolution	45	
q.	Annual Review and Approval of Certain Authority Policies Resolution	48	2q-A – 2q-N
r.	Release of Funds in Support of the Western New York Power Proceeds Allocation Act Resolution	50	
s.	Procurement (Services) Contract – Richard M. Flynn Plant – Well Water Pump Replacement – Contract Extension Resolution	53	
t.	Committee Appointments Resolution	55	

Subject	Page No.	Exhibit
u. Awards of Fund Benefits from the Western New York Economic Development Fund Recommended by the Western New York Power Proceeds Allocation Board Resolution	56	2u-A – 2u-D
Discussion Agenda:	**60**	
3. Q&A on Report from:		
a. President and Chief Executive Officer	60	3a-A
b. Chief Operating Officer	62	3b-A
c. Chief Financial Officer	64	3c-A
d. Chief Risk Officer	66	3d-A
4. Power Allocations:	67	
a. Power Allocations Under the Recharge New York Program Resolution	67	4a-A – 4a-E
b. Allocation of Hydropower and Notice of Public Hearing Resolution	72	4b-A; 4b-A-1; 4b-B
5. 2013 Financial Reports Pursuant to Section 2800 of the Public Authorities Law and Regulations of the Office of the State Comptroller Resolution	76	5-A; 5-B
6. Contribution of Funds to the State Treasury Resolution	79	
7. New York Power Authority's 2014 Strategic Plan Resolution	82	7-A
8. Appointment of Acting Executive Vice President and General Counsel Resolution	86	
9. Motion to Conduct an Executive Session	90	
10. Motion to Resume Meeting in Open Session	91	
11. Next Meeting	92	
Closing	93	

March 25, 2014

Minutes of the Annual Meeting of the Power Authority of the State of New York held via videoconference at the following participating locations at approximately 10:35 a.m.

1) New York Power Authority, 123 Main Street, White Plains, NY
2) Video Conference Center: Accurate Court Reporting,
   247 West Fayette Avenue, Suite 202, Syracuse, NY

Members of the Board present were:

John R. Koelmel, Chairman
Joanne M. Mahoney, Vice Chair – via videoconference
Eugene Nicandri, Trustee
Jonathan Foster, Trustee
R. Wayne LeChase, Trustee
Terrance P. Flynn, Trustee

Gil C. Quiniones	President and Chief Executive Officer
Judith C. McCarthy	Executive Vice President and General Counsel
Edward Welz	Chief Operating Officer
Donald Russak	Chief Financial Officer
Robert Lurie	Senior Vice President – Strategic Planning
William Nadeau	Senior Vice President and Chief Risk Officer
James Pasquale	Senior Vice President – Economic Development and Energy Efficiency
Joan Tursi	Senior Vice President – Corporate Support Services
Bradford Van Auken	Senior Vice President – Operations Support Services and Chief Engineer
Jill Anderson	Vice President – Public and Regulatory Affairs and Chief of Staff
John Canale	Vice President – Project Manager
Thomas Concadoro	Vice President and Controller
Dennis Eccleston	Vice President – Information Tech/Chief Information Officer
Joseph Gryzlo	Vice President and Chief Ethics and Compliance Officer
Michael Huvane	Vice President – Marketing – Business and Municipal Marketing
Joseph Leary	Vice President – Community and Government Relations
Lesly Pardo	Vice President – Internal Audit
Phillip Toia	Vice President – Transmission
Karen Delince	Corporate Secretary
Brian McElroy	Treasurer
Brian Liu	Deputy Treasurer
Frank Deaton	Director – Enterprise Risk Management
Michael Saltzman	Director – Media Relations
Peter Prunty	Acting Director – Infrastructure
Silvia Louie	Senior Project Manager – Executive Office/Public & Regulatory Affairs
Timothy Muldoon	Manager – Business Power Allocations and Compliance
Gary Schmid	Principal IT Project Manager – Strategy and Governance
Gregory Jablonsky	Lead Network Analyst – Infrastructure
John Giumarra	Account Executive – Business Marketing and Economic Development
Sheila Baughman	Assistant Corporate Secretary
Brittney Frazier	Project Coordinator – Community Relations

Chairman Koelmel presided over the meeting. Corporate Secretary Delince kept the Minutes.

Introduction

Chairman Koelmel welcomed the Trustees and staff members who were present at the meeting. He said the meeting had been duly noticed as required by the Open Meetings Law and called the meeting to order pursuant to the Authority's Bylaws, Article III, Section 3.

1. Adoption of the March 25, 2014 Proposed Meeting Agenda

Upon motion made and seconded, the meeting Agenda was adopted as amended.

Conflicts of Interest

The following Trustees declared conflicts of interest as indicated below and said they will not participate in the discussions or votes as it relate those matters:

Vice Chair Mahoney – Greater Syracuse Moving & Storage, CH2M Hill, CHA Consulting, CRA International, Navigant Consulting (Item 2m)

Trustee Flynn – Time Warner Cable, Inc. (Item 2l); JP Morgan Chase (Item 4a)

Trustee LeChase – Time Warner Cable, Inc. (Item 2l); CH2M Hill, CHA Consulting (Item 2m)

Chairman Koelmel and Trustees Nicandri and Foster declared no conflicts.

2. <u>Consent Agenda</u>:

Upon motion made and seconded, the Consent Agenda was approved as amended.

a. Approval of the Minutes

The Minutes of the Regular Meeting held on December 17, 2013 were unanimously adopted.

b. Annual Review and Approval of Guidelines for the Investment of Funds and 2013 Annual Report on Investment of Authority Funds

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to review and approve the attached 2013 Annual Report on Investment of Authority Funds, which includes the Guidelines for the Investment of Funds (Exhibit '2b-A').

BACKGROUND

Section 2925 of the Public Authorities Law requires the review and approval of an annual report on investments. Pursuant to the statute, the attached report includes Investment Guidelines that set standards for the management and control of the Authority's investments; total investment income; a statement of fees paid for investment management services; the results of an independent audit; a detailed inventory report for each of the Authority's investment portfolios as of December 31, 2013; and a summary of transactions with brokers, dealers and banks. The approved annual report is filed with the State Division of the Budget, with copies to the Office of the State Comptroller, the Senate Finance Committee and the Assembly Ways and Means Committee. The report is also available to the public upon written request.

DISCUSSION

In 2013, the Authority's investment portfolios, exclusive of the separately managed Other Post-Employment Benefits Trust Fund and Nuclear Decommissioning Trust Fund, averaged $1.37 billion with a December 31, 2013 cost of $1.373 billion and market value of $1.375 billion, representing a positive mark-to-market of $2 million. At year-end, $1.33 billion in cash and investments was held in the Authority's Operating Fund with the remainder held in construction funds and restricted funds. The Operating Fund was created by the Authority's General Resolution authorizing Revenue Obligations adopted on February 24, 1998. A number of internal reserves have been established within the Operating Fund, as follows (year-end balances noted in parentheses):

- **Debt Service Reserve ($71 million)** – The Debt Service Reserve is funded monthly to ensure that sufficient amounts are available to pay debt service obligations when due. The Authority's scheduled principal and interest payments presently total approximately $150 - $170 million per year.

- **Energy Hedging/Fuel Reserve ($70 million)** – This Reserve was established to have funds available for use as collateral that may be required to support the Authority's authorized fuel and energy hedging transactions and to maintain funds to match a federal obligation to pay for the processing and final disposition of spent nuclear fuel burned by the Authority when it owned the Indian Point #3 and James A. FitzPatrick nuclear plants. On February 3, 2009, the Trustees approved the temporary transfer to the State of New York ('State') of $215 million held in this Reserve for the spent fuel obligation to assist with the State's budgetary deficits. The temporary asset transfer was completed on February 25, 2009 and, in accordance with the terms and conditions of a Memorandum of Understanding between the NYS Director of the Division of Budget and the Authority, is due to be returned to the Authority no later than September 30, 2017. The December 31, 2013 spent fuel obligation was $217 million.

- **Capital Project Reserve ($840 million)** – This amount is being set aside to partially fund major new investments in energy infrastructure by the Authority. In order to minimize customer costs, maintain the Authority's financial metrics and maintain ready access to the capital markets, it has been determined that the major investments should be financed with a portion funded by debt and a portion funded by Authority cash or, in effect, its 'equity.' This Reserve has been established to provide this equity. On February 3, 2009, the Trustees approved a temporary transfer of $103 million from the Capital Project Reserve to the State to assist with the State's budgetary deficits and reaffirmed the transfer on July 28, 2009. The temporary asset transfer was completed in September 2009 and, in accordance with the terms and conditions of a Memorandum of

Understanding between the NYS Director of the Division of Budget and the Authority, is due to be returned to the Authority no later than September 30, 2014. On January 28, 2014, the Authority's Trustees approved the dedication of $800 million presently held in the Capital Project Reserve to meet a portion of the costs of major renewals, replacements, repairs, additions, betterments and other investments associated with the Authority's strategic initiatives, capital plan and energy efficiency investments.

- **Other Reserves ($44 million)**

  - **Western New York Economic Development Fund ($27 million) –** On March 30, 2012, Governor Cuomo signed into law the Western New York Power Proceeds Allocation Act (the 'Act'), which authorizes net earnings from the sale of unallocated Expansion Power and Replacement Power from the Authority's Niagara power project, as deemed feasible and advisable by the Trustees, to be deposited into the Western New York Economic Development Fund ('WNYEDF'). The net earnings deposited into the WNYEDF will be utilized to fund economic development projects by private businesses, including not-for-profits, which are physically located within New York State and within a thirty-mile radius of the Niagara power project.

  - **North Country Economic Development Fund ($10 million) -** In accordance with provisions in the 2009 contract between ALCOA and the Authority, ALCOA agreed to capitalize a $10 million North County Economic Development Fund ('NCEDF'). The NCEDF will be used for economic development purposes in St. Lawrence County, Clinton County, Franklin County, Essex County, Jefferson County, Lewis County, Hamilton County, Herkimer County and the Akwasasne Mohawk Reservation. To date, no funds have been disbursed from the NCEDF.

  - **State Parks Greenway Fund ($7 million) -** In November 2012, the Authority's Trustees authorized the issuance of Subordinated Notes, Series 2012 ('Subordinated Notes'), in a principal amount not to exceed $30 million for the purpose of accelerating the funding for the State Parks Greenway Fund ('SPGF'), which was established pursuant to the Niagara Relicensing Settlement entered into the by the Authority and the New York State Office of Parks, Recreation & Historic Preservation. The SPGF was established to support the construction and/or rehabilitation of parks, recreation and related facilities in and around the Niagara River Greenway. The Authority issued the Subordinated Notes on December 18, 2012 in the amount of $25 million. To date, $17.5 million has been disbursed from the SPGF.

- **Operating Reserve ($300 million)** – The Operating Reserve includes a reserve for working capital and emergency repairs to the Authority's projects. The Authority's Trustees have established a minimum reserve amount of $175 million for this purpose and funds cannot be released for 'any lawful corporate purpose' (pursuant to Section 503(1)(e) of the Bond Resolution) unless this minimum reserve level is satisfied. The December 31, 2013 Operating Reserve of $300 million reflects this $175 million minimum, plus the amount staff deems prudent to provide for uncertainties in cash flows and commitments related to certain statewide economic development programs.

In addition to the Operating Fund, as of December 31, 2013, the Authority separately held a total of $72 million from the proceeds of bond and note issuances, and cash, in its Note Debt Reserve and Construction portfolios. These funds are earmarked for construction projects currently under way, such as the St. Lawrence Life Extension and Modernization Project and improvements pursuant to the Niagara Relicensing Settlement Agreements.

The Authority's portfolios earned approximately $21 million in investment income in 2013, $5 million less than investment income earned in 2012. While the portfolios generated additional income in 2013 on net new cash invested, the prolonged low interest rate environment reduced the earning on maturing securities invested in lower yielding instruments. In 2013, the Authority's portfolios had an average yield of 1.46%, exceeding the Authority's targeted performance by 14 basis points (14/100 of 1%). Targeted performance for 2013 was the three-year rolling average yield of the two-year Treasury note with an average added spread of 95 basis points.

As of December 31, 2013, the portfolio was comprised of United States treasury securities (0.6%), government-sponsored agency securities (88.7%), municipal securities (9.5%), mortgages guaranteed by the U. S. government (1.0%) and certificates of deposit and repurchase agreements (0.2%).

Other Post-Employment Benefits Trust ($422 million)

The Authority's Other Post-Employment Benefits Trust ('OPEB Trust') was established in 2007 as authorized by the Authority's Trustees at their December 19, 2006 meeting to provide for medical, prescription drug, life and other long-term care benefits offered by the Authority for retirees and eligible beneficiaries. The OPEB Trust allows for investments in a diversified portfolio of assets, including domestic and international equity securities, domestic and international fixed-income securities, public Real Estate Investment Trusts and a U. S. Treasury Money Market fund. During 2007 and 2008, the Authority deposited a total of $225 million into the OPEB Trust to partially fund its actuarial accrued liability. On October 25, 2011, the Authority's Trustees approved on-going annual funding of the OPEB Trust in order to strengthen the Authority's financial position. Contributions totaling $83.7, which represented the net obligation for the years 2009 through 2013, were made to the OPEB Trust in accordance with the Trustees authorization. The accrued liability as of December 31, 2013 was estimated to be $573 million.

As of December 31, 2013, the OPEB Trust's market value was approximately $422 million, representing an annualized return of 17.79% for 2013. The return performance was attributable to positive returns in both the equity (domestic and international) and real estate asset classes with the fixed income asset class realizing slightly negative performance in 2013.

Investment management and advisory fees associated with the OPEB Trust Fund totaled $1,629,846 in 2013 and were paid from such Trust Fund. These fees and the firms paid are detailed in Section III (B) of the attached report.

Nuclear Decommissioning Trust ($1.3 billion)

On November 21, 2000, the Authority completed the sale of its Indian Point #3 and James A. FitzPatrick nuclear plants to two subsidiaries of Entergy Corporation pursuant to a purchase-and-sale agreement dated March 28, 2000. In accordance with the Decommissioning Agreements, the Authority retains contractual decommissioning liability until license expiration, a change in the tax status of the fund or any early dismantlement of the plants, at which time the Authority will have the option to terminate its decommissioning responsibility and transfer the plant's fund to the Entergy subsidiary owning the plant. At that time, the Authority will be entitled to be paid an amount equal to the excess of the amount in the fund over the Inflation Adjusted Cost Amount (a fixed estimated decommissioning cost amount adjusted in accordance with the effect of increases and decreases in the U. S. Nuclear Regulatory Commission minimum cost-estimate amounts applicable to the plant), if any. The Authority's decommissioning liability is limited to the lesser of the Inflation Adjusted Cost Amount or the amount of the plant's fund, guaranteeing that no additional cost burdens may be placed on the Authority.

As of December 31, 2013, the Nuclear Decommissioning Trust's ('NDT') market value was approximately $1.30 billion, representing an annualized return of 9.73% for 2013. The return performance was primarily attributable to positive returns in the domestic equity asset class, which in accordance with the investment guidelines, has a target allocation of thirty-five percent of total assets. The fixed income asset class realized slightly negative performance in 2013.

Investment management and advisory fees associated with the Nuclear Decommissioning Trust Fund totaled $1,412,440 in 2013 and were paid from such Trust Fund. These fees and the firms paid are detailed in Section III (C) of the attached report.

In connection with its examination of the Authority's financial statements, KPMG LLP ('KPMG') performed tests of the Authority's compliance with certain provisions of the Investment Guidelines, the State Comptroller's Investment Guidelines and Section 2925 of the Public Authorities Law. Based on discussions with KPMG, Staff is of the opinion that KPMG's written report, which will be delivered upon approval of the financial statements by the Board, will state that the Authority complied, in all material respects, with the requirements during

the year ended December 31, 2013. Consequently, staff believes the Authority is in compliance with the Investment Guidelines, the State Comptroller's Investment Guidelines and Section 2925 of the Public Authorities Law.

The Investment Guidelines and procedures have not been amended since it was last presented to, and approved by the Trustees at their meeting of March 21, 2013. The Guidelines remain fundamentally sound and meet the requirements of the Authority. Furthermore, these Guidelines continue to meet the requirements of Section 2824(1)(e) of the Public Authorities Law, which requires the Authority's Trustees to establish written policies and procedures with respect to investments.

RECOMMENDATION

The Treasurer recommends that the Trustees approve the attached 2013 Annual Report on Investment of Authority Funds.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That the 2013 Annual Report on Investment of Authority Funds be, and hereby is, approved; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

c. Annual Report of Procurement Contracts, Guidelines for Procurement Contracts and Annual Review of Open Procurement Service Contracts

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the 2013 Annual Report of Procurement Contracts ('Annual Report') (Exhibit '2c-A-3') and the Guidelines for Procurement Contracts ('Guidelines') (Exhibit '2c-A-2') and to review open service contracts exceeding one year that were active in 2013 as detailed in the Annual Report (Exhibit '2c-A-3'). An Executive Summary is set forth in Exhibit '2c-A-1.'

BACKGROUND

Section 2879 of the Public Authorities Law ('PAL') governs the administration and award of procurement contracts equal to or greater than $5,000. Section 2879 of the PAL requires public authorities to adopt comprehensive guidelines detailing their operative policy and instructions concerning the use, awarding, monitoring and reporting of procurement contracts. The Authority's Guidelines were adopted by the Trustees at their meeting of October 31, 1989 and were implemented as of January 1, 1990. The Guidelines have been amended as deemed advisable and necessary, and reviewed and approved annually by the Board since that date, most recently on March 21, 2013.

Section 2879 of the PAL also requires authorities to review and approve such guidelines annually and to file a report regarding procurement contracts with the Director of the Division of the Budget, the Department of Audit and Control, the Department of Economic Development, the Senate Finance Committee, the Assembly Ways and Means Committee and the Authorities Budget Office. The Annual Report must include a copy of the Authority's current Guidelines, details concerning any changes to the Guidelines during the year and particular information concerning procurement contracts. For each procurement contract included in the report, the following information must be identified:

> *[A] listing of all procurement contracts entered into [by the Authority], all contracts entered into with New York State business enterprises and the subject matter and value thereof, all contracts entered into with certified minority or women-owned business enterprises and the subject matter and value thereof, all referrals made and all penalties imposed pursuant to section three hundred sixteen of the executive law, all contracts entered into with foreign business enterprises, and the subject matter and value thereof, the selection process used to select such contractors, all procurement contracts which were exempt from the publication requirements of article four-C of the economic development law, the basis for any such exemption and the status of existing procurement contracts.*

Lastly, §2879 of the PAL requires an annual review by the Trustees of open service contracts exceeding one year. Those long-term open service contracts exceeding one year and awarded after January 1, 1990 are also included in the Annual Report.

DISCUSSION

The 2013 Annual Report is attached for the Trustees' review and approval (Exhibit '2c-A-3'). The Annual Report reflects activity for all procurement contracts equal to or greater than $5,000, as identified by the Authority's SAP computer system, that were open, closed or awarded in 2013, including contracts awarded since January 1, 1990 that were completed in 2013 or were extended into 2014 or beyond. In addition, fossil fuels transactions reported by the Fuels Planning and Operations group and financial-related services reported by Corporate Finance (of the Energy Resource Management and Business Services Business Units, respectively), are included in the Annual Report of Procurement Contracts. All additional information required by the statute is also included. The Trustees are requested to approve the attached Annual Report pursuant to § 2879 of the PAL prior to submittal

thereof to the Director of the Division of the Budget, the Department of Audit and Control, the Department of Economic Development, the Senate Finance Committee, the Assembly Ways and Means Committee and the Authorities Budget Office.

A copy of the Guidelines effective March 31, 2014 (Exhibit '2c-A-2') is attached to the Annual Report. These Guidelines are amended in accordance with Article 4-C of the Economic Development Law and also with provisions of State Finance Law § 163.6, and as further set forth in Exhibit '2c-A-1.'

The Guidelines generally describe the Authority's process for soliciting proposals and awarding contracts. Topics detailed in the Guidelines include solicitation requirements, evaluation criteria, contract award process, contract provisions, change orders, Minority/Women Business Enterprise ('M/WBE') requirements, employment of former officers and reporting requirements.

RECOMMENDATION

The Executive Vice President and Chief Financial Officer and the Acting Vice President – Procurement recommend that the Trustees approve the 2013 Annual Report of Procurement Contracts, the Guidelines for Procurement Contracts and the review of open service contracts as attached hereto in Exhibits '2c-A-1' through '2c-A-3.'

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

> **RESOLVED, That pursuant to § 2879 of the Public Authorities Law and the Authority's Procurement Guidelines, the Annual Report of Procurement Contracts, as listed in Exhibit "2c-A-3," and the Guidelines for the use, awarding, monitoring and reporting of Procurement Contracts (Exhibit "2c-A-2"), as amended and attached hereto, be, and hereby are, approved; and be it further**
>
> **RESOLVED, That the open service contracts exceeding one year be, and hereby are, reviewed and approved; and be it further**
>
> **RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.**

d. Annual Review and Approval of Guidelines and Procedures for and Annual Report of the Disposal of Personal Property

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to review and approve the Guidelines and Procedures for the Disposal of Authority Personal Property ('Personal Property Guidelines'), which address the disposal of Authority-owned materials, tools, equipment and vehicles with a value in excess of $5,000, in compliance with Public Authorities Law § 2896, enacted as part of the Public Authorities Accountability Act of 2005 ('PAAA') and amended by the Public Authorities Reform Act of 2009 ('PARA'). The Personal Property Guidelines are attached hereto as Exhibit '2d-A.' The Trustees are also requested to review and approve the 2013 Annual Report of the Disposal of Personal Property, attached hereto as Exhibit '2d-A-1.'

BACKGROUND

On January 13, 2006, the PAAA was enacted to codify model governance principles for New York State's public authorities to further accountability and transparency. Among its provisions, the PAAA, and as later amended by PARA, established requirements for the disposal of public authority personal property. The law also required each authority to draft guidelines consistent with the legislation dealing with these issues, to review and approve such guidelines annually and to prepare an annual report of the disposal of personal property (including the full description, name of the purchaser and price received for all such property disposed of by the authority during such period). Such Guidelines were initially approved by the Trustees at their meeting of March 28, 2006 and have been amended as deemed advisable and necessary, and reviewed and approved annually since that date, most recently on March 21, 2013.

DISCUSSION

The Personal Property Guidelines set forth the methodology detailing the Authority's policy regarding the use, award, monitoring and reporting of the disposal of personal property and designate a Contracting Officer responsible for the Authority's compliance with, and enforcement of, such Guidelines.

Staff has reviewed the Personal Property Guidelines and recommends no substantive changes. Several non-substantive changes were made to the Guidelines to clarify a few points and to reflect titular or organizational changes in the Authority, as set forth in the redlined copy attached hereto as Exhibit '2d-A.'

Upon annual review and approval by the Trustees, the Guidelines and corresponding Annual Report will be filed on or before the 31st day of March with the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the Authorities Budget Office and posted on the Authority's internet website, in compliance with applicable law and the Guidelines.

FISCAL INFORMATION

There will be no financial impact on the Authority.

RECOMMENDATION

The Executive Vice President and Chief Financial Officer and the Acting Vice President – Procurement recommend that the Trustees approve the Guidelines and Procedures for the Disposal of Authority Personal Property for the disposition of Authority-owned materials, tools, equipment, and vehicles with a value in excess $5,000, and the corresponding 2013 Annual Report of the Disposal of Personal Property, as set forth in Exhibits '2d-A' and '2d-A-1,' respectively.

For the reasons stated, I recommend the approval of the above-requested action by adoption the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

> **RESOLVED, That pursuant to the provisions of the Public Authorities Law, the Authority hereby reviews and approves the Guidelines and Procedures for the Disposal of Authority Personal Property, as set forth in Exhibit "2d-A" and attached hereto; and be it further**
>
> **RESOLVED, That pursuant to the provisions of the Public Authorities Law, the Authority hereby reviews and approves the 2013 Annual Report for the Disposal of Personal Property, as set forth in Exhibit "2d-A-1" and attached hereto; and be it further**
>
> **RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.**

e. Annual Review and Approval of Guidelines and Procedures for the Disposal of Real Property, Guidelines and Procedures for the Acquisition of Real Property and Annual Reports for the Disposal and Acquisition of Real Property

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to review and approve the following, which comply with the requirements of the Public Authorities Accountability Act of 2005 ('PAAA') as amended by the Public Authorities Reform Act, Chapter 506 of the Laws of 2009: (1) 2014 Guidelines and Procedures for the Disposal of Real Property ('Real Property Disposal Guidelines') for transfers of land or interests in land; and (2) 2014 Guidelines and Procedures for the Acquisition of Real Property ('Real Property Acquisition Guidelines'). The Guidelines are set forth in Exhibits '2e-A' and '2e-B', respectively, attached hereto. In addition, the Trustees are also requested to review and approve the 2013 Annual Report of the Disposal of Real Property set forth in Exhibit '2e-C', and the 2013 Annual Report of the Acquisition of Real Property set forth in Exhibit '2e-C-1', attached hereto.

BACKGROUND

On January 13, 2006, the PAAA was enacted to codify model governance principles for New York State's public authorities to further accountability and transparency. The PAAA was subsequently amended by the Public Authorities Reform Act (Chapter 506 of the Laws of 2009) which Governor Paterson signed into law on December 11, 2009. Among its provisions, the PAAA established rules for the disposal and acquisition of real property owned by public authorities. In addition to requiring each authority to draft and annually review and approve guidelines consistent with the legislation, each authority must also prepare an annual report of all real property of such authority having an estimated fair market value in excess of fifteen thousand dollars that the authority acquires or disposes of during such period. The report shall contain the price received or paid by the authority and the name of the purchaser or seller for all such property sold or bought by the authority during such period.

DISCUSSION

The 2014 Real Property Disposal Guidelines and the 2014 Real Property Acquisition Guidelines set forth the methodology detailing the Authority's policy regarding the use, award, monitoring and reporting of contracts for the disposal and acquisition of real property and designate a Contracting Officer responsible for the Authority's compliance with, and enforcement of, such Guidelines. At their meeting of March 21, 2013, the Trustees reviewed and approved the Authority's 2013 Real Property Disposal Guidelines and Real Property Acquisition Guidelines. The only significant change in the 2014 Guidelines is an amendment to the Disposal Guidelines to more accurately reflect New York Public Authorities Law §2896(2)(b), which requires that the Authority periodically inventory its real property to determine which property shall be disposed of. There are additional minor changes for form and to reflect organizational changes. The Acquisition Guidelines have been amended to reflect organizational changes only.

The Real Property Disposal Report lists the real property disposal transactions conducted during the reporting period having an estimated fair market value in excess of $15,000, including a description of the property, the purchaser's name and the price received by the Authority, as required by New York Public Authorities Law §2800. The Real Property Acquisition Report lists the real property acquisition transactions conducted during the reporting period having an estimated fair market value in excess of $15,000, including a description of the property, the seller's name and the price received by the Authority, as required by New York Public Authorities Law §2800. During this reporting period there was one (1) acquisition of real property with an estimated fair market value in excess of $15,000.00. In addition, there was one (1) acquisition, previously approved by the Trustees, for which the Authority paid in excess of the fair market value. During this reporting period there were no disposals of real property with an estimated fair market value in excess of $15,000.00.

These acquisitions and dispositions were among those reviewed and approved by the Authority's Governance Committee at their meeting of March 25, 2014. The Trustees are now requested to review and approve the Authority's 2013 Annual Report of the Disposal of Real Property and the Authority's 2013 Annual Report of the Acquisition of Real Property.

The 2014 Real Property Disposal Guidelines and the 2014 Real Property Acquisition Guidelines, if approved, will be posted on the Authority's internet website. On or before the 31st day of March, the Real Property Disposal Guidelines, the Real Property Acquisition Guidelines and the corresponding 2013 Annual Reports, as reviewed and approved by the Trustees, will be filed with the State Comptroller, the Director of the Budget, the Commissioner of General Services, the State Legislature and the Authorities Budget Office. The 2013 Annual Reports will also be posted on the Authority's internet website.

FISCAL INFORMATION

There will be no financial impact on the Authority.

RECOMMENDATION

The Acting Vice President – Procurement and the Director of Site Purchasing, Materials Management and Real Estate recommend that the Trustees approve the amended Guidelines and Procedures for the Disposal of Real Property, the amended Guidelines and Procedures for the Acquisition of Real Property, the 2013 Annual Report of the Disposal of Real Property and the 2013 Annual Report of the Acquisition of Real Property as set forth in the attached Exhibits.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the provisions of the Public Authorities Accountability Act of 2005, as amended by the Public Authorities Reform Act, Chapter 506 of the Laws of 2009, the Authority hereby reviews and approves the 2014 Guidelines and Procedures for the Disposal of Real Property and the 2014 Guidelines and Procedures for the Acquisition of Real Property as set forth in Exhibits "2e-A" and "2e-B", respectively, attached hereto; and be it further

RESOLVED, That pursuant to the provisions of the Public Authorities Accountability Act of 2005, as amended by the Public Authorities Reform Act, Chapter 506 of the Laws of 2009, the Authority hereby reviews and approves the 2013 Annual Report for the Disposal of Real Property and the 2013 Annual Report of the Acquisition of Real Property as set forth in Exhibits "2e-C" and "2e-C-1", respectively, attached hereto; and be it further

RESOLVED, That Authority staff may take any and all steps necessary or convenient to implement such Guidelines; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things

and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

f. Niagara Power Project – Robert Moses Generator Step-Up Transformer Replacement – Capital Expenditure Authorization Request

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve capital expenditures in the amount of $5,500,000 for the replacement of the Robert Moses Generator Step-Up Transformer ('GSU') at the Niagara Power Project.

BACKGROUND

In accordance with the Authority's Expenditure Authorization Procedures, capital expenditures in excess of $3 million require the Trustees' approval.

After a bushing failed on Robert Moses GSU 3 in the fall of 2012, the spare GSU was placed in service. Several options for repair of the failed unit were evaluated. However, due to the cost, uncertainty of the success of the repair, and critical nature of the GSUs, a new replacement transformer will be procured and installed in 2015.

DISCUSSION

The scope-of-work for this project includes the design, fabrication, delivery, assembly, testing, and installation of one 115kV wye-reactance-grounded / 13.8kV delta GSU.

After issuance of a Request for Proposal ('RFP') and a competitive bidding process, an equipment procurement contract for the GSU was awarded to ABB, Inc. in the amount of $2,531,168 in March 2014. ABB has extensive experience in design and fabrication of large power transformers, has demonstrated knowledge of the scope-of-work and is capable of completing the project. ABB has also performed successfully on other Authority projects and has demonstrated its ability to adhere to schedule and budget. Pending approval of these capital expenditures, the contract award has been limited to $200,000. Preliminary funding in the amount of $1,030,914 was previously authorized for the procurement of long-lead equipment and for the detailed design of the GSU installation.

This fall staff anticipates issuing an RFP for the installation of the new GSU, which will occur during a scheduled outage in 2015. The anticipated scope-of-work for the installation contract will include the removal and disposal of the failed GSU; demolition and replacement of the deluge fire suppression system; connections to the low voltage Iso-Phase bus and overhead high voltage strain bus; and structural repairs to the GSU containment bays, as required.

The total Project cost is estimated at $5,500,000, as follows:

Preliminary Engineering and Design	$ 50,000
Detailed Engineering and Design	$ 186,800
GSU Procurement	$2,600,000
GSU Installation and Niagara Site Support	$2,124,000
Authority Indirect and Direct Expenses	$ 539,200
TOTAL	$5,500,000

Future-year funding will be included in the Capital Budget request for that year.

FISCAL INFORMATION

Payment associated with this Project will be made from the Authority's Capital Fund.

RECOMMENDATION

The Senior Vice President and Chief Engineer – Operations Support Services, the Acting Vice President – Project Management, the Acting Vice President – Procurement, the Regional Manager – Western New York, and the Project Manager recommend that the Trustees authorize capital expenditures in the amount of $5,500,000, for the replacement of the Robert Moses Generator Step-Up Transformer at the Niagara Power Project.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, capital expenditures are hereby approved in the amount of $5,500,000, for the replacement of the Robert Moses Generator Step-Up Transformer at the Niagara Power Project, as recommended in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

**g. Procurement (Services) Contract –
St. Lawrence/FDR Power Project – Massena Substation –
Auto-Transformer Removal and Site Preparation –
Contract Extension**

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve a one-year extension until December 31, 2014 to Purchase Order #4500226992 (the 'Contract') for Northline Utilities LLC of Ausable Forks, New York for the Auto-Transformer Removal and Site Preparation for Installation of the new SMIT 765 kV Auto-Transformer at the Massena Substation.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year.

Northline Utilities was awarded the Contract for the removal of the failed spare 765kV/ 230kV auto-transformer; the removal of damaged power and control cables, conduits and grounding; removal of the squelching stone; inspection and repair of the containment; installation of a new liner; installation of new power and control cables, conduits and grounding; and preparation of the site for the delivery of the new auto-transformer from SMIT.

DISCUSSION

At the time of the Contract award, the new transformer from SMIT was expected to be delivered on site in October, 2013. Northline Utilities' work on this Contract was expected to be completed by December 2013.

As a result of fabrication and transportation issues, the transformer shipment was delayed considerably. Assembly and testing of the transformer by SMIT is expected to be completed by late April, 2014. Once SMIT's commissioning is complete, Northline Utilities must return to perform the balance of the required work. The Northline Contract, originally anticipated to be less than 12 months in duration, reached the one-year mark in January, 2014.

FISCAL INFORMATION

Payment associated with this project will be made from the Authority's Capital Fund. Any additional funding to support this contract extension will be handled in accordance with the Authority's Expenditure Authorization Procedures.

RECOMMENDATION

The Senior Vice President – Operations Support Services and Chief Engineer, the Acting Vice President – Project Management, the Vice President – Engineering, the Acting Vice President – Procurement, the Project Manager, and the Regional Manager – Northern New York recommend that the Trustees approve the contract extension to Northline Utilities LLC.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, approval is hereby granted to award a one-year contract extension to Northline Utilities LLC of Ausable Forks, New York to complete the scope-of-work in support of the installation of the spare auto-transformer for use at the Massena Substation, as recommended in the foregoing report of the President and Chief Executive Officer.

Contractor	**Contract Approval**
Northline Utilities **Ausable Forks, NY** **PO# 4500226992**	**One-Year Contract Extension to December 31, 2014** **Final Est. Cost: $554,041**

AND BE IT FURTHER RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

h. Procurement (Services) Contract – Niagara Power Project – On-Call Testing and Inspection Services – Contract Award

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the award of a five-year contract, to Quality Inspection Services, Inc. of Buffalo, NY ('QISI'), for a not-to-exceed amount of $1.25 million, for the On-Call Testing and Inspection Services work at the Niagara Power Project.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year.

An On-Call Testing and Inspection Services contract is required for the Authority to acquire necessary independent third-party inspections to ensure constant quality assurance for on-going construction projects. The Authority does not have the necessary equipment or laboratory testing facilities to meet the required code compliance and quality standards in a manner that is in keeping with the pace of project schedules.

DISCUSSION

The Authority first placed an advertisement in the New York State *Contract Reporter* on September 12, 2013 and proposals were received on October 3, 2013. After reviewing the proposals, the Evaluation Committee, which was comprised of Authority staff, determined that the original proposals had many inconsistencies and disparities between unit prices and the Bid was cancelled.

The Authority rebid for the contract and placed an advertisement in the New York State *Contract Reporter* on December 10, 2013. Ninety-one (91) companies 'downloaded' the bid documents via the Authority's website.

The following three bidders submitted proposals on January 7, 2014:

Bidder	Location
SJB Services, Inc.	Buffalo, NY
Quality Inspection Services, Inc.	Buffalo, NY
CME Associates, Inc.	Syracuse, NY

The bids were evaluated from a cost, technical, safety and similar work experience standpoint, by the Evaluation Committee. The evaluation focused on those pricing items considered most likely to be used based on upcoming work at the Niagara Power Project plus consideration of historic usage of these services.

QISI's experience, resources and capabilities meet the Authority's requirements as described in the bid document. QISI provided the lowest unit pricing for the majority of the most frequently used services. Additionally, QISI has provided satisfactory work at the Niagara Power Project recently, including concrete testing and inspection of the Robert Moses Dam Face, Switchyard Structure Foundation repairs and the South Access Guardhouse, as well as weld inspections for the South Access Roadway retaining wall.

FISCAL INFORMATION

Funds required to support contract services for O&M projects will be included as part of the approved Operating Fund for those projects and will be disbursed from the Operating Fund.

Funds required to support contract services for capital projects will be included as part of the approved capital expenditures for those projects and will be disbursed from the Capital Fund in accordance with the project's Capital Expenditure Authorization Request.

RECOMMENDATION

The Senior Vice President and Chief Engineer – Operations Support Services, the Acting Vice President – Project Management, the Vice President – Engineering, the Acting Vice President – Procurement, the Project Manager and the Regional Manager – Western New York recommend that the Trustees approve the award of a five-year contract to Quality Inspection Services, Inc. of Buffalo, NY ('QISI') for a not-to-exceed amount of $1.25 million, for the On-Call Testing and Inspection Services work at the Niagara Power Project.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, approval is hereby granted to award a five-year contract to Quality Inspection Services, Inc. of Buffalo, NY, for a not-to-exceed amount of $1.25 million, for the On-Call Testing and Inspection Services contract at the Niagara Power Project, as recommended in the foregoing report of the President and Chief Executive Officer;

Contractor	**Contract Approval**
Quality Inspection Services, Inc. Buffalo, NY (Q13-5559AT)	**$1.25 million**

AND BE IT FURTHER RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

i. Procurement (Services) Contract – Niagara Power Project – Lewiston Pump Generating Plant Life Extension and Modernization Program – Installation of Auxiliary Equipment Phase II – Contract Award

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the award of a seven-year contract to Ferguson Electric Construction Co. Inc. of Buffalo, NY ('Ferguson') in the amount of $19,046,700 for the installation of auxiliary equipment for the remaining ten unit upgrades as part of the Life Extension and Modernization ('LEM') Program at the Niagara Power Project, Lewiston Pump Generating Plant ('LPGP').

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year. The Authority's Expenditure Authorization Procedures require the Trustee's approval for non-personal services contracts with a value in excess of $3 million.

At their June 29, 2010 meeting, the Trustees approved the LPGP Life Extension Program at the estimated cost of $460 million. At their November 12, 2012 meeting, the Trustees increased the authorized capital expenditures amount from $131 million to $252 million. This requested contract award is a part of the previous capital expenditure authorization.

In accordance with the Authority's Guidelines for Procurement Contracts, an interim contract not to exceed $90,000 was approved by the President and Chief Executive Officer in February 2014. This interim contract award will avoid Ferguson's demobilization cost, under the existing contract, and mobilization cost, under this contract, which will save the Authority $30,000 and $96,000 respectively.

The principal reason for the life extension work at LPGP is the condition and age of the generating equipment, including the original Generator Step-Up Transformers, Motor-Generators, Pump-Turbines, Exciters and Unit Controls, Potheads and High Pressure Fluid-Filled plants. Failure to maintain the LPGP would result in significant loss of peaking and firm capacity from the Niagara Power Project, preventing the Project from being able to meet power contracts with the Authority's customers.

The Trustees approved the award of a contract on at their meeting on July 31, 2012 for the installation of the auxiliary equipment for the first two of the twelve unit upgrades. This contract award is for installation of auxiliary equipment for the remaining ten units. The contacts were separated in order to incorporate 'lessons learned' during the first two unit upgrades and to mitigate additional scope-of-work changes.

The scope-of-work under this contract includes the installation of the following equipment furnished by other vendors under separate contracts: static exciters, generator circuit breakers, cable and unit instrumentation. The scope-of-work also includes i) furnishing and installation of conduits and cable; ii) furnishing and replacing the AC and DC distribution systems equipment for the remaining ten units; iii) removal of existing associated cables and conduits; and iv) assistance with testing and commissioning of the remaining ten units.

DISCUSSION

An advertisement to solicit bids (Q13-5539AT) was issued and appeared in the New York State *Contract Reporter* on October 4, 2013. A single proposal was received on December 3, 2013 from Ferguson. In addition, Post-Bid Addendum No. 1 was issued to clarify the bidder's proposal; Ferguson's final prices, indicated below, were received on January 24, 2014. The NYPA Fair Cost Estimate for this project is $20,028,552 inclusive of options and bonds.

Bidder	Bid
Ferguson Electric Construction Co. Inc.	$19,046,700

The proposal was reviewed by an Evaluation Committee ('Committee') with representatives from Procurement, Engineering, Niagara Project and Project Management.

Several clarifications were sent to Ferguson to clarify their proposal and to provide an opportunity to explain how they arrived at their work plan, guaranteed characteristics and pricing. Ferguson's responses were acceptable to the Committee.

In the recent past, Ferguson successfully completed several projects at the Niagara Power Project which include upgrade of the first LPGP LEM unit (work on the second is well underway), replacement of the 115 kV oil circuit breakers with SF6 type in the switchyard and the replacement of the four generator step-up transformers at LPGP.

Ferguson has broad experience in projects of this magnitude, has demonstrated knowledge of the scope-of-work and is capable of completing this project in a timely manner. The Committee recommends that a contract for the work described above be awarded to Ferguson whose bid is technically and commercially acceptable.

FISCAL INFORMATION

Payment associated with this project will be made from the Authority's Capital Fund and is included in the 2014 approved Capital budget.

RECOMMENDATION

The Senior Vice President and Chief Engineer – Operations Support Services, the Acting Vice President – Project Management, the Vice President – Engineering, the Acting Vice President – Procurement, the Project Manager and the Regional Manager – Western New York recommend that the Trustees approve the award of a seven-year contract to Ferguson Electric Construction Co. Inc. of Buffalo, NY, in the amount of $19,046,700, for the installation of auxiliary equipment for the remaining ten unit upgrades as part of the Life Extension and Modernization Program to renovate and modernize the Lewiston Pump Generating Plant.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, approval is hereby granted to award a seven-year contract to Ferguson Electric Construction Co. Inc. of Buffalo, NY, in the amount of $19,046,700, for the Installation of Auxiliary Equipment for Unit Upgrade as part of the Life Extension and Modernization program to renovate and modernize the Lewiston Pump Generating Plant, as recommended in the foregoing report of the President and Chief Executive Officer;

Contractor	**Contract Approval**
Ferguson Electric Construction Co. Inc. Buffalo, NY (Q13-5339AT)	**$19,046,700**

AND BE IT FURTHER RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

j. Procurement (Services) Contract – Environmental Health and Safety Oversight Services for the South East New York Region –Contract Awards

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the award of contracts to TSI-Turtle Services ('TSI') of Linden, NJ and TRC Engineers ('TRC'), Inc., of New York, NY for Environmental Health and Safety ('EHS') Oversight Services to cover all nine sites in the South East New York Region ('SENY'), for a term of up to five years and an aggregate total of $3million.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year.

The Authority's Expenditure Authorization Procedures ('EAPs') require the Trustees' approval for the award of personal services contracts in excess of $1million if low bidder, or $500,000 if sole-source, single-source or non-low bidder.

In order to supplement existing resources and ensure the proper implementation of planned, emergent and on-going projects, EHS oversight services are required to provide support for non-recurring O&M and Capital project activities. EHS services will include providing project oversight for enforcement, compliance with the Authority's EHS guidelines and Occupational Safety and Health Administration ('OSHA') standards. The Authority awarded a one-year contract in 2012 for EHS Oversight services which expired in June 2013. As such, a new contract is required to supplement this need and to ensure that the SENY sites have adequate coverage. Past experience has shown that an award to multiple firms allows for a broader selection and availability of skilled personnel to support multiple projects and it is also effective to award them as multi-year contracts.

EVALUATION

In response to the Authority's Request for Proposals (Q13-5552MC) advertised in the New York State *Contract Reporter* on November 20, 2013, ten proposals were received on December 11, 2013.

A complete bid review and analysis was conducted by the Authority's Project Management, Environmental Health and Safety, and Procurement staff. Six evaluation categories were established and scored: compensation hourly rate, qualifications, experience, proposal execution and Authority experience. Based on the evaluation criteria, TSI and TRC were the top two evaluated firms. Additionally, both firms are within reasonable location/proximity to support all SENY sites.

TSI and TRC have relevant and good experience in the power and utility industry; successful track records implementing projects of various dollar value, duration and complexity; experience working with all levels of government; and a successful track record with the Authority. TSI and TRC have been in business for 23 and 43 years, respectively. Both firms are in good financial standing as confirmed by the Authority's Procurement Department and reported by the verified references.

Based on the review of the proposals, evaluation criteria and reference checks, staff recommends that the contract for EHS services be awarded to TSI and TRC. Services under these contracts will be provided on an as-needed basis and/or availability, using the hourly rates for a term of up to five years.

RECOMMENDATION

The Senior Vice President and Chief Engineer – Operations Support Services, the Vice President – Engineering, the Acting Vice President – Project Management, the Acting Vice President – Procurement and the Regional Manager – SENY recommend that the Trustees approve the award of five-year contracts to TSI-Turtle Services of Linden, NJ and TRC Engineers, Inc., of New York, NY for an aggregate total of $3million to provide Environmental Health and Safety Oversight Services for the Authority's Southeast New York Region.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, approval is hereby granted to award contracts to TSI-Turtle Services of Linden, New Jersey and TRC Engineers, Inc. of New York, New York, for a term of up to five years and the aggregate total amount of $3million for Environmental Health and Safety Oversight Services for the Authority's Southeast New York Region as recommended in the foregoing report of the President and Chief Executive Officer and set forth below:

Contractor	**Contract Approval**
TSI-Turtle Services Linden, New Jersey (Q13-5552MC)	**$3,000,000 (aggregate total)**
TRC Engineers, Inc. New York, New York (Q13-5552MC)	

AND BE IT FURTHER RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

k. Procurement (Services) Contract) – St. Lawrence-FDR Power Project – Independent FERC Consultant's Part 12D Safety Inspection and Follow-up Service – Contract Award

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the award of a contract to Parsons Brinkerhoff, Inc., New York, NY, for inspection and consulting services in support of an independent consultant's inspection, report and follow-up service for the St. Lawrence-FDR Power Project ('STL Project'), as mandated by the Federal Energy Regulatory Commission ('FERC'). The intended term of the contract is five years for the total projected amount of $300,000.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year.

DISCUSSION

FERC regulations require the Authority to hire an independent consultant to perform an independent dam safety inspection and review at licensed projects every five years. FERC issued a letter on October 29, 2013 indicating that the report for the STL Project was due by January 2, 2015. In response to the Authority's Request for Proposals ('RFP') advertised in the New York State *Contract Reporter* on January 16, 2014, sixty-five (65) firms downloaded the bid documents from the Authority's procurement website.

Bidders were required to submit a detailed proposal in accordance with the RFP and scope-of-work (Q14-5578JF). Eight bids were received and opened on February 11, 2014. All eight bids were evaluated by a team of staff members from the Operations and Procurement Departments.

The bid evaluation looked at the lump-sum contract price for the dam safety inspection and report for the STL Project for the task of the first year (2014) in addition to the cost for the work in the next four years (2015 thru 2018). Staff calculated total projected costs based on estimated man-hours needed for projected tasks based upon prior experience to address continuing engineering services, to respond to FERC questions in years 2-5 of the contract and corresponding hourly rates provided by each firm in their proposal.

Based on the foregoing, Parsons Brinkerhoff was the lowest-priced evaluated bidder and its proposal indicates a complete understanding of FERC's requirements. Staff recommends awarding a contract to Parsons Brinkerhoff as its proposal is complete, competitive and responsive to the scope-of-work. Parsons Brinkerhoff has allocated proper resources to complete this work thoroughly and on-time. FERC's new inspection report guidelines require the degree of staffing allocated by Parsons Brinkerhoff and the company has the requisite knowledge and expertise to perform the work.

The award is for $58,000 for the first year of the contract including $300 for any additional unforeseen work. FERC requires the independent consultant to be available to answer follow-up questions for a period of five years. Therefore, based upon prior experience to address continuing engineering services to respond to FERC questions in years 2-5, the value of the contract is estimated to include $60,500 in years 2-5 of the contract. Total value of the contract is $300,000.

FERC must approve the résumé of the specific independent consultants employed by Parsons Brinkerhoff to proceed with this work. Historically, FERC has required the Authority to utilize the FERC-approved independent consultants to conduct follow-up work; therefore the intended term of the contract is five years. Pursuant to FERC's letter dated October 29, 2013, the Authority is required to submit a letter for the approval of the proposed Niagara

Power Project independent consultant to FERC no later than July 2, 2014, six months prior to the due date for the Part 12D Safety Inspection Report.

FISCAL INFORMATION

Funds required to support the contract are included in the 2014 Approved Operations Budget. Funds for subsequent years, where applicable, will be included in the budget submittals for those years. Payment will be made from the Operating Fund.

RECOMMENDATION

The Senior Vice President – Operations Support Services and Chief Engineer, the Vice President – Engineering, the Acting Vice President – Procurement and the Regional Manager – Northern New York recommend that the Trustees approve the award of a five-year contract to Parsons Brinkerhoff, Inc. for inspection and consulting services as discussed above.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the award and funding of a five-year contract to Parsons Brinkerhoff, Inc., in the amount of $300,000, is hereby approved, as recommended in the foregoing report of the President and Chief Executive Officer;

Contractor	**Contract Approval**
Parsons Brinkerhoff, Inc. New York, NY	**$300,000**

AND BE IT FURTHER RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

**l. Procurement (Services) Contract –
Information Technology Operations Network –
Time Warner Cable Inc. – Contract Award**

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to authorize award of a contract to Time Warner Cable Inc. in the amount of $3,780,000 for a term of five years in order to implement a new Operations Network. These expenditures have been previously approved by the Trustees in the 2013 Operations Network and Fiber Network Capital Expenditure Authorization Request ('CEAR').

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year.

The Authority's Expenditure Authorization Procedures ('EAPs') require the Trustees' approval for the award of non-personal services, construction, equipment purchase or non-procurement contracts in excess of $3 million, as well as personal services contracts in excess of $1 million if low bidder, or $500,000 if sole-source, single-source or non-low bidder.

Time Warner Cable is under the New York State Office of General Services ('OGS') State Contract for telecommunications services and currently provides the Operational and Business Network backbone communications service between NYPA's major locations. This contract with Time Warner would provide new communications infrastructure to connect the Authority's sixty (60) operational locations to the existing Time Warner Operational Network. These new locations include NYPA offsite operational facilities, the New York Independent System Operator ('NYISO') and other utilities.

Services include, but are not limited to, providing high-speed network connectivity over a secured switch network based on fiber optics to support all operational communications including SCADA, Telemetry, Protective Relay, Security and Control Room communications. The system will have the ability to prioritize traffic by quality and class of service.

This five-year contract will include capital expenses in the amount of $2.4 million and O&M expenses in the amount of $1.38 million.

DISCUSSION

Time Warner Cable Inc. will build and maintain this Operations Network in parallel with the NYPA Business Network. This new environment will have security and monitoring built in from the ground up in order to meet and exceed current North American Electric Reliability Corporation ('NERC') Critical Infrastructure Protection ('CIP') compliance and audit requirements.

The establishment of this new infrastructure will provide the following:

- Aggregate all circuits under one contract with a single carrier
- Segregation of the Operations Network from the Corporate Business Network
- Provide a new environment to monitor all Operations Circuits for Cyber Security
- Provide a Centralized Management of the Status and Health of all circuits
- Reduce Costs of Operation

This contract is a multi-year effort with implementation beginning in 2014 and all locations scheduled to be operational by year end 2015. Services will be billed as locations are activated with the contract termination in December 2019.

FISCAL INFORMATION

Implementation costs associated with this project will be made from the Capital Fund, while monthly recurring services will be charged to O&M.

RECOMMENDATION

The Senior Vice President – Enterprise Shared Services, the Chief Information Officer – Informational Technology and the Acting Vice President – Procurement recommend that the Trustees approve the award of a five-year contract to Time Warner Cable Inc. in the amount of $3,780,000.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was adopted.

RESOLVED, That in accordance with the Authority's Expenditure Authorization Procedures, capital expenditures are hereby approved as recommended in the foregoing report of the President and Chief Executive Officer, in the amount and for the purpose listed below:

Capital	**Expenditure Authorization**
Time Warner Cable Inc. Request for Contract Approval	**$3,780,000**

AND BE IT FURTHER RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

**m. Procurement (Services) Contracts –
Business Units and Facilities –
Awards, Extensions and/or Additional Funding**

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the award and funding of the multiyear procurement (services) contracts listed in Exhibit '2m-A,' as well as the continuation and/or funding of the procurement (services) contracts listed in Exhibit '2m-B,' in support of projects and programs for the Authority's Business Units/Departments and Facilities. Detailed explanations of the recommended awards and extensions, including the nature of such services, the bases for the new awards if other than to the lowest-priced bidders and the intended duration of such contracts, or the reasons for extension and the projected expiration dates, are set forth in the discussion below.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year.

The Authority's Expenditure Authorization Procedures ('EAPs') require the Trustees' approval for the award of non-personal services, construction, equipment purchase or non-procurement contracts in excess of $3 million, as well as personal services contracts in excess of $1 million if low bidder, or $500,000 if sole-source, single-source or non-low bidder.

The Authority's EAPs also require the Trustees' approval when the cumulative change- order value of a personal services contract exceeds $500,000, or when the cumulative change-order value of a non-personal services, construction, equipment purchase or non-procurement contract exceeds the greater of $1 million or 25% of the originally approved contract amount not to exceed $3 million.

DISCUSSION

Awards

The terms of these contracts will be more than one year; therefore, the Trustees' approval is required. Except as noted, all of these contracts contain provisions allowing the Authority to terminate the services for the Authority's convenience, without liability other than paying for acceptable services rendered to the effective date of termination. Approval is also requested for funding all contracts, which range in estimated value from $60,000 to $3.15 million. Except as noted, these contract awards do not obligate the Authority to a specific level of personnel resources or expenditures.

The issuance of multiyear contracts is recommended from both cost and efficiency standpoints. In many cases, reduced prices can be negotiated for these long-term contracts. Since these services are typically required on a continuous basis, it is more efficient to award long-term contracts than to rebid these services annually.

Extensions

Although the firms identified in Exhibit '2m-B' have provided effective services, the issues or projects requiring these services have not been resolved or completed and the need exists for continuing these contracts. The Trustees' approval is required because the terms of these contracts will exceed one year including the extension, the term of extension of these contracts will exceed one year and/or because the cumulative change-order limits will exceed the levels authorized by the EAPs in forthcoming change orders. The subject contracts contain provisions allowing the Authority to terminate the services at the Authority's convenience, without liability other than paying

for acceptable services rendered to the effective date of termination. These contract extensions do not obligate the Authority to a specific level of personnel resources or expenditures.

Extension of the contracts identified in Exhibit '2m-B' is requested for one or more of the following reasons: (1) additional time is required to complete the current contractual work scope or additional services related to the original work scope; (2) to accommodate an Authority or external regulatory agency schedule change that has delayed, reprioritized or otherwise suspended required services; (3) the original consultant is uniquely qualified to perform services and/or continue its presence and rebidding would not be practical or (4) the contractor provides a proprietary technology or specialized equipment, at reasonable negotiated rates, that the Authority needs to continue until a permanent system is put in place.

The following is a detailed summary of each recommended contract award and extension.

Contract Awards in Support of Business Units/Departments and Facilities:

Economic Development & Energy Efficiency

Energy Efficiency

The contract with **Mid-Island Electrical Supply ('Mid-Island') (Q14-5567; PO# TBA)** would provide for the furnishing and delivery of light emitting diode ('LED') bank lighting equipment for the New York City Transit subway tunnel project. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 50 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Four proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of a contract to Mid-Island, the lowest-priced evaluated bidder, which is qualified to provide such equipment and meets the bid requirements. The contract would become effective on or about April 1, 2014, for an intended term of up to three years, subject to the Trustees' approval, which is hereby requested. (The initial award will be issued for a one-year term, with an option to extend for up to two additional years.) Approval is also requested for the total amount expected to be expended for the term of the contract, $3,147,414.

Enterprise Shared Services

Human Resources – Employee Benefits

The Authority offers relocation benefits to eligible newly-hired and transferring employees, pursuant to Employee Policy 3.8. One component of the relocation policy relates to the movement of household goods. The contracts with **A-1 First Class – Viking Moving & Storage, Inc. ('A-1')** and **Greater Syracuse Moving & Storage ('Greater Syracuse') (Q14-5572)** would provide for such corporate relocation moving services to the Authority on an 'as needed' basis. Services include coordinating and managing the move of all household goods for Authority employees who have been transferred to a new work assignment / location on a non-temporary basis or new employees who must relocate to work at an Authority location. Approximately 20 moves are anticipated (but not guaranteed) per year, of which one-third are expected to originate outside New York State. Since the existing contracts for such services are expiring and the need is ongoing, bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 40 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Three proposals were received and evaluated, as further set forth in the Award Recommendation documents. Based on a pricing comparison drawn from fee worksheets, which indicate the estimated moving costs submitted by each bidder for two sample moves (one interstate and one intrastate), as well as discounts and reductions on tariff rates, and services offered, staff recommends the award of contracts to A-1 and Greater Syracuse, the two lowest-priced bidders. Both firms offer comparable and competitive rates, are qualified to perform such work and meet the bid requirements; both are managed locally and are also agents of larger, national van lines, and both agreed to waive the peak-season transportation rates and use non-peak season transportation rates throughout the calendar year. It should be noted that A-1 has provided excellent service to the Authority under an existing contract for such work. The award of

contracts to two firms would afford the Authority additional flexibility in cases where a van line is unavailable in a specific geographic area or during its busy season, or in the event of a billing dispute with or poor service by one company. This arrangement would benefit the Authority by better accommodating the Authority's needs and scheduling requirements (e.g., on short notice) and by taking advantage of the best pricing for intrastate and interstate moves. The contracts would become effective on or about July 1, 2014, for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the aggregate total amount expected to be expended for the term of the contracts, $350,000.

Law

The contract with **Manatt, Phelps & Phillips, LLP ('Manatt') (PO# TBA)** would provide for the continuation of legal services related to the ongoing St. Regis Mohawk Indian land claim. At their meeting of March 31, 2009, the Trustees approved the award of a five-year contract to provide legal services in connection with the pending actions, which were initiated by three groups of St. Regis Mohawks asserting claims to land owned and occupied by the Authority (including Barnhart Island, the site, the Authority's St. Lawrence/FDR Power Project). With the dismissal of all claims against the Authority by the federal District Court, the Authority's role in what remains of this litigation against the State and others is diminished. Nevertheless, developments in this litigation must be watched closely and appeals may be filed. Moreover, settlement discussions between the State and the St. Regis are ongoing, and will involve the Authority. The Manatt firm is needed to continue to monitor this litigation and to assist the Authority with any issues that may arise from the current settlement negotiations. Having represented the Authority in this case since 2004, Manatt has the requisite experience, is uniquely qualified and well positioned to continue its representation of the Authority in this complex, decades-old matter. The firm, and particularly the partner in charge, did an excellent job in writing the papers, which led to the dismissal of all claims against the Authority. It would not be practicable or prudent to retain a new firm at this juncture in the litigation; furthermore, to bring a new firm up-to-date on this long-standing dispute and the esoteric underlying legal doctrines would involve considerable additional expense without any commensurate benefit to the Authority. Based on the foregoing, staff recommends the award of a new contract to the Manatt firm on a single-source basis. Such contract would become effective on or about April 1, 2014, for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, $250,000.

Operations

Power Generation / Support Services

The contract with **Anderson Medical, PC dba Emergency One Urgent Care & Diagnostic Center ('Emergency One') (6000144301; PO# TBA)** would provide for on-site annual physical examinations for approximately 50 employees at the 500 MW Power Project. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 18 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Three proposals were received and evaluated in detail, as further set forth in the Award Recommendation documents. All three bidders were deemed technically qualified to perform the services. Given the nominal differential of $5 per physical (which is less than 2%) between the lowest-priced bidder and Emergency One, as well as the Authority's strong commitment to provide opportunities for New York State-certified Minority and Women-owned Business Enterprises ('M/WBEs') in accordance with our Supplier Diversity Program goals and Guidelines for Procurement Contracts, staff recommends award of a contract to Emergency One, which meets the bid requirements, is a NYS-certified MBE, and has provided satisfactory services under an existing contract for such services at another Authority facility. The contract would become effective on or about April 1, 2014, for an intended term of up to three years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, $150,000. Rates will remain firm for the duration of the contract.

The contract with **BIDCO Marine Group, Inc. ('BIDCO') (6000146383; PO# TBA)** would provide for penstock inspections of generating units at the Robert Moses Niagara Power Plant ('RMNPP'). Services include, but are not limited to, all labor, supervision, technical expertise, equipment, tools and materials to perform all operations and activities associated with such inspections and services, including supplying videotapes / DVDs and inspection reports. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 47 firms, including those that may have responded to a notice in the New York State *Contract Reporter*; one additional firm obtained the bid documents from an alternate source. Three proposals were received and evaluated. The two lowest-priced bids were equal in pricing, but the methodology proposed by one was more technically acceptable, as further set forth in the Award Recommendation documents. Based on the foregoing, staff recommends the award of a contract to BIDCO, which is better qualified to perform such services, meets the bid requirements and has provided satisfactory services under the previous contract for such work, demonstrating proven methods and ability to meet schedules, proximity to the site and familiarity with the work area. The new contract would become effective on or about March 26, 2014, for an intended term of up to three years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, $112,500.

The contract with **Upstate Metrology, Inc. (6000146202; PO# TBA)** would provide for all labor, supervision, equipment, supplies, pickup and delivery service for the calibration, repair and certification of Instrumentation & Control ('I&C') test and measurement equipment for the Niagara Power Project. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 34 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of a contract to Upstate Metrology, the lowest-priced evaluated bidder, which is qualified to perform such services, meets the bid requirements and has provided satisfactory services under the previous contract for such work. The new contract would become effective on or about March 26, 2014, for an intended term of up to four years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, $60,000 (based on staff calculations using the bidder's unit pricing, as well as historical and projected usage, and an additional $8,000 to cover emergent work including, but not limited to, repairs, parts and materials that are not covered by maintenance specifications). Rates will remain firm for the duration of the contract.

Contract Extensions and/or Additional Funding:

Economic Development & Energy Efficiency

Energy Efficiency

The contract with **Airmatic Compressor Systems, Inc. (4500228250)** provides for the services, materials, labor and equipment to furnish, install and make fully operational gas-fired infrared heating systems for New York City Transit at the Jamaica and Pitkin Maintenance Shops located in Forest Hills (Queens) and Brooklyn, New York, respectively. The original award, which was competitively bid, became effective on February 13, 2013, for a one-year term, in the amount of $1,931,228. Initial testing and sampling was followed by a period of installation inactivity (from May – October 2013), caused by delays in reviews and approvals for the next phase of installation, lead time on equipment and multiple revisions to construction drawings requested by the Customer, resulting in an overall project completion delay. Interim approval to extend the contract through March 31, 2014 was subsequently authorized in accordance with the Authority's Guidelines for Procurement Contracts and Expenditure Authorization Procedures, to provide for the continuation of such services. An additional nine-month extension is now requested in order to complete the work, while maintaining the original terms, conditions and pricing. The current contract amount is $1,931,228; adequate funding is available under this contract to cover services to be provided during the proposed extended term through project completion. The Trustees are requested to ratify the interim extension and to approve an additional extension of the subject contract through December 31, 2014, with no additional funding requested.

At their meeting of June 30, 2009, the Trustees approved the award of contracts to five firms (Applied Energy Management ('AEM'), The Fulcrum Group, PB Americas (now Parsons Brinckerhoff), RCM Technologies and Wendel Energy Services) to provide for program management and implementation services for the Authority's Governmental Customers Energy Services Program ('GCESP'), for a term of up to five years and an aggregate total amount of $300 million. The contracts, which were competitively bid, became effective on July 1, 2009. Projects have been assigned based upon project technology, size, expertise, Customer feedback and contractor performance, through an ongoing evaluation by the Authority's Implementation Contractor Assignments Committee. While many of the assigned projects have been completed successfully, a number of other projects authorized by the Authority's Customers have projected completion dates beyond the approved contract term, specifically, those with **Parsons Brinckerhoff, Inc. ('Parsons') (4600002135)**. Such work includes, but is not limited to: lighting and building controls at One Police Plaza; new boilers and controls at Metropolitan Hospital and Elmhurst Hospital; new chillers and building management system upgrade at the Brooklyn Supreme Court; and lighting and occupancy sensors at several New York City Public Schools. In order to bring the remaining projects to successful completion, while maintaining the terms, conditions and pricing in the original contract, staff recommends an extension of up to three years of the existing contract with Parsons. Adequate funding is still available to cover the estimated costs to complete the active project assignments under the subject contract. No new projects will be assigned to Parsons under this contract. (It should be noted that current project assignments that will not be completed within the approved contract term of existing contracts with Fulcrum, RCM and Wendel will be re-assigned to these firms under newer competitively bid contracts, as design milestones are met; and the contract with AEM has been closed.) The current aggregate total amount allocated to all five firms is $170 million. No additional funding above the originally approved aggregate total will be required for the extended term; allocations will be made to the Parsons contract, as needed. The Trustees are requested to approve extension of the subject contract through June 30, 2017, with no additional funding requested. Total commitments and expenditures for the contract will continue to be tracked against the approved aggregate total and will be closely monitored for utilization levels, available approved funding and combined total expenditures. It should be noted that all costs will be recovered by the Authority.

Executive Offices

The contract with **Susan P. Tolchin (4500228343)** provides for strategic communications consulting services that include, but are not limited to, performing a comprehensive evaluation of the Authority's existing Corporate Communications organization and making recommendations for improving its effectiveness; evaluating existing external and internal communications business processes and making recommendations for improvements to better convey a consistent message, align with the Governor's office policies and messages, reach Authority customers and stakeholders, and communicate with our employees; and providing strategic communications consulting services to the President and CEO and the Executive Management Committee, as needed. The original award, which was competitively bid, became effective on February 15, 2013, for a term of up to one year, in the amount of $80,000. The consultant has performed a number of the aforementioned tasks, resulting in recommendations for reorganization and process improvements. Additional funding in the amount of $12,000 and interim approval to extend the contract through March 31, 2014 were subsequently authorized in accordance with the Authority's Guidelines for Procurement Contracts and Expenditure Authorization Procedures, in order to provide for the continuation of such services. Ms. Tolchin continues to consult on these matters and to assist with process improvements in the newly formed Public and Regulatory Affairs Department. It would not be prudent or practicable to rebid these services at this time. An additional one-year extension is now requested in order to facilitate implementation of the recommended improvements and to complete the work that Ms. Tolchin started. The current contract amount is $92,000; staff estimates that an additional $80,000 may be required for services to be provided during the proposed extended term. The Trustees are requested to ratify the interim extension and to approve an additional extension of the subject contract through March 31, 2015, as well as the additional funding requested.

Operations Support Services

Project Management

The contract with **Linita Design and Manufacturing Corp. ('Linita') (4500232204)** provides for the upgrade of two existing 7.5-ton overhead cranes at the Robert Moses Niagara Power Plant ('RMNPP'). The original award, which was competitively bid, became effective on May 28, 2013, for a term of less than one year and in the

amount of $671,607. Unforeseen field conditions required that additional work (relating to runway girder alignment) be performed. Additional funding for such emergent work was subsequently authorized in accordance with the Authority's Expenditure Authorization Procedures. The additional time required to complete such work, coupled with the scheduling, coordination and priority of ongoing and planned work in the RMNPP Assembly Bay, will result in the delay of project completion. A four-month extension is now requested in order to complete the work. The current contract amount is $933,562; adequate funding is available under this contract to cover services to be provided during the proposed extended term. The Trustees are therefore requested to approve extension of the subject contract through September 27, 2014, with no additional funding requested. It should be noted that Linita is a New York State-certified Minority-owned Business Enterprise ('MBE').

Project Development and Licensing

At their meeting of June 26, 2012, the Trustees approved the award of contracts to 11 firms (**CAI Services P.C., CH2M Hill Engineering P.A., CHA Consulting Inc., CRA International Inc., Navigant Consulting Inc., Northeast Professional Engineering Consultants LLC, Power GEM LLC, Quanta Technology LLC, Shaw Consultants International Inc., Siemens Industry Inc. and Tetra Tech Inc.**) to provide for consulting services to support Authority initiatives in connection with new generation facilities, new transmission facilities, fuel supply services, and energy policy and regulatory activities, on an 'as needed' basis, for a term of up to five years, and an aggregate total amount of $5 million. Based on the Governor's Energy Highway Initiative, the Marcy South Series Compensation ('MSSC') Project was earmarked for construction on an accelerated schedule, with a targeted commercial operation date of June 1, 2016. (Such project was selected by the Public Service Commission, as part of the Generation Retirement Contingency Plans Proceeding.) At the time of original award and funding of these contracts, the development of the MSSC in such an expeditious manner was not anticipated. To meet this ambitious schedule, the engineering, licensing and environmental studies are proceeding at an aggressive pace and have consumed most of the allocated funds. Staff anticipates that a number of additional studies will be required in connection with the MSSC Project and it would not be prudent or practicable to rebid such services, especially in view of the tight schedule. Services involving such licensing activities and environmental studies to support other major transmission projects and/or new generation facilities will be rebid, as needed. The current aggregate total of Purchase Orders released to date is $4,670,340. Staff estimates that an additional $2 million will be required to provide such services through the original contract term, June 30, 2017. The Trustees are therefore requested to approve an additional $2 million, thereby increasing the approved aggregate total amount to $7 million, to be released and allocated to the subject contracts as needed.

Strategic Planning

Renewable Energy / Clean Energy Technology

The contract with **Antares Group, Inc. ('Antares') (4600002652)** provides for biomass consulting services, enabling the Authority to expand its ability to evaluate and implement potential renewable energy projects, including anaerobic digesters ('ADs'), and supporting related activities, such as preparation of bid documents, bid evaluation, engineering review, biomass market assessment, emissions technology and regulation tracking, biomass fuel supply analysis, technology assessment, etc. The original award, which was competitively bid, was made to two firms and became effective on March 1, 2013, for a term of up to one year and an aggregate amount of $500,000; only the Antares contract has been active. In support of the Governor's goal to increase the number of ADs on dairy farms in New York State, the Authority has been assisting the New York State Energy Research and Development Authority ('NYSERDA') in its efforts to increase the number and quality of such implementation projects by establishing a NYS Anaerobic Digester Ombudsman ('ADO') program, responsible for reviewing the feasibility of proposed AD projects and advising prospective project developers. Under the subject contract, the Authority has provided for consulting services in connection with this and other such efforts, including a new whey-to-energy ('WTE') AD facility proposed by the Chobani (yogurt) firm. Interim approval to extend the subject contract through March 31, 2014 was subsequently authorized in accordance with the Authority's Guidelines for Procurement Contracts and Expenditure Authorization Procedures, to provide for the continuation of such services. NYSERDA is expected to assume responsibility for the ADO program and to award its own competitively bid contract for such work. An additional eight-month extension of the Antares contract is now requested in order to continue these services until such time as the new NYSERDA contract is in place (which may not be until the Fourth Quarter of 2014), as well as to provide continued support for the Chobani WTE project through 2014. The

current aggregate 'Target Value' is $500,000; adequate funding is available to cover services to be provided during the proposed extended term and may be reallocated, as needed. The Trustees are therefore requested to ratify the interim extension and to approve an additional eight-month extension of the subject contract through December 31, 2014, with no additional funding requested.

FISCAL INFORMATION

Funds required to support contract services for various Business Units/Departments and Facilities have been included in the 2014 Approved O&M Budget. Funds for subsequent years, where applicable, will be included in the budget submittals for those years. Payment will be made from the Operating Fund.

Funds required to support contract services for capital projects have been included as part of the approved capital expenditures for those projects and will be disbursed from the Capital Fund in accordance with the project's Capital Expenditure Authorization Request. Payment for certain contracts in support of Energy Efficiency programs will be made from the Energy Conservation Effectuation and Construction Fund.

RECOMMENDATION

The Deputy General Counsel, the Senior Vice President – Operations Support Services and Chief Engineer, the Senior Vice President – Power Generation, the Vice President – Energy Efficiency, the Vice President – Project Development, Licensing and Compliance, the Vice President – Engineering, the Acting Vice President – Project Management, the Acting Vice President – Procurement, the Acting Vice President – Public and Regulatory Affairs, the Vice President – Transmission, the Vice President – Human Resources, the Director – Clean Energy Technology, the Regional Manager – Western New York, and the Regional Manager – Southeastern New York recommend that the Trustees approve the award of multiyear procurement (services) contracts to the companies listed in Exhibit '2m-A' and the extension and/or funding of the procurement (services) contracts listed in Exhibit '2m-B,' for the purposes and in the amounts discussed within the item and/or listed in the respective exhibits.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was adopted.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the award and funding of the multiyear procurement services and other contracts set forth in Exhibit "2m-A," attached hereto, are hereby approved for the period of time indicated, in the amounts and for the purposes listed therein, as recommended in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the contracts listed in Exhibit "2m-B," attached hereto, are hereby approved and extended for the period of time indicated, in the amounts and for the purposes listed therein, as recommended in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver

any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

n. Revisions to Expenditure Authorization Limits Table for Non-Hedge Physical Fuel-Related Transactions

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the revised Expenditure Authorization Limits Table ('Table') for transactions covering physical, non-hedge related, fuel purchases, sales and transportation required for the operation of the Authority's fossil-fueled generating facilities ('Operational Transactions') as set forth in Exhibit '2n-A' attached hereto. Originally, the Table for expenditure limits for both hedging and non-hedging related transactions was authorized under the same Trustee Item dated February 26, 2008. Financial and physical transactions used for hedging energy-related commodity risk ('Hedging Transactions') are currently covered separately under the Trustee-approved action of September 28, 2010.

The proposed revisions to the Table are primarily administrative in nature and do not change currently existing transaction authorization limits for Operational Transactions as set forth in the original Table to the February 26, 2008 Trustee Item. Revisions include: (a) updates to titles of authorized corporate officers, where appropriate; (b) elimination of titles/positions which no longer exist or do not apply to Operational Transactions and; (c) modifications to supporting footnotes to accurately reflect changes to the Table consistent with the delineation of responsibility between Operational Transactions and Hedging Transactions.

BACKGROUND

At their meeting of September 28, 2010, the Trustees approved the Governing Policy for Energy Risk Management ('the Policy') as subsequently amended on January 31, 2012 and March 21, 2013. The Policy outlines the philosophy, framework and delegation of authority for the Authority's Energy Risk Management Program ('the Program') and provides the necessary authority to an appointed Executive Risk Management Committee ('ERMC') to oversee implementation of the Program, including the authority to enter into financial hedging transactions and to establish appropriate procedures and controls.

In addition to revising authorization limits for Hedging Transactions, the September 28, 2010 Trustee authorization and subsequent ERMC actions resulted in the delineation of functions and segregation of duties among Authority staff, establishing a separation between Operational Transactions for fuel supply purposes and Hedging Transactions for risk management objectives. In accordance with this separation of functions, it is necessary to revise and update the Table and supporting footnotes as contained in the February 26, 2008 Trustee Item for Operational Transactions as recommended by the Authority's Internal Audit Department.

Operational Transactions refer primarily to natural gas purchases that are effectuated on a day-to-day and intraday basis in support of the New York Independent System Operator ('NYISO') Day-Ahead ('DAM') Market and Hour-Ahead ('HAM') Market selections for electric output from each of the Authority's downstate fossil-fired power plants. In order to secure a reliable supply of natural gas, Operational Transactions may also include longer term gas supply arrangements at market indexed prices. Because gas indexed price transactions are highly correlated to electric market prices, they present minimal risk to the Authority compared to fixed price gas transactions.

DISCUSSION

The requested revisions to expenditure authorizations apply exclusively to physical, short-term ('spot') and longer-term forward ('term') transactions as required for the operation of the Authority's fossil-fueled generating facilities. A spot transaction is defined as having a period or duration less than or equal to 40 days in contrast to a term transaction which is defined as having a period or duration of greater than 40 days up to 48 months. Consistent with the original February 26, 2008 Trustee authorization, trading limits may be delegated to subordinate level managers and staff (in writing) by respective department executives at their discretion within established limits on a short or extended term basis.

For internal control purposes, extended term delegations must be signed by the individual receiving the delegation and co-signed by the immediate supervisor, accompanied by the individual's written certification of his or her understanding of all applicable Authority policies and procedures and re-certified annually. In addition to specified transaction dollar and term limits, the cumulative volume for an authorized individual for an Authority generating facility, for a particular period, may not exceed the maximum volume of fuel that could be used at such generating facility during that period.

The specified transaction limits and delegation of authority will ensure the necessary level of transaction capability for operational purposes while maintaining proper internal controls. Spot and term transactions result in minimal risk exposure to the Authority due to the fact that they: (a) are typically short-term in nature; (b) utilize indexed (market-related) pricing for longer-term transactions; (c) provide for payment after-the-fact following fuel deliveries and; (d) contain contractual provisions which provide some level of protection in the event of a vendor default.

RECOMMENDATION

The Senior Vice President – Energy Resource Management and the Director – Fuel Planning and Operations recommend that the Trustees approve the revised Expenditure Authorization Limits Table as discussed above and specifically reflected in Exhibit '2n-A' attached hereto.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the following resolution."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That the revised Expenditure Authorization Limits Table for non-hedge, physical, fuel-related Operational Transactions be adopted as discussed above and specifically reflected in Exhibit "2n-A" attached hereto; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

o. Membership in Electric Power Research Institute – Renewal

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to authorize the renewal of the Authority's membership in the Electric Power Research Institute ('EPRI') effective January 1, 2015 for a five-year term. While the current membership term does not expire until December 31, 2014, authorization for renewal is being sought at this time to enable the Authority to participate in certain multi-year supplemental EPRI projects commencing during calendar year 2014. The total membership dues for this five-year renewal are $13.43 million and are payable in annual installments.

BACKGROUND

EPRI is a non-profit corporation organized to promote, conduct and sponsor scientific research, development and demonstration ('RD&D') relating to the generation, delivery and use of electricity for the benefit of the public. It is considered the premier electric utility research organization, bringing together scientists and engineers as well as experts from academia and the industry to help address challenges in electricity.

EPRI's current worldwide membership base in excess of 1,000 organizations consists of electric utilities, government agencies, corporations and other energy enterprise organizations that are engaged in some aspect of the generation, delivery or use of electricity. EPRI's large membership enables its members to collaboratively support and leverage a broad array of large-scale research and demonstration projects of mutual interest, some of which could not be funded by an individual entity.

The EPRI organization is aligned with various segments of the electric market in developing technologies, information and methods related to generation, delivery and use of electricity, with special attention to cost reduction, cost-effectiveness, environmental concerns and customer retention. Its programs are planned, developed and implemented by market segments guided by an Industry Committee Structure, consisting of member representatives selected for expertise in their particular fields.

DISCUSSION

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for financial obligations in excess of $3,000,000 that occur over a period in excess of one year.

The Authority has been a member of EPRI since July 1, 1987. The Authority's Chief Executive Officer currently serves on the EPRI board and the Authority is represented at all levels of the EPRI advisory structure -- the Research Advisory Council, and the Generation, Power Delivery and Environmental Councils, including their associated work groups.

EPRI members realize the value of their membership through successful applications of the more than 1,200 research products annually that range from white papers, project updates and newsletters, to comprehensive reports, field guides and software that inform its members and the public on their efforts to help shape the future of electricity. EPRI's portfolio of research programs is defined and guided by advisors from both industry and public stakeholders.

The Authority derives benefit from using EPRI's products and services by participation in a research program that affords the Authority a degree of control over the nature and scope of a particular research project within the program; through participation in supplemental projects; and from an EPRI platform that allows direct access to member utilities facing similar technology challenges. Financial benefit is derived from a co-funding program where a group of interested utilities fund a program or project allowing the contributing members access to the results generated for a fraction of the cost had the program or project been funded independently.

Over the last few years, the Authority has been effective in obtaining several million dollars of EPRI co-funding for projects of interest to the Authority and has worked closely with EPRI to develop and implement technologies at the Authority's facilities. Examples include developing the plan for a state-of-the-art Advanced Grid Innovation Lab ('AGILe'), a robotic concrete inspection crawler that can scale vertical walls such as those at our large hydro facilities, online infrared inspection monitoring techniques, a transmission line right-of-way stewardship accreditation program, personal EMF monitors for Authority workers working under high voltage transmission lines, and an ultra-high tech nano-technology process of coating equipment with a hydrophobic polymer to prevent icing.

Membership dues are collected by EPRI based on a formula that takes into account generation assets and transmission system peak capacity in megawatts ('MW'). Membership dues are pooled by EPRI to fund RD&D programs. Members may access programs in the annual research portfolio and/or select specific EPRI programs or projects for participation. A portion of membership dues is allocated to focus on longer-term research opportunities, and to look for breakthrough technologies and innovations that can be applied in the electricity industry.

EPRI allows its members to set aside 25 percent of their membership dues for participation in supplemental research projects with other member utilities. These 'Tailored Collaboration' ('TC') funds enable the Authority to design or join projects that address specific research priorities. Such projects are approved by the Trustees as part of the Authority's annual budget process. In the past, the Authority has participated in numerous EPRI collaborative projects through the use of its TC funds. Since the existing five-year membership contract with EPRI will expire on December 31, 2014, and new supplemental projects need to be initiated that will carry past this date, early approval of a new five-year membership contract is being requested. This will ensure that a contract is in place for the entire length of these projects. Examples of projects of interest that will be initiated under the current EPRI contract that will carry into the new EPRI contract are the Physical Security – Transmission project and continuing work with AGILe. Under the current EPRI membership contract, expiring on December 31, 2014, the Authority would be unable to enter into a contract for these projects because they extend past the current contract expiration date.

On an annual basis, the Authority's Research and Development Department works closely with other departments to target EPRI programs from which the Authority and its customers would derive benefits from EPRI products and services. For 2014, staff selected approximately 32 programs and 8 sub-programs in the areas of power generation, transmission, environment, distributed resources, power quality and end-use customer energy efficiency.

FISCAL INFORMATION

Funds required for the Authority's 2015 EPRI membership will be included in the 2015 O&M Budget Plan. Dues associated with future years will be included in the budget submittals for those years. Payments will be made from the Operating Fund.

RECOMMENDATION

The Senior Vice President – Strategic Planning, the Director – Clean Energy Technology, and the Manager – Research and Development recommend that the Trustees authorize the President and Chief Executive Officer to enter into a five-year extension of the Authority's existing membership in the Electric Power Research Institute effective January 1, 2015 for an estimated total increase of $13.43 million.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That the Trustees authorize the President and Chief Executive Officer, or such officer designated by the President and Chief Executive Officer, to execute a five-year extension of the Authority's existing

membership agreement in the Electric Power Research Institute ("EPRI") effective January 1, 2015, in the amounts and for the purpose listed below:

Operating Fund	**Expenditure Approval**
Electric Power Research Institute	**$ 2.63 million – 2015**
	$ 2.67 million – 2016
	$ 2.69 million – 2017
	$ 2.71 million – 2018
	$ 2.73 million – 2019
TOTAL	**$13.43 million**

AND BE IT FURTHER RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

p. Selection of Investment Manager for the Authority's Other Post-Employment Benefits Trust Fund

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the award of a multiyear investment management service contract to replace an expiring agreement for professional investment management services in connection with the Authority's Other Post-Employment Benefits Trust Fund to New Amsterdam Partners, LLC.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year. Moreover, the Authority's Expenditure Authorization Procedures require the Trustees' approval for the award of personal services contracts in excess of $1 million if low bidder, or $500,000 if sole-source or non-low bidder. The terms of the contracts considered herein are for more than one year and therefore, the Trustees' approval is required.

Certain Governmental Accounting Standards Board ('GASB') standards* issued in 2004 require governmental employers to account for other post-employment benefit ('OPEB') liabilities on an 'accrual' basis (i.e., as the benefits are earned during the working career of the employee) rather than on a 'pay-as-you-go' basis, where costs are recorded as the benefits are paid during the employee's retirement years. OPEBs may include medical, prescription drug, dental, vision, life and other long-term care benefits for retirees and eligible beneficiaries. Similar GASB standards for pensions have existed since 1994. The Authority began reporting its OPEB obligations in this manner in 2002.

The GASB rules do not mandate funding of the accrued OPEB obligations, only a recognition of the accrued OPEB liability on the employer's financial statements. If left unfunded, however, the amount of the unfunded liability could significantly impact the employer's overall financial condition and its credit rating with an attendant impact on the cost of debt financing.

After an assessment by Staff, it was determined that the establishment of a separately managed trust fund would be fiscally prudent. Authority staff, with the support of its financial advisor, PFM Advisors ('PFM') then evaluated various portfolio strategies designed to meet the investment objectives of the Authority. Reviews of different strategies were conducted based on projected assets class returns, correlations and risk tolerances to determine the optimal allocations. The portfolio design considered to be most aligned with the Authority's overall return objectives and risk tolerances was a diversified approach employing domestic and international equity assets, fixed income assets as well as real estate investment trust asset classes to further enhance diversification.

At their July 31, 2007 meeting, the Trustees (1) approved the creation of the Power Authority of the State of New York Other Post-Employment Benefits Trust (the 'Trust'); (2) adopted the Trust Investment Policy Statement; (3) appointed a Trustee Custodian and (4) approved an initial $225 million funding plan. Subsequently, in October 2011, the Trustees approved an on-going annual funding plan for the OPEB Trust and certain amendments to the Investment Policy Statement clarifying diversification and credit quality standards.

As of December 31, 2013, the market value of assets held in the OPEB Trust Fund totaled $422 million, of which $289 million were invested in equities, $112 million were invested in fixed income securities, and $21 million were invested in real estate investment trust securities. When compared to the actuarial accrued liability of $573 million (as of December 31, 2013), this represents a funding level of 74%.

* These standards include Statement No. 43 – Financial Reporting for Post-employment Benefit Plans Other Than Pension Plans and Statement No.45 – Accounting and Financial Reporting by Employers for Post-employment Benefits Other than Pensions.

DISCUSSION

On November 6, 2013, the Authority solicited proposals for professional domestic equity small-capitalization investment management services by notice to a number of firms providing such services and advertisement in the *New York State Contract Reporter* in order to determine qualified domestic equity small-capitalization portfolio managers for the OPEB Trust Fund. On or before December 12, 2013, the Authority received a total of 72 proposals.

Authority staff, with the support of PFM, evaluated each proposal taking into consideration quantitative and qualitative factors. From a quantitative standpoint, staff evaluated historical performance, various risk metrics and the schedule of fees. From a qualitative standpoint, firms were evaluated based on team duration and experience, investment style and research capabilities. In evaluating the proposals, 54 different factors are considered to provide a comprehensive overview of a firm's organizational background, team depth and stability, investment process, fees, and past performances, with weightings of 15%, 20%, 30%, 15% and 20% respectively. Combined, a firm's team depth and stability, investment process consistency, and past performance make up 70% of the total scoring weight, as replication of past performance is largely contingent on a stable, experienced team implementing a consistent investment process over time. After conducting extensive reviews and analysis of each proposal, a total weighted score combining the quantitative and qualitative factors assessed by PFM was calculated, and examined by the Authority staff for conducting their own independent analysis. The Authority, with the recommendation of PFM, invited five firms on site to give oral presentations. Based on the above factors and oral presentations, New Amsterdam Partners, LLC, a New York State Woman Owned Business, was identified to be best qualified to manage the domestic equity small-capitalization portion of the OPEB assets for up to $25 million. The rational for this selection was based on the firm's concentrated portfolio construction approach supported by a thoughtful combination of quantitative and fundamental models, consistency in its investment philosophy, stability of its core investment team, and a very strong past performance.

The recommended firm will be awarded five-year contract, subject, however, to early termination at any time by the Authority on 60 days' notice.

FISCAL INFORMATION

The fees for the recommended investment manager, which will be paid from OPEB Trust assets, are expected to average approximately 43 basis points per annum (a basis point is equal to $1/100^{th}$ of 1%, or 0.01%). The fees should equal about $107,500 for the first year, growing in conjunction with the Fund's growth. Over the course of the recommended five-year term of the investment manage contracts, fees are estimated to total about $600,000 assuming a normal growth rate in the Fund's Assets.

RECOMMENDATION

The Deputy Treasurer recommends the Trustees' approval of the award of multiyear service contracts to New Amsterdam Partners, LLC.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the award of the multiyear investment management service contract to New Amsterdam Partners, LLC for professional investment management services in connection with the Authority's Other Post-Employment Benefits Trust Fund, as recommended in the foregoing report of the President and Chief Executive Officer, is hereby approved and the execution of such contracts by

the Executive Vice President and Chief Financial Officer or the Treasurer, subject to the approval of the form thereof by the Executive Vice President and General Counsel, on behalf of the Authority is approved; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer, the Executive Vice President and Chief Financial Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

q. Annual Review and Approval of Certain Authority Policies

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve certain Authority policies as required by Section 2824 of the Public Authorities Law and Section 2 of Article II of the Authority's By-laws.

The Trustees are also requested to delegate to the President and Chief Executive Officer the authority to modify these policies, as necessary, except in the event that any powers, duties or obligations of the Trustees would be affected by such modification.

BACKGROUND AND DISCUSSION

Section 2824 of the Public Authorities Law requires the Authority's Trustees to, among other things, establish policies regarding the payment of salary, compensation and reimbursements to, and establish rules for the time and attendance of, the chief executive and senior management; and Section 2 of the Authority's By-laws requires the Authority's Trustees to review and approve annually, the policies and procedures governing: (i) salary; (ii) compensation; (iii) benefits and (iv) time and attendance of the chief executive and senior management.

The Authority's policies relating to salary, compensation, benefits and time and attendance of its employees, inclusive of the chief executive and all senior management, are attached as Exhibits '2q-A' through '2q-N' and respectively entitled:

A. Recruitment and Job Posting (EP 1.2); last revised 7/12/13;
B. Transfer or Re-Employment in Public Service (EP 1.9), last revised 3/1/14
C. Salary Administration Policy (EP 2.1); last revised 3/1/14;
D. Salaried Non-Exempt and Facility-Based Exempt Overtime (EP 2.4), last revised 3/1/14;
E. Employee Benefits Eligibility (EP 3.1), last revised 3/29/12;
F. Vacation (EP 3.2), last revised 3/29/12;
G. FMLA (EP 3.3), last revised 1/10/14;
H. Leaves of Absence (EP 3.4), last revised 7/29/13
I. Educational Assistance Program (EP 3.6), last revised 12/18/12
J. Relocation Benefits for New and Transferred Employees (EP 3.8); last revised 1/1/10;
K. Sick Time (EP 3.9), last revised 2/20/09;
L. Attendance & Flexible Hours (EP 4.6), last revised 7/29/13;
M. Reimbursement of Employee Meal Costs (CP 1.5), last revised 3/31/12;
N. Travel (CP2-1); last revised 3/21/13

RECOMMENDATION

It is recommended that the Trustees approve the Authority's policies related to salary, compensation, benefits and time and attendance, which are applicable to all Authority employees, including the chief executive and senior management. It is further recommended that the Trustees delegate to the President and Chief Executive Officer the authority to modify these policies, as necessary, except in the event that any powers, duties or obligations of the Trustees would be affected by such modification.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to Section 2824 of the Public Authorities Law and Section 2 of Article II of the Authority's By-laws, the below-listed policies of the Authority relating to salary, compensation, benefits and time and attendance of its employees, including the chief executive and senior management, are hereby approved:

- **A. Recruitment and Job Posting (EP 1.2), last revised 7/12/13;**
- **B. Transfer or Re-Employment in Public Service (EP 1.9), last revised 3/1/14;**
- **C. Salary Administration Policy (EP 2.1), last revised 3/1/14;**
- **D. Salaried Non-Exempt and Facility-Based Exempt Overtime (EP 2.4), last revised 3/1/14;**
- **E. Employee Benefits Eligibility (EP 3.1), last revised 3/29/12;**
- **F. Vacation (EP 3.2), last revised 3/29/12;**
- **G. FMLA (EP 3.3), last revised 1/10/14;**
- **H. Leaves of Absence (EP 3.4), last revised 7/29/13;**
- **I. Educational Assistance Program (EP 3.6), last revised 12/18/12;**
- **J. Relocation Benefits for New and Transferred Employees (EP 3.8), last revised 1/1/10;**
- **K. Sick Time (EP 3.9), last revised 2/20/09;**
- **L. Attendance & Flexible Hours (EP 4.6), last revised 7/29/13;**
- **M. Reimbursement of Employee Meal Costs (CP 1.5), last revised 3/31/12;**
- **N. Travel (CP2-1); last revised 3/21/13.**

AND BE IT FURTHER RESOLVED, That the President and Chief Executive Officer is authorized to modify the foregoing policies, as necessary, except in the event that any powers, duties or obligations of the Trustees would be affected by such modification; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

r. Release of Funds in Support of the Western New York Power Proceeds Allocation Act

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the release of funds into the Western New York Economic Development Fund ('WNYEDF') representing the net earnings from unallocated Expansion Power and Replacement Power sold into the wholesale energy market for the period through December 31, 2014 as set forth in Chapter 58 of the Laws of 2012.

BACKGROUND

On March 30, 2012, Governor Cuomo signed into law the Western New York Power Proceeds Allocation Act (the 'Act') which authorizes the Authority, as deemed feasible and advisable by the Trustees, to deposit into the WNYEDF net earnings from the sale of unallocated Expansion Power and Replacement Power from the Authority's Niagara power project. The Act repealed Chapter 436 of the Laws of 2010, which had amended the Public Authorities Law and the Economic Development Law, to create a somewhat similar program authorizing unallocated Expansion Power and Replacement Power to be utilized for WNYEDF benefits.

The effective date for calculating the net earnings is August 30, 2010, the original effective date of Chapter 436 of the Laws of 2010. Net earnings are defined as 'the aggregate excess of revenues received by the power authority of the state of New York from the sale of expansion and replacement power and energy produced at the Niagara project that was sold in the wholesale energy market over what revenues would have been received had such energy been sold on a firm basis to an eligible expansion power or replacement power customer under the applicable tariff or contract.'

The net earnings deposited into the WNYEDF will be utilized to fund economic development projects ('eligible projects') by private businesses, including not-for-profits, which are physically located within New York State and within a thirty-mile radius of the Niagara power project. Eligible projects are to support the growth of business in the state and thereby lead to increased tax revenues and job creation or retention. Eligible projects may include capital investment in buildings, equipment and associated infrastructure; research and development that benefits New York State; support for tourism and marketing and advertising for Western New York State tourism and business; and energy related projects as authorized under §1005(17) of Public Authorities Law.

The Act also established the Western New York Power Proceeds Allocation Board ('Allocation Board') which consists of five members appointed by the Governor. The Allocation Board's responsibilities include establishing written procedures for reviewing applications and making recommendations to the Authority for the allocation of fund benefits to eligible projects. In reviewing applications for benefits, the Allocation Board shall employ the same criteria used for determining eligibility for Expansion, Replacement and Preservation Power allocations as provided in §1005 of Public Authorities Law including, but not limited to, the number of jobs and type of jobs created as measured by wage and benefit levels; business' long-term commitment to the region; amount of capital investment; and impact on competitiveness in the region. Upon recommendation of the Allocation Board, the Authority shall award fund benefits to an applicant, provided however, that upon a showing of good cause, the Authority shall have the discretion as to whether to adopt the Allocation Board's recommendation, or to award benefits in a different amount or on different terms and conditions.

DISCUSSION

The Authority is requested, from time to time, to provide financial support to the State or for various other State programs. Any such transfer of funds must (1) be authorized by the Legislature; (2) be approved by the Trustees 'as feasible and advisable,' (3) satisfy the requirements of the Authority's General Resolution Authorizing Revenue Obligations dated February 24, 1998, as amended and supplemented ('Bond Resolution') and (4) as set forth in the Trustees' Policy Statement dated May 24, 2011, a debt service coverage ratio of 2.0 shall be used as a reference point in considering any such payments or transfers.

The Bond Resolution's requirements to withdraw monies 'free and clear of the lien and pledge created by the Bond Resolution' are such that withdrawals (a) must be for a 'lawful corporate purpose as determined by the Authority,' and (b) the Authority must determine, taking into account, among other considerations, anticipated future receipt of revenues or other moneys constituting part of the Trust Estate, that the funds to be so withdrawn are not needed for (i) payment of reasonable and necessary operating expenses, (ii) an Operating Fund reserve for working capital, emergency repairs or replacements, major renewals or for retirement from service, decommissioning or disposal of facilities, (iii) payment of, or accumulation of a reserve for payment of, interest and principal on senior debt or (iv) payment of interest and principal on subordinate debt.

The Trustees have already authorized the release of up to $35 million in net earnings from the Operating Fund to the WNYEDF representing the then-estimated net earnings from inception through December 31, 2013. Actual net earnings deposited into the WNYEDF through this period totaled $27.586 million.

Staff is seeking authorization to deposit into the WNYEDF all additional net earnings through December 31, 2014 up to a total of $15 million. While it is estimated that approximately $10 million in net earnings will be generated based upon current levels of unused Expansion Power and Replacement Power and presently projected wholesale energy prices, the recommendation for up to $15 million reflects the potential volatility in market prices. If authorized by the Trustees, such net earnings would be deposited into the WNYEDF on at least a quarterly basis.

Staff has reviewed the effects of the transfer of up to $15 million into the WNYEDF on the Authority's projected financial position and reserve requirements. In addition, in accordance with the Board's Policy Statement, staff calculated the impact of this transfer on the Authority's debt service coverage ratio and determined it would not fall below the 2.0 reference point level. Given the current financial condition of the Authority, its estimated future revenues, operating expenses, debt service and reserve requirements, staff is of the view that it will be feasible for the Authority to make the deposit of up to $15 million at this time.

FISCAL INFORMATION

Since the passage of the initial legislation related to the WNYEDF (Chapter 436 of the Laws of 2010), the Authority has been accruing for this liability on a monthly basis. Provisions for the Authority's fiscal year 2014 deposits for this program were also included in the 2014 Operating Forecast approved by the Trustees in December 2013.

Staff has determined that sufficient funds are available to provide up to an additional $15 million in support for WNYEDF benefits for the period ending December 31, 2014 and that such Authority funds are not needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's Bond Resolution. Net earnings to be deposited into the WNYEDF for periods beyond December 31, 2014 will be requested of the Trustees at a later date.

RECOMMENDATION

The Treasurer recommends that the Trustees affirm the deposit of up to $15 million into the Western New York Economic Development Fund is feasible and advisable and to authorize such deposit through December 31, 2014.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That the Trustees hereby authorize the release of up to $15 million from the Operating Fund to the Western New York Economic Development Fund as authorized by Chapter 58 of the Laws of 2012 and as discussed

in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That the amount of up to $15 million to be used for the Western New York Economic Development Fund benefits described in the foregoing resolution is not needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's General Resolution Authorizing Revenue Obligations, as amended and supplemented; and be it further

RESOLVED, That as a condition to making the releases specified in the foregoing resolutions, on the day of such payment the Treasurer or the Deputy Treasurer shall certify that such monies are not then needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's General Resolution Authorizing Revenue Obligations, as amended and supplemented; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer, the Executive Vice President and General Counsel, the Executive Vice President and Chief Financial Officer, the Corporate Secretary, the Treasurer and all other officers of the Authority be, and each of them hereby is, authorized and directed, for and in the name and on behalf of the Authority, to do any and all things and take any and all actions and execute and deliver any and all certificates, agreements and other documents that they, or any of them, may deem necessary or advisable to effectuate the foregoing resolutions, subject to approval as to the form thereof by the Executive Vice President and General Counsel.

s. Procurement (Services) Contract – Richard M. Flynn Plant – Well Water Pump Replacement – Contract Extension

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve a six-month contract extension, at no additional funding, for Purchase Order #4500226563 with Layne Christensen Co. of Holbrook, New York, for the Richard M. Flynn CCPP ('Flynn') Well Water Pump Replacement Project.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year.

The Flynn plant has two well water pumps that are 15 years old which the plant's availability depends. These pumps are reaching their end-of-life and require replacement. A contract was awarded to Layne Christensen, through competitive bidding, effective January 8, 2013, for a term of up to one year and for the initially authorized amount of $142,400. Subsequently, the contract was increased by an additional $34,152 for a current total contract amount of $176,552.

DISCUSSION

The original delivery schedule for the replacement pumps was March 2013, with installation scheduled for completion by the end of May. Due to a manufacturing delay, the pumps were not delivered until May 2013 and the Site Operations staff requested that the work be postponed until after the summer peak demand period. The contractor remobilized in November 2013, at no additional cost to the Authority, and completed the work in January 2014. The work entailed replacement of the two pumps, their respective wiring, associated drain pipes, and coating of the motor control panels.

Upon final project acceptance and turnover, staff from Engineering and the Site agreed that it was prudent to replace the existing insulation which is over 15 years old and provides a vital protection against the northeast weather. This was not part of the original project scope-of-work; however, in order to not affect the new installation warranty, the contractor will need to perform the additional work at this time. The cost for this additional work is $6,000; the existing contract has approximately $20,000 remaining. No additional funding is requested to perform this additional work; however, a contract date extension is required and the work is expected to be completed by July 1, 2014.

FISCAL INFORMATION

Payments associated with this Project will be made from the Authority's Operating Fund.

RECOMMENDATION

The Senior Vice President and Chief Engineer – Operations Support Services, the Vice President – Engineering, the Acting Vice President – Project Management, the Acting Vice President – Procurement and the Regional Manager – SENY recommend that the Trustees approve a six-month contract extension, at no change in contract value, to Layne Christensen Co. of Holbrook, NY for the Flynn Well Water Pump Replacement Project.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, that pursuant to the Guidelines for Procurement Contracts adopted by the Authority, approval is hereby granted to award a six-month contract extension, at no change in contract value, to Layne Christensen Co. of Holbrook, New York for the Richard M. Flynn Plant's Well Water Pump Replacement Project as recommended in the foregoing report of the President and Chief Executive Officer and indicated below:

Contractor	**Contract Approval**
Layne Christensen Co. **Holbrook, NY** **PO# 4500226563**	**Six-Month Contract Extension** **July 1, 2014**

AND BE IT FURTHER RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

t. Committee Appointments

The Chairman submitted the following report:

SUMMARY

In accordance with Article V the By-Laws of the Power Authority of the State of New York, as amended March 27, 2012 ('By-Laws'), the Trustees are requested to approve the following committee appointments effective immediately.

BACKGROUND

The following changes in committee composition are recommended in order to achieve an even distribution of assignments for each Trustee. (Changes indicated in bold.)

Audit Committee
Eugene L. Nicandri (Chair), Terrance P. Flynn, **Jonathan F. Foster**

Finance Committee
Jonathan F. Foster (Chair), John R. Koelmel, **Terrance P. Flynn**

Governance Committee
R. Wayne LeChase (Chair), Eugene L. Nicandri, Joanne M. Mahoney, John R. Koelmel

Strategic Planning and Energy Policy Committee
Joanne M. Mahoney (Chair), R. Wayne LeChase, John R. Koelmel

RECOMMENDATION

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the Chairman, was unanimously adopted.

RESOLVED, That the members of the Audit Committee shall be: Eugene L. Nicandri (Chair), Terrance P. Flynn, Jonathan F. Foster, effective immediately; and be it further

RESOLVED, That the members of the Finance Committee shall be: Jonathan F. Foster (Chair), John R. Koelmel, Terrance P. Flynn, effective immediately; and be it further

RESOLVED, That the members of the Governance Committee shall be: R. Wayne LeChase (Chair), Eugene L. Nicandri, Joanne M. Mahoney, John R. Koelmel, effective immediately; and be it further

RESOLVED, That the members of the Strategic Planning and Energy Policy Committee shall be: Joanne M. Mahoney (Chair), R. Wayne LeChase, John R. Koelmel, effective immediately.

u. Awards of Fund Benefits from the Western New York Economic Development Fund Recommended by the Western New York Power Proceeds Allocation Board

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to accept the recommendations of the Western New York Power Proceeds Allocation Board ('WNYPPAB') and approve the awards of Fund Benefits from the Western New York Economic Development Fund to Eden Valley Growers and Living Green Insulation Products and Services, LLC, the eligible applicants listed in Exhibit '2u-A,' and authorize the other actions described herein with respect to such applicant and award.

For informational purposes, Exhibit '2u-B' lists: (1) projects the WNYPPAB has determined are not being recommended for an award of Fund Benefits; (2) applications that have been withdrawn; and (3) applications that were deferred for future consideration.

BACKGROUND

1. Western New York Power Proceeds Allocation Act

On March 30, 2012, Governor Cuomo signed into law the Western New York Power Proceeds Allocation Act (the 'Act'). The Act provides for the creation, by the Authority, of the Western New York Economic Development Fund. The Fund consists of the aggregate excess of revenues received by the Authority from the sale of Expansion Power ('EP') and Replacement Power ('RP') produced at the Niagara Power Project that was sold in the wholesale energy market over what revenues would have been received had such energy been sold on a firm basis to an eligible EP or RP customer under the applicable tariff or contract.

Under the Act, an 'eligible applicant' is a private business, including a not-for-profit corporation. 'Eligible projects' is defined to mean 'economic development projects by eligible applicants that are physically located within the State of New York within a thirty-mile radius of the Niagara power project located in Lewiston, New York that will support the growth of business in the state and thereby lead to the creation or maintenance of jobs and tax revenues for the state and local governments.' Eligible projects include, for example, capital investments in buildings, equipment, and associated infrastructure owned by an eligible applicant for fund benefits; transportation projects under state or federally approved plans; the acquisition of land needed for infrastructure; research and development where the results of such research and development will directly benefit New York state; support for tourism and marketing and advertising efforts for western New York state tourism and business; and energy-related projects.

Eligible projects do not include public interest advertising or advocacy; lobbying; the support or opposition of any candidate for public office; the support or opposition to any public issue; legal fees related to litigation of any kind; expenses related to administrative proceedings before state or local agencies; or retail businesses as defined by the board, including without limitation, sports venues, gaming and gambling or entertainment-related establishments, residential properties, or places of overnight accommodation.

Fund Benefits have been provided to successful eligible applicants in the form of grants. It is anticipated that Fund Benefits will be disbursed as reimbursement for expenses incurred by an Eligible Applicant for an Eligible Project.

At least 15% percent of Fund Benefits must be dedicated to eligible projects which are 'energy-related projects, programs and services,' which is 'energy efficiency projects and services, clean energy technology projects and services, and high performance and sustainable building programs and services, and the construction, installation and/or operation of facilities or equipment done in connection with any such projects, programs or services.'

Allocations of Fund Benefits may only be made on the basis of moneys that have been deposited in the Fund. No award may encumber future funds that have been received but not deposited in the Fund.

2. Western New York Power Proceeds Allocation Board

Under the Act, the WNYPPAB is charged with soliciting applications for Fund Benefits, reviewing applications, making eligibility determinations, and evaluating the merits of applications for Fund Benefits. WNYPPAB uses the criteria applicable to EP, RP and PP, and for revitalization of industry as provided in Public Authorities Law §1005. Additionally, WNYPPAB is authorized to consider the extent to which an award of Fund Benefits is consistent with the strategies and priorities of the Regional Economic Development Council having responsibility for the region in which an eligible project is proposed. A copy of these criteria (collectively, 'Program Criteria'), adapted from WNYPPAB's 'Procedures for the Review of Applications for Fund Benefits,' is attached as Exhibit '2u-C.'

The WNYPPAB met on March 4, 2013 and, in accordance with the Act, adopted by-laws, operating procedures, guidelines related to the application, and a form of application. At that time, WNYPPAB defined 'retail business' to mean a business that is primarily used in making retail sales of goods or services to customers who personally visit such facilities to obtain goods or services.

WNYPPAB also designated the Western New York Regional Director of Empire State Development Corporation ('ESD') to be its designee ('Designee') to act on its behalf on all administrative matters. Among other things, the Designee was authorized to perform analyses of the applications for Fund Benefits and make recommendations to WNYPPAB on the applications.

Under the Act, a recommendation for Fund Benefits by WNYPPAB is a prerequisite to an award of Fund Benefits by the Authority, and the Act authorizes the Authority to award Fund Benefits to an applicant upon a recommendation of the WNYPPAB. Upon a showing of good cause, the Authority has discretion as to whether to adopt the WNYPPAB's recommendation, or to award benefits in a different amount or on different terms and conditions than proposed by the WNYPPAB. In addition, the Authority is authorized to include within the contract covering an award ('Award Contract') such other terms and conditions the Authority deems appropriate.

3. Application Process

In an effort to provide for the efficient review of applications and disbursement of Fund Benefits, the WNYPPAB established a schedule of dates through the end of 2014 on which the WNYPPAB would meet to consider applications. At this time, applications are being accepted on a rolling basis. In addition, the application process was promoted through a media release and with assistance from state and local entities, including the Western New York and Finger Lakes Regional Economic Development Councils, the Empire State Development Corporation and other local and regional economic development organizations within the State. A webpage was created that is hosted on WWW.NYPA.GOV/WNYPPAB with application instructions, a link to the approved application form and other program details including a contact phone number and email address staffed by the Western New York Empire State Development regional office.

DISCUSSION

For this fifth round of WNYPPAB action, the WNYPPAB considered 18 applications seeking over $12 million in Fund Benefits. WNYPPAB's staff analyzed the applications and made recommendations to WNYPPAB concerning each of the applications based on eligibility requirements and Program Criteria. Copies of the recommendations from staff to the WNYPPAB regarding recommended awards of Fund Benefits can be found in 'Exhibit '2u-D.'

At its February 3, 2014 meeting, the WNYPPAB took the following actions on applications for Fund Benefits:

1. Recommendations for Awards of Fund Benefits

The WNYPPAB is recommending to the Trustees that the applications listed on Exhibit '2u-A' receive an award of Fund Benefits in the amounts indicated. The applicants have indicated that the proposed projects would directly create or retain approximately 58 jobs in Western New York. The total to be expended on the proposed projects is expected to exceed $1.2 million. These two recommendations are presently before the Trustees for consideration.

Given the nascent stage of the proposed projects, it was not possible at this time to identify all of the terms and conditions that would be applicable to each award and memorialized in an Award Contract. With the Trustees' authorization, it is anticipated that the Authority, in consultation with ESD, will negotiate final terms and conditions with successful applicants after receipt of more detailed information concerning the projects and proposed schedules. In addition to appropriate business terms, staff anticipates that Award Contracts will contain provisions for periodic audits of the successful applicant for the purpose of determining contract and program compliance, and where appropriate, terms providing for the partial or complete recapture of Fund Benefits disbursements if the applicant fails to maintain agreed-upon commitments, relating to, among other things, employment levels and/or project element due dates.

2. Other WNYPPAB Determinations

The following information is being provided to the Trustees for their information only. No action by the Trustees is required with respect to these matters.

Exhibit '2u-B' lists: (1) projects the WNYPPAB has determined are not being recommended for an award of Fund Benefits; (2) applications that have been withdrawn; and (3) applications that were deferred for future consideration.

RECOMMENDATION

The Vice President, Marketing recommends that:

(1) the Trustees accept the recommendations of the WNYPPAB and make awards of Fund Benefits to the applicants and in the amounts identified in Exhibit '2u-A,' conditioned upon an agreement to be negotiated with each applicant on the final terms and conditions that would be applicable to each award to be contained in an Award Contract approved by the President and Chief Executive Officer and approved by the Executive Vice President and General Counsel as to form;

(2) the Senior Vice President – Economic Development and Energy Efficiency, or his designee(s), in consultation with ESD, be authorized to negotiate with the applicants concerning such final terms and conditions that will be applicable to the awards; and

(3) the Senior Vice President – Economic Development and Energy Efficiency, or his designee, be authorized to execute on behalf of the Authority Award Contracts for the award listed on Exhibit '2u-A' subject to the forgoing conditions.

For the reasons stated, I recommend the approval of the above requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

WHEREAS, the Western New York Power Proceeds Allocation Board ("WNYPPAB") has recommended that the Authority make awards of Fund Benefits from the Western New York Economic Development Fund ("Fund") to the eligible applicants listed in Exhibit "2u-A";

NOW THEREFORE BE IT RESOLVED, That the Authority hereby accepts the recommendation of the WNYPPAB and authorizes the awards of Fund Benefits to the applicants and in the amounts listed in Exhibit "2u-A," conditioned upon an agreement between the Authority and each applicant on the final terms and conditions that would be applicable to each award and set forth in a written award contract ("Award Contract") between the Authority and each applicant approved by the President and Chief Executive Officer and approved by the Executive Vice President and General Counsel as to form; and be it further

RESOLVED, That the Senior Vice President – Economic Development and Energy Efficiency, or his designee, in consultation with the Empire State Development Corporation, is authorized to negotiate with successful applicants concerning such final terms and conditions that will be applicable to the awards; and be it further

RESOLVED, That the Senior Vice President – Economic Development and Energy Efficiency, or his designee, is authorized to execute on behalf of the Authority Award Contracts for the awards listed on Exhibit "2u-A" subject to the forgoing conditions; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

DISCUSSION AGENDA:

3. a. Report of the President and Chief Executive Officer

President Quiniones said at the meeting today the Trustees would be asked to review and affirm the Authority's audited financial statements, corporate policies and procedures. He said the executive management would be unveiling the Authority's Strategic Plan which was initiated last summer with Authority staff and extensive outreach to various external stakeholders.

Performance Scorecard

President Quiniones said, based on the Performance Scorecard, 2013 was a banner year for the Authority. He said in the history of the Authority's rating its performance by using scorecards, last year was the first time it met and exceeded all of its Key Performance Indicators. He continued that, to date, because of some challenges in January there was decline in Safety. However, there were no incidents reported in the month of February. He ended by saying that Mr. Philip Toia, Vice President of Transmission will further report on this in his report to the Board.

Responding to a question from Chairman Koelmel, President Quiniones said there were some icing issues during the first month of the year, which were reportable and staff responded well to those issues. He said there were no reportable incidents in February and management plans to closely monitor the safety performance measure. President Quiniones said, in terms of all the other metrics listed in the Performance Scorecard, there were no "red flags" to date.

Responding to further questioning from Chairman Koelmel, President Quiniones said, based on the scorecard, from a trend perspective, he expects the Authority will remain in the "green," and this is the goal of the Authority.

Responding to a question from Trustee Foster, President Quiniones said that the targets for 2013 and 2014 are the same. He said the metrics established by the Authority are benchmarked with industry standard and reviewed every year to make sure that they are calibrated with the "best in class" in the utility sector.

Responding to a question from Chairman Koelmel, President Quiniones said he is confident that the Authority is well-positioned and have a very good foundation in terms of leadership, operations and finances, to implement the Strategic Plan. He added that there will be changes to the Authority's scorecard as new initiatives are implemented, and as the Authority enters new lines of businesses, products or services based on the new

Strategic Plan. He said the Performance metrics will reflect any additional scope-of-work. Staff will be coming before the Board with very specific business plans for the new initiatives that the Authority plans to undertake as a result of the Strategic Plan. He said Mr. Robert Lurie will outline the process when he presents the Strategic Plan and the strategic vision of the Authority to the Board.

Responding to further questioning from Chairman Koelmel, President Quiniones said staff of the Authority, who have been part of the strategic planning process since last year, are ready to implement the initiatives and, as President and CEO of the Authority, he is very excited about what lies ahead as a result of the new Strategic Plan.

b. Report of the Chief Operating Officer

Mr. Philip Toia, Vice President of Transmission, provided highlights of the Chief Operating Officer's report to the Trustees.

Performance Summary

Mr. Toia said to date, the operations of the Authority continues to be very strong. The Authority has exceeded the generation readiness and transmission reliability metrics, both for the month of February and year-to-date. Although the metrics indicate environmental incidents below target, the year-to- date DART rate, the measure of the Authority's safety performance, is above target (0.82 vs. a target of 0.278). There were two safety incidents in January because of slips and injuries and none in February, continuing the downward trends of previous years.

Y-49 Transmission Line

Mr. Toia said as previously reported, the Y-49 transmission cable failed on January 6th. This failure was due to an anchor drag on the cable. The cable was replaced with a spare cable and put back in service, so there were no system impacts due to this event. The cable damage was located by divers; it was then repaired and pressure tested to make sure there were no further damages or additional dielectric fluid leaking from the cable. This was completed on February 27. The next phase related to this event is developing a budget plan and repairing and testing the damaged section of the cable and put that back in service.

In response to a question from Chairman Koelmel, Mr. Toia said the cable locations are identified on Coast Guard maps; therefore ships should not drop anchor in that area. Because of a previous failure approximately 12 years ago, the Authority ordered a spare cable for instances such as this. Staff will however work with the Coast Guard as to any additional measures that can be taken to avoid events such as this. Mr. Welz added that the cable in question is buried 15 feet below the seabed, and as a precaution this information is marked on the Coast Guard maps; therefore an anchor should not have been dropped at that location. President Quiniones added that the Authority is also taking legal action regarding this incident.

Responding to a question from Trustee LeChase, Ms. McCarthy said that since issues related to recovery deal with legal strategies, a response will be provided in the private session.

Niagara Project – Unit 5 Transformer

Mr. Toia said that on March 19 at approximately 7:10 p.m. the Unit 5 transformer at the Niagara Project had a bushing failure resulting in a fire. Staff is working to determine the extent of the damage before proceeding with the necessary repairs to return the Unit to service. Staff is also conducting a TapRoot investigation to determine the root cause of the failure before proceeding with any repairs.

In response to a question from Chairman Koelmel, Mr. Welz said the transformer will be removed from Unit 3, which is currently undergoing maintenance, and placed in the Unit 5 slot making it operational. Therefore, this incident will not impact the Authority's ability to generate electricity; the Authority remains in a good position operationally.

Responding to a question from Trustee Nicandri, Mr. Welz said although the bushings were the original bushings that came with the transformers, there was a concern on their viability after an incident 15 months ago. The Authority subsequently purchased ten bushings and is in the process of replacing them based on test data. Also, based on the result of the TapRoot investigation, the Authority may decide to purchase another transformer.

Responding to further questioning from Chairman Koelmel, Mr. Welz said the Authority believes the failures on the bushings is due to the manufacturers; therefore the Authority is replacing the bushings with a different type of bushing.

Responding to a question from Trustee Nicandri, Mr. Welz said although the fire at the STL switchyard was on 50- year old bushing on an oil circuit breaker, this is different from the failure that is on the transformer at the Niagara Project. The failure at Niagara is premature since the transformer is only 10 years old, hence the concern by Authority staff.

Responding to still further questioning from Chairman Koelmel, Mr. Welz said the TapRoot investigation will take approximately 30 days and staff will provide preliminary results of the investigation to the Trustees at the next meeting.

c. Report of the Chief Financial Officer

Mr. Donald Russak provided highlights of the financial report to the Trustees. He said that the Authority performed very well financially, to date. He said in January 2014 market prices topped $100/MWH; market prices were also very high in the months of February and March.

Net Income

- ***Net income through February 2014, prior to the recognition of the voluntary contribution to New York State, was a positive $96.4 million, which was $48.0 million higher than the budget.***
  - ***With the inclusion of the budgeted $50 million voluntary contribution, the Authority had net income of $46.4 million year-to-date compared to a budgeted net loss of $1.6 million.***
- ***The severe weather in January and February, and its resulting impact on market prices, were significant drivers of the year-to-date results. With energy prices more than double those of a year ago, NYPA saw higher net margins on sales ($31.9 million). Higher investment income ($6.9 million) and insurance reimbursements ($6.3 million) not reflected in the budget also contributed to the variance. In sum:***
  - ***Margins on market-based sales were higher than budgeted due to the spike in energy prices. Positive variances were significant at St. Lawrence, Blenheim-Gilboa and Flynn.***
  - ***Investment income included a mark-to-market gain on the Authority's investment portfolio ($5.6 million) due to lower market interest rates.***
  - ***Reimbursements related to Hurricane Irene and a prior year transformer failure were received during January and February.***

In response to a question from Trustee Foster, Mr. Russak said the average market price for March was approximately $90/MWH and added that these prices was as a result of the severe weather conditions over the past three months. The end result is that the Authority is operating well above budget, to date.

Responding to a question from Chairman Koelmel, Mr. Russak said the predictions are that as soon as the weather gets warmer, the Authority expects its operations to be in line with its budget. With respect to hydro flows, the Authority is on target as far as its hydro generation forecast for the rest of the year and therefore on budget target.

Responding to a question from Chairman Koelmel, Mr. Russak said even though the bulk of the Authority's power is sold at contractual rates the Authority also purchases energy for some of its customer groups. With respect to the Recharge New York, full requirements municipal and New York City customers, the Authority assists them with either spreading out the cost recovery or by recommending hedging positions that can be taken in the marketplace.

Responding to still further questioning from Chairman Koelmel, Mr. Russak said contributions available for Western New York proceeds fund for the months of January and February was $6 million, well above the amount budgeted.

Responding to another question from Chairman Koelmel, Mr. Russak said looking ahead, he expects the Authority to end its operations above budget for the end of the year. The trajectory is positive and he did not foresee any major red flags. He continued that as reported to the Trustees at the last meeting, the Authority's capital plan indicates a 40% increase over prior year spending levels. The Authority will have the resources available for its transmission Life Extension and Modernization ("LEM") project, the Lewiston pumped generation LEM as well as the BuildSmart New York program.

d. Report of the Chief Risk Officer

Mr. William Nadeau, Chief Risk Officer, provided highlights of the Report to the Trustees.

Risk Pilot Project

Mr. Nadeau said, as previously reported to the Audit Committee and the Trustees, the Enterprise Risk group has now completed the pilot project to establish the framework for formal risk response plans and key risk indicators associated with the top risks of the Authority. The pilot risks utilized for this endeavor were: Increasingly Difficult to Attract and Retain Workforce – SENY Region; and Significant Increases in Non-Recurring O&M Expenditures. Staff worked with Deloitte & Touché regarding the framework for the pilot risk project and has reviewed it with the Executive Risk Management Committee as well as the Authority's Executive Management Committee. Staff has developed a risk dashboard outlining precise information with respect to the risks such as Authority exposures, response and mitigation plans and specific actions that will be undertaken by staff. The dashboard will also provide the Trustees with summary information associated with the responsibilities for actions and the status of these commitments. The risk dashboard will be presented to Trustees at subsequent meetings.

4. Power Allocations:

a. Power Allocations Under the Recharge New York Program

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to:

1. Approve allocations of Recharge New York ('RNY') Power available for 'retention' purposes to the businesses listed in Exhibit '4a-A;'

2. Approve allocations of RNY Power available for 'expansion' purposes to the businesses listed in Exhibit '4a-B;' and

3. Approve the transfer and/or redistribution of RNY Power identified in Exhibit '4a-E.'

These actions have been recommended and/or approved by the Economic Development Power Allocation Board ('EDPAB') at its March 24, 2014 meeting.

BACKGROUND

On April 14, 2011, Governor Andrew M. Cuomo signed into law the RNY Power Program as part of Chapter 60 (Part CC) of the Laws of 2011 ('Chapter 60'). The program makes available 910 megawatts ('MW') of 'RNY Power,' 50% of which will be provided by the Authority's hydropower resources and 50% of which will be procured by the Authority from other sources. RNY Power contracts can be for a term of up to seven years in exchange for job and capital investment commitments.

RNY Power is available to businesses and not-for-profit corporations for job retention and business expansion and attraction purposes. Specifically, Chapter 60 provides that at least 350 MW of RNY Power shall be dedicated to facilities in the service territories served by the New York State Electric and Gas, National Grid and Rochester Gas and Electric utility companies; at least 200 MW of RNY Power shall be dedicated to the purpose of attracting new businesses and encouraging expansion of existing businesses statewide; and up to 100 MW shall be dedicated for eligible not-for-profit corporations and eligible small businesses statewide.

Under the statute, 'eligible applicant' is defined to mean an eligible business, eligible small business, or eligible not-for-profit corporation, however, an eligible applicant shall not include retail businesses as defined by EDPAB, including, without limitation, sports venues, gaming or entertainment-related establishments or places of overnight accommodations. At its meeting on April 24, 2012, EDPAB defined a retail business as a business that is primarily used in making retail sales of goods or services to customers who personally visit such facilities to obtain goods or services, consistent with the rules previously promulgated by EDPAB for implementation of the Authority's Economic Development Power program.

Prior to entering into a contract with an eligible applicant for the sale of RNY Power, and prior to the provision of electric service relating to a RNY Power allocation, the Authority must offer each eligible applicant that has received an award of RNY Power the option to decline to purchase the RNY Market Power component of such award. If the applicant declines to purchase the RNY Market Power component from the Authority, the Authority has no responsibility for supplying RNY Market Power component of the award.

As part of Governor Andrew M. Cuomo's initiative to foster business activity and streamline economic development, applications for all statewide economic development programs, including the RNY Power Program, have been incorporated into a single on-line Consolidated Funding Application ('CFA') marking a fundamental shift in how State economic development resources are marketed and allocated. Beginning in September 2011, the CFA was available to applicants. The CFA continues to serve as an efficient and effective tool to streamline and expedite

the State's efforts to generate sustainable economic growth and employment opportunities. All applications that are considered for an RNY Power allocation are submitted through the CFA process.

Applications for RNY Power are subject to a competitive evaluation process and are evaluated based on the following criteria set forth in the statutes providing for the RNY Power Program (the 'RNY Statutes'):

> '(i) the significance of the cost of electricity to the applicant's overall cost of doing business, and the impact that a recharge New York power allocation will have on the applicant's operating costs;
>
> (ii) the extent to which a recharge New York power allocation will result in new capital investment in the state by the applicant;
>
> (iii) the extent to which a recharge New York power allocation is consistent with any regional economic development council strategies and priorities;
>
> (iv) the type and cost of buildings, equipment and facilities to be constructed, enlarged or installed if the applicant were to receive an allocation;
>
> (v) the applicant's payroll, salaries, benefits and number of jobs at the facility for which a recharge New York power allocation is requested;
>
> (vi) the number of jobs that will be created or retained within the state in relation to the requested recharge New York power allocation, and the extent to which the applicant will agree to commit to creating or retaining such jobs as a condition to receiving a recharge New York power allocation;
>
> (vii) whether the applicant, due to the cost of electricity, is at risk of closing or curtailing facilities or operations in the state, relocating facilities or operations out of the state, or losing a significant number of jobs in the state, in the absence of a recharge New York power allocation;
>
> (viii) the significance of the applicant's facility that would receive the recharge New York power allocation to the economy of the area in which such facility is located;
>
> (ix) the extent to which the applicant has invested in energy efficiency measures, will agree to participate in or perform energy audits of its facilities, will agree to participate in energy efficiency programs of the authority, or will commit to implement or otherwise make tangible investments in energy efficiency measures as a condition to receiving a recharge New York power allocation;
>
> (x) whether the applicant receives a hydroelectric power allocation or benefits supported by the sale of hydroelectric power under another program administered in whole or in part by the authority;
>
> (xi) the extent to which a recharge New York power allocation will result in an advantage for an applicant in relation to the applicant's competitors within the state; and
>
> (xii) in addition to the foregoing criteria, in the case of a not-for-profit corporation, whether the applicant provides critical services or substantial benefits to the local community in which the facility for which the allocation is requested is located.'

Based on the evaluation of these criteria, the applications were scored and ranked. Evaluations also considered scores provided by the relevant Regional Economic Development Council under the third and eighth criteria.

In arriving at recommendations for RNY Power for EDPAB's consideration, staff, among other things, attempted to maximize the economic benefits of low-cost NYPA hydropower, the critical state asset at the core of the RNY Power Program, while attempting to ensure that each recipient receives a meaningful RNY Power allocation.

Business applicants with relatively high scores were recommended for allocations of retention RNY Power of 50% of the requested amount or average historic demand, whichever was lower. These allocations were capped at 10 MW for any recommended allocation. Not-for-profit corporation applicants that scored relatively high were recommended for allocations of 33% of the requested amount or average historic demand, whichever was lower. These allocations were capped at 5 MW. Applicants currently receiving hydropower allocations under other Authority power programs were recommended for allocations of RNY Power of 25% of the requested amount, subject to the caps as stated above.

RNY Power allocations have been awarded by the Trustees on seven prior occasions spanning from April 2012 through December 2013. There is currently 53.4 MW of unallocated RNY Power of the 710 MW block made available for business 'retention' purposes. Of that 710 MW retention block, 100 MW was set aside for not-for-profit corporations and small businesses, of which 6.1 MW is available to allocate to such entities. Lastly, there is 122.4 MW of unallocated RNY Power of the 200 MW block made available for business 'expansion' purposes. These figures reflect Trustee actions on RNY Power applications taken prior to any actions the Trustees take today.

DISCUSSION

1. Retention-Based RNY Power Allocations – Action Item

The Trustees are asked to address applications submitted via the CFA process for RNY Power retention-based allocations. Consistent with the evaluation process as described above, EDPAB recommended at its March 24, 2014 meeting that RNY Power retention allocations be awarded to the businesses listed in Exhibit '4a-A.' Each business has committed to create or retain jobs in New York State and to make capital investments in exchange for the recommended RNY Power allocations.

The RNY Power 'retention' allocations identified in Exhibit '4a-A' are each recommended for a term of seven years. An allocation recommended by EDPAB qualifies the subject applicant to enter into a contract with the Authority for the purchase of the RNY Power. The Authority's standard RNY Power contract template, approved by the Trustees at their March 27, 2012 meeting, contains provisions addressing such things as effective periodic audits of the recipient of an allocation for the purpose of determining contract and program compliance, and for the partial or complete withdrawal of an allocation if the recipient fails to maintain mutually agreed upon commitments, relating to among other things, employment levels, power utilization, and capital investments. In addition, there is a requirement that a recipient of an allocation perform an energy efficiency audit at its facility not less than once during the first five years of the term of the allocation.

As noted in Exhibit '4a-A,' some of these applicants are also being recommended for expansion-based allocations, having satisfied the criteria for both components of the RNY Power Program.

2. Expansion-Based RNY Power Allocations – Action Item

The Trustees are also asked to address applications submitted for RNY Power expansion-based allocations via the CFA process which request allocations from the 200 MW block of RNY Power dedicated by statute for for-profit businesses that propose to expand existing businesses or create new business in the State. These applications sought a RNY Power allocation for either (i) expansion only, in the case of a new business or facility, or (ii) expansion *and* retention, in the case of an existing business. EDPAB recommended at its March 24, 2014 meeting that RNY Power expansion-based allocations be made to the businesses listed in Exhibit '4a-B.' Each such allocation would be for a term of seven years.

As with the evaluation process used for the retention recommendations described above, applications for the expansion-based RNY Power were scored based on the statutory criteria, albeit with a focus on information regarding each applicants' specific project to expand or create their new facility or business (*e.g.*, the expansion project's cost, associated job creation, and new electric load due to the expansion).

The respective amounts of the expansion-related allocations listed in Exhibit '4a-B' are largely intended to provide approximately 70% of the individual expansion projects' estimated new electric load. Because these projects have estimated new electric load amounts, and to ensure that an applicant's overestimation of the amount

needed would not cause that applicant to receive a higher proportion of RNY Power to new load, the allocations in Exhibit '4a-B' are recommended based on an 'up to' amount basis. Each of these applicants would be required to, among other commitments, add the new electric load as stated in its application, and would be allowed to use up to the amount of their RNY Power allocation in the same proportion of the RNY Power allocation to requested load as stated in Exhibit '4a-B.' The contracts for these allocations would also contain the standard provisions previously summarized in the last paragraph of Section 1 above.

3. Ineligibility Determination – Informational Item

In the process of reviewing the current round of applications for RNY Power, EDPAB determined that the applicants listed on Exhibit '4a-C' propose projects that constitute a retail business as defined by EDPAB, and therefore are ineligible for RNY Power. No action by the Trustees is required on these applications.

4. Applications Not Recommended or Not Considered – Informational Item

As indicated on Exhibit '4a-D', EDPAB decided not to recommend one applicant for a retention-based RNY Power allocation, and further decided not to consider three applications for RNY Power allocations. The first application was not recommended because the potential recommended amount did not meet the 10 kW minimum allocation requirement established by the Authority for RNY Power allocations. The other three applications were not considered for one or more of the following reasons: (i) the application was withdrawn; and/or (ii) the applicant does not have a demand meter. No action by the Trustees is required on these applications.

5. Transfer of RNY Power – Action Item

At its March 24, 2014 meeting, EDPAB approved and recommended that the Trustees approve the transfer and redistribution of three allocations to the customer identified on Exhibit '4a-E,' which would also involve a redistribution of employment and capital investment commitments between the facilities. The customer's total facility job and capital investment commitments would not change. The Trustees have previously authorized transfers of RNY Power and other Authority power products like Economic Development Power in similar circumstances.

RECOMMENDATION

The Manager – Business Power Allocations and Compliance recommends that the Trustees: (1) approve the allocations of RNY Power for retention purposes to the businesses listed in Exhibit '4a-A' as indicated therein; (2) approve the allocations of RNY Power for expansion purposes to the businesses listed in Exhibit '4a-B' as indicated therein; and (3) authorize the transfer and/or redistribution of the RNY Power allocations identified in Exhibit '4a-E' as indicated therein.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

Mr. Timothy Muldoon provided highlights of staff's recommendation to the Trustees. In response to a question from Trustee Foster, Mr. Muldoon said the Authority has a scoring system in place for allocations under the Recharge New York program and the metrics for the allocations being recommended are consistent with that methodology.

The following resolution, as submitted by the President and Chief Executive Officer, was adopted.

WHEREAS, the Economic Development Power Allocation Board ("EDPAB") has recommended that the Authority approve the Recharge New York ("RNY") Power

allocations for retention purposes to the applicants listed in Exhibit "4a-A"; and

WHEREAS, EDPAB has recommended that the Authority approve the RNY Power allocations for expansion purposes to the applicants listed in Exhibit "4a-B"; and

WHEREAS, EDPAB has approved and recommended that the Authority authorize the transfer and/or redistribution of the RNY Power allocations identified in Exhibit "4a-E";

NOW THEREFORE BE IT RESOLVED, That the Authority hereby authorizes the allocations of RNY Power for retention purposes to the applicants listed on Exhibit "4a-A" in accordance with the terms described in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That the Authority hereby authorizes the allocations of RNY Power for expansion purposes to the applicants listed on Exhibit "4a-B" in accordance with the terms described in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That the Authority hereby authorizes the transfer and/or redistribution of RNY Power allocations identified in Exhibit "4a-E" in accordance with the terms described in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

b. Allocation of Hydropower and Notice of Public Hearing

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve a 200 kilowatt ('kW') allocation of available Replacement Power ('RP') to Trinity Packaging Corporation in Cheektowaga (Erie County), as further described herein and in Exhibits '4b-A' and '4b-A-1.' This allocation will support capital expansion of $6.5 million and the creation of 21 jobs in Western New York ('WNY'). The Trustees are also requested to authorize a public hearing pursuant to Public Authorities Law ('PAL') §1009 on the proposed direct sale contract, the current form of which is attached as Exhibit '4b-B.'

BACKGROUND

Under PAL §1005(13), the Authority may contract to allocate 250 megawatts ('MW') of firm hydroelectric power as Expansion Power ('EP') and up to 445 MW of RP to businesses in the State located within 30 miles of the Niagara Power Project, provided that the amount of power allocated to businesses in Chautauqua County on January 1, 1987 shall continue to be allocated in such county.

Each application for an allocation of EP and RP must be evaluated under criteria that include but need not be limited to, those set forth in PAL §1005(13)(a), which details general eligibility requirements. Among the factors to be considered when evaluating a request for an allocation of hydropower are the number of jobs created as a result of the allocation; the business' long-term commitment to the region as evidenced by the current and/or planned capital investment in the business' facilities in the region; the ratio of the number of jobs to be created to the amount of power requested; the types of jobs to be created, as measured by wage and benefit levels, security and stability of employment, and the type and cost of buildings, equipment and facilities to be constructed, enlarged or installed.

The Authority works closely with business associations, local distribution companies and economic development entities to garner support for the projects to be recommended for allocations of Authority hydropower. Discussions routinely occur with National Grid, Empire State Development Corporation ('ESD'), the Buffalo Niagara Enterprise and Niagara County Center for Economic Development and Erie County Industrial Development Agency ('IDA') to coordinate other economic development incentives that may help bring projects to New York State. Staff confers with these entities to help maximize the value of hydropower to improve the economy of WNY and the State of New York. Each organization has expressed support for the recommended allocation.

DISCUSSION

At this time, 8,615 kW of unallocated EP and 32,663 kW of unallocated RP are available to be awarded to businesses under the criteria set forth in PAL §1005(13)(a).

Trinity Packaging Corporation

Trinity Packaging Corporation ('Trinity'), located in Cheektowaga, submitted an application for hydropower requesting 250 kW in connection with a proposed expansion project to reconfigure its facility and add additional production and manufacturing equipment and machinery with the goal of increasing flexible packaging production by 25 percent.

Headquartered in Armonk, New York, Trinity is an industry leader in heavy duty industrial films, all types of bags (including low density supermarket carry-out bags), roll stock, poly-liners and premium plastic wrap. Trinity manufactures its products for a broad base of national customers within a variety of industries. In December 2012, Trinity acquired Cello-Pack, a company with Western New York operations since 1948, the last 25 years at its 77,500-square-foot Cheektowaga plant.

As recently as July 2013, the corporation divested of two of its other three U.S. facilities, all located outside of New York. This leaves the Cheektowaga plant in direct competition with its sister facility located in Rocky Mount, Virginia, for this project.

Described as Phase 1, Trinity's expansion project includes plans to relocate inventories to leased and vendor-provided storage facilities (creating more manufacturing space), thus allowing for a reconfiguration of the plant layout and the addition of printing, printing support equipment and converting equipment. The total investment would be $6.5 million. Project completion would be finished by December 2014, with partial operations beginning in the summer of this year.

Trinity currently employs 129 full-time workers working three shifts, five to six days per week. The proposed expansion would result in twenty-one (21) new jobs.

The job creation ratio for the proposed allocation of 200 kW is 105 new jobs per MW. This ratio is above the historic average of 22.6 new jobs per MW based on allocations made over the past four years. The total project investment of $6.5 million would result in a capital investment ratio of $32.5 million per MW. This ratio is above the four-year historic average of $21.3 million per MW.

ESD has offered an incentive package of up to $566,240 under the Excelsior Jobs Program in support of Trinity's plan. In addition, the Erie County IDA has offered $73,500 in sales tax savings and the New York State Electric & Gas ('NYSEG') has offered up to $400,000 in electric infrastructure assistance.

The hydropower allocation along with these multi-agency incentives may put Trinity's Cheektowaga facility in a position to secure this expansion project for its WNY operations rather than at its Virginia plant. The company has further described a potential 'Phase 2,' whereby a suitable larger facility would be located to accommodate Trinity's future growth plans. The recommended hydropower allocation will help secure the addition of 21 jobs, solidify the current employment of 129 people, and position Trinity's Cheektowaga facility for further expansion in years to come.

Staff recommends that an allocation of 200 kW of RP be awarded to Trinity Packaging Corporation in order to help secure an investment of $6.5 million and creation of 21 new jobs at its facility in Erie County, as detailed in Exhibits '4b-A' and '4b-A-1.'

Contract Information

The Authority is in the process of discussing a proposed hydropower sales contract with Trinity and anticipates receiving customer approval of a contract substantially similar to the form attached as Exhibit '4b-B.' Accordingly, the Trustees are requested to authorize a public hearing pursuant to PAL §1009 on the contract form attached as Exhibit '4b-B.'

As required by PAL §1009, when the Authority believes it has reached agreement with its prospective co-party on a contract for the sale of EP or RP, it will transmit the proposed form of contract to the Governor and other elected officials, and hold a public hearing on the contract. At least 30-days' notice of the hearing must be given by publication once in each week during such period in each of six selected newspapers. Following the public hearing, the form of contract may be modified, if advisable. Upon approval of the final proposed contract by the Authority, the Authority must 'report' the proposed contract, along with its recommendations and the public hearing records, to the Governor and other elected officials. Upon approval by the Governor, the Authority may execute the contract.

The general form of the proposed contract is consistent with recently approved contracts for the sale of EP and RP. Some pertinent provisions of the proposed form of contract include the provision for direct billing of all production charges (i.e., demand and energy) as well as all New York Independent System Operator, Inc. ('NYISO') charges, plus taxes or any other required assessments, all as set forth in the Authority's Service Tariff No. WNY-1. The proposed form of contract would also include (i) commercially reasonable provisions relating to financial security to reflect a direct billing arrangement between the Authority and its EP/RP customers, and (ii) provisions authorizing data transfers and addressing other utility-driven requirements which are necessary for efficient program

implementation. Such provisions have been used in other Authority contracts forms, including the Authority's Recharge New York Power Program contracts.

As is typical, the provision of electric service for this hydropower allocation is subject to enforceable employment and usage commitments. The standard contract form includes annual job reporting requirements and a job compliance threshold of 90%. Should Trinity's actual jobs reported fall below the compliance threshold, the Authority has the right to reduce the allocation on a pro-rata basis.

The recommended allocation would be sold to the company pursuant to the Authority's Service Tariff No. WNY-1, which applies to all allocations of EP and RP. Transmission and delivery service would be provided by NYSEG in accordance with its Public Service Commission-filed service tariffs.

RECOMMENDATION

The Manager – Business Power Allocations and Compliance recommends that the Trustees approve the allocation of 200 kW of RP to Trinity Packaging Corporation, as detailed in Exhibit '4b-A.'

The Trustees are also requested to authorize the Corporate Secretary to convene a public hearing on the form of the proposed contract finally negotiated with Trinity Packaging, the current form of which is attached as Exhibit '4b-B,' and transmit copies of the proposed form of contract to the Governor and legislative leaders pursuant to PAL §1009.

Staff will report to the Board of Trustees on the public hearing and the proposed contract at a later time and make additional recommendations regarding the proposed contract.

For the reasons stated, I recommend approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That an allocation totaling 200 kW of Authority hydropower to Trinity Packaging Corporation as detailed in Exhibit "4b-A," be, and hereby is, approved on the terms set forth in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That the Trustees hereby authorize a public hearing pursuant to Public Authorities Law ("PAL") §1009 on the terms of the proposed form of direct sale contract for the sale of hydropower and energy finally negotiated with Trinity Packaging Corporation (the "Contract"), the current form of which is attached as Exhibit "4b-B," subject to rates previously approved by the Trustees; and be it further

RESOLVED, That the Corporate Secretary be, and hereby is, authorized to transmit copies of the proposed Contract to the Governor, the Speaker of the Assembly, the Minority Leader of the Assembly, the Chairman of the Assembly Ways and Means Committee, the Temporary President of the Senate, the Minority Leader of the Senate and the Chairman of the Senate Finance Committee pursuant to PAL §1009; and be it further

RESOLVED, That the Corporate Secretary be, and hereby is, authorized to arrange for the publication of a notice of public hearing in six newspapers throughout the State, all done in accordance with the provisions of PAL §1009; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

5. 2013 Financial Reports Pursuant to Section 2800 of the Public Authorities Law and Regulations of the Office of the State Comptroller

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the financial report for the year ended December 31, 2013 and authorize the Corporate Secretary to submit this report to the Governor, legislative leaders, the State Comptroller and the Authorities Budget Office ('ABO') pursuant to Section 2800 of the Public Authorities Law, as amended by the Public Authorities Accountability Act of 2005 ('PAAA'). In accordance with regulations adopted by the Office of the State Comptroller ('OSC'), the Trustees are also requested to approve and authorize posting of a report of actual versus budgeted results for the year 2013 on the Authority's web site.

BACKGROUND

The PAAA reflects the State's commitment to maintaining public confidence in public authorities by ensuring that the essential governance principles of accountability, transparency and integrity are followed at all times. To facilitate these objectives, the PAAA established an independent ABO that monitors and evaluates the compliance of State authorities with the requirements of the PAAA. The PAAA amended Section 2800 of the Public Authorities Law to require that financial reports submitted by a State authority under Section 2800 be certified by the chief executive officer and chief financial officer and approved by the authority's board.

Following rulemaking proceedings undertaken pursuant to the State Administrative Procedure Act, OSC implemented regulations on March 29, 2006 that address the preparation of annual budgets and related reporting requirements by 'covered' public authorities, including the Authority. These regulations establish various procedural and substantive requirements relating to the budgets and require the chief financial officer to report publicly not later than 90 days after the close of each fiscal year on actual versus budgeted results.

DISCUSSION

The Trustees are requested to approve the required financial report for the year ended December 31, 2013 (Exhibit '5-A') and authorize the Corporate Secretary to submit this report to the Governor, legislative leaders, the State Comptroller and the ABO pursuant to Section 2800 of the Public Authorities Law. This report was reviewed by the Audit Committee at its meeting of March 25, 2014. The Trustees are also requested to approve a report of actual versus budgeted results for the year 2012 (Exhibit '5-B') and authorize posting it on the Authority's website.

FISCAL INFORMATION

There is no anticipated fiscal impact.

RECOMMENDATION

The Vice President and Controller recommends that the Trustees approve and authorize submittal of the attached reports (Exhibits '5-A' and '5-B') as discussed herein. The Audit Committee reviewed the financial report for the year ended December 31, 2013 at their meeting earlier today and is also recommending its approval.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

WHEREAS, pursuant to Section 2800(1) of the Public Authorities Law, the Authority is required to annually submit to the Governor, the Chairman and Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the State Comptroller and the Authorities Budget Office, within 90 days after the end of its fiscal year, a complete and detailed report or reports setting forth certain information regarding, among other things, certain financial information; and

WHEREAS, pursuant to Section 2800(3), financial information submitted under Section 2800 shall be approved by the Authority's Board of Trustees and shall be certified in writing by the Chief Executive Officer and the Chief Financial Officer of the Authority that based on the officer's knowledge the information provided therein (a) is accurate, correct and does not contain any untrue statement of material fact; (b) does not omit any material fact which, if omitted, would cause the financial statements to be misleading in light of the circumstances under which such statements are made and (c) fairly presents in all material respects the financial condition and results of operations of the Authority as of, and for, the periods presented in the financial statements; and

WHEREAS, on the date hereof, the Chief Executive Officer and Chief Financial Officer have so certified as to the financial information contained within the attached reports for the fiscal year ending December 31, 2013;

NOW THEREFORE BE IT RESOLVED, That pursuant to Section 2800 of the Public Authorities Law, the financial reports attached hereto are adopted and the Corporate Secretary be, and hereby is, authorized to submit to the Governor, the Chairman and Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the State Comptroller, and the Authorities Budget Office the attached financial report for the year ending 2013 in accordance with the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That pursuant to 2 NYCRR Part 203, the attached report of actual vs. budgeted results for the year 2013 is approved in accordance with the foregoing report of the President and Chief Executive Officer; and the Corporate Secretary is authorized to post the report on the Authority's website; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Executive Vice President and Chief Financial Officer and all other officers of the Authority are, and each of them hereby is, authorized on

behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

6. Contribution of Funds to the State Treasury

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to authorize the release of $15 million in funds to the Empire State Development Corporation ('ESD') in furtherance of ESD's statewide economic development initiatives, as authorized by legislation approving the 2013-14 Budget of the State of New York (Chapter 57 of the Laws of 2013).

BACKGROUND

The Authority is requested, from time to time, to make financial contributions and transfers of funds to the State or to otherwise provide financial support for various State programs. Any such contribution or transfer of funds must (1) be authorized by the law; (2) be approved by the Trustees 'as feasible and advisable;' and (3) satisfy the requirements of the Authority's General Resolution Authorizing Revenue Obligations dated February 24, 1998, as amended and supplemented ('Bond Resolution'). In addition, as set forth in the Trustees' Policy Statement dated May 24, 2011, a debt service coverage ratio of 2.0 is to be used as a reference point in considering any such payments or transfers.

The Bond Resolution's requirements to withdraw monies 'free and clear of the lien and pledge created by the [Bond] Resolution' are such that (a) withdrawals must be for a 'lawful corporate purpose as determined by the Authority,' and (b) the Authority must determine, taking into account among other considerations anticipated future receipt of revenues or other moneys constituting part of the Trust Estate, that the funds to be so withdrawn are not needed for (i) payment of reasonable and necessary operating expenses, (ii) an Operating Fund reserve for working capital, emergency repairs or replacements, major renewals or for retirement from service, decommissioning or disposal of facilities, (iii) payment of, or accumulation of a reserve for payment of, interest and principal on senior debt or (iv) payment of interest and principal on subordinate debt.

The State's fiscal year ('SFY') 2013-14 Budget legislation authorizes the Authority as deemed 'feasible and advisable by its trustees' to provide up to $90 million in contributions to the State's general fund, or as otherwise directed in writing by the State's director of the budget, whereupon such funds 'will be utilized to support energy-related initiatives of the state or for economic development purposes.' To date, the Trustees have approved, and the Authority has transferred, a total of $75 million of such $90 million included in the Budget legislation; $20 million was provided to the State's general fund and $55 million was provided to ESD in furtherance of ESD's statewide economic development initiatives.

DISCUSSION

In accordance with Section 17 of Part HH of Chapter 57 of the Laws of 2013, the State's director of the budget has formally requested that the Authority transfer on or before March 31, 2014 the sum of $15 million to the credit of ESD in furtherance of ESD's Statewide economic development initiatives, principally the Open for Business and the Innovation Venture Capital Fund initiatives, as more fully described below. The $15 million, together with the $75 million already approved and transferred, totals the $90 million considered in the legislation.

The New York State Open for Business ('Open for Business') campaign was initiated to market New York State as an ideal place for businesses to invest and create jobs. A central component of this business development campaign is to promote the advantages of doing business in the State in order to retain and grow New York businesses as well as attract other businesses to New York from across the country and around the world. The New York State Innovation Venture Capital Fund ('IVCF') is a newly designed program to provide critical seed and early-stage funding to incentivize new business formation and growth in New York State and facilitate the transition from ideas and research to marketable products. The goal is to help nascent businesses develop to a stage where they can attract private sector financing. Both the Open for Business and IVCF are being administered by ESD. The central tenet fits well with the Authority's Mission to promote economic development and job creation based directly on its legislatively authorized Expansion Power, Replacement Power, Preservation Power, and Recharge

New York Power Programs, among the other economic development programs in which the Authority is authorized and directed to engage.

The low-cost power and other benefits the Authority makes available under its various programs are valuable economic development tools that the Authority desires to promote, and there exists significant amounts of unallocated power and other benefits available under these programs that can support economic development in the State. Accordingly, the Authority has an interest in promoting the effectiveness of ESD's Statewide economic development initiatives, thereby increasing the number and quality of businesses that apply for available benefits under the Authority's Programs.

Staff has reviewed the effects of the release of $15 million in State contributions at this time on the Authority's expected financial position and reserve requirements. In addition, in accordance with the Board's Policy Statement, staff calculated the impact of these transfer amounts on the Authority's debt service coverage ratio and determined it would not fall below the 2.0 reference point level. Given the current financial condition of the Authority, its estimated future revenues, operating expenses, debt service and reserve requirements, staff is of the view that it will be feasible for the Authority to release $15 million at this time.

FISCAL INFORMATION

Staff has determined that sufficient funds are available in the Operating Fund to transfer $15 million in contributions at this time and that such Authority funds are not needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's Bond Resolution. Such transfer pursuant to the SFY 2013-14 Budget legislation was anticipated and is within the amount reflected in the Power Authority's 2014 Operating Budget approved by the Trustees at their December 17, 2013 meeting.

RECOMMENDATION

The Treasurer recommends that the Trustees affirm that the transfer of $15 million to the Empire State Development Corporation is feasible and advisable and authorize such payment.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

Mr. Brian McElroy provided highlights of staff's recommendation to the Trustees. In response to a question from Chairman Koelmel, Mr. McElroy said the contribution from the 2013/14 budget is the final instalment to the state treasury for a total of $90 million.

Responding to questions from Trustee Nicandri, Mr. Russak said in relation to the 2014/15 budget discussions with Division of Budget, the budget proposed for contribution to the state is identical to that of the 2014 budget, $90 million. And with respect to the return of the temporary asset transfer monies, it is also in the Governor's budget proposal, as discussed with the Authority.

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

> **RESOLVED, That the Trustees hereby authorize a payment to the Empire State Development Corporation ("ESD") in the amount of $15 million from the Operating Fund as authorized by Chapter 57 of the Laws of 2013 as discussed in the foregoing report of the President and Chief Executive Officer; and be it further**

RESOLVED, That the amount of $15 million to ESD described in the foregoing resolution is not needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's General Resolution Authorizing Revenue Obligations, as amended and supplemented; and be it further

RESOLVED, That as a condition to making the payments specified in the foregoing resolution, on the day of such payments, the Treasurer or the Deputy Treasurer shall certify that such monies are not then needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's General Resolution Authorizing Revenue Obligations, as amended and supplemented; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer, the Executive Vice President and General Counsel, the Executive Vice President and Chief Financial Officer, the Corporate Secretary, the Treasurer and all other officers of the Authority be, and each of them hereby is, authorized and directed, for and in the name and on behalf of the Authority, to do any and all things and take any and all actions and execute and deliver any and all certificates, agreements and other documents that they, or any of them, may deem necessary or advisable to effectuate the foregoing resolution, subject to approval as to the form thereof by the Executive Vice President and General Counsel.

7. New York Power Authority's 2014 Strategic Plan

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are presented with the Authority's proposed 2014 Strategic Plan for adoption, as set forth in Exhibit '7-A' attached hereto.

BACKGROUND

The Authority's By-Laws state that 'the Trustees shall annually review a Strategic Plan developed by the Executive Management Committee, under the supervision of the Strategic Planning and Energy Policy Committee, which shall become the basis for the development of departmental plans, the annual budget and the capital expenditure plan' (By-Laws, Article VII – Fiscal Management , Section 2 – Strategic Plan). In addition, Public Authorities Law §2824-a requires state authorities to develop and adopt a mission statement.

The Authority has for many years annually reviewed, and updated as necessary, its mission statement and strategic plan. In late 2013, the Authority drafted a new strategic plan containing a revised mission statement to advance alignment with the changing needs of its customers and to support the future energy structure of New York.

DISCUSSION

In 2013, Authority staff undertook an examination of its corporate strategy in the context of a rapidly changing energy environment. This effort mainly consisted of three phases, each lasting several months.

- During the spring and summer of 2013, Authority staff met with more than thirty external stakeholders to discuss customer needs, key trends and other opportunities in the energy industry. These interviews included discussions with customers, energy leaders, government officials, financial organizations, universities, and others to ensure a diverse pool of thinking.

- In the fall of 2013, a thirty-two member strategy team convened several times to discuss how the Authority could proceed, boldly and innovatively, as the energy industry continues its evolution from a traditional, centralized model to a more innovative, customer-centric model. Three key drivers emerged from our research: (1) customer value, (2) stewardship for the future, and (3) flexibility of infrastructure and organizations.

- In the November and December timeframe, several discussions were held with the Trustees to share findings and discuss the proposed direction to ensure that it is consistent with the Board's vision for the Authority.

The Authority's mission and vision statements were redrafted as a result of this effort. In addition, new strategic goals were identified and new strategic initiatives were created to focus on achieving those goals.

The new Mission Statement and Strategic Plan (Exhibit '7-A') will serve to inspire and provide direction as the Authority embraces an evolving energy industry in New York State.

RECOMMENDATION

The Senior Vice President – Strategic Planning recommends that the Trustees adopt the 2014 Strategic Plan presented herein.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

Mr. Robert Lurie presented highlights of staff's recommendation to the Trustees.

In response to a question from Chairman Koelmel, Mr. Lurie said a team has been set up for each of the six initiatives outlined in the Strategic Plan and they will be responsible for developing a business plan for each initiative. In developing the business plans the teams would consider:

i) ***how the Authority will get customers, if it is a customer initiative;***

ii) ***how the Authority is going to request funding;***

iii) ***the value of the investments that the Authority is going to make;***

iv) ***what the financial returns would be on any of the investments and how they would be implemented and integrated into the business and operations of the Authority;***

v) ***Staffing; and***

vi) ***marketing issues, e.g. competition***

Mr. Lurie said that business plans would be presented to Trustees for approval as they are completed. Staff expects the first plan to be presented to the Board in May and the others in July and September. He added that, in parallel, as the business plans are developed they will evolve and become a part of the budget process, operating plans, and performance metrics.

Responding to further questioning from Chairman Koelmel, Mr. Lurie said staff is in the research process in the development of the business plans – some are at an advanced stage – and will be driven towards how the Authority will implement the initiatives. He reiterated that staff would be presenting at least one of the plans to the Board for approval at the next meeting.

Responding to still further questioning from Chairman Koelmel, Mr. Lurie said each team is assigned a member of the Authority's executive team as sponsor; a project manager that is responsible for adherence to the time line; and a Consultant acting as an advisor to work with them as they develop the business plans so that the plans will be completed and presented to the Board within the time frame established for the implementation of each initiative.

Responding to another question from Chairman Koelmel, President Quiniones said the goal of the teams is to develop a tangible business plan for each of the individual initiatives with the assistance of the executive sponsors and project managers. He then outlined examples of specific projects that would be proposed to the Board:

1) ***In collaboration with upstate utilities and under the Knowledge Workforce Planning initiative, it was proposed that a workforce development center be built to address retirement issues of the Authority and utilities in upstate New York. To that end, staff will be recommending that the Trustees approve a request for funds to build a workforce development center in Western New York.***

2) ***Under the Smart Generation and Transmission initiative, the Authority and other utilities in New York State installed phaser measurement units in the state's transmission grid. Staff will be recommending that the Trustees approve funding to build an "Advance Grid Innovation Research Laboratory for Energy" in order to develop the data from the smart boxes that were installed in the transmission grid.***

3) ***Under the BuildSmart New York Initiative, of which the Authority is the lead agency, the measures that can be undertaken to make state and other public buildings energy efficient were proposed. As part of that initiative, it has been recommended that meters be placed in those buildings. To that end, staff will be recommending that the Trustees approve funding for the creation of the New York Energy Manager Center to analyze the data from the smart meters with the goal to optimize energy consumption in public buildings across the state on an ongoing basis.***

President Quiniones said in addition to the above, the teams are working on business plans for other projects and will be coming before the Board for their approval.

In response to a question from Trustee Nicandri, President Quiniones said there will be checks on the Authority investments. Authority staff will perform due diligence, working with the Risk Management and Finance groups before recommending that the Board fund any of the ventures in the Authority's "investment grade business plans."

Responding to further questioning from Trustee Nicandri, Mr. Lurie said EPRI is assisting, advising the Authority on some of these initiatives; the Authority will receive the full benefit of their wisdom and experience in research and development on any venture it proposes.

In response to a request from Chairman Koelmel, President Quiniones said, to ensure continuing dialogue with the Trustees as the Business Plans are developed, he will provide the Board with a report on the progress of each of the six initiatives.

In response to a question from Trustee LeChase, Mr. Russak said staff earmarked capital project dollars in the Authority's operating fund in the amount of $150 million for strategic initiatives; these monies, however, have not been committed to any specific project as yet. Staff will have to come before the Board with the business case and plan and request the Board's authorization for the funding at that time.

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

> **RESOLVED, That the Trustees hereby acknowledge that they have read, understand and adopt the Authority's 2014 Strategic Plan attached hereto as Exhibit "7-A" as discussed in the foregoing report of the President and Chief Executive Officer; and be it further**
>
> **RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.**

8. Appointment of Acting Executive Vice President and General Counsel

The Chairman submitted the following report:

SUMMARY

The Trustees are requested to consider the appointment of Arthur T. Cambouris of New York, New York as Acting Executive Vice President and General Counsel, effective once the office is vacant.

BACKGROUND AND DISCUSSION

Article IV of the Authority's By-laws provides for the election of certain non-statutory officers by the Trustees. The same Article provides that Trustees may leave unfilled any office except those of Chair, Vice Chair, President and Chief Executive Officer, Chief Operating Officer, Executive Vice President and General Counsel or Corporate Secretary. In addition, this section permits the Trustees to fill these positions with an acting or interim appointment until such time as a permanent replacement is elected.

RECOMMENDATION

It is recommended that, pursuant to Article IV of the By-Laws, adopted December 18, 1984, and last amended on March 27, 2012, Arthur Cambouris be appointed as Acting Executive Vice President and General Counsel, effective once the office is vacant, to hold such office until the Executive Vice President and General Counsel is elected by the Trustees.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

President Quiniones said before voting on the resolution for the Acting Executive Vice President and General Counsel he wanted to say a few words to Ms. Judith McCarthy, who was vacating the position. He made the following remarks:

> ***Today marks the last Trustees meeting for Judith McCarthy, our Executive Vice President and General Counsel, who is leaving us after more than three years of exemplary service as the Authority's chief legal officer. Judith, as I'm sure many of you know, will become a Magistrate Judge of the U.S. District Court for the Southern District of New York – long recognized as one of the most prestigious courts in the nation. She will join a select group of Federal Magistrate Judges, who generally work closely with the District Judges and, in fact, handle some of the same duties.***
>
> ***At NYPA, Judith has been a consistent source of sound legal advice for this Board and for me and our senior management team. Her skills as a lawyer – along with her keen insights into the policy and business aspects of***

the issues – have been invaluable at a time of immense challenge for the Authority and our industry.

It was largely thanks to Judith that we concluded the complex agreement that averted the shutdown of the Maid of the Mist tour boats at Niagara Falls, and won the lawsuit that followed.

She played a pivotal role in the enactment of the legislation that established our Western New York Power Proceeds program and in the program's successful implementation last year.

And as part of Governor Cuomo's Energy Highway program, she was at the heart of our effort to define NYPA's role in developing the Indian Point Contingency Plan with Con Edison and issuing the related RFP.

In addition to her work on these and countless other critical matters, Judith heightened the Law Department's focus on ethics and compliance functions. And she led a restructuring of the department that has made it more responsive to its clients – who are, of course, mainly NYPA's other Business Units.

Through all this, Judith's trademark energy and commitment and dedication were always on full display. If I had one word to describe her, it would be indefatigable.

Now, the Southern District Court is about to acquire its own Judge Judy– along with a superb legal mind and someone who's absolutely passionate about her work.

Thank you, Judith, for your many contributions to the Power Authority. We will miss you. And we wish you and your family all the best as you take this next–very significant–step in your career.

Trustee Flynn said on behalf of the Board he wanted to add to Gill comments and made the following remarks:

> ***The District Judge is appointed by the President of the United States and confirmed by the Senate. But the Magistrate Judge is a very different process. The Magistrate Judge is specifically interviewed and appointed and selected by the judges themselves. Neither the Governor nor the President plays a role in that decision, so politics is removed. So when you select a Magistrate Judge you truly are selected by your credentials. The judges are not going to pick somebody who doesn't understand the issues. They need people that make sound legal decisions both civilly and criminally, they have to have a broad perspective to know what they are doing because the District Judges greatly rely upon the Magistrate Judges to do their work -- to make their jobs easier -- so that their sound decisions are affirmed. So I think we should all be very proud today to have somebody like Judith -- she truly is an exemplary public servant, there is no better way to describe her, and I think we are all better Trustees and a much better Authority from her sound counsel and support. We are very proud of her. Congratulations.***

Chairman Kolmel said he echoed Trustee Flynn's remarks, and thanked Judith for all she has done for the Trustees and wished her every success.

Ms. McCarthy thanked them for their kind words and said it was very much appreciated. She continued that it has been an honor and privilege to serve the Authority and the State of New York. She said it is difficult to leave her work in state government, but she is thrilled for the opportunity to serve as Magistrate Judge. She said she has been very proud over the last three years to lead the Law Department at the Power Authority, and leaves the Authority with a talented and dedicated group of attorneys that will continue to do the work of the Power Authority going forward; she is assured that the Authority is in good hands and thanked them again for their kind words.

Chairman Koelmel presented highlights of the recommendation to the Trustees.

The following resolution, as submitted by the Chairman, was unanimously adopted.

RESOLVED, That pursuant to Article IV of the Authority's By-Laws, adopted December 18, 1984, and last amended on March 27, 2012, Arthur T. Cambouris is hereby appointed as Acting Executive Vice President and General Counsel, effective once the office is vacant, to hold such office until the Executive Vice President and General Counsel is elected by the Trustees.

9. Motion to Conduct an Executive Session

Mr. Chairman, I move that the Authority conduct an executive session to consult with counsel. Upon motion made and seconded an Executive Session was held.

10. Motion to Resume Meeting in Open Session

Mr. Chairman, I move to resume the meeting in Open Session. Upon motion made and seconded, the meeting resumed in Open Session.

11. Next Meeting

The Regular Meeting of the Trustees will be held on **May 20, 2014, at 11:00 a.m., at the Clarence D. Rappleyea Building, White Plains, New York,** unless otherwise designated by the Chairman with the concurrence of the Trustees.

Closing

Upon motion made and seconded, the meeting was adjourned by the Chairman at approximately 12:10 p.m.

Karen Delince
Corporate Secretary

March 25, 2014

EXHIBITS

For

March 25, 2014

Annual Meeting

Minutes

Exhibit "A"
March 25, 2014

2013 Annual Report on
Investment of Authority Funds

Table of Contents

Section I	Guidelines for the Investment of Funds	
Section II	Explanation of the Investment Guidelines	
Section III	A.	Investment Income Record
	B.	Fees Paid for Other Post-Employment Benefits Trust Fund
	C.	Fees Paid for Nuclear Decommissioning Trust Fund
	D.	Results of the Annual Independent Audit
Section IV	Inventory of Investments Held on December 31, 2013	
Section V	Summary of Dealers and Banks from Which Securities Were Purchased and Sold	

Section I

New York Power Authority
Guidelines for the Investment of Funds

I. General

These Guidelines for the Investment of Funds (the "Guidelines") are intended to effectuate the applicable provisions of the General Resolution Authorizing Revenue Obligations, adopted February 24, 1998 (the "Resolution"), the lien and pledge of which covers all accounts and funds of the Authority and that governs the Authority's existing policies and procedures concerning the investment of funds as contained in these Guidelines. In a conflict between the Guidelines and the Resolution, the latter shall prevail. In addition, these Guidelines are intended to effectuate the provisions of Section 2925 of the New York State Public Authorities Law.

II. Responsibility for Investments

The Treasurer and Deputy Treasurer have the responsibility for the investment of Authority funds under the general supervision of the Executive Vice President and Chief Financial Officer. The Treasurer shall ensure that an operating manual is maintained that provides a detailed description of procedures for maintaining records of investment transactions and related information.

III. Investment Goals

The Treasurer and Deputy Treasurer are responsible for maximizing the yield on investments consistent with requirements for safety, liquidity and minimization of risk. Monies will not be invested for terms in excess of the projected use of funds.

IV. Authorized Investments

A. Monies in funds established pursuant to the Resolution shall be invested in Authorized Investments or Authorized Certificates of Deposit, defined as follows:

"Authorized Investments" shall mean:

1. Direct obligations of or obligations guaranteed by the United States of America or the State of New York;

2. Bonds, debentures, notes or other obligations issued or guaranteed by any of the following: Federal National Mortgage Association (including Participation Certificates), Government National Mortgage Association,

Federal Financing Bank, Federal Home Loan Mortgage Corporation and Federal Home Loan Banks, Federal Housing Administration, Federal Farm Credit Banks Funding Corporation, Federal Farm Credit Banks, Federal Intermediate Credit Banks, Federal Banks for Cooperatives, Federal Land Banks or any other agency controlled or supervised by and acting as an instrumentality of the United States government;

3. Obligations of any state of the United States of America or any political subdivision thereof or any agency, instrumentality or local government unit of any such state or political subdivision that shall be rated at the time of the investment in any of the three highest long-term Rating Categories, as such term is defined in the Resolution, or the highest short-term Rating Category by a Rating Agency, as such term is defined in the Resolution.

4. Public Housing Bonds issued by Public Housing Authorities and fully secured as to the payment of both principal and interest by a pledge of annual contributions under an Annual Contributions Contract with the United States of America; or Project Notes issued by Local Public Agencies, in each case, fully secured as to the payment of both principal and interest by a requisition or payment agreement with the United States of America; provided that such Bonds or Notes are guaranteed by the United States of America.

"Authorized Certificate of Deposit" shall mean a certificate of deposit authorized by the Resolution as an "Authorized Investment."

B. The Authority, as an issuer of tax-exempt obligations, must not engage in any arbitrage practice prohibited by the arbitrage regulations promulgated under the Internal Revenue Code. In no event shall Authority funds be invested in a manner that would violate the provisions of such arbitrage regulations.

V. Provisions Relating to Qualifications of Dealers and Banks

A.1. The purchase and/or sale of Authorized Investments shall be transacted only through banks, trust companies or national banking associations (herein collectively termed "Banks") that are members of the Federal Reserve System and government security dealers (herein termed "Dealers"), which are Banks and Dealers reporting to, trading with and recognized as primary dealers by the Federal Reserve Bank of New York. A list of authorized Banks and Dealers shall be maintained. Banks and Dealers shall have demonstrated an ability to:

a) offer superior rates or prices on the types and amounts of securities required;
b) provide a high degree of attention to the Authority's investment objectives; and
c) execute trades in a timely and accurate manner.

A.2. Authorized Investments may also be purchased or sold through minority- and women-owned firms authorized to transact business in the U.S. government and municipal securities markets. Such qualified firms shall demonstrate the qualities detailed in clauses (a), (b) and (c) of Section V.A.1.

A.3.A. Municipal securities qualifying as Authorized Investments may also be purchased or sold through any municipal bond dealer registered in the State of New York that demonstrates the qualities detailed in clauses (a), (b) and (c) of Section V.A.1.

B. Authorized Certificates of Deposit and time deposits ("Time Deposits") shall be purchased directly from Banks that:

(1) are members of the Federal Reserve System transacting business in the State of New York;
(2) have capital and surplus aggregating at least $50 million; and
(3) demonstrate all the qualities detailed in clauses (a), (b) and (c) of Section V.A.1.

C. Authorized Investments purchased by the Authority or collateral securing its investments shall be deposited only with custodians designated by the Authority. Such custodians shall be Banks that are members of the Federal Reserve System transacting business in the State of New York.

D. The Authority shall file with each qualified dealer a letter agreement that designates the (1) type of authorized investments, (2) Authority employees who are authorized to transact business and (3) delivery instructions for the safekeeping of investments.

E. The Authority shall enter into a written contract with any (1) Dealer from which Authorized Investments are purchased subject to a repurchase agreement and (2) Bank from which Authorized Certificates of Deposit are purchased.

VI. General Policies Governing Investment Transactions

A. Competitive quotations or negotiated prices shall be obtained except in the purchase of government securities at their initial auction or upon initial offering. A minimum of three quotes shall be obtained and documented from Dealers and/ or Banks, except as indicated above, and the most favorable quote accepted. The Treasurer or Deputy Treasurer may waive this requirement on a single-transaction basis only if warranted by market conditions and documented in writing.

B. Authorized Investments purchased shall be either delivered to the Authority's designated custodian or, in the case of securities held in a book-entry account maintained at the Federal Reserve Bank of New York or the Depository Trust Company, recorded in the Authority's name or in the name of a nominee agent or

custodian designated by the Authority on the books of the Federal Reserve Bank of New York or the Depository Trust Company. Payment shall be made to the Dealer or Bank only upon receipt by the Authority's custodian of (1) the securities or (2) in the case of securities held in a book-entry account, written advice or wire confirmation from the Federal Reserve Bank of New York or the Depository Trust Company that the necessary book entry has been made.

C. Each purchase or sale of Authorized Investments or Authorized Certificates of Deposit shall be authorized by the Treasurer or Deputy Treasurer. Investment orders may be placed by Authority employees as designated by the Treasurer. The custodian shall have standing instructions to send a transaction advice to the Authority's Controller for purposes of comparison with internal records. The Controller shall advise the Treasurer of any variances, and the Treasurer shall ensure appropriate corrections are provided.

VII. Policies Concerning Certain Types of Investment Diversification Standards Required

A. Authorized Certificates of Deposit and Time Deposits

1. Authorized Certificates of Deposit and Time Deposits shall be purchased directly from a Bank in the primary market.

2. Authorized Certificates of Deposit and Time Deposits shall be continuously secured/collateralized by Authorized Investments defined in subsection (1) or (2) of Section IV.A., having a market value (exclusive of accrued interest) at all times at least equal to the principal amount of such Certificates of Deposit or Time Deposits. Such Authorized Investments shall be segregated in a separate custodian account on behalf of the Authority. Collateral pledged for Certificates of Deposit or Time Deposits held as investments shall be market valued (marked to market) not less than once per week.

3. Investments in Authorized Certificates of Deposit or Time Deposits shall not exceed 25% of the Authority's invested funds. The par value of Authorized Certificates of Deposit purchased from any one Bank shall not exceed $25 million.

B. Repurchase Agreements

The Authority may from time to time elect to enter into arrangements for the purchase and resale of Authorized Investments (known as “Repurchase Agreements”). This type of investment transaction shall be used only when there is no other viable, short-term investment alternative.

1. A Repurchase Agreement shall be transacted only with a Dealer or Bank qualified to sell Authorized Investments to the Authority that is recognized by the Federal Reserve Bank as a primary dealer.

2. Authorized Investments purchased subject to a Repurchase Agreement shall be marked to market daily to ensure their value equals or exceeds the purchase price.

3. A Repurchase Agreement shall be limited to a maximum fixed term of five business days. Payment for the purchased securities shall be made against delivery to the Authority's designated custodian (which shall not be a party to the transaction as seller or seller's agent) or, in the case of securities held in a book-entry account maintained at the Federal Reserve Bank of New York or the Depository Trust Company, written advice that the securities are recorded in the Authority's name or in the name of a nominee, agent or custodian designated by the Authority on the books of the Federal Reserve Bank or the Depository Trust Company.

4. No more than $50 million of Authorized Investments shall be purchased under a Repurchase Agreement with any one Dealer or Bank. This requirement may be waived by the Executive Vice President and Chief Financial Officer on a single- transaction basis only if warranted by special circumstances and documented in writing.

5. The aggregate amount invested in Repurchase Agreements may not exceed the greater of 5% of the investment portfolio or $100 million. The Executive Vice President and Chief Financial Officer may waive this requirement on a single-transaction basis only if warranted by cash-flow requirements and documented in writing.

6. The Authority may not enter into arrangements (known as Reverse Repurchase Agreements) for the purpose of borrowing monies by pledging Authorized Investments owned by the Authority.

VIII. Review

These Guidelines and any proposed amendments shall be submitted for Trustee review and approval at least once a year.

In addition to the Authority's periodic review, the Authority's independent auditors, in connection with their examination of the Authority, shall perform an annual audit of the investment portfolio, review investment procedures and prepare a report, the results of which will be made available to the Trustees.

IX. Reports

A. The Treasurer shall submit an investment report to the Trustees, at least quarterly. Such report shall contain a (1) detailed description of each investment; (2) summary of the dealers and banks from which such securities were purchased and (3) a list of fees, commissions or other charges, if any, paid to advisors or other entities rendering investment services.

B. The Treasurer shall submit an annual report for approval by the Trustees. In addition to the information provided quarterly, the Annual Report shall include (i) a copy of the Guidelines; (ii) an explanation of the Guidelines and any amendments thereto since the last annual report; (iii) the results of an annual independent audit of investment inventory and procedures and (iv) a record of income earned on invested funds. The approved report shall be submitted to the State Division of the Budget with copies distributed to the Office of the State Comptroller, the Senate Finance Committee and the Assembly Ways and Means Committee. Copies shall be made available to the public upon written reasonable request.

C. Any waivers that occurred during the prior month shall be reported to the Executive Vice President and Chief Financial Officer.

X. Miscellaneous

A. These Guidelines are intended for guidance of officers and employees of the Authority only, and nothing contained herein is intended or shall be construed to confer upon any person, firm or corporation any right, remedy, claim or benefit under, or by reason of, any requirement or provision thereof.

B. Nothing contained in these Guidelines shall be deemed to alter, affect the validity of, modify the terms of or impair any contract, agreement or investment of funds made or entered into in violation of, or without compliance with, the provisions of these Guidelines.

C. No provisions in these Guidelines shall be the basis of any claim against any Trustee, officer or employee of the Authority in his or her individual or official capacity or against the Authority itself.

Section II

EXPLANATION OF INVESTMENT GUIDELINES

Section II Responsibility for Investments

Establishes responsibility for the Investment of Authority Funds and limits the number of individuals authorized to place investment orders.

Section III Investment Goal

Establishes the policy that earning a reasonable return on investments must be consistent with standards set for minimization of risk and availability of funds when needed.

Section IV Authorized Investments

Details the types of investments the Authority can undertake as prescribed in Section 101 of the Resolution.

This section also requires that investments made in each of the Funds established under the Resolution be invested for a term commensurate with cash-flow expectations and that such investments not violate the arbitrage regulations of the Internal Revenue Code.

Section V Provisions Relating to Qualifications of Dealers and Banks

Establishes criteria for the selection of banks and dealers from which the Authority may buy or sell investments. Business is transacted with firms that have demonstrated financial strength and a high degree of reliability with respect to servicing the Authority's needs. This section also directs that custody of Authority investments be maintained by banks that are members of the Federal Reserve System transacting business in the State of New York.

This section also addresses the subject of contracts with banks and dealers for the purchase or sale of Authorized Investments. The Authority has written Letters of Agreement with authorized dealers that specify the types of securities in which the Authority may invest and identify those Authority individuals authorized to give instructions related to the purchase and sale of securities. In addition, the Authority shall have a written form of agreement for use in repurchase transactions with any authorized dealer with which the Authority may transact this type of investment.

Section VI General Policies Governing Investment Transactions

Requires that the Authority solicit no less than three bids for the purchase or sale of securities in order to ensure the most favorable rate except when securities are purchased at their initial auction, upon new issue or through negotiated prices.

Requires that the Authority or its custodian, prior to payment, take possession of such securities, or in the case of book-entry securities, obtain written advice or wire confirmation that transfer or ownership has been recorded.

Establishes authorized employees to approve the purchase or sale of securities.

Establishes control procedures whereby the Controller shall compare the custodian's confirmation to Authority records.

Section VII Policy Concerning Certain Types of Investment Diversification Standards Required

Establishes a policy concerning the purchase of Authorized Certificates of Deposit and Time Deposits intended to minimize the risk associated with such transactions. Authorized Certificates of Deposit or Time Deposits may be purchased directly from a bank that is a member of the Federal Reserve System transacting business in the State of New York. Such deposits shall be continuously secured by Authorized Investments as outlined in subsection (1) or (2) of Section IV.A. This collateral shall be regularly priced to current market to assure the Authority's security interest is continuously protected. Aggregate holdings of Authorized Certificates of Deposit shall not exceed 25% of the Authority's total investment. Authorized Certificates of Deposit purchased from any one bank shall not exceed $25 million.

Establishes a policy intended to minimize the risk associated with arrangements for the purchase and resale of Authorized Investments known as Repurchase Agreements (“Repos”). Repos purchased from any one qualified dealer or bank shall not exceed $50 million and shall be limited to a maximum fixed term of five business days. Aggregate investments in Repos shall not exceed the greater of 5% of the Authority's total investments or $100 million. All securities purchased under the terms of a Repo shall be held in safekeeping by a designated custodian for the Authority. Such securities shall be priced to market on a daily basis to assure the Authority's security interest. Reverse Repurchase Agreements are not authorized transactions.

Section VIII Review

Establishes policy requiring review of the Guidelines at least once a year. Requires an annual audit by the Authority's independent auditors of the Authority's investment portfolio and compliance with the guidelines established by the Authority and the State Comptroller.

Section IX Reports

Establishes policy requiring submission of reports to the Authority's Trustees concerning the management and performance of the Authority's portfolio.

This Section also requires that an annual report be submitted for approval by the Authority's Trustees. Copies of the approved report shall be sent to the State Division of the Budget, Office of the State Comptroller, Senate Finance Committee and Assembly Way and Means Committee.

Section III

A. Investment Income Record

During 2013, the Authority's investment portfolio averaged approximately $1.37 billion and earned approximately $21 million.

The earnings, by fund, were as follows (dollars in millions):

Operating Fund		$20.0
Capital (Construction Funds)		0.4
Other (Energy Services/Note Debt Reserve)	0.6	
Total		**$ 21.0**

The 2013 investment income was $5 million less than investment income earned in 2012. Higher yielding securities matured and rolled off the portfolio and the proceeds from matured securities and investment of new cash flows were invested in lower yielding securities throughout the year.

B. Fees Paid for Other Post-Employment Benefits Trust Fund Investment/Advisory Services

$126,101	Baring Asset Management
$137,486	BlackRock Investment Management, LLC
$135,355	Bradford & Marzec, LLC
$ 84,282	Brandywine Global Investment Management, LLC
$ 51,417	CenterSquare Investment Management
$ 63,758	C.S. McKee, L.P.
$189,986	Fiduciary Management, Inc.
$153,472	GAMCO Asset Management Inc.
$239,151	Lazard Asset Management
$ 38,750	PFM Asset Management, LLC (Advisory Services)
$ 62,098	State Street Global Advisors
$ 98,477	Thomas White International
$ 97,496	Urdang Securities Management, Inc.
$ 98,221	WCM Investment Management
$ 53,796	Wells Capital Management Inc.
$1,629,846	Total

Fees were paid from the OPEB Trust Fund.

C. Fees Paid for Nuclear Decommissioning Trust Fund Investment/Advisory Services

$230,806	Bradford & Marzec, LLC
$174,823	Garcia Hamilton & Associates, L.P.
$334,748	JPMorgan Investment Management, Inc.
$123,363	Mellon Capital Management
$136,250	PFM Asset Management, LLC (Advisory Services)
$259,773	Prudential Investment Management, Inc.
$152,677	Schroder Investment Management North America Inc.
$1,412,440	Total

Fees were paid from the Nuclear Decommissioning Trust Fund.

D. Results of the Annual Independent Audit

In connection with its examination of the Authority's financial statements, KPMG LLP ("KPMG") performed tests of the Authority's compliance with certain provisions of the Investment Guidelines, the State Comptroller's Investment Guidelines and Section 2925 of the Public Authorities Law. Based on discussions with KPMG, Staff is of the opinion that KPMG's written report, which will be delivered upon approval of the financial statements by the Board, will state that the Authority complied, in all material respects, with the requirements during the year ended December 31, 2013. Consequently, staff believes the Authority is in compliance with the Investment Guidelines, the State Comptroller's Investment Guidelines and Section 2925 of the Public Authorities Law.

NEW YORK POWER AUTHORITY
INVENTORY REPORT BY PORTFOLIO AND SECURITY TYPE
HOLDINGS AS OF: 12/31/13

PORTFOLIO / SECURITY	SECURITY DESCRIPTION	PAR AMOUNT	MATURITY DATE	COUPON RATE	YIELD TO MATURITY	PRINCIPAL COST	INTEREST PURCHASED	TOTAL COST
PORTFOLIO: NIAGARA TAX EXEMPT								
MUNICIPAL (Variable Weekly Reset)								
60636WGB5	MO ST HWY & TRANS	2,500,000.00	5/1/2015	0.040	0.070	2,500,000.00	0.00	2,500,000.00
64966CLY5	NEW YORK, NY	4,200,000.00	8/1/2031	0.040	0.160	4,200,000.00	0.00	4,200,000.00
Subtotal:	MUNICIPAL (Variable Weekly Reset)	6,700,000.00			0.126	6,700,000.00	0.00	6,700,000.00
Subtotal: PORTFOLIO: NIAGARA TAX EXEMPT		6,700,000.00			0.126	6,700,000.00	0.00	6,700,000.00
PORTFOLIO: NIAGARA TAXABLE								
FEDERAL HOME LOAN								
313385XT8	DISCOUNT NOTE	6,000,000.00	6/6/2014		0.137	5,995,905.00	0.00	5,995,905.00
Subtotal:	FEDERAL HOME LOAN	6,000,000.00			0.137	5,995,905.00	0.00	5,995,905.00
Subtotal: PORTFOLIO: NIAGARA TAXABLE		6,000,000.00			0.137	5,995,905.00	0.00	5,995,905.00
PORTFOLIO: NOTE DEBT RESERVE								
MUNICIPAL								
57582PTY6	MASS ST	4,155,000.00	7/1/2015	3.250	0.730	4,503,396.75	0.00	4,503,396.75
Subtotal:	MUNICIPAL	4,155,000.00			0.730	4,503,396.75	0.00	4,503,396.75
FEDERAL HOME LOAN								
313374CH1	FEDERAL HOME LOAN	3,895,000.00	10/17/2014	1.000	1.031	3,891,105.00	0.00	3,891,105.00
Subtotal:	FEDERAL HOME LOAN	3,895,000.00			2.681	3,891,105.00	0.00	3,891,105.00
FANNIE MAE								
3135G0ES8	FANNIE MAE	6,065,000.00	11/15/2016	1.375	0.669	6,210,786.22	0.00	6,210,786.22
3135G0PP2	FANNIE MAE	4,883,000.00	9/20/2017	1.000	1.010	4,881,193.29	9,087.81	4,890,281.10
Subtotal:	FANNIE MAE	10,948,000.00			0.821	11,091,979.51	9,087.81	11,101,067.32
FREDDIE MAC								
3137EADD8	FREDDIE MAC	505,000.00	4/17/2015	0.500	0.598	503,454.70	0.00	503,454.70
Subtotal:	FREDDIE MAC	505,000.00			0.598	503,454.70	0.00	503,454.70
Subtotal: PORTFOLIO: NOTE DEBT RESERVE		19,503,000.00			0.838	19,989,935.96	9,087.81	19,999,023.77

NEW YORK POWER AUTHORITY
INVENTORY REPORT BY PORTFOLIO AND SECURITY TYPE
HOLDINGS AS OF: 12/31/13

PORTFOLIO / SECURITY	SECURITY DESCRIPTION	PAR AMOUNT	MATURITY DATE	COUPON RATE	YIELD TO MATURITY	PRINCIPAL COST	INTEREST PURCHASED	TOTAL COST
PORTFOLIO: OPERATING LINKED DEPOSIT PROGRAM								
CERTIFICATES OF DEPOSIT								
	M & T TRUST CO.	92,115.00	1/3/2014		0.000	92,115.00	0.00	92,115.00
	M & T TRUST CO.	34,000.00	1/10/2014	0.300	0.304	34,000.00	0.00	34,000.00
	M & T TRUST CO.	22,000.00	1/10/2014	0.212	0.215	22,000.00	0.00	22,000.00
	M & T TRUST CO.	54,000.00	1/16/2014		0.000	54,000.00	0.00	54,000.00
	The Bank of Castile	72,613.00	1/16/2014		0.000	72,613.00	0.00	72,613.00
	M & T TRUST CO.	67,000.00	2/7/2014	0.230	0.233	67,000.00	0.00	67,000.00
	M & T TRUST CO.	193,000.00	2/7/2014	0.098	0.099	193,000.00	0.00	193,000.00
	M & T TRUST CO.	106,000.00	2/20/2014		0.000	106,000.00	0.00	106,000.00
	M & T TRUST CO.	105,000.00	2/26/2014		0.000	105,000.00	0.00	105,000.00
	ALLIANCE BANK	57,689.00	4/23/2014		0.000	57,689.00	0.00	57,689.00
	M & T TRUST CO.	105,000.00	4/25/2014		0.000	105,000.00	0.00	105,000.00
	FIRST NIAGARA BANK	137,900.00	5/9/2014		0.000	137,900.00	0.00	137,900.00
	FIRST NIAGARA BANK	44,230.00	5/9/2014		0.000	44,230.00	0.00	44,230.00
	FIRST NIAGARA BANK	83,630.00	5/9/2014		0.000	83,630.00	0.00	83,630.00
	EVANS BANK, N.A.	28,300.00	5/23/2014		0.000	28,300.00	0.00	28,300.00
	TIOGA STATE BANK	102,185.00	6/13/2014		0.000	102,185.00	0.00	102,185.00
	M & T TRUST CO.	36,000.00	7/10/2014		0.000	36,000.00	0.00	36,000.00
	NBT BANK, N.A.	31,310.00	7/10/2014		0.000	31,310.00	0.00	31,310.00
	FIRST NIAGARA BANK	15,529.00	7/18/2014		0.000	15,529.00	0.00	15,529.00
	NBT BANK	207,988.00	7/23/2014		0.000	207,988.00	0.00	207,988.00
	FIRST NIAGARA BANK	348,000.00	7/23/2014		0.000	348,000.00	0.00	348,000.00
	M & T TRUST CO.	81,010.00	7/23/2014		0.000	81,010.00	0.00	81,010.00
	M & T TRUST CO.	69,000.00	8/8/2014		0.000	69,000.00	0.00	69,000.00
	Chemung Canal Trust Company	83,750.00	8/28/2014		0.000	83,750.00	0.00	83,750.00
	M & T TRUST CO.	35,000.00	9/5/2014		0.000	35,000.00	0.00	35,000.00
	M & T TRUST CO.	14,000.00	9/5/2014		0.000	14,000.00	0.00	14,000.00
	FIRST NIAGARA BANK	167,679.00	9/12/2014		0.000	167,679.00	0.00	167,679.00
	M & T TRUST CO.	63,000.00	9/18/2014		0.000	63,000.00	0.00	63,000.00
	M & T TRUST CO.	70,000.00	9/18/2014		0.000	70,000.00	0.00	70,000.00
	M & T TRUST CO.	127,000.00	9/25/2014		0.000	127,000.00	0.00	127,000.00
	NBT BANK, N.A.	61,100.00	10/16/2014		0.000	61,100.00	0.00	61,100.00
	FIRST NIAGARA BANK	72,084.00	11/6/2014		0.000	72,084.00	0.00	72,084.00
	M & T TRUST CO.	11,000.00	11/28/2014		0.000	11,000.00	0.00	11,000.00
	NBT BANK, N.A.	153,525.00	12/4/2014		0.000	153,525.00	0.00	153,525.00
	NBT BANK, N.A.	55,430.00	12/4/2014		0.000	55,430.00	0.00	55,430.00
	M & T TRUST CO.	24,000.00	12/4/2014		0.000	24,000.00	0.00	24,000.00
	M & T TRUST CO.	8,000.00	12/12/2014		0.000	8,000.00	0.00	8,000.00
	GENESEE REGIONAL BANK	153,121.00	12/12/2014		0.000	153,121.00	0.00	153,121.00
Subtotal:	CERTIFICATES OF DEPOSIT	3,192,188.00			0.016	3,192,188.00	0.00	3,192,188.00
Subtotal: PORTFOLIO: OPERATING LINKED DEPOSIT PROGRAM		3,192,188.00			0.016	3,192,188.00	0.00	3,192,188.00

NEW YORK POWER AUTHORITY
INVENTORY REPORT BY PORTFOLIO AND SECURITY TYPE
HOLDINGS AS OF: 12/31/13

PORTFOLIO / SECURITY	SECURITY DESCRIPTION	PAR AMOUNT	MATURITY DATE	COUPON RATE	YIELD TO MATURITY	PRINCIPAL COST	INTEREST PURCHASED	TOTAL COST
PORTFOLIO: OPERATING NORTH COUNTRY ECONOMIC DEVELOPMENT FUND								
FEDERAL HOME LOAN								
313385WU6	DISCOUNT NOTE	10,009,000.00	5/14/2014		0.112	10,003,433.88	0.00	10,003,433.88
Subtotal:	FEDERAL HOME LOAN	10,009,000.00			0.112	10,003,433.88	0.00	10,003,433.88
Subtotal: PORTFOLIO: OPERATING NORTH COUNTRY ECONOMIC DEVELOPMENT FUND		10,009,000.00			0.112	10,003,433.88	0.00	10,003,433.88
PORTFOLIO: OPERATING WESTERN NY ECONOMIC DEVELOPMENT FUND								
FANNIE MAE								
313589YM9	DISCOUNT NOTE	25,434,000.00	6/24/2014		0.122	25,417,467.90	0.00	25,417,467.90
Subtotal:	FANNIE MAE	25,434,000.00			0.122	25,417,467.90	0.00	25,417,467.90
Subtotal: PORTFOLIO: OPERATING WESTERN NY ECONOMIC DEVELOPMENT FUND		25,434,000.00			0.122	25,417,467.90	0.00	25,417,467.90
PORTFOLIO: OPERATING STATE PARKS GREENWAY FUND								
FANNIE MAE								
313589YM9	DISCOUNT NOTE	7,459,000.00	6/24/2014		0.122	7,454,151.65	0.00	7,454,151.65
Subtotal:	FANNIE MAE	7,459,000.00			0.122	7,454,151.65	0.00	7,454,151.65
Subtotal: PORTFOLIO: OPERATING STATE PARKS GREENWAY FUND		7,459,000.00			0.122	7,454,151.65	0.00	7,454,151.65
PORTFOLIO: OPERATING FUND								
FEDERAL FARM CREDIT								
31331GTJ8	FEDERAL FARM CREDIT	15,000,000.00	4/17/2014	2.625	3.002	14,744,700.00	0.00	14,744,700.00
31331KNL0	FEDERAL FARM CREDIT	11,000,000.00	6/10/2015	1.375	1.361	11,005,830.00	0.00	11,005,830.00
31331J2S1	FEDERAL FARM CREDIT	10,000,000.00	11/16/2015	1.500	2.260	9,650,800.00	0.00	9,650,800.00
31331X3J9	FEDERAL FARM CREDIT	17,000,000.00	9/13/2016	5.125	4.308	17,987,510.00	0.00	17,987,510.00
31331YSH4	FEDERAL FARM CREDIT	22,625,000.00	1/5/2017	4.250	4.198	22,712,490.00	0.00	22,712,490.00
31331YE97	FEDERAL FARM CREDIT	5,000,000.00	4/17/2017	4.250	4.671	4,848,150.00	0.00	4,848,150.00
Subtotal:	FEDERAL FARM CREDIT	80,625,000.00			3.401	80,949,480.00	0.00	80,949,480.00

NEW YORK POWER AUTHORITY
INVENTORY REPORT BY PORTFOLIO AND SECURITY TYPE
HOLDINGS AS OF: 12/31/13

PORTFOLIO / SECURITY	SECURITY DESCRIPTION	PAR AMOUNT	MATURITY DATE	COUPON RATE	YIELD TO MATURITY	PRINCIPAL COST	INTEREST PURCHASED	TOTAL COST
FEDERAL HOME LOAN								
3133XWKV0	FEDERAL HOME LOAN	18,000,000.00	3/14/2014	2.375	1.348	18,535,740.00	0.00	18,535,740.00
313373JR4	FEDERAL HOME LOAN	10,000,000.00	5/28/2014	1.375	0.627	10,193,000.00	0.00	10,193,000.00
313371WB8	FEDERAL HOME LOAN	10,000,000.00	7/24/2014	1.150	1.346	9,930,800.00	0.00	9,930,800.00
313371AF3	FEDERAL HOME LOAN	25,000,000.00	11/5/2014	1.290	1.291	24,998,750.00	0.00	24,998,750.00
313372ZR8	FEDERAL HOME LOAN	20,000,000.00	3/16/2015	1.800	1.945	19,890,000.00	0.00	19,890,000.00
3133XWNB1	FEDERAL HOME LOAN	10,000,000.00	6/12/2015	2.875	0.398	10,667,230.00	0.00	10,667,230.00
313379KX6	FEDERAL HOME LOAN	20,000,000.00	8/18/2015	0.590	0.590	20,000,000.00	0.00	20,000,000.00
313371NW2	FEDERAL HOME LOAN	30,000,000.00	12/11/2015	1.375	0.405	30,566,100.00	18,333.33	30,584,433.33
313373SZ6	FEDERAL HOME LOAN	20,000,000.00	6/10/2016	2.125	0.782	21,058,000.00	0.00	21,058,000.00
313379AF6	FEDERAL HOME LOAN	15,000,000.00	7/15/2016	1.000	0.831	15,105,000.00	0.00	15,105,000.00
313379DT3	FEDERAL HOME LOAN	20,000,000.00	6/8/2018	1.250	0.897	20,349,687.80	0.00	20,349,687.80
313383CU3	FEDERAL HOME LOAN	25,000,000.00	8/15/2018	1.000	1.000	25,000,000.00	0.00	25,000,000.00
Subtotal:	FEDERAL HOME LOAN	223,000,000.00			0.960	226,294,307.80	18,333.33	226,312,641.13
FANNIE MAE								
31398AXJ6	FANNIE MAE	15,000,000.00	5/15/2014	2.500	2.882	14,736,750.00	0.00	14,736,750.00
3135G0BJ1	FANNIE MAE	25,000,000.00	6/27/2014	1.125	1.171	24,965,000.00	0.00	24,965,000.00
31398AYY2	FANNIE MAE	20,000,000.00	9/16/2014	3.000	2.548	20,372,800.00	0.00	20,372,800.00
3135G0KM4	FANNIE MAE	20,000,000.00	5/27/2015	0.500	0.527	19,984,000.00	0.00	19,984,000.00
31398AU34	FANNIE MAE	25,000,000.00	7/28/2015	2.375	2.091	25,304,500.00	0.00	25,304,500.00
31398A4M1	FANNIE MAE	60,000,000.00	10/26/2015	1.625	1.861	59,273,350.00	0.00	59,273,350.00
3136G0L74	FANNIE MAE	16,085,000.00	1/5/2016	0.500	0.453	16,109,545.71	0.00	16,109,545.71
3135G0AL7	FANNIE MAE	20,000,000.00	3/15/2016	2.250	1.334	20,784,000.00	0.00	20,784,000.00
3135G0BA0	FANNIE MAE	10,000,000.00	4/11/2016	2.375	1.132	10,547,400.00	0.00	10,547,400.00
3135G0CM3	FANNIE MAE	35,000,000.00	9/28/2016	1.250	1.278	34,953,850.00	0.00	34,953,850.00
3135G0ES8	FANNIE MAE	50,000,000.00	11/15/2016	1.375	1.307	50,161,000.00	0.00	50,161,000.00
3135G0JA2	FANNIE MAE	25,000,000.00	4/27/2017	1.125	0.869	25,287,500.00	0.00	25,287,500.00
31359MEL3	FANNIE MAE	10,000,000.00	6/1/2017		0.841	9,620,060.00	0.00	9,620,060.00
3135G0MZ3	FANNIE MAE	25,000,000.00	8/28/2017	0.875	0.918	24,946,500.00	0.00	24,946,500.00
3135G0PQ0	FANNIE MAE	25,000,000.00	10/26/2017	0.875	0.804	25,083,750.00	0.00	25,083,750.00
3135G0TG8	FANNIE MAE	15,000,000.00	2/8/2018	0.875	0.814	15,042,900.00	0.00	15,042,900.00
3135G0WJ8	FANNIE MAE	10,000,000.00	5/21/2018	0.875	0.918	9,978,600.00	0.00	9,978,600.00
3135G0YM9	FANNIE MAE	45,000,000.00	9/18/2018	1.875	1.901	44,945,100.00	0.00	44,945,100.00
3136G1VT3	FANNIE MAE STEP CALLABLE	30,000,000.00	11/14/2018	0.750	1.024	29,910,000.00	0.00	29,910,000.00
3135G0YT4	FANNIE MAE	25,000,000.00	11/27/2018	1.625	0.053	24,904,250.00	0.00	24,904,250.00
Subtotal:	FANNIE MAE	506,085,000.00			1.315	506,910,855.71	0.00	506,910,855.71
FREDDIE MAC								
3137EABX6	FREDDIE MAC	20,000,000.00	1/7/2014	2.500	1.292	20,655,900.00	0.00	20,655,900.00
3128X9C73	FREDDIE MAC	20,000,000.00	2/19/2014	2.175	1.139	20,702,200.00	0.00	20,702,200.00
3137EACH0	FREDDIE MAC	15,000,000.00	2/9/2015	2.875	1.887	15,570,600.00	0.00	15,570,600.00

NEW YORK POWER AUTHORITY
INVENTORY REPORT BY PORTFOLIO AND SECURITY TYPE
HOLDINGS AS OF: 12/31/13

PORTFOLIO / SECURITY	SECURITY DESCRIPTION	PAR AMOUNT	MATURITY DATE	COUPON RATE	YIELD TO MATURITY	PRINCIPAL COST	INTEREST PURCHASED	TOTAL COST
3137EACM9	FREDDIE MAC	20,000,000.00	9/10/2015	1.750	1.433	20,253,000.00	0.00	20,253,000.00
3137EACT4	FREDDIE MAC	20,000,000.00	5/27/2016	2.500	1.176	21,197,400.00	0.00	21,197,400.00
3137EACW7	FREDDIE MAC	20,000,000.00	8/25/2016	2.000	1.048	20,817,000.00	0.00	20,817,000.00
3137EADCO	FREDDIE MAC	20,000,000.00	3/8/2017	1.000	1.101	19,901,400.00	0.00	19,901,400.00
3137EADF3	FREDDIE MAC	30,000,000.00	5/12/2017	1.250	0.747	30,708,900.00	0.00	30,708,900.00
3137EADH9	FREDDIE MAC	15,000,000.00	6/29/2017	1.000	0.801	15,141,000.00	0.00	15,141,000.00
3137EADJ5	FREDDIE MAC	25,000,000.00	7/28/2017	1.000	0.803	25,235,250.00	0.00	25,235,250.00
3137EADL0	FREDDIE MAC	15,000,000.00	9/29/2017	1.000	0.832	15,125,055.00	0.00	15,125,055.00
3134G3G38	FREDDIE MAC	25,000,000.00	11/15/2017	2.000	0.895	26,413,750.00	0.00	26,413,750.00
3137EADN6	FREDDIE MAC	15,000,000.00	1/12/2018	0.750	0.757	14,995,050.00	0.00	14,995,050.00
3137EADP1	FREDDIE MAC	25,000,000.00	3/7/2018	0.875	0.919	24,946,250.00	0.00	24,946,250.00
3134G42P2	FREDDIE MAC	25,000,000.00	5/15/2018	0.750	0.820	24,913,000.00	0.00	24,913,000.00
Subtotal:	FREDDIE MAC	310,000,000.00			1.020	316,575,755.00	0.00	316,575,755.00
MUNICIPAL								
977100AT3	WISCONSIN STATE	4,130,000.00	5/1/2014	4.319	4.457	4,099,768.40	0.00	4,099,768.40
163103RJ5	CHELAN CNTY WASH PUB DIST	2,500,000.00	7/1/2014	4.980	4.415	2,577,050.00	0.00	2,577,050.00
649870JQ6	NYS FIN AGY	2,000,000.00	9/15/2014	4.970	4.971	2,000,000.00	0.00	2,000,000.00
6055803G2	MISSISSIPPI BOND	3,465,000.00	10/1/2014	5.250	4.510	3,598,402.50	0.00	3,598,402.50
649902NP4	NYS DORM PIT	3,000,000.00	12/15/2014	4.811	4.812	3,000,000.00	0.00	3,000,000.00
73358TR78	PORT AUTH NY AND NJ	5,000,000.00	9/15/2015	4.500	4.716	4,932,750.00	0.00	4,932,750.00
6055803H0	MISSISSIPPI BOND	4,535,000.00	10/1/2015	5.250	4.660	4,693,180.80	0.00	4,693,180.80
64966JAW6	NYC G O	3,000,000.00	12/1/2015	3.170	1.500	3,192,210.00	0.00	3,192,210.00
57563EAF5	MASS TRANS	3,500,000.00	1/1/2016	2.058	2.058	3,500,000.00	0.00	3,500,000.00
20772GE20	CT ST GEN OBLIG	10,000,000.00	3/15/2016	4.550	4.550	10,000,000.00	0.00	10,000,000.00
73358TR86	PORT AUTH NY/ NJ	2,900,000.00	9/15/2016	4.750	5.140	2,824,165.00	0.00	2,824,165.00
64971QQV8	NYC TFA	3,950,000.00	11/1/2016	1.550	1.616	3,937,597.00	0.00	3,937,597.00
387892UX8	GRANT CITY WASH PUB UTIL	2,350,000.00	1/1/2017	5.150	4.736	2,388,775.00	0.00	2,388,775.00
631663MZ1	Nassau County NY Interim Fin Auth	8,311,000.00	11/15/2017	1.454	1.454	8,311,000.00	0.00	8,311,000.00
57582PM98	MASS COMMWLTH	10,000,000.00	4/1/2018	1.000	1.123	9,940,800.00	0.00	9,940,800.00
64966KPZ0	NYC G O	6,935,000.00	3/1/2019	1.450	2.512	6,562,729.20	6,145.18	6,568,874.38
6775212J4	OHIO G O	5,000,000.00	11/1/2019	2.550	2.550	4,999,900.00	0.00	4,999,900.00
Subtotal:	MUNICIPAL	80,576,000.00			3.193	80,558,327.90	6,145.18	80,564,473.08
MUNICIPAL (Variable Weekly Reset)								
6499032J9	NYS DORM AUTH REV	20,000,000.00	7/1/2027	0.040	0.040	20,000,000.00	542.47	20,000,542.47
649716JU2	NYC TRANSITIONAL FIN AUTH REV	19,100,000.00	11/1/2028	0.040	0.040	19,100,000.00	1,606.49	19,101,606.49
Subtotal:	MUNICIPAL (Variable Weekly Reset)	39,100,000.00			0.040	39,100,000.00	2,148.96	39,102,148.96
FREDDIE MAC (Mortgage)								
31394TNQ4	FHR 2762 LG	2,759,918.31	9/15/2032	5.000	5.239	2,688,332.93	0.00	2,688,332.93
Subtotal:	FREDDIE MAC (Mortgage)	2,759,918.31			5.239	2,688,332.93	0.00	2,688,332.93

NEW YORK POWER AUTHORITY
INVENTORY REPORT BY PORTFOLIO AND SECURITY TYPE
HOLDINGS AS OF: 12/31/13

PORTFOLIO / SECURITY	SECURITY DESCRIPTION	PAR AMOUNT	MATURITY DATE	COUPON RATE	YIELD TO MATURITY	PRINCIPAL COST	INTEREST PURCHASED	TOTAL COST
FANNIE MAE (Mortgage)								
31393C4K6	FHR 2003-66 KA	893,128.74	1/25/2033	3.500	3.501	897,594.38	0.00	897,594.38
Subtotal:	FANNIE MAE (Mortgage)	893,128.74			3.501	897,594.38	0.00	897,594.38
GINNIE MAE (Mortgage)								
36225BM21	GNMA POOL #781277	385,592.37	12/15/2028	7.000	6.757	397,039.64	0.00	397,039.64
38375KQM7	GNMA POOL 2007-35	8,961,350.99	8/20/2036	6.000	6.083	8,933,346.77	0.00	8,933,346.77
Subtotal:	GINNIE MAE (Mortgage)	9,346,943.36			6.111	9,330,386.41	0.00	9,330,386.41
PROJECT LOAN (Mortgage)								
158995MV9	PROJ LN-USGI 2028	71,437.80	6/1/2015	6.930	6.596	73,670.23	0.00	73,670.23
159996VD6	PROJ LN-REILLY #46	5,611.44	12/1/2018	6.860	6.950	5,590.40	0.00	5,590.40
31342*E99	PL HS 10806 MORISANIA	636,304.57	4/1/2020	8.950	9.074	636,304.57	0.00	636,304.57
Subtotal:	PROJECT LOAN (Mortgage)	713,353.81			8.809	715,565.20	0.00	715,565.20
Subtotal: PORTFOLIO: OPERATING FUND		1,253,099,344.22			1.444	1,264,020,605.33	26,627.47	1,264,047,232.80
PORTFOLIO: ST LAWRENCE SPEC								
TREASURY								
912796AR0	TREASURY BILL	3,393,000.00	2/6/2014		0.155	3,387,955.03	0.00	3,387,955.03
Subtotal:	TREASURY	3,393,000.00			0.155	3,387,955.03	0.00	3,387,955.03
Subtotal: PORTFOLIO: ST. LAWRENCE SPEC		3,393,000.00			0.155	3,387,955.03	0.00	3,387,955.03
PORTFOLIO: ST. LAWRENCE CONSTRUCTION								
FEDERAL HOME LOAN								
313385RU2	DISCOUNT NOTE	6,050,000.00	1/14/2014		0.122	6,048,043.83	0.00	6,048,043.83
313385UL8	DISCOUNT NOTE	10,000,000.00	3/19/2014		0.066	9,998,320.83	0.00	9,998,320.83
Subtotal:	FEDERAL HOME LOAN	16,050,000.00			0.087	16,046,364.66	0.00	16,046,364.66
FREDDIE MAC								
313397SB8	DISCOUNT NOTE	6,000,000.00	1/21/2014		0.041	5,999,393.33	0.00	5,999,393.33
Subtotal:	FREDDIE MAC	6,000,000.00			0.041	5,999,393.33	0.00	5,999,393.33

NEW YORK POWER AUTHORITY
INVENTORY REPORT BY PORTFOLIO AND SECURITY TYPE
HOLDINGS AS OF: 12/31/13

PORTFOLIO / SECURITY	SECURITY DESCRIPTION	PAR AMOUNT	MATURITY DATE	COUPON RATE	YIELD TO MATURITY	PRINCIPAL COST	INTEREST PURCHASED	TOTAL COST
TREASURY								
912796BZ1	TREASURY BILL	4,500,000.00	2/20/2014		0.076	4,499,128.13	0.00	4,499,128.13
Subtotal:	TREASURY	4,500,000.00			0.076	4,499,128.13	0.00	4,499,128.13
Subtotal: PORTFOLIO: ST. LAWRENCE CONSTRUCTION		26,550,000.00			0.075	26,544,886.12	0.00	26,544,886.12
GRAND TOTAL		1,361,339,532.22			1.348	1,372,706,528.87	35,715.28	1,372,742,244.15

Exhibit "A - Section V"
March 25, 2014

POWER AUTHORITY OF THE STATE OF NEW YORK
Summary of Bids/Offers Solicited for the Purchase and Sale of Securities
For the Year Ended December 31, 2013

Brokers	Bids/Offers Accepted	Bids/Offers Solicited	Total Cost Purchases/Sales	CDs and Repurchase Agreements	Total Transactions
Bank of America Securities	18	50	$ 129,563,971	130,000,000	$ 259,563,971
Barclays Capital Inc.	34	133	419,229,316	80,000,000	$ 499,229,316
Blaylock Robert Van LLC	2	4	25,230,881	0	$ 25,230,881
Cantor Fitzgerald	9	98	0	410,000,000	$ 410,000,000
CastleOak Securities L.P.	3	7	39,998,228	0	$ 39,998,228
Citigroup Global Markets	2	2	14,502,562	0	$ 14,502,562
J.P. Morgan Securities Inc.	10	10	153,800,629	0	$ 153,800,629
Key Bank	39	39	0	3,341,188	$ 3,341,188
Loop Capital Markets LLC	3	9	24,142,769	0	$ 24,142,769
Mizuho Securities USA Inc.	104	126	196,479,399	4,056,000,000	$ 4,252,479,399
Muriel Siebert & Co.	4	8	95,400,950	0	$ 95,400,950
RBC Capital Markets	12	32	114,431,564	0	$ 114,431,564
Sandgrain Securities Inc.	1	1	24,913,000	0	$ 24,913,000
Grand Total	**241**	**519**	**$ 1,237,693,269**	**$ 4,679,341,188**	**$ 5,917,034,457**

Exhibit "A-1"
March 25, 2014

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS

EXECUTIVE SUMMARY

The Power Authority of the State of New York (the "Authority") is a corporate municipal instrumentality and political subdivision of the State of New York. The Authority generates, transmits and sells electric power and energy principally at wholesale. The Authority's primary customers are municipal and investor-owned utilities and rural electric cooperatives located throughout New York State, high-load-factor industries and other businesses, various public corporations located within the metropolitan area of New York City and certain out-of-state customers. The Authority does not use tax revenues or State funds or credit. It finances construction of its projects through bond and note sales to private investors and repays the debt holders with proceeds from operations.

In 2013, the Authority continued efforts to implement Energy Services and Technology ("ES&T") Programs that include the installation of high-efficiency lighting, motors and controls; conversion to more efficient chiller and boiler plants and clean renewable distributed generation at customer sites statewide.

The Authority requires the services of outside firms for accounting, engineering, legal, public relations, surveying and other work of a consulting, professional or technical nature to supplement its own staff, as well as to furnish varied goods and services and perform construction work. Many of these contracts are associated with the construction, maintenance and operation of the Authority's electric generating and transmission facilities, transmission lines, as well as for support of the ES&T Programs noted above.

PROCUREMENT GUIDELINES (Exhibit "A-2")

In compliance with the applicable provisions of § 2879 of the Public Authorities Law ("PAL"), as amended, the Authority has established comprehensive Guidelines detailing its operative policy and instructions concerning the use, awarding, monitoring and reporting of procurement contracts. The Guidelines describe the Authority's process for soliciting proposals and awarding contracts. Topics detailed in the Guidelines include solicitation requirements, evaluation criteria, contract award process, contract provisions, change orders, Minority/Women-owned Business Enterprise ("M/WBE") requirements, employment of former officers and reporting requirements. These Guidelines, approved by the Authority's Trustees, were initially implemented on January 1, 1990, and have been amended as deemed advisable and necessary, and reviewed and approved by the Board annually since that date, most recently on March 21, 2013.

Staff has reviewed the Procurement Guidelines and recommends additional changes to make them more consistent with the law or to clarify or improve the Authority's procurement process. The most significant of such changes are highlighted below:

- **NEW YORK STATE *CONTRACT REPORTER* – ADDITIONAL REQUIREMENTS**, as set forth below and in expanded Section 3.H of the Procurement Guidelines, pursuant to Article 4-C of the Economic Development Law:

  ……. For Procurement Contracts resulting from a Request for Proposal process, the Authority will submit the results of the bid opening, including the names of firms submitting proposals and the name/s of the awardee/s, for inclusion on the Contract Reporter website. For all other Procurement Contracts, the name of the awardee will be submitted.

  ……. submittal of a notice / announcement of award for inclusion on the Contract Reporter website is required for Procurement Contracts with a value of $15,000 or more awarded on a sole source or single source basis, including such contracts not exceeding $200,000 awarded to Small Businesses or NYS-certified M/WBE firms, or for the purchase of goods and/or technology that are recycled or remanufactured, or for the purchase of food, including milk and milk products that are grown, produced or harvested in New York State, and certain other Procurement Contracts exempt from the general advertising requirement for procurement contract bidding opportunities, in accordance with the afore-referenced law. Such notice shall specify the name of the awardee.

- **NEW YORK STATE FOOD PRODUCTS**, as set forth below and in Section 3.J.7 of the Procurement Guidelines, pursuant to State Finance Law § 163.6:

  A Procurement Contract may be awarded on a sole source, single source or other non-competitive basis where "the contract is for the purchase of commodities that are food, including milk and milk products, which are grown, produced or harvested in New York State, in an amount not exceeding $200,000, subject to the approvals stated in Section 3.D.

- **TWO ADDITIONAL CONTRACT ATTACHMENTS**, as set forth below and in Section 7.B of the Procurement Guidelines:

  16. Appendix "N" (The New York State Iran Divestment Act of 2012 – Iranian Energy Sector Divestment)

  17. Appendix "O" (Encouraging Use of New York State Businesses in Contract Performance

- **PROJECT SUNLIGHT RECORDING REQUIREMENTS**, as set forth below and in revised paragraph 3.R of the Procurement Guidelines, pursuant to Chapter 399, Part A, Section 4 of the Laws of 2011:

> ***……. Appearances are defined as an interaction through an in-person meeting or a video conference between covered individuals. [A telephonic conversation is no longer considered an appearance.]***

It may also be noted that non-substantive and stylistic changes have also been made throughout the document.

These amended Guidelines, as reviewed by the Governance Committee on March 25, 2014, are now presented to the full Board of Trustees for review and approval at their meeting of the same date. The approved Guidelines will become effective on March 31, 2014 and will be posted on the Authority's internet website. On or before the 31st day of March, such Guidelines will also be filed with the Director of the Division of the Budget, the Department of Audit and Control, the Department of Economic Development, the Senate Finance Committee, the Assembly Ways and Means Committee and the Authorities Budget Office.

ACCOMPLISHMENTS

Major Procurement efforts in 2013 included purchase of goods, services and construction work in support of the Authority's operating projects and Headquarters facilities, Life Extension and Modernization ("LEM") Programs at Lewiston Pump Generating Plant and St. Lawrence, and the Niagara Robert Moses Unit Standardization, Transmission LEM Assessment and Energy Services & Technology ("ES&T") Programs. The Authority's Procurement Department is continuing efforts to enhance the SAP procurement and materials management system, as well as supporting the requirements of the Authority's operations and maintenance work, capital projects, ES&T Programs and Headquarters operations.

Supplier Diversity Program ("SDP")

In New York State's 3rd fiscal quarter, October – December 2013, NYPA awarded $19.9 million or 22% of its reportable expenditures to New York State certified M/WBEs. For the calendar year January – December 2013, the Authority awarded $76.6 million or 23% of its reportable expenditures to New York State certified M/WBEs. This figure includes both direct contracts and subcontract expenditures and represents another all-time high for M/WBE utilization for the Authority. Attachment I illustrates the dollars awarded by the Authority's Supplier Diversity program since 1992.

Reportable expenditures continue to exclude specialty procurements (such as high voltage transformers, circuit breakers, turbine runners, other major electrical generating and mechanical equipment, and commodities such as natural gas), where M/WBEs are not available to provide such goods or services.

It should also be noted that during calendar year 2013, Treasury's transactions with five (5) different NYS certified M/WBE financial dealers totaled over $209.7 million in principal sales and purchases for the Authority.

The Authority continues to actively participate in outreach programs with various M/WBE organizations and trade associations. Efforts are underway to develop and implement NYPA's first Contractor Mentoring Program.

Credit Card Procurement System

The Credit Card Procurement System (CCPS) is utilized to procure goods and services valued under $5,000. At the end of 2013, the Authority had approximately 128 cardholders in both headquarters and operating facilities. The average value of a single transaction was approximately $587. The average number of credit card transactions was 757 per month, with an average monthly value of $444,000, totaling more than $5.3 million in the report year. The total amount of the rebate received back from JP Morgan Chase based on credit card activity for the year 2013 was $59,457.

ANNUAL REPORT – 2013 PROCUREMENT CONTRACTS (Exhibit "A-3")

The Annual Report includes specific details for procurements of $5,000 or greater awarded since January 1, 1990 that were active in 2013. There were 2,295 such contracts with an estimated value of more than $7.2 billion, which also includes fossil fuel and corporate finance expenditures. Total procurement expenditures in 2013 exceeded $765 million. This amount included more than $317 million for the purchase of fossil fuels and related services.

As illustrated in Attachment II, the following is a breakdown of the total number of contracts including fuels and corporate finance by contract type:

- 11% of these contracts are for Construction Services;
- 47% are for the purchase of Equipment and Commodities;
- 3% are for Architectural and Engineering Services;
- 11% are for Personal Service contracts such as professional consulting services;
- 1% are for Legal Services;
- 27% are for Other Services, such as maintenance, technicians, contracted personnel, maintenance and repairs.

Attachment III indicates that, based on the total value of the contracts included in the Annual Report, approximately 97% (including fuels and corporate finance) were for competitively bid contracts. Sole/Single-source awards in 2013 included the purchase of spare parts and services from original equipment manufacturers (OEM), procurements from proprietary sources and procurements required on an emergency basis.

Exhibit "A-2"
March 25, 2014

GUIDELINES FOR PROCUREMENT CONTRACTS

1. PURPOSE

These Guidelines for Procurement Contracts ("Guidelines") set forth the policy of the Authority regarding the solicitation and awarding of procurement contracts. The provisions of Article 4-C of the Economic Development Law, §§ 2879 and 2879-a of the Public Authorities Law, Article 15-A of the Executive Law and §§ 139-j and 139-k of the State Finance Law were considered in developing these Guidelines. Departments and facilities may adopt further procedures to implement these Guidelines.

2. DEFINITIONS

A. "Procurement Contracts" are contracts for the acquisition of goods and/or services in the actual or estimated amount of $5,000 or more. Such goods and/or services are those necessary to support the Authority's White Plains office, facilities, operations and maintenance ("O&M") and capital projects, including but not limited to goods such as office supplies, major electrical equipment, construction and maintenance work and services as more fully described in Section 2.C below.

B. "Non-Procurement Contracts" include contracts for energy with or without environmental attributes included, capacity, ancillary services, transmission, distribution or related services in support of providing service to Authority customers; contracts for differences; financial hedge contracts (including but not limited to swaps, calls, puts or swap options) and credit rating services. In addition, Non-Procurement Contracts include direct placement of advertisements with radio, television, print and electronic media, periodicals, subscriptions, reference materials or professional research tools, written materials, fees or tuition associated with continuing education courses, training courses, conferences, seminars and symposiums, funding agreements, co-funding agreements, grants or memberships in various industry groups, professional societies or similar cooperative associations, or any cooperative projects and procurement activities conducted or sponsored by such organizations in which the Authority participates.

C. "Services Contracts" are Procurement Contracts for services of a consulting, professional or technical nature provided by outside consultants/contractors (individuals, partnerships or firms who are not and do not employ officers or employees of the Authority) for a fee or other compensation. Services Contracts comprise three specific types: Personal Services, Non-Personal Services and Construction. Personal Services include, but are not limited to: accounting, architectural, engineering, financial advisory, legal, public relations, planning,

management consulting, surveying, training (when specifically developed by consultant for the Authority) and construction management. Non-Personal Services include, but are not limited to: skilled or unskilled temporary personnel, including clerical office staff, technicians or engineers working under Authority supervision; maintenance, repairs, and printing services. Construction consists of craft labor and other services utilizing laborers and/or mechanics not otherwise considered Non-Personal Services.

> Note: Use of such services may be appropriate (1) when a consultant/contractor possesses special experience, background or expertise; (2) when there is insufficient Authority staff and retention of a consultant/contractor is more appropriate or economical than hiring additional permanent staff; (3) to provide independent external review or a second opinion; (4) to meet unusual schedule requirements or emergencies or (5) for a combination of these factors.

D. "Goods" include equipment, material and supplies of any kind. Procurement Contracts that include both equipment and services may be classified as "Equipment," where 60% or more of the total projected contract value will be for the purchase of equipment, material or supplies.

E. "Evaluation of Proposals," as further set forth in Section 4 below, includes as evaluating factors the Authority's consideration of a bidder's skill, judgment and business integrity.

F. "Contact" is any oral, written or electronic communication with the Authority under circumstances where a reasonable person would infer that the communication was intended to influence the procurement.

G. "Relative" is any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant, as referred to in Subsection 9.E.1 of these Guidelines.

H. "Minority and Women-owned Business Enterprise" ("M/WBE") is defined as any New York State-certified business enterprise at least 51% of which is owned by black persons, Hispanics, Native Americans, Asians, Pacific Islanders and/or women, and as further described in the Authority's Supplier Diversity Program Policy and Procedures and Executive Law Article 15-A, and pursuant to the definition found in Executive Law § 310.

I. "Small Business" is a business that is resident in New York State, is independently owned and operated, not dominant in its field and employs not more than 300 people.

J. "Single Source" is a procurement in which the Authority, upon written findings setting forth material and substantial reasons, may award a contract (or amendment to a contract) to one offerer over another that can supply the goods or services.

K. "Sole Source" is a procurement in which only one offerer is capable of supplying the required goods or services.

3. SOLICITATION REQUIREMENTS

A. Preparation of the solicitation of proposals for Procurement Contracts is the joint responsibility of the White Plains Procurement Department, or the facilities' Procurement Departments, and the initiating department. Except as otherwise authorized by these Guidelines, a Request for Proposals ("RFP") or Request for Quotations ("RFQ") will be made available to a minimum of three providers and/or firms (if available) for purchases valued under $25,000 and a minimum of five providers and/or firms (if available) for purchases valued at $25,000 and greater, commensurate with the magnitude and nature of the goods and/or services, and the schedule for performance. Whenever possible and practicable, notification of the availability of an RFP and RFQ on the Authority's Procurement website should be sent to more than five providers.

B. Prospective bidders on Procurement Contracts may be prequalified by invitation. In such cases, proposals are requested only from those providers and/or firms whose prequalification submittals demonstrate sufficient ability and competence (including, but not limited to, the bidder's skill, judgment and business integrity) to supply the particular goods and/or perform the particular services required.

C. The Authority may withdraw any pending solicitation (including but not limited to RFPs and RFQs) at any time, for cause or no cause. Any person or entity submitting any responsive document to the Authority does so at its own cost or expense and will not be reimbursed by the Authority for the preparation of any responsive document, unless otherwise agreed to in writing and signed by an authorized Authority representative.

D. In order to promote the use of Minority and Women-owned Business Enterprises ("M/WBEs"), the Authority will solicit offers from M/WBEs known to have experience in the type of goods and/or services to be provided, regardless of the type of contract. For the purpose of these Guidelines, the definition of a NYS-certified M/WBE is in Section 2.H.

To foster increased use of M/WBEs, a single proposal may be sought, negotiated and accepted for purchases of goods and/or services not exceeding $200,000, in the aggregate including all amendments, from a NYS-certified M/WBE that offers a reasonable price for such goods and/or services. The award of such proposal

requires the written approval of the Vice President of Procurement. Any subsequent alteration to the accepted proposal, including, but not limited to, change orders, amendments, or supplemental terms shall also necessitate the written approval of the Vice President of Procurement. Furthermore, the award of such procurement contracts will be noticed on the *Contract Reporter* website, as further set forth in Section 3.H.

E. It is the policy of New York State to promote the participation of and maximize the opportunities for New York State Business Enterprises and New York State residents in Procurement Contracts. The Authority will endeavor to promote such participation and to comply with the applicable statutory provisions. In furtherance of Public Authorities Law § 2879, the following definitions and actions apply:

1. "New York State Business Enterprise" is a business enterprise, including a sole proprietorship, partnership or corporation that offers for sale or lease or other form of exchange, goods sought by the Authority that are substantially manufactured, produced or assembled in New York State or services, excluding construction services, sought by the Authority that are substantially performed within New York State as further described in Public Authorities Law § 2879.

2. "New York State resident" is a person who maintains a fixed, permanent and principal home in New York State to which such person, whenever temporarily located, always intends to return as further described in Public Authorities Law § 2879.

3. "Foreign Business Enterprise" is a business enterprise, including a sole proprietorship, partnership or corporation, that offers for sale, lease or other form of exchange, goods sought by the Authority that are substantially produced outside New York State, or services other than construction services, sought by the Authority that are substantially performed outside New York State as further described in Public Authorities Law § 2879. For purposes of construction services, Foreign Business Enterprise is a business enterprise, including a sole proprietorship, partnership or corporation, which has its principal place of business outside New York State.

4. "Discriminatory Jurisdiction" is any country, nation, province, state or political subdivision thereof which employs a preference or price distorting mechanism to the detriment of, or otherwise discriminates against, a New York State Business Enterprise in the procurement of goods and/or services by the same or a non-governmental entity influenced by the same.

5. Pursuant to Public Authorities Law § 2879, the Authority shall not enter into a contract with a Foreign Business Enterprise which has its principal place of business in a Discriminatory Jurisdiction contained on the list prepared by the Commissioner of the New York State Department of Economic Development ("DED"). The provisions of this section may be waived by the Authority's President and CEO if the CEO determines in writing that it is in the best interests of the Authority to do so, as further set forth in the above-referenced law.

6. Pursuant to Public Authorities Law § 2879, the Authority will, where feasible, make use of the stock item specification forms of New York State manufacturers, producers and/or assemblers, as made available by the Commissioner of General Services, for any Procurement Contract for the purchase of goods.

F. Goods and/or services may be procured pursuant to Procurement Contracts let by any department, agency, officer, political subdivision or instrumentality of the State (e.g., the New York State Office of General Services ("OGS")) or Federal government (e.g., General Services Administration ("GSA")) or any city or municipality where the White Plains Procurement Department, or facility Procurement Departments, and the initiating department determine that a reasonable potential exists for cost savings or other benefits to the Authority and have approved the specifications and proposed terms and conditions of such contract.

G. Solicitations will include a scope of work that defines the goods required and/or the services to be performed; milestone dates; the Authority's Supplier Diversity Program requirements, if applicable; all other applicable Authority requirements and any special methods or limitations that the Authority chooses to govern the work. Telephone solicitation, usually for procurements valued at $25,000 or less, may be used where time constraints do not permit issuance of an RFP, where issuance of an RFP is otherwise impracticable or for goods that are catalog items or do not require a detailed bill of materials or specification.

H. For all Procurement Contracts with a value equal to or greater than $15,000 (except for those contracts noted below), the Authority will, prior to soliciting proposals, submit the following information to the Commissioner of the DED to be included on the New York State *Contract Reporter* website, (www.nyscr.ny.gov) (unless such posting would serve no useful purpose): (1) the Authority's name and address; (2) the solicitation number; (3) a brief description of the goods and/or services sought, the location where goods are to be delivered and/or services provided and the contract term; (4) the address where bids or proposals are to be submitted; (5) the due date for bids or proposals; (6) a description of any eligibility or qualification requirements or preferences; (7) a statement as to whether the contract requirements may be fulfilled by a subcontracting, joint venture or

coproduction arrangement; (8) any other information deemed useful to potential contractors; (9) the name, address, and phone number of the person to be contacted for additional information and (10) a statement as to whether the goods and/or services sought have, in the immediately preceding three-year period, been supplied by a Foreign Business Enterprise. Such information will be submitted to the DED Commissioner in accordance with the schedule set forth by the DED. The due date for bids or proposals will be a minimum of 15 business days after the date of publication of such notice on the *Contract Reporter* website, except where a shorter period is specifically authorized by law. For Procurement Contracts resulting from a Request for Proposal process, the Authority will submit the results of the bid opening, including the names of firms submitting proposals and the name/s of the awardee/s, for inclusion on the *Contract Reporter* website. For all other Procurement Contracts, the name of the awardee will be submitted.

This section 3.H does <u>not</u> apply to (i) Procurement Contracts awarded on an emergency basis as described below in Section 3.L, (ii) Procurement Contracts being rebid or re-solicited for substantially the same goods and/or services, within 45 business days after the original due date, and/or (iii) Procurement Contracts awarded to not-for-profit human services providers. (See Article 4-C, Economic Development Law)

Certain Procurement Contracts may require purchases: (1) on a spot market; (2) needed prior to the time limits for noticing on the Contract Reporter website or that do not lend themselves to the solicitation process. Such purchases are exempted from the noticing requirements of Article 4-C of the Economic Development Law subject to the approval of the Vice President of Procurement, and/or the head of the initiating department that does not complete its procurements through the Procurement Department. From time to time or where appropriate, generic notices may be published on the Contract Reporter website notifying potential bidders of such opportunities and soliciting qualification statements for consideration by the Authority.

Notwithstanding the foregoing, submittal of a notice / announcement of award for inclusion on the *Contract Reporter* website is required for Procurement Contracts with a value of $15,000 or more awarded on a sole source or single source basis, including such contracts not exceeding $200,000 awarded to Small Businesses or NYS-certified M/WBE firms, or for the purchase of goods and/or technology that are recycled or remanufactured, or for the purchase of food, including milk and milk products that are grown, produced or harvested in New York State, and certain other Procurement Contracts exempt from the general advertising requirement for procurement contract bidding opportunities, in accordance with the afore-referenced law. Such notice shall specify the name of the awardee.

I. Proposals for certain Services Contracts may also be solicited by competitive search, as follows:

For contracts where the scope of work cannot be well defined or quantified, or where selection requires evaluation of factors such as breadth and depth of experience in a unique or highly specialized field and suitability as an Authority representative, a "competitive search" will be conducted to determine which consultants are most qualified, for reasonable compensation terms, to perform the work. Depending on market conditions, at least five potential sources should be evaluated; if there are fewer than five sources, all sources should be evaluated. The White Plains Procurement Department or the appropriate facility Procurement Department will work with the initiating department to gather information from potential sources, that will include a description of the consultant/firm's qualifications, résumés of key personnel, past experience and proposed billing rates.

J. A Procurement Contract may be awarded on a Sole Source, Single Source, or other non-competitive basis where:

1. Compatibility of equipment, accessories or spare or replacement parts is the paramount consideration.
2. Services are required to extend or complement a prior procurement and it is impracticable or uneconomic to have a source other than the original source continue the work.
3. A sole supplier's item is needed for trial use or testing, or a proprietary item is sought for which there is only one source.
4. Other circumstances or work requirements exist that cause only one source to be available to supply the required goods and/or services.
5. The contract is awarded to a Small Business or to a NYS-certified M/WBE firm for purchases not exceeding $200,000, pursuant to Section 3.D.
6. The contract is for the purchase of goods and/or technology that are recycled or remanufactured, in an amount not exceeding $200,000, subject to the approvals stated in Section 3.D.
7. The contract is for the purchase of commodities that are food, including milk and milk products, which are grown, produced or harvested in New York State, in an amount not exceeding $200,000, subject to the approvals stated in Section 3.D.
8. Purchases made on a Sole Source, Single Source, or other non-competitive basis are subject to Public Authorities Law § 2879-a, 2 NYCRR Part 206, entitled "Comptroller Approval of Contracts Made by State Authorities" ("Comptroller Regulations") and the State Authority Contract Manual.

K. Pursuant to Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, the Authority may be required to submit certain contracts to the New York State Comptroller for approval that are awarded on a Sole Source, Single Source, or other non-competitive basis for the purchase of goods and/or services in an amount in excess of $1 million, and shall notify the successful bidder therefor. Such contracts or contract amendments shall not be valid and enforceable unless approved by the Comptroller or until 90 days have elapsed from such submission without action by the Comptroller, as further set forth in the referenced law and regulations.

L. Subject to the Authority's Expenditure Authorization Procedures ("EAPs"), and Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, a Procurement Contract may be awarded without following the solicitation requirements that ordinarily apply (but using such competitive selection procedures as are practicable under the circumstances) where emergency conditions exist, such as:

1. A threat to the health or safety of the public or Authority employees or workers.
2. Proper functioning of the Authority facilities or construction or operating projects requires adherence to a schedule that does not permit time for an ordinary procurement solicitation.

M. Whenever an initiating department determines that a Procurement Contract should be awarded on a Single Source, Sole Source, or an emergency basis, the head of the department will provide a written statement explaining the reasons therefor to the White Plains Procurement Department or the appropriate facility Procurement Department. The award of such Procurement Contracts, regardless of value, requires the written approval of the Vice President of Procurement, except as noted in Section 3.H.

N. Every potential Sole Source or Single Source contract with a value of $1 million or more must be approved by the President and CEO or the COO prior to processing by the Procurement Department.

O. In furtherance of Public Authorities Law § 2800, when a procurement is made on a non-competitive basis, and the price for goods or services purchased exceeds fair market value, prior to making the purchase, the Business Unit Head of the initiating department shall provide a detailed explanation of the justification for making the purchase and a certification shall be signed by the Chief Executive Officer and Chief Financial Officer of the Authority stating that they have reviewed the terms of such purchase and determined that it complies with applicable law and procurement guidelines. The following definition shall apply: "Fair Market Value" shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for property in an arms-length transaction in the appropriate marketplace and under similar circumstances. Fair market value may be determined by

internal appraisals, industry-recognized sources, or other methods of valuation generally accepted in the industry in which such property is utilized, as may be approved by the Vice President of Procurement or authorized designee.

P. It is the policy of New York State to discourage improper communications intended to influence a governmental procurement. The Authority will endeavor to control such practices and will comply with the applicable statutory provisions. In furtherance of the State Finance Law §§ 139-j and 139-k, the following definitions shall apply:

1. Pursuant to Article 11-B of the State Finance Law a "Procurement Contract" is any contract or other agreement for a commodity, service, technology, public work, construction, revenue contract, the purchase, sale or lease of real property or an acquisition or granting of other interest in real property that is the subject of a governmental procurement. Grants, contracts between the Authority and non-profit organizations pursuant to Article 11-B of the State Finance Law, intergovernmental agreements, railroad and utility force accounts, utility relocation project agreements or orders and eminent domain transactions are not Procurement Contracts.

2. The "Restricted Period" is the period of time commencing with the earliest written notice, advertisement or solicitation of a request for proposal, invitation for bids, or solicitation of proposals, or any other method for soliciting a response from bidders/contractors intending to result in a procurement contract with the Authority and ending with the final contract award.

3. The Authority shall designate a person or persons who may be contacted, with respect to each Authority procurement. The bidders/contractors or persons acting on their behalf, shall only contact the Authority's designated person or persons where a reasonable person would infer that the communication was intended to influence the procurement during the Restricted Period.

Q. In furtherance of the Authority's commitment to ensure transparency and accountability of its operations, every member, officer or employee of the Authority who is contacted by a lobbyist is required to make a contemporaneous record of such contact, pursuant to Public Authorities Law § 2987 and as further set forth in the Authority's Corporate Policy regarding this matter.

R. Project Sunlight (Chapter 399, Part A, Section 4 of the Laws of 2011) requires the Authority to record in a database maintained by the New York State Office of General Services certain appearances between the Authority and individuals, firms or other entities (excluding elected officials and representatives of federal, state and local agencies and authorities) relating to the procurement of a contract, with a

value of $25,000 or more, for real property, goods or services. Appearances are defined as an interaction through an in-person meeting or a video conference between covered individuals. Appearances related to emergency procurements and disposal of property through public auctions are excluded, as are appearances that take place during the formal "Restricted Period." Covered individuals at the Authority means an individual at the Authority who has the power to exercise discretion or advises someone who exercises discretion. A covered individual outside of the Authority means both "external" (e.g., a lobbyist) and "internal" (e.g., sales representative) representatives of an entity, individuals appearing on behalf of him/herself, advocacy groups or organizations or entities representing the interests or concerns of the organization or entity or of its members. All such appearances must be promptly reported to the Authority's Ethics and Compliance Office for recording in the Project Sunlight database.

4. EVALUATION OF PROPOSALS

A. Proposals will be evaluated using a fair and equitable comparison of all aspects of the proposals against the specifics of the solicitation and against each other, including an analysis of each offer that considers: the quality of the goods and/or the competence of the bidder (including, but not limited to, the bidder's skill, judgment and business integrity), the technical merit of the proposal and the price for which the goods and/or services are to be supplied.

In the event the price submitted by the bidder recommended to be awarded a contract exceeds the cost estimated, where a cost estimate is provided on the solicitation at the time of bidding, the initiating department will prepare a written explanation to be reviewed by the White Plains Procurement Department and/or the appropriate facility Procurement Department and appropriate managers as stipulated in the EAPs. The following options should be considered: (1) rejecting the bids, resoliciting proposals and/or modifying the scope of work; (2) revising the cost estimate and proceeding with the contract award and (3) negotiating with the low bidder(s), as determined by the Vice President of Procurement or equivalent(s) or designee, to reduce the price quoted. Factors to be considered in reaching the proper course of action include but are not limited to: the effects of a delay on both the schedule and the cost of the specific capital construction project or outage at an operating facility, the magnitude of the contract, available bidders, the ability to attract additional competition if the solicitation is reissued, and the accuracy of the original cost estimate. The recommended course of action and the reasons therefor must be fully documented in a memorandum for consideration by the appropriate level of management prior to approval and placed in the appropriate procurement file.

B. Factors to be considered in evaluating the goods and/or services to be supplied and/or the competence of the bidder are: previous experience (including applicable experience in New York State and evaluations from other clients for whom the bidder has provided goods and/or services); the abilities and experience of the personnel to be assigned to the Authority's work and the ability to provide any needed advanced techniques such as simulation and modeling; and overall, the bidder's skill, judgment and business integrity. The approach proposed in meeting the exact requirements of the scope of work will be given consideration in evaluating the technical merit of the proposal, together with a well-organized task structure, the ability to timely supply the goods and/or perform the proposed services and the ability to meet Supplier Diversity Program goals, if any. The need to purchase the goods from and/or subcontract performance of services to others will be evaluated as to their effects on cost, as well as quality, schedule and overall performance.

C. For Services Contracts (as defined in Section 2.C of these Guidelines), the technical merits of the proposals and the experience and capabilities of the bidders will be the primary factors in determining the individual or firm to be awarded the contract, provided that the price for performing such work is reasonable and competitive.

D. For Procurement Contracts other than Personal Services (as defined in Section 2.C of these Guidelines), the award should generally be made to the lowest-priced firm submitting a proposal that meets the commercial and technical requirements of the bid documents.

E. Pursuant to § 139-k of the State Finance Law, the Authority shall not award a Procurement Contract (as defined in Subsection 3.P.1 of these Guidelines) to a bidder/contractor who fails to provide timely, accurate and complete responses to inquiries about past determinations of non-responsibility (unless awarding the contract is necessary to protect public property or public health or safety and the bidder/contractor is the only source capable of supplying the required article of procurement within the necessary timeframe.)

A bidder's/contractor's knowing and willful violation of the Authority's policy providing for certain procurement disclosures shall result in a determination of non-responsibility of such bidder/contractor pursuant to State Finance Law §§ 139-j and 139-k only.

More than one determination of non-responsibility due to violations of State Finance Law § 139-k in a four-year period shall render a bidder/contractor ineligible to submit bids for four years from the second determination of non-responsibility.

F. An award to "other than low bidder" can be made only with the approval of appropriate management as stipulated in the EAPs, and should be based on such a proposal providing a clear advantage to the Authority over the lower-priced proposal. Factors justifying an "other than low bidder" award may include, but are not limited to: improved delivery schedules that will reduce outages; longer warranty periods; improved efficiency over the usable life of the equipment; reduced maintenance costs; the bidders' financial resources or the ability to meet or exceed Supplier Diversity Program goals; and overall, the bidder's skill, judgment and business integrity.

G. The specifications set forth in any solicitation prepared under these Guidelines were based upon information available at the time of the preparation of the solicitation. Thus, the Authority may diverge from the specifications of any solicitation if, after review of the proposals responsive to such solicitation, the Authority deems it prudent in light of its experience, the circumstances of the solicitation and/or potential cost savings.

5. RECOMMENDATION OF AWARD

A. A recommendation for approval of a proposed award of a Procurement Contract is usually prepared in the form of a memorandum or e-mail by the department requiring the goods and/or services. The recommendation must include an evaluation of proposals as specified in Article 4 above, as well as proposed specific compensation terms that provide a clear breakdown of cost factors and methods of calculation, including, as applicable:

1. Lump sum and/or unit prices for equipment and construction work.

2. Hourly or daily rates for personnel.

3. Markups for payroll taxes, fringe benefits, overhead and fees, if the proposal is based on reimbursement of actual payroll costs.

4. Terms for reimbursement of direct out-of-pocket expenses, such as travel and living costs, telephone charges, services of others and computer services.

5. Provisions, if any, for bonus/penalty arrangements based on target person-hours and/or target schedule.

B. The recommendation will also review any substantive exceptions to commercial and technical requirements of a price inquiry, RFP, RFQ or bidding documents, including but not limited to payment terms, warranties and bond requirements, if any.

6. AWARD OF CONTRACT

A. Services Contracts to be performed for a period of more than 12 months are approved and reviewed annually by the Trustees. Services Contracts for a period of less than 12 months are approved by authorized designees in accordance with existing EAPs. Extending a contract for services with an initial duration of less than 12 months beyond 12 months will be approved by the Trustees at the request of the initiating department and will be reviewed by the Trustees annually. Extending a contract for services, that has previously been approved by the Trustees, for a cumulative term of more than 12 months requires further Trustees' approval. Extending a contract, previously approved by the Trustees, for 12 months or less requires approval by an authorized designee in accordance with existing EAPs and concurrence by the Vice President of Procurement.

B. For Services Contracts to be performed for a period of more than 12 months that must be awarded prior to the next quarterly Trustees' meeting, the initial contract will be issued for the entire intended term of the contract. Based on its total value, such contract must be approved by the appropriate management as set forth in the EAPs. Such contract is subject to the Trustees' approval, at the next quarterly Trustees' meeting. If such approval is not granted, the contract will be terminated immediately.

C. A contract or contract task is deemed to be for services in excess of 12 months where the contract does not specify a definite term and the work will not be completed within 12 months, and any "continuing services" contract with no fixed term that provides for the periodic assignment of specific tasks or particular requests for services. This includes Trustee-approved contracts for architect/ engineering services with the original engineers of operating facilities, as well as the original supplier of steam supply systems or boilers and turbine generating equipment. Each task authorized under such contracts (which may be referred to as a "Change Order," "Purchase Order" or "Task Number") is considered a separate commitment and must be separately approved in accordance with the EAPs.

D. The term of a Personal Services contract is limited to a maximum of five (5) years, including any extensions.

E. When time constraints or emergency conditions require extending an existing contract with an initial duration of less than a year beyond a year, and the cumulative monetary change order value does not exceed the appropriate limit set forth in the EAPs, the Business Unit Head, with the prior concurrence of the Vice President of Procurement or equivalent(s) or designee, may authorize extending such contract, subject to the Trustees ratifying such action as soon as practicable.

F. When the total estimated contract value or the value of the extension exceeds the monetary limits set forth in the EAPs, interim approval by the President and Chief

Executive Officer or Chief Operating Officer or equivalent(s) or designee is required, subject to the Trustees ratifying such action as soon as practicable.

G. When time constraints or emergency conditions require immediate commencement of services to be performed for a period of more than one year, and when the contract value exceeds the monetary approval limit for the President and Chief Executive Officer or Chief Operating Officer or equivalent(s), as set forth in the EAPs, the President and Chief Executive Officer or Chief Operating Officer or equivalent(s) or designee, with the prior concurrence of the Vice President of Procurement or equivalent(s) or designee, may authorize the commencement of such services. The initial compensation limitation may not exceed the authorization level for the President and Chief Executive Officer or equivalent(s) or Chief Operating Officer or equivalent(s) as set forth in the EAPs. Such contracts will be subject to the Trustees' approval, which will be solicited at their next scheduled Trustee meeting.

H. The White Plains Procurement Department or the facilities' Procurement Departments prepare the contract for execution by the Authority and the successful bidder. No work by the selected contractor will commence until the contract is executed by both parties, except that mutually signed letters of award or intent may initiate work prior to formal execution. Authority signatories of such letters must be authorized to approve contract awards pursuant to the EAPs.

I. Pursuant to Public Authorities Law § 2879, the Authority shall notify the Commissioner of Economic Development of the award of any Procurement Contract for the purchase of goods and/or services from a Foreign Business Enterprise (as defined in Subsection 3.E.3 of these Guidelines) in an amount equal to or greater than $1 million simultaneously with notifying the successful bidder therefor. The Authority shall not enter into the Procurement Contract for said goods and/or services until at least 15 days have elapsed from the notification of the award, except for a Procurement Contract awarded on an emergency or critical basis. The notification to the Commissioner shall include the name, address, telephone and facsimile number of the Foreign Business Enterprise, the amount of the proposed Procurement Contract and the name of the individual at the Foreign Business Enterprise or acting on behalf of same who is principally responsible for the proposed Procurement Contract.

7. CONTRACT PROVISIONS

A. The following standard forms of contracts are available from the White Plains Procurement Department: purchase order format (for standard procurements of goods and/or services); furnish-and-deliver format (for major equipment purchases); long form agreements (for consulting services) and maintenance agreement formats; contract work orders (for construction work of small magnitude); construction contracts (for major construction work)

and furnish, deliver and install contracts (for specialized, major procurements where single responsibility is required for procurement and installation). These contract forms are intended to govern the purchase of goods and/or performance of services.

Authority departments proposing to initiate a Procurement Contract should review these forms to suggest any modifications and additions that may be required for the particular goods and/or services. Under no circumstances should contract forms be shown to proposed bidders without the prior approval of the Procurement Department, which, along with the facilities' Procurement Departments, is solely responsible for requesting proposals.

B. The following types of provisions setting forth contractor responsibilities are to be contained in the standard forms of Procurement Contracts, except that any provisions listed below that are inapplicable or unnecessary because of the nature or duration of the work to be performed, the location(s) where the work is to be performed or the type of compensation being paid therefor, need not be included. Other provisions may be added as necessary and appropriate.

1. Schedule of Services or Specifications
2. Time of Completion
3. Compensation or Itemized Proposals
4. Relationship of Parties
5. Delays
6. Termination
7. Changes in the Work
8. Claims and Disputes
9. Warranty
10. Insurance
11. Records, Accounts, Inspection and Audit
12. Assignment
13. Notices
14. Indemnification
15. Governing Law
16. Proprietary Nature of Work
17. Testimony
18. Entire Agreement

<u>Contract Attachments</u>

1. Compensation Schedule
2. Schedule of Services or Specifications
3. Appendix "A" (Miscellaneous Statutory Provisions)
4. Appendix "B" (Prompt Payment Provisions)
5. Appendix "C" (Minority and Women-owned Business Enterprise (M/WBE) Participation Goal Requirement)
6. Appendix "D" (Background Security Screening for Authority Contractors)

7. Appendix “E” (Omnibus Procurement Act of 1992 Requirements)
8. Appendix “F” (Computer Aided Design Requirements For New York Power Authority Drawings)
9. Appendix “G” (Equal Employment Opportunities Requirements)
10. Appendix “H” (Tax Law Requirements)
11. Appendix “I” (New York Power Authority (NYPA) North American Electric Reliability Corporation Critical Infrastructure Protection (NERC CIP) Cyber Access And/Or Unescorted Physical Access Training Requirements)
12. Appendix “J” (Bidder/Contractor Compliance with State Finance Law §§ 139-j and 139-k Providing for Certain Procurement Disclosures)
13. Appendix “K” (Additional State and Federal Provisions Required for American Recovery and Reinvestment Act (Pub. L. No. 111-5 Stat. 2009) (“ARRA”) Funded Projects)
14. Appendix “L” (DOE Federal Contract Provisions)
15. Appendix “M” (Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology (“BART”) for Heavy Duty Vehicles)
16. Appendix “N” (The New York State Iran Divestment Act of 2012 – Iranian Energy Sector Divestment)
17. Appendix “O” (Encouraging Use of New York State Businesses in Contract Performance)

C. If a vendor (firm, person or other entity) participates in the development or writing of the specifications for a procurement solicitation, such vendor shall not be permitted to bid on such procurement, either as a prime vendor or as a subcontractor at any level. Contracts for evaluation of offers for products or services shall not be awarded to a vendor that would then evaluate its own offers for products or services. The above restrictions shall not apply where:

1. The vendor is the sole source or single source of the product or service;
2. More than one vendor has been involved in preparing the specifications for a procurement proposal; or
3. The originating Authority Business Unit determines in writing that the restrictions are not in the best interests of the Authority. Such originating Business Unit shall obtain the approval of the applicable Business Unit Head or equivalent(s), Vice President of Procurement or equivalent(s) or designee, Assistant General Counsel or equivalent(s) and President and Chief Executive Officer or designee or Chief Operating Officer or equivalent(s) to waive this restriction on a case-by-case basis.

8. CHANGE ORDERS

A. Change Orders to existing contracts are justified in the following cases:

1. To incorporate additional work related to the original scope, to delete work or to otherwise modify the original work scope;

2. To exercise options previously included in the original contract to perform additional work or to extend the contract term;

3. To accommodate emergency conditions, defined in Section 3.L herein, that require the immediate performance of work by a firm already under contract;

4. When rebidding would not be practical or in the best interests of the Authority's customers; and

5. To meet the Authority's Supplier Diversity Program goals in accordance with Executive Law Article 15-A.

B. All Change Orders must be approved in accordance with the Authority's EAPs, and should include specific schedules for completion of work at the earliest possible time.

C. Pursuant to Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, the Authority may be required to submit certain Change Orders to the New York State Comptroller for filing or approval where the aggregate value of the contract as amended is in excess of $1 million and the original contract was awarded on the basis of a competitive procurement, but the modification was neither contemplated nor provided for in the solicitation for such competitive procurement. Accordingly, unless the Change Order is considered an "exempt contract amendment," no such Change Orders will be processed or approved unless the proposed procurement of goods and/or services was contemplated or provided for in the original Request for Quotation / Proposal.

9. CONTRACTING DECISIONS INVOLVING CURRENT OR FORMER EMPLOYEES

A. Former Authority officers and employees are eligible to be considered for employment as contractors and/or consultants provided that they meet all criteria for contractors and/or consultants generally as specified in these Guidelines; their employment is not barred by New York Public Officers Law § 73(8); they obtain an opinion by the New York State Commission on Public Integrity that such employment is permissible; and upon approval of the President and Chief Executive Officer.

B. Pursuant to the provisions of New York Public Officers Law § 73(8):

1. No Authority officer or employee is eligible, within a period of two years after the termination of Authority service to appear or practice before the Authority or receive compensation for any services rendered on behalf of any person, firm, corporation or association, in relation to any case, proceeding or application or other matter before the Authority.

2. No Authority officer or employee is eligible, at any time after the termination of Authority service, to appear, practice, communicate or otherwise render services before the Authority or any other state agency or receive compensation for any such services rendered on behalf of any person, firm, corporation or other entity in relation to any case, proceeding, application or transaction that such person was directly concerned with and personally participated in during his or her period of service, or which was under his or her active consideration.

C. No Authority employee who is involved in the award of Authority grants or contracts may ask any officer, director or employee of such current or prospective contractor or grantee to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party, elected official or candidate for elective office or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

D. No Authority employee may award or decline to award any grant or contract, or recommend, promise or threaten to do so because of a current or prospective grantee's or contractor's: (a) refusal to answer any inquiry prohibited by Section 9.C above or (b) giving or withholding or neglecting to make any contribution of money, service or any other valuable thing for any political purpose.

E. No Authority employee may take part in any contracting decision involving the payment of more than $1,000: (i) to a Relative; or (ii) to any entity in which the Authority employee or a Relative of such Authority employee owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If a contracting matter arises relating to this Section 9.E, then the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

1. For purposes of this Section 9.E, the term "Relative" is defined in Definitions, Section 2.G of these Guidelines.

10. SUPPLIER DIVERSITY PROGRAM REQUIREMENTS

The Authority strives to continue to foster the development of business opportunities on Authority contracts for M/WBEs. Article 15-A of the Executive Law established the

NYS Office (now Division) of Minority and Women's Business Development ("DMWBD") that is responsible for developing rules and regulations for implementation of this statute, certifying M/WBEs and reviewing and monitoring goal plans, compliance reports and contract provisions to be included in all non-construction contracts for more than $25,000 and construction contracts for more than $100,000. The definition of an M/WBE is included in Section 2.H of these Guidelines. The Authority aims to solicit proposals from NYS-certified M/WBEs that are qualified to perform the required work. In addition, specific goals may be included in certain contracts for consulting work, construction and procurement of goods and other services requiring the contractor/vendor to subcontract a portion of the work to NYS-certified M/WBEs as required by law. Bidders' proposals will include Preliminary Subcontracting Plans for M/WBEs, where required, and such bidders' failure to meet these requirements may be grounds for rejection of the proposal, or cancellation of the contract if a contractor did not make a good faith effort to meet its goals after contract award. Utilization Plans for Construction contracts valued at more than $100,000 shall be posted on the Procurement website by the successful vendor within ten business days of contract signing.

Pursuant to § 2879 of the Public Authorities Law and as further set forth in the Authority's Supplier Diversity Program documents, the following guidelines apply:

1. Identify those areas or types of contracts for which M/WBEs may best bid so as to promote and assist participation by such enterprises and facilitate a fair share of the awarding of contracts to such enterprises.
2. Provide notice, in addition to any other notice of procurement opportunities required by law, to professional and other organizations that serve M/WBEs providing the types of services procured by the Authority.
3. Maintain lists of qualified NYS-certified M/WBEs, including professional firms that have expressed an interest in doing business with the Authority and ensuring that such lists are updated regularly. The Authority shall also consult the lists of NYS-certified M/WBEs maintained by the DED pursuant to Executive Law Article 15-A.
4. Establish appropriate goals for participation by M/WBEs in procurement contracts awarded by the Authority and for the utilization of M/WBEs as subcontractors and suppliers by entities having procurement contracts with the Authority. Statewide numerical participation target goals shall be established by the Authority based on the criteria set forth in Public Authorities Law § 2879.
5. Conduct procurements in a manner that will enable the Authority to achieve the maximum feasible portion of the goals established pursuant to Subdivision 4 of this Section and that eliminates barriers to participation by M/WBEs in the Authority's procurements.
6. Designate one or more senior staff of the Authority to oversee the Authority's programs established to promote and assist participation by and utilization of NYS-Certified M/WBEs.

11. PROCUREMENT RECORD AND REPORTING

A. Procurement Record

The White Plains Procurement Department maintains records of Procurement Contracts, including bidders' names, the selection processes used and the status of existing contracts, including goods provided and/or services performed and fees earned, billed and paid. At the facilities, such records will be kept by the facilities' Procurement Departments.

B. Procurement Report

After the end of each calendar year, the Vice President of Procurement or equivalent(s) will prepare and submit an annual report to the Trustees for their approval that will include:

1. A copy of the Guidelines;
2. An explanation of the Guidelines and any amendments thereto since the last annual report;
3. A list of all Procurement Contracts entered into since the last annual report, including all contracts entered into with New York State Business Enterprises and the subject matter and value thereof and all contracts entered into with Foreign Business Enterprises and the subject matter and value thereof;
4. A list of fees, commissions or other charges paid;
5. A description of work performed, the contract number, the date of the contract and its duration, the name, address and NYS-certified M/WBE designation of the awardees, the total amount of the contract, the amount spent on the contract during the reporting period and for the term of the contract to date and the status of open Procurement Contracts during the report year;
6. The type of contract (equipment, services, personal services or construction);
7. The method of awarding the contract (e.g., competitive bidding, Sole Source, Single Source or competitive search);
8. The reasons why any procurements with a value greater than $15,000 were not noticed in the Contract Reporter;
9. The number of bids received and
10. All referrals made and all penalties imposed, if any, pursuant to § 316 of the Executive Law.

C. Such annual report, as approved by the Trustees, shall be submitted to the New York State Division of the Budget within 90 days of the end of such calendar year, and copies thereof shall be distributed to the New York State Department of Audit and Control, the DED, the New York State Senate Finance Committee, and the New York State Assembly Ways and Means Committee and any other entity as

may be required by law. The annual procurement report is posted on the Authority's website and copies shall be made available to the public upon reasonable written request therefor.

D. State Finance Law §§ 139-j and 139-k

1. A statement describing the basis for a determination of a bidder's/contractor's non-responsibility (per State Finance Law §§ 139-j and 139-k only) and the Authority's decision not to award a bidder/contractor the Procurement Contract must be included in the procurement record.

2. The Authority shall notify the New York State Office of General Services of bidders/contractors who have been determined to be non-responsible bidders (per State Finance Law §§ 139-j and 139-k only) or debarred due to violations of § 139-j of the State Finance Law.

3. All forms entitled "Record of Contact" shall be included in the respective procurement record.

4. A statement describing the basis for a termination of a Procurement Contract for providing an intentionally false certification must be included in the procurement record.

E. The Authority may be called upon periodically to submit information regarding the procurement of goods and/or services to organizations implementing the PAAA or other statutes regulating the procurement of goods and services, such as the Authorities Budget Office through the Public Authorities Reporting Information System ("PARIS").

12. THIRD PARTY RIGHTS: VALIDITY OF CONTRACTS

A. These Guidelines are intended for the guidance of officers and employees of the Authority only. Nothing contained herein is intended, nor should it be construed, to confer on any person, firm or corporation any right, remedy, claim or benefit under, or by reason of, any requirement or provision hereof.

B. Nothing contained in these Guidelines alters or affects the validity of, modifies the terms of or impairs any contract or agreement entered into in violation of these Guidelines.

Exhibit "A-2"
March 2~~1~~5, 201~~3~~4

GUIDELINES FOR PROCUREMENT CONTRACTS

1. PURPOSE

These Guidelines for Procurement Contracts ("Guidelines") set forth the policy of the Authority regarding the solicitation and awarding of procurement contracts. The provisions of Article 4-C of the Economic Development Law, §§ 2879 and 2879-a of the Public Authorities Law, Article 15-A of the Executive Law and §§ 139-j and 139-k of the State Finance Law were considered in developing these Guidelines. Departments and facilities may adopt further procedures to implement these ~~g~~Guidelines.

2. DEFINITIONS

A. "Procurement Contracts" are contracts for the acquisition of goods and/or services in the actual or estimated amount of $5,000 or more. Such goods and/or services are those necessary to support the Authority's White Plains office, facilities, operations and maintenance ("O&M") and capital projects, including but not limited to goods such as office supplies, major electrical equipment, construction and maintenance work and services as more fully described in Section 2.C below.

B. "Non-Procurement Contracts" include contracts for energy with or without environmental attributes included, capacity, ancillary services, transmission, distribution or related services in support of providing service to Authority customers; contracts for differences; financial hedge contracts (including but not limited to swaps, calls, puts or swap options) and credit rating services. In addition, Non-Procurement Contracts include direct placement of advertisements with radio, television, print and electronic media, periodicals, subscriptions, reference materials or professional research tools, written materials, fees or tuition associated with continuing education courses, training courses, conferences, seminars and symposiums, funding agreements, co-funding agreements, grants or memberships in various industry groups, professional societies or similar cooperative associations, or any cooperative projects and procurement activities conducted or sponsored by such organizations in which the Authority participates.

C. "Services Contracts" are Procurement Contracts for services of a consulting, professional or technical nature provided by outside consultants/contractors (individuals, partnerships or firms who are not and do not employ officers or employees of the Authority) for a fee or other compensation. Services Contracts comprise three specific types: Personal Services, Non-Personal Services and Construction. Personal Services include, but are not limited to: accounting, architectural, engineering, financial advisory, legal, public relations, planning,

management consulting, surveying, training (when specifically developed by consultant for the Authority) and construction management. Non-Personal Services include, but are not limited to: skilled or unskilled temporary personnel, including clerical office staff, technicians or engineers working under Authority supervision; maintenance, repairs, and printing services. Construction consists of craft labor and other services utilizing laborers and/or mechanics not otherwise considered Non-Personal Services.

Note: Use of such services may be appropriate (1) when a consultant/contractor possesses special experience, background or expertise; (2) when there is insufficient Authority staff and retention of a consultant/contractor is more appropriate or economical than hiring additional permanent staff; (3) to provide independent external review or a second opinion; (4) to meet unusual schedule requirements or emergencies or (5) for a combination of these factors.

D. "Goods" include equipment, material and supplies of any kind. Procurement Contracts that include both equipment and services may be classified as "Equipment," where 60% or more of the total projected contract value will be for the purchase of equipment, material or supplies.

E. "Evaluation of Proposals," as further set forth in Section 4 below, includes as evaluating factors the Authority's consideration of a bidder's skill, judgment and business integrity.

~~E.~~F. "Contact" ~~means~~is any oral, written or electronic communication with the Authority under circumstances where a reasonable person would infer that the communication was intended to influence the procurement.

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~~F.~~G. "Relative" is any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant, as referred to in Subsection 9.E.1 of these Guidelines.

~~G.~~H. "Minority and Women-owned Business Enterprise" ("M/WBE") is defined as any New York State-certified business enterprise at least 51% of which is owned by black persons, Hispanics, Native Americans, Asians, Pacific Islanders and/or women, and as further described in the Authority's Supplier Diversity Program Policy and Procedures and Executive Law Article 15-A, and pursuant to the definition found in Executive Law § 310.

~~H.~~I. "Small Business" is a business that is resident in New York State, is independently owned and operated, not dominant in its field and employs not more than 300 people.

~~I.~~J. "Single Source" ~~means~~is a procurement in which ~~although two or more offerers can supply the required goods or services,~~ the Authority, upon written findings setting forth ~~the~~ material and substantial reasons ~~therefore~~, may award a contract (or amendment to a contract) to one offerer over ~~the~~ another that can supply the goods or services.

~~J.~~K. "Sole Source" ~~means~~is a procurement in which only one offerer is capable of supplying the required goods or services.

3. SOLICITATION REQUIREMENTS

A. Preparation of the solicitation of proposals for Procurement Contracts is the joint responsibility of the White Plains Procurement Department, or the facilities' Procurement Departments, and the initiating department. Except as otherwise authorized by these Guidelines, a Request for Proposals ("RFP") or Request for Quotations ("RFQ") will be made available to a minimum of three providers and/or firms (if available) for purchases valued under $25,000 and a minimum of five providers and/or firms (if available) for purchases valued at $25,000 and greater, commensurate with the magnitude and nature of the goods and/or services, and the schedule for performance. Whenever possible and practicable, notification of the availability of an RFP and RFQ on the Authority's Procurement website should be sent to more than five providers.

B. Prospective bidders on Procurement Contracts may be prequalified by invitation. In such cases, proposals are requested only from those providers and/or firms whose prequalification submittals demonstrate sufficient ability and competence (including, but not limited to, the bidder's skill, judgment and business integrity) to supply the particular goods and/or perform the particular services required.

C. The Authority may withdraw any pending solicitation (including but not limited to RFPs and RFQs) at any time, for cause or no cause. Any person or entity submitting any responsive document to the Authority does so at its own cost or expense and will not be reimbursed by the Authority for the preparation of any responsive document, unless otherwise agreed to in writing and signed by an authorized Authority representative.

D. In order to promote the use of Minority and Women-owned Business Enterprises ("M/WBEs"), the Authority will solicit offers from M/WBEs known to have experience in the type of goods and/or services to be provided, regardless of the type of contract. For the purpose of these Guidelines, the definition of a NYS-certified M/WBE is in Section 2.~~G~~H.

To foster increased use of M/WBEs, a single proposal may be sought, negotiated and accepted for purchases of goods and/or services not exceeding $200,000, in the aggregate including all amendments, from a NYS-certified M/WBE that offers a reasonable price for such goods and/or services. The award of such proposal

requires the written approval of the Vice President of Procurement. Any subsequent alteration to the accepted proposal, including, but not limited to, change orders, amendments, or supplemental terms shall also necessitate the written approval of the Vice President of Procurement. Furthermore, the award of such procurement contracts will be noticed on the *Contract Reporter* website, as further set forth in Section 3.H.

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E. It is the policy of New York State to promote the participation of and maximize the opportunities for New York State Business Enterprises and New York State residents in Procurement Contracts. The Authority will endeavor to promote such participation and to comply with the applicable statutory provisions. In furtherance of Public Authorities Law § 2879, the following definitions and actions apply:

1. "New York State Business Enterprise" is a business enterprise, including a sole proprietorship, partnership or corporation that offers for sale or lease or other form of exchange, goods sought by the Authority that are substantially manufactured, produced or assembled in New York State or services, excluding construction services, sought by the Authority that are substantially performed within New York State as further described in Public Authorities Law § 2879.

2. "New York State resident" is a person who maintains a fixed, permanent and principal home in New York State to which such person, whenever temporarily located, always intends to return as further described in Public Authorities Law § 2879.

3. "Foreign Business Enterprise" is a business enterprise, including a sole proprietorship, partnership or corporation, that offers for sale, lease or other form of exchange, goods sought by the Authority that are substantially produced outside New York State, or services other than construction services, sought by the Authority that are substantially performed outside New York State as further described in Public Authorities Law § 2879. For purposes of construction services, Foreign Business Enterprise is a business enterprise, including a sole proprietorship, partnership or corporation, which has its principal place of business outside New York State.

4. "Discriminatory Jurisdiction" is any country, nation, province, state or political subdivision thereof which employs a preference or price distorting mechanism to the detriment of, or otherwise discriminates against, a New York State Business Enterprise in the procurement of goods and/or services by the same or a non-governmental entity influenced by the same.

5. Pursuant to Public Authorities Law § 2879, the Authority shall not enter into a contract with a Foreign Business Enterprise which has its principal place of business in a Discriminatory Jurisdiction contained on the list prepared by the Commissioner of the New York State Department of Economic Development ("DED"). The provisions of this section may be waived by the Authority's President and CEO if the CEO determines in writing that it is in the best interests of the Authority to do so, as further set forth in the above-referenced law.

6. Pursuant to Public Authorities Law § 2879, the Authority will, where feasible, make use of the stock item specification forms of New York State manufacturers, producers and/or assemblers, as made available by the Commissioner of General Services, for any Procurement Contract for the purchase of goods.

F. Goods and/or services may be procured pursuant to Procurement Contracts let by any department, agency, officer, political subdivision or instrumentality of the State (e.g., the New York State Office of General Services ("OGS")) or Federal government (e.g., General Services Administration ("GSA")) or any city or municipality where the White Plains Procurement Department, or facility Procurement Departments, and the initiating department determine that a reasonable potential exists for cost savings or other benefits to the Authority and have approved the specifications and proposed terms and conditions of such contract.

G. Solicitations will include a scope of work that defines the goods required and/or the services to be performed; milestone dates; the Authority's Supplier Diversity Program requirements, if applicable; all other applicable Authority requirements and any special methods or limitations that the Authority chooses to govern the work. Telephone solicitation, usually for procurements valued at $25,000 or less, may be used where time constraints do not permit issuance of an RFP, where issuance of an RFP is otherwise impracticable or for goods that are catalog items or do not require a detailed bill of materials or specification.

H. For all Procurement Contracts with a value equal to or greater than $15,000 (except for those contracts noted below), the Authority will, prior to soliciting proposals, submit the following information to the Commissioner of the DED to be included on the New York State *Contract Reporter* website, (www.nyscr.~~org~~ny.gov) (unless such posting would serve no useful purpose): (1) the Authority's name and address; (2) the solicitation number; (3) a brief description of the goods and/or services sought, the location where goods are to be delivered and/or services provided and the contract term; (4) the address where bids or proposals are to be submitted; (5) the due date for bids or proposals; (6) a description of any eligibility or qualification requirements or preferences; (7) a statement as to whether the contract requirements may be fulfilled by a subcontracting, joint venture or

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coproduction arrangement; (8) any other information deemed useful to potential contractors; (9) the name, address, and phone number of the person to be contacted for additional information and (10) a statement as to whether the goods and/or services sought have, in the immediately preceding three-year period, been supplied by a Foreign Business Enterprise. Such information will be submitted to the DED Commissioner in accordance with the schedule set forth by the DED. The due date for bids or proposals will be a minimum of ~~21 calendar~~15 business days ~~(including holidays)~~ after the date of publication of such notice on the *Contract Reporter* website, except where a shorter period is specifically authorized by law. For Procurement Contracts resulting from a Request for Proposal process, the Authority will submit the results of the bid opening, including the names of firms submitting proposals and the name/s of the awardee/s, for inclusion on the *Contract Reporter* website. For all other Procurement Contracts, the name of the awardee will be submitted.

This section 3.H does not apply to (i) Procurement Contracts awarded on an emergency basis as described below in Section 3.L, (ii) Procurement Contracts being rebid or re-solicited for substantially the same goods and/or services, within 45 business days after the original due date, and/or (iii) Procurement Contracts awarded to not-for-profit human services providers. (See Article 4-C, Economic Development Law)

Certain Procurement Contracts may require purchases: (1) on a spot market; (2) needed prior to the time limits for noticing on the Contract Reporter website or that do not lend themselves to the solicitation process. Such purchases are exempted from the noticing requirements of Article 4-C of the Economic Development Law subject to the approval of the Vice President of Procurement, and/or the head of the initiating department that does not complete its procurements through the Procurement Department. From time to time or where appropriate, generic notices may be published on the Contract Reporter website notifying potential bidders of such opportunities and soliciting qualification statements for consideration by the Authority.

Notwithstanding the foregoing, submittal of a notice / announcement of award for inclusion on the *Contract Reporter* website is required for Procurement Contracts with a value of $15,000 or more awarded on a sole source or single source basis, including such contracts not exceeding $200,000 awarded to Small Businesses or NYS-certified M/WBE firms, or for the purchase of goods and/or technology that are recycled or remanufactured, or for the purchase of food, including milk and milk products that are grown, produced or harvested in New York State, and certain other Procurement Contracts exempt from the general advertising requirement for procurement contract bidding opportunities, in accordance with the afore-referenced law. Such notice shall specify the name of the awardee.

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I. Proposals for certain Services Contracts may also be solicited by competitive search, as follows:

For contracts where the scope of work cannot be well defined or quantified, or where selection requires evaluation of factors such as breadth and depth of experience in a unique or highly specialized field and suitability as an Authority representative, a "competitive search" will be conducted to determine which consultants are most qualified, for reasonable compensation terms, to perform the work. Depending on market conditions, at least five potential sources should be evaluated; if there are fewer than five sources, all sources should be evaluated. The White Plains Procurement Department or the appropriate facility Procurement Department will work with the initiating department to gather information from potential sources, that will include a description of the consultant/firm's qualifications, résumés of key personnel, past experience and proposed billing rates.

J. A Procurement Contract may be awarded on a Sole Source, Single Source, or other non-competitive basis where:

1. Compatibility of equipment, accessories or spare or replacement parts is the paramount consideration.
2. Services are required to extend or complement a prior procurement and it is impracticable or uneconomic to have a source other than the original source continue the work.
3. A sole supplier's item is needed for trial use or testing, or a proprietary item is sought for which there is only one source.
4. Other circumstances or work requirements exist that cause only one source to be available to supply the required goods and/or services.
5. The contract is awarded to a Small Business or to a NYS-certified M/WBE firm for purchases not exceeding $200,000, pursuant to Section 3.D.
6. The contract is for the purchase of goods and/or technology that are recycled or remanufactured, in an amount not exceeding $200,000, subject to the approvals stated in Section 3.D.
7. The contract is for the purchase of commodities that are food, including milk and milk products, which are grown, produced or harvested in New York State, in an amount not exceeding $200,000, subject to the approvals stated in Section 3.D.
~~7.~~8. Purchases made on a Sole Source, Single Source, or other non-competitive basis are subject to Public Authorities Law § 2879-a, 2 NYCRR Part 206, entitled "Comptroller Approval of Contracts Made by State Authorities" ("Comptroller Regulations") and the State Authority Contract Manual.

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K. Pursuant to Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, the Authority may be required to submit certain

contracts to the New York State Comptroller for approval that are awarded on a Sole Source, Single Source, or other non-competitive basis for the purchase of goods and/or services in an amount in excess of $1 million, and shall notify the successful bidder therefor. Such contracts or contract amendments shall not be valid and enforceable unless approved by the Comptroller or until 90 days have elapsed from such submission without action by the Comptroller, as further set forth in the referenced law and regulations.

L. Subject to the Authority's Expenditure Authorization Procedures ("EAPs"), and Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, a Procurement Contract may be awarded without following the solicitation requirements that ordinarily apply (but using such competitive selection procedures as are practicable under the circumstances) where emergency conditions exist, such as:

1. A threat to the health or safety of the public or Authority employees or workers.
2. Proper functioning of the Authority facilities or construction or operating projects requires adherence to a schedule that does not permit time for an ordinary procurement solicitation.

M. Whenever an initiating department determines that a Procurement Contract should be awarded on a Single Source, Sole Source, or an emergency basis, the head of the department will provide a written statement explaining the reasons therefor to the White Plains Procurement Department or the appropriate facility Procurement Department. The award of such Procurement Contracts, regardless of value, requires the written approval of the Vice President of Procurement, except as noted in Section 3.H.

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N. Every potential Sole Source or Single Source contract with a value of $1 million or more must be approved by the President and CEO or the COO prior to processing by the Procurement Department.

O. In furtherance of Public Authorities Law § 2800, when a procurement is made on a non-competitive basis, and the price for goods or services purchased exceeds fair market value, prior to making the purchase, the Business Unit Head of the initiating department shall provide a detailed explanation of the justification for making the purchase and a certification shall be signed by the Chief Executive Officer and Chief Financial Officer of the Authority stating that they have reviewed the terms of such purchase and determined that it complies with applicable law and procurement guidelines. The following definition shall apply: "Fair Market Value" shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for property in an arms-length transaction in the appropriate marketplace and under similar circumstances. Fair market value may be determined by internal appraisals, industry-recognized sources, or other methods of valuation

generally accepted in the industry in which such property is utilized, as may be approved by the Vice President of Procurement or authorized designee.

P. It is the policy of New York State to discourage improper communications intended to influence a governmental procurement. The Authority will endeavor to control such practices and will comply with the applicable statutory provisions. In furtherance of the State Finance Law §§ 139-j and 139-k, the following definitions shall apply:

1. Pursuant to Article 11-B of the State Finance Law a "Procurement Contract" is any contract or other agreement for a commodity, service, technology, public work, construction, revenue contract, the purchase, sale or lease of real property or an acquisition or granting of other interest in real property that is the subject of a governmental procurement. Grants, contracts between the Authority and non-profit organizations pursuant to Article 11-B of the State Finance Law, intergovernmental agreements, railroad and utility force accounts, utility relocation project agreements or orders and eminent domain transactions are not Procurement Contracts.

2. The "Restricted Period" is the period of time commencing with the earliest written notice, advertisement or solicitation of a request for proposal, invitation for bids, or solicitation of proposals, or any other method for soliciting a response from bidders/contractors intending to result in a procurement contract with the Authority and ending with the final contract award.

3. The Authority shall designate a person or persons who may be contacted, with respect to each Authority procurement. The bidders/contractors or persons acting on their behalf, shall only contact the Authority's designated person or persons where a reasonable person would infer that the communication was intended to influence the procurement during the Restricted Period.

Q. In furtherance of the Authority's commitment to ensure transparency and accountability of its operations, every member, officer or employee of the Authority who is contacted by a lobbyist is required to make a contemporaneous record of such contact, pursuant to Public Authorities Law § 2987 and as further set forth in the Authority's Corporate Policy regarding this matter.

R. Project Sunlight (Chapter 399, Part A, Section 4 of the Laws of 2011) requires the Authority to record in a database maintained by the New York State Office of General Services certain appearances between the Authority and individuals, firms or other entities (excluding elected officials and representatives of federal, state and local agencies and authorities) relating to the procurement of a contract, with a value of $25,000 or more, for real property, goods or services. Appearances are

defined as an interaction through an in-person meeting~~, a telephonic conversation~~ or a video conference between covered individuals. Appearances related to emergency procurements and disposal of property through public auctions are excluded, as are appearances that take place during the formal "Restricted Period." Covered individuals at the Authority means an individual at the Authority who has the power to exercise discretion or advises someone who exercises discretion. A covered individual outside of the Authority means both "external" (e.g., a lobbyist) and "internal" (e.g., sales representative) representatives of an entity, individuals appearing on behalf of him/herself, advocacy groups or organizations or entities representing the interests or concerns of the organization or entity or of its members. All such appearances must be promptly reported to the Authority's Ethics and Compliance Office for recording in the Project Sunlight database.

4. EVALUATION OF PROPOSALS

A. Proposals will be evaluated using a fair and equitable comparison of all aspects of the proposals against the specifics of the solicitation and against each other, including an analysis of each offer that considers: the quality of the goods and/or the competence of the bidder (including, but not limited to, the bidder's skill, judgment and business integrity), the technical merit of the proposal and the price for which the goods and/or services are to be supplied.

In the event the price submitted by the bidder recommended to be awarded a contract exceeds the cost estimated, where a cost estimate is provided on the solicitation at the time of bidding, the initiating department will prepare a written explanation to be reviewed by the White Plains Procurement Department and/or the appropriate facility Procurement Department and appropriate managers as stipulated in the EAPs. The following options should be considered: (1) rejecting the bids, resoliciting proposals and/or modifying the scope of work; (2) revising the cost estimate and proceeding with the contract award and (3) negotiating with the low bidder(s), as determined by the Vice President of Procurement or equivalent(s) or designee, to reduce the price quoted. Factors to be considered in reaching the proper course of action include but are not limited to: the effects of a delay on both the schedule and the cost of the specific capital construction project or outage at an operating facility, the magnitude of the contract, available bidders, the ability to attract additional competition if the solicitation is reissued, and the accuracy of the original cost estimate. The recommended course of action and the reasons therefor must be fully documented in a memorandum for consideration by the appropriate level of management prior to approval and placed in the appropriate procurement file.

B. Factors to be considered in evaluating the goods and/or services to be supplied and/or the competence of the bidder are: previous experience (including applicable experience in New York State and evaluations from other clients for whom the bidder has provided goods and/or services); the abilities and experience of the personnel to be assigned to the Authority's work and the ability to provide any needed advanced techniques such as simulation and modeling; and overall, the bidder's skill, judgment and business integrity. The approach proposed in meeting the exact requirements of the scope of work will be given consideration in evaluating the technical merit of the proposal, together with a well-organized task structure, the ability to timely supply the goods and/or perform the proposed services and the ability to meet Supplier Diversity Program goals, if any. The need to purchase the goods from and/or subcontract performance of services to others will be evaluated as to their effects on cost, as well as quality, schedule and overall performance.

C. For Services Contracts (as defined in Section 2.C of these Guidelines), the technical merits of the proposals and the experience and capabilities of the bidders will be the primary factors in determining the individual or firm to be awarded the contract, provided that the price for performing such work is reasonable and competitive.

D. For Procurement Contracts other than Personal Services (as defined in Section 2.C of these Guidelines), the award should generally be made to the lowest-priced firm submitting a proposal that meets the commercial and technical requirements of the bid documents.

E. Pursuant to § 139-~~j~~k of the State Finance Law, the Authority shall not award a Procurement Contract (as defined in Subsection 3.P.1 of these Guidelines) to a bidder/contractor who fails to provide timely, accurate and complete responses to inquiries about past determinations of non-responsibility (unless awarding the contract is necessary to protect public property or public health or safety and the bidder/contractor is the only source capable of supplying the required article of procurement within the necessary timeframe.)

A bidder's/contractor's knowing and willful violation of the Authority's policy providing for certain procurement disclosures shall result in a determination of non-responsibility of such bidder/contractor pursuant to State Finance Law §§ 139-j and 139-k only.

More than one determination of non-responsibility due to violations of State Finance Law § 139-~~j~~k in a four-year period shall render a bidder/contractor ineligible to submit bids for four years from the second determination of non-responsibility.

F. An award to "other than low bidder" can be made only with the approval of appropriate management as stipulated in the EAPs, and should be based on such a proposal providing a clear advantage to the Authority over the lower-priced proposal. Factors justifying an "other than low bidder" award may include, but are not limited to: improved delivery schedules that will reduce outages~~,~~; longer warranty periods~~,~~; improved efficiency over the usable life of the equipment~~,~~; reduced maintenance costs~~,~~; the bidders' financial resources or the ability to meet or exceed Supplier Diversity Program goals; and overall, the bidder's skill, judgment and business integrity.

G. The specifications set forth in any solicitation prepared under these Guidelines were based upon information available at the time of the preparation of the solicitation. Thus, the Authority may diverge from the specifications of any solicitation if, after review of the proposals responsive to such solicitation, the Authority deems it prudent in light of its experience, the circumstances of the solicitation and/or potential cost savings.

5. RECOMMENDATION OF AWARD

A. A recommendation for approval of a proposed award of a Procurement Contract is usually prepared in the form of a memorandum or e-mail by the department requiring the goods and/or services. The recommendation must include an evaluation of proposals as specified in Article 4 above, as well as proposed specific compensation terms that provide a clear breakdown of cost factors and methods of calculation, including, as applicable:

1. Lump sum and/or unit prices for equipment and construction work.

2. Hourly or daily rates for personnel.

3. Markups for payroll taxes, fringe benefits, overhead and fees, if the proposal is based on reimbursement of actual payroll costs.

4. Terms for reimbursement of direct out-of-pocket expenses, such as travel and living costs, telephone charges, services of others and computer services.

5. Provisions, if any, for bonus/penalty arrangements based on target person-hours and/or target schedule.

B. The recommendation will also review any substantive exceptions to commercial and technical requirements of a price inquiry, RFP, RFQ or bidding documents, including but not limited to payment terms, warranties and bond requirements, if any.

6. AWARD OF CONTRACT

A. Services Contracts to be performed for a period of more than 12 months are approved and reviewed annually by the Trustees. Services Contracts for a period of less than 12 months are approved by authorized designees in accordance with existing EAPs. Extending a contract for services with an initial duration of less than 12 months beyond 12 months will be approved by the Trustees at the request of the initiating department and will be reviewed by the Trustees annually. Extending a contract for services, that has previously been approved by the Trustees, for a cumulative term of more than 12 months requires further Trustees' approval. Extending a contract, previously approved by the Trustees, for 12 months or less requires approval by an authorized designee in accordance with existing EAPs and concurrence by the Vice President of Procurement.

B. For Services Contracts to be performed for a period of more than 12 months that must be awarded prior to the next quarterly Trustees' meeting, the initial contract will be issued for the entire intended term of the contract. Based on its total value, such contract must be approved by the appropriate management as set forth in the EAPs. Such contract is subject to the Trustees' approval, at the next quarterly Trustees' meeting. If such approval is not granted, the contract will be terminated immediately.

C. A contract or contract task is deemed to be for services in excess of 12 months where the contract does not specify a definite term and the work will not be completed within 12 months, and any "continuing services" contract with no fixed term that provides for the periodic assignment of specific tasks or particular requests for services. This includes Trustee-approved contracts for architect/ engineering services with the original engineers of operating facilities, as well as the original supplier of steam supply systems or boilers and turbine generating equipment. Each task authorized under such contracts (which may be referred to as a "Change Order," "Purchase Order" or "Task Number") is considered a separate commitment and must be separately approved in accordance with the EAPs.

D. The term of a Personal Services contract is limited to a maximum of five (5) years, including any extensions.

E. When time constraints or emergency conditions require extending an existing contract with an initial duration of less than a year beyond a year, and the cumulative monetary change order value does not exceed the appropriate limit set forth in the EAPs, the Business Unit Head, with the prior concurrence of the Vice President of Procurement or equivalent(s) or designee, may authorize extending such contract, subject to the Trustees ratifying such action as soon as practicable.

F. When the total estimated contract value or the value of the extension exceeds the monetary limits set forth in the EAPs, interim approval by the President and Chief

Executive Officer or Chief Operating Officer or equivalent(s) or designee is required, subject to the Trustees ratifying such action as soon as practicable.

G. When time constraints or emergency conditions require immediate commencement of services to be performed for a period of more than one year, and when the contract value exceeds the monetary approval limit for the President and Chief Executive Officer or Chief Operating Officer or equivalent(s), as set forth in the EAPs, the President and Chief Executive Officer or Chief Operating Officer or equivalent(s) or designee, with the prior concurrence of the Vice President of Procurement or equivalent(s) or designee, may authorize the commencement of such services. The initial compensation limitation may not exceed the authorization level for the President and Chief Executive Officer or equivalent(s) or Chief Operating Officer or equivalent(s) as set forth in the EAPs. Such contracts will be subject to the Trustees' approval, which will be solicited at their next scheduled Trustee meeting.

H. The White Plains Procurement Department or the facilities' Procurement Departments prepare the contract for execution by the Authority and the successful bidder. No work by the selected contractor will commence until the contract is executed by both parties, except that mutually signed letters of award or intent may initiate work prior to formal execution. Authority signatories of such letters must be authorized to approve contract awards pursuant to the EAPs.

I. Pursuant to Public Authorities Law § 2879, the Authority shall notify the Commissioner of Economic Development of the award of any Procurement Contract for the purchase of goods and/or services from a Foreign Business Enterprise (as defined in Subsection 3.E.3 of these Guidelines) in an amount equal to or greater than $1 million simultaneously with notifying the successful bidder therefor. The Authority shall not enter into the Procurement Contract for said goods and/or services until at least 15 days have elapsed from the notification of the award, except for a Procurement Contract awarded on an emergency or critical basis. The notification to the Commissioner shall include the name, address, telephone and facsimile number of the Foreign Business Enterprise, the amount of the proposed Procurement Contract and the name of the individual at the Foreign Business Enterprise or acting on behalf of same who is principally responsible for the proposed Procurement Contract.

7. CONTRACT PROVISIONS

A. The following standard forms of contracts are available from the White Plains Procurement Department: purchase order format (for standard procurements of goods and/or services); furnish-and-deliver format (for major equipment purchases); ~~letter~~long form agreements (for consulting services) and maintenance agreement formats ~~for consulting work and~~; contract work orders (for construction work of small magnitude)~~.~~; construction contracts (for major construction work)

and furnish, deliver and install contracts (for specialized, major procurements where single responsibility is required for procurement and installation). These contract forms are intended to govern the purchase of goods and/or performance of services.

Authority departments proposing to initiate a Procurement Contract should review these forms to suggest any modifications and additions that may be required for the particular goods and/or services. Under no circumstances should contract forms be shown to proposed bidders without the prior approval of the Procurement Department, which, along with the facilities' Procurement Departments, is solely responsible for requesting proposals.

B. The following types of provisions setting forth contractor responsibilities are to be contained in the standard forms of Procurement Contracts, except that any provisions listed below that are inapplicable or unnecessary because of the nature or duration of the work to be performed, the location(s) where the work is to be performed or the type of compensation being paid therefor, need not be included. Other provisions may be added as necessary and appropriate.

1. Schedule of Services or Specifications
2. Time of Completion
3. Compensation or Itemized Proposals
4. Relationship of Parties
5. Delays
6. Termination
7. Changes in the Work
8. Claims and Disputes
9. Warranty
10. Insurance
11. Records, Accounts, Inspection and Audit
12. Assignment
13. Notices
14. Indemnification
15. Governing Law
16. Proprietary Nature of Work
17. Testimony
18. Entire Agreement

Contract Attachments

1. Compensation Schedule
2. Schedule of Services or Specifications
3. Appendix "A" (Miscellaneous Statutory Provisions)
4. Appendix "B" (Prompt Payment Provisions)
5. Appendix "C" (Minority and Women-owned Business Enterprise ~~Provisions~~ (M/WBE) Participation Goal Requirement)
6. Appendix "D" (Background Security Screening for Authority Contractors)

7. Appendix "E" (Omnibus Procurement Act of 1992 Requirements)
8. Appendix "F" (Computer Aided Design Requirements For New York Power Authority Drawings)
9. Appendix "G" (Equal Employment Opportunity~~y~~ies Requirements)
10. Appendix "H" (Tax Law Requirements)
11. Appendix "I" (New York Power Authority (NYPA) North American Electric Reliability Corporation Critical Infrastructure Protection (NERC CIP) Cyber Access And/Or Unescorted Physical Access Training Requirements)
12. Appendix "J" (Bidder/Contractor Compliance with State Finance Law §§ 139-j and 139-k Providing for Certain Procurement Disclosures)
13. Appendix "K" (Additional State and Federal Provisions Required for American Recovery and Reinvestment Act (Pub. L. No. 111-5 Stat. 2009) ("ARRA") Funded Projects)
14. Appendix "L" (DOE Federal Contract Provisions)
15. Appendix "M" (Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology ("BART") for Heavy Duty Vehicles)
16. Appendix "N" (The New York State Iran Divestment Act of 2012 – Iranian Energy Sector Divestment)
17. Appendix "O" (Encouraging Use of New York State Businesses in Contract Performance)

C. If a vendor (firm, person or other entity) participates in the development or writing of the specifications for a procurement solicitation, such vendor shall not be permitted to bid on such procurement, either as a prime vendor or as a subcontractor at any level. Contracts for evaluation of offers for products or services shall not be awarded to a vendor that would then evaluate its own offers for products or services. The above restrictions shall not apply where:

1. The vendor is the sole source or single source of the product or service;
2. More than one vendor has been involved in preparing the specifications for a procurement proposal; or
3. The originating Authority Business Unit determines in writing that the restrictions are not in the best interests of the Authority. Such originating Business Unit shall obtain the approval of the applicable Business Unit Head or equivalent(s), Vice President of Procurement or equivalent(s) or designee, Assistant General Counsel or equivalent(s) and President and Chief Executive Officer or designee or Chief Operating Officer or equivalent(s) to waive this restriction on a case-by-case basis.

8. CHANGE ORDERS

A. Change Orders to existing contracts are justified in the following cases:

1. To incorporate additional work related to the original scope, to delete work or to otherwise modify the original work scope;

2. To exercise options previously included in the original contract to perform additional work or to extend the contract term;

3. To accommodate emergency conditions, defined in Section 3.L herein, that require the immediate performance of work by a firm already under contract;

4. When rebidding would not be practical or in the best interests of the Authority's customers; and

5. To meet the Authority's Supplier Diversity Program goals in accordance with Executive Law Article 15-A.

B. All Change Orders must be approved in accordance with the Authority's EAPs, and should include specific schedules for completion of work at the earliest possible time.

C. Pursuant to Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, the Authority may be required to submit certain Change Orders to the New York State Comptroller for filing or approval where the aggregate value of the contract as amended is in excess of $1 million and the original contract was awarded on the basis of a competitive procurement, but the modification was neither contemplated nor provided for in the solicitation for such competitive procurement. Accordingly, unless the Change Order is considered an "exempt contract amendment," no such Change Orders will be processed or approved unless the proposed procurement of goods and/or services was contemplated or provided for in the original Request for Quotation / Proposal.

9. CONTRACTING DECISIONS INVOLVING CURRENT OR FORMER EMPLOYEES

A. Former Authority officers and employees are eligible to be considered for employment as contractors and/or consultants provided that they meet all criteria for contractors and/or consultants generally as specified in these Guidelines; their employment is not barred by New York Public Officers Law § 73(8); they obtain an opinion by the New York State Commission on Public Integrity that such employment is permissible; and upon approval of the President and Chief Executive Officer.

B. Pursuant to the provisions of New York Public Officers Law § 73(8):

1. No Authority officer or employee is eligible, within a period of two years after the termination of Authority service to appear or practice before the Authority or receive compensation for any services rendered on behalf of any person, firm, corporation or association, in relation to any case, proceeding or application or other matter before the Authority.

2. No Authority officer or employee is eligible, at any time after the termination of Authority service, to appear, practice, communicate or otherwise render services before the Authority or any other state agency or receive compensation for any such services rendered on behalf of any person, firm, corporation or other entity in relation to any case, proceeding, application or transaction that such person was directly concerned with and personally participated in during his or her period of service, or which was under his or her active consideration.

C. No Authority employee who is involved in the award of Authority grants or contracts may ask any officer, director or employee of such current or prospective contractor or grantee to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party, elected official or candidate for elective office or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

D. No Authority employee may award or decline to award any grant or contract, or recommend, promise or threaten to do so because of a current or prospective grantee's or contractor's: (a) refusal to answer any inquiry prohibited by Section 9.C above or (b) giving or withholding or neglecting to make any contribution of money, service or any other valuable thing for any political purpose.

E. No Authority employee may take part in any contracting decision involving the payment of more than $1,000: (i) to a Relative; or (ii) to any entity in which the Authority employee or a Relative of such Authority employee owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If a contracting matter arises relating to this Section 9.E, then the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

1. For purposes of this Section 9.E, the term "Relative" is defined in Definitions, Section 2.~~F~~G of these Guidelines.

10. SUPPLIER DIVERSITY PROGRAM REQUIREMENTS

The Authority strives to continue to foster the development of business opportunities on Authority contracts for M/WBEs. Article 15-A of the Executive Law established the

NYS Office (now Division) of Minority and Women's Business Development ("DMWBD") that is responsible for developing rules and regulations for implementation of this statute, certifying M/WBEs and reviewing and monitoring goal plans, compliance reports and contract provisions to be included in all non-construction contracts for more than $25,000 and construction contracts for more than $100,000. The definition of an M/WBE is included in Section 2.~~G~~H of these Guidelines. The Authority aims to solicit proposals from NYS-certified M/WBEs that are qualified to perform the required work. In addition, specific goals may be included in certain contracts for consulting work, construction and procurement of goods and other services requiring the contractor/vendor to subcontract a portion of the work to NYS-certified M/WBEs as required by law. Bidders' proposals will include Preliminary Subcontracting Plans for M/WBEs, where required, and such bidders' failure to meet these requirements may be grounds for rejection of the proposal, or cancellation of the contract if a contractor did not make a good faith effort to meet its goals after contract award. Utilization Plans for Construction contracts valued at more than $100,000 shall be posted on the Procurement website by the successful vendor within ten business days of contract signing.

Pursuant to § 2879 of the Public Authorities Law and as further set forth in the Authority's Supplier Diversity Program documents, the following guidelines apply:

1. Identify those areas or types of contracts for which M/WBEs may best bid so as to promote and assist participation by such enterprises and facilitate a fair share of the awarding of contracts to such enterprises.
2. Provide notice, in addition to any other notice of procurement opportunities required by law, to professional and other organizations that serve M/WBEs providing the types of services procured by the Authority.
3. Maintain lists of qualified NYS-certified M/WBEs, including professional firms that have expressed an interest in doing business with the Authority and ensuring that such lists are updated regularly. The Authority shall also consult the lists of NYS-certified M/WBEs maintained by the DED pursuant to Executive Law Article 15-A.
4. Establish appropriate goals for participation by M/WBEs in procurement contracts awarded by the Authority and for the utilization of M/WBEs as subcontractors and suppliers by entities having procurement contracts with the Authority. Statewide numerical participation target goals shall be established by the Authority based on the criteria set forth in Public Authorities Law § 2879.
5. Conduct procurements in a manner that will enable the Authority to achieve the maximum feasible portion of the goals established pursuant to Subdivision 4 of this Section and that eliminates barriers to participation by M/WBEs in the Authority's procurements.
6. Designate one or more senior staff of the Authority to oversee the Authority's programs established to promote and assist participation by and utilization of NYS-Certified M/WBEs.

11. PROCUREMENT RECORD AND REPORTING

A. Procurement Record

The White Plains Procurement Department maintains records of Procurement Contracts, including bidders' names, the selection processes used and the status of existing contracts, including goods provided and/or services performed and fees earned, billed and paid. At the facilities, such records will be kept by the facilities' Procurement Departments.

B. Procurement Report

After the end of each calendar year, the Vice President of Procurement or equivalent(s) will prepare and submit an annual report to the Trustees for their approval that will include:

1. A copy of the Guidelines;
2. An explanation of the Guidelines and any amendments thereto since the last annual report;
3. A list of all Procurement Contracts entered into since the last annual report, including all contracts entered into with New York State Business Enterprises and the subject matter and value thereof and all contracts entered into with Foreign Business Enterprises and the subject matter and value thereof;
4. A list of fees, commissions or other charges paid;
5. A description of work performed, the contract number, the date of the contract and its duration, the name, address and NYS-certified M/WBE designation of the awardees, the total amount of the contract, the amount spent on the contract during the reporting period and for the term of the contract to date and the status of open Procurement Contracts during the report year;
6. The type of contract (equipment, services, personal services or construction);
7. The method of awarding the contract (e.g., competitive bidding, Sole Source, Single Source or competitive search);
8. The reasons why any procurements with a value greater than $15,000 were not noticed in the Contract Reporter;
9. The number of bids received and
10. All referrals made and all penalties imposed, if any, pursuant to § 316 of the Executive Law.

C. Such annual report, as approved by the Trustees, shall be submitted to the New York State Division of the Budget within 90 days of the end of such calendar year, and copies thereof shall be distributed to the New York State Department of Audit and Control, the DED, the New York State Senate Finance Committee, and the

New York State Assembly Ways and Means Committee and any other entity as may be required by law. The annual procurement report is posted on the Authority's website and copies shall be made available to the public upon reasonable written request therefor.

D. State Finance Law §§ 139-j and 139-k

1. A statement describing the basis for a determination of a bidder's/contractor's non-responsibility (per State Finance Law §§ 139-j and 139-k only) and the Authority's decision not to award a bidder/contractor the Procurement Contract must be included in the procurement record.

2. The Authority shall notify the New York State Office of General Services of bidders/contractors who have been determined to be non-responsible bidders (per State Finance Law §§ 139-j and 139-k only) or debarred due to violations of § 139-j of the State Finance Law.

3. All forms entitled "Record of Contact" shall be included in the respective procurement record.

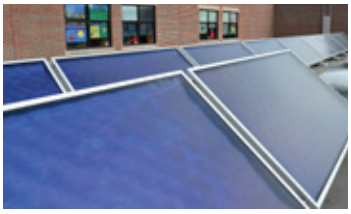
4. A statement describing the basis for a termination of a Procurement Contract for providing an intentionally false certification must be included in the procurement record.

E. The Authority may be called upon periodically to submit information regarding the procurement of goods and/or services to organizations implementing the PAAA or other statutes regulating the procurement of goods and services, such as the Authorities Budget Office through the Public Authorities Reporting Information System ("PARIS").

12. THIRD PARTY RIGHTS: VALIDITY OF CONTRACTS

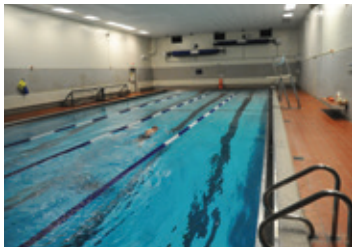
A. These Guidelines are intended for the guidance of officers and employees of the Authority only. Nothing contained herein is intended, nor should it be construed, to confer on any person, firm or corporation any right, remedy, claim or benefit under, or by reason of, any requirement or provision hereof.

B. Nothing contained in these Guidelines alters or affects the validity of, modifies the terms of or impairs any contract or agreement entered into in violation of these Guidelines.



NEW YORK POWER AUTHORITY'S

Annual Report of Procurement Contracts 2013



New York Power Authority

Generating more than electricity

The area covered in these covers are:
LPGP LEM
Transmission
Yonkers Energy Efficiency work
Niagara
SUNY Upstate Medical
Charge NY
BuildSmart 5 Cities Master Plans— Syracuse and Rochester (Yonkers also falls under this.)

2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS

FIELD EXPLANATION GUIDE

ARRANGEMENT: Listed by the generating facility. Abbreviations are Headquarters White Plains Office (WPO), Blenheim Gilboa Power Project (BG), Clark Energy Center (CEC), Niagara Power Project (NIA), South Eastern New York including POLETTI, FLYNN, 500MW and Small Clean Power Plants (SENY), ST Lawrence/FDR Power Plant (STL), Fossil Fuels (FF), Corporate Finance. Within each site, listed in ascending order by CONTRACT NUMBER.

SCOPE: All procurement contracts (for goods or services) with a value of $5,000 or greater that were open, completed or awarded in 2013 including contracts awarded in 1990 through 2013 that were either completed in 2013 or extended into 2014 or beyond.

FIELD NAMES

TYPE: COMMITMENT TYPE, Indicating the major category of goods or kind of services provided. Architectural & Engineering Services, Construction, Equipment, Legal Services, Personal Services, Other Services. {A,C,E,L,P,S}

MOA: METHOD OF AWARD, Indicating the basis of vendor selection. Competitive Bid, Competitive Search, MWBE/SBE, Sole Source, Single Source {B,C,M,1,2}

RNA: REASON NOT ADVERTISED, Indicating the reason a notice was not published in the Contract Reporter. {1-9}

OPA: OMNIBUS PROCUREMENT ACT, Indicating where the goods were substantially manufactured or assembled or where the services were substantially performed New York or Foreign to New York. (N,F)

MWBE: MINORITY / WOMEN OWNED BUSINESS ENTERPRISE, Indicating the New York State Certified M/WBE status of the vendor. {1,3,4,N}

BIDS: NUMBER OF BIDS, Indicating the number of bids received for contracts awarded after January 1, 2006. Such information is not included for contracts awarded prior to that date.

FREIGHT: Contract value adjusted to include actual freight charges.

TOTAL CONTRACT AMOUNT: Total value of the commitment as of December 31, 2013, reported from the SAP system. NOTE: Additional funding may be authorized via issuance of a Change Order in accordance with the Authority's Expenditure Authorization Procedures.

TOTAL AMOUNT EXPENDED TO DATE: Total amount expended through December 31, 2013 as reported from SAP system.

AMOUNT EXPENDED IN 2013: Total amount expended during the report year, January 1, 2013 through December 31, 2013, as reported by SAP system.

DATE OF CONTRACT: Date on which the original commitment was effective (which may differ from Issue Date of formal contract or "Create Date" in SAP system).

O/C: OPEN / COMPLETED, Status of the contract as of December 31, 2013. Completion date indicates that goods have been received or services were completed in full.

THIS DOES NOT NECESSARILY reflect that the final payment has been made.

**Contract re-opened in 2013.

PROJECTED COMPLETION DATE: Open commitments, date of which goods are scheduled to be received or the date on which the scope of the contract is scheduled to be physically completed.

DATE CONTRACT COMPLETED: Completed commitments, the date of actual physical completion, when goods were delivered or services completed in full; this DOES NOT NECESSARILY reflect that the final payment has been made.

2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS

TABLE FOR PROCUREMENT CONTRACT SUMMARY SCREENS

COMMITMENT TYPE

A = ARCHITECTURAL & ENGINEERING SERVICES
C = CONSTRUCTION
E = EQUIPMENT/COMMODITIES
L = LEGAL SERVICES
P = PERSONAL SERVICES
S = OTHER (NON-PERSONAL) SERVICES

METHOD OF AWARD

B = COMPETITIVE BID
C = COMPETITIVE SEARCH
M = MINORITY WOMEN OWNED BUSINESS ENTERPRISE / SMALL BUSINESS ENTERPRISE VALUE < $200,000
1 = SOLE SOURCE
2 = SINGLE SOURCE

REASON NOT ADVERTISED

1 = EMERGENCY OR CRITICAL BASIS

2 = RE-BID/RE-SOLICITED FOR THE SAME GOODS/SERVICE WITHIN 45 BUSINESS DAYS AFTER ORIGINAL BIDS WERE DUE

3 = NOT-FOR-PROFIT PROVIDER OF HUMAN SERVICES

4 = CHARITABLE NON-PROFIT AGENCY WHICH DISTRIBUTES PRODUCTS PRODUCED BY THE BLIND OR SEVERELY HANDICAPPED OR IN CONNECTION WITH SPECIAL EMPLOYMENT PROGRAMS OF THE OFFICE OF MENTAL HEALTH

5 = ORIGINAL AWARD LESS THAN $15,000

6 = ORIGINAL ESTIMATE LESS THAN $15,000

7 = AWARDED UNDER STATE (OGS) OR FEDERAL (GSA) CONTRACT (PIGGYBACK)

8 = NOTICED IN CONTRACT REPORTER

9 = NOT APPLICABLE - NON-PROCUREMENT, MEMBERSHIP, LAND PURCHASES, USE OF PIPELINE, ETC.

OPA : OMNIBUS PROCUREMENT ACT

ENTERED INTO THE SAP SYSTEM (CONTRACT DATA SCREEN): “NYSBE or FBE”

N = THE GOODS WERE SUBSTANTIALLY PRODUCED OR SERVICES SUBSTANTIALLY PERFORMED IN NEW YORK STATE EXCEPT FOR CONSTRUCTION CONTRACTS.

F = THE GOODS WERE SUBSTANTIALLY PRODUCED OR SERVICES SUBSTANTIALLY PERFORMED OUTSIDE NEW YORK STATE (FOREIGN)

MWBE: MINORITY / WOMEN OWNED BUSINESS ENTERPRISE

1 = NEW YORK STATE SMALL BUSINESS ENTERPRISE

3 = NEW YORK STATE CERTIFIED WOMEN OWNED BUSINESS ENTERPRISE

4 = NEW YORK STATE CERTIFIED MINORITY OWNED BUSINESS ENTERPRISE

N = NON NEW YORK STATE CERTIFIED MINORITY OR WOMEN OWNED BUSINESS ENTERPRISE

FREIGHT

* Contract value adjusted to include actual freight charges.

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500016211	VOITH HYDRO INC 760 E BERLIN RD YORK, PA 17408-8701 US	DESIGN/INSTALL GENERATION CONTROL SYSTEMS	S	B		F	N	0		23,123,711	22,982,770	228,942	140,941	10/27/1999	O	12/31/2014	
4500033523	IRIS POWER LP 3110 AMERICAN DR MISSISSAUGA, ON L4V 1T2 CA	DEVELOPMENT, IMPLEMENTATION & FIELD TESTING OF HYDROX AT ST. LAWRENCE	P	1		F	N	0		1,343,952	1,226,532	0	117,420	10/30/2000	O	12/31/2014	
4500056531	CERIDIAN EMPLOYER SERVICES 150 FISHER DR AVON, CT 06001 US	PAYROLL PROCESSING SERVICES	S	C		F	N	0		2,665,000	1,947,979	163,084	717,021	4/1/2002	O	3/31/2015	
4500121437	IRIS POWER LP 3110 AMERICAN DR MISSISSAUGA, ON L4V 1T2 CA	F/D/I HYDROX RULEPAC (SOFTWARE) ON 15 UNITS AT ST. LAWRENCE.	P	1	9	N	N	1		300,000	108,480	0	191,520	3/29/2006	O	12/31/2014	
4500133069	NAES CORP 1 COLLINS DR - STE 100 CARNEYS POINT, NJ 08069 US	O&M SERVICES FOR DEPT./CITY PLANTS	S	B		F	N	1		17,910,956	14,644,242	1,766,424	3,266,714	11/29/2006	O	6/30/2014	
4500133486	WOOD GROUP INTEGRATED PLANT SOLUTIONS 12600 DEERFIELD PKWY - STE 315 ALPHARETTA, GA 30004-5099 US	SENY: EXTENDED PARTS AND SERVICES AGREEMENT	S	B		N	N	3		62,439,419	61,848,585	3,904,319	590,834	9/29/2006	O	9/30/2021	
4500144728	RISO INC 505 WHITE PLAINS RD - STE 102 TARRYTOWN, NY 10591-5108 US	60 MONTH LEASE RISOGRAPH PRINTER	E	B	6	N	N	3		18,180	15,276	0	2,904	8/3/2007	C		2/28/2013
4500155689	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 75 ARLINGTON ST - 4TH FL BOSTON, MA 02116-3936 US	PERFORM FERC PART 12 INDEPENDENT CONSULTANT DAM SAFETY INSPECTION& REPORTS FOR NIAGARA & JARVIS POWER PROJECTS	P	B		N	N	5		182,140	181,206	0	934	4/1/2008	C		3/31/2013
4500161023	KONE INC ONE KONE CT MOLINE, IL 61265 US	BUILDING & GARAGE ELEVATOR MAINTENANCE SERVICES	S	1		F	N	1		464,728	464,728	0	0	7/1/2008	C		6/30/2013
4500161290	A-1 FIRST CLASS - VIKING MOVING & STORAGE INC 156 HINSDALE ST BROOKLYN, NY 11207-3302 US	CORPORATE RELOCATION MOVING SERVICES	S	B		N	N	2		250,000	163,527	47,432	86,473	7/1/2008	O	6/30/2014	
4500163114	MERIDIAN MOVING SYSTEMS LLC 2180 5TH AVE - STE 1 RONKONKOMA, NY 11779-6935 US	CORPORATE RELOCATION MOVING	S	B		N	N	2		18,850	18,850	0	0	7/1/2008	C		6/30/2013
4500164300	UNDERGROUND SYSTEMS INC 84 BUSINESS PARK DR - STE 109 ARMONK, NY 10504-1734 US	LEAK DETECTION MONITORING AT LONG ISLAND SOUND Y-49 FEEDER CABLE	S	C		N	N	1		1,320,000	1,024,727	219,258	295,273	10/1/2008	O	3/31/2014	
4500166943	SIEMENS ENERGY INC 7000 SIEMENS RD WENDELL, NC 27591-8309 US	ST LAWRENCE - SWITCHYARD AUTOMATED MONITORING AND CONTROL SYSTEM	C	B		F	N	2		4,250,090	4,066,173	773,910	183,917	1/1/2009	C		9/30/2013

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500166994	XEROX CORP 1303 RIDGEVIEW DR LEWISVILLE, TX 75057 US	PURCHASE XEROX IGEN110C SYSTEM	E	B	7	N	N	1		1,232,343	978,705	208,755	253,638	1/1/2009	C		12/31/2013
4500168571	TOTAL ADMINISTRATIVE SERVICES CORP 3405 ANNAPOLIS LN N - STE 150 MINNEAPOLIS, MN 55447-8863 US	COBRA ADMINISTRATION SERVICES	P	B		F	N	4		75,000	34,207	7,138	40,793	1/1/2009	C		12/31/2013
4500169664	DIRECTOR'S DESK LLC ONE LIBERTY PLAZA - 49TH FL NEW YORK, NY 10006-1404 US	BOARDROOM PORTAL SERVICE	S	B		N	N	3		95,672	95,672	20,672	0	1/31/2009	C		12/31/2013
4500171846	MIHAI RADU ARCHITECTS PLLC 104 W 27TH ST - 10TH FL NEW YORK, NY 10001-6210 US	A/E SERVICES-REPLACEMENT OF THE CHARLES POLETTI ADMIN. BLDG. ROOF SYSTEMS & OPTIONAL ADDITIONAL ROOF SYSTEMS AT POLETTI	A	B		N	N	17		122,045	41,240	0	80,805	4/1/2009	O	3/31/2014	
4500172003	M J BRADLEY & ASSOCIATES LLC 1000 ELM ST - 2ND FL MANCHESTER, NH 03101-1730 US	CONSULTING WORK ON EMISSIONS REDUCTION CALCULATIONS FOR ELECTRIC TRANSPORTATION PROGRAM	P	B		N	N	4		35,000	14,000	0	21,000	5/6/2009	O	4/5/2014	
4500172042	MANATT PHELPS & PHILLIPS LLP 30 S PEARL St - 12th FL ALBANY, NY 12207 US	LEGAL SERVICES FOR THE ST. REGIS LAND CLAIM	L	2	9	N	N	1		750,001	191,425	93,296	558,576	4/1/2009	O	3/31/2014	
4500172044	PILLSBURY WINTHROP LLP ONE BATTERY PARK PLAZA NEW YORK, NY 10004-1490 US	LEGAL SERVICES FOR HIGH-LEVEL RADIOACTIVE WASTE LITIGATION	L	2	9	N	N	1		1,375,001	1,331,192	13,173	43,809	4/1/2009	O	3/30/2014	
4500172784	THE TRANE CO DIV OF AMERICAN STANDARD INC 45-18 COURT SQ LONG ISLAND CITY, NY 11101 US	PREVENTIVE MAINTENANCE AND REPAIRS FOR THE CHILLERS AT CLARENCE RAPPLEYEA BUILDING	S	B	8	N	N	1		95,000	56,950	7,679	38,050	7/1/2009	O	6/30/2014	
4500172786	INTEGRATED SYSTEMS & POWER INC 310 FIFTH AVE - 6TH FL NEW YORK, NY 10001 US	FIRE ALARM MAINTENANCE AND REPAIRS FOR THE WPO	S	B		N	N	3		150,000	67,958	5,979	82,042	7/1/2009	O	6/30/2014	
4500175478	VENTYX INC VENTYX INC (AN ABB) COMPANY STE 500 400 PERIMETER CENTER TER NE ATLANTA, GA 30346-1231 US	IRP ERM FORECASTING SOFTWARE SOLUTION	P	B		F	N	2		1,978,930	1,620,393	0	358,537	6/22/2009	O	6/21/2014	
4500176027	BERNIER CARR & ASSOC PC 327 MULLIN ST WATERTOWN, NY 13601-3686 US	CONSTRUCTION MGT CONTRACT - ST LAWRENCE RELICENSING PROJECTS	P	B		N	N	3		1,300,160	1,148,872	279,915	151,288	7/1/2009	O	6/30/2014	
4500176118	BOHLER ENGINEERING PC 2002 ORVILLE DR N - STE 100 RONKONKOMA, NY 11779-7661 US	CONSULTING SERVICES FOR NYC DEPT. OF BUILDINGS (NYCOB)	P	B		N	N	3		50,000	0	0	50,000	7/1/2009	O	6/30/2014	

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500177262	PERRAS EXCAVATING INC 1909 ST HWY 420 MASSENA, NY 13662 US	CONSTRUCTION & INSTALLATION OF A NEW DIKE, WATER CONTROL STRUCTURE & A PUMPHOUSE AT THE WILSON HILL WILDLIFE MANAGEMENT AREA	C	B		N	N	3		3,554,867	3,513,918	112,589	40,949	8/10/2009	O	6/30/2014	
4500177472	KPMG LLP STE 200 515 BROADWAY - 4TH FL ALBANY, NY 12207-2930 US	ACCOUNTING SERVICES	P	B		N	N	4		1,870,000	1,428,492	529,275	441,508	8/1/2009	O	7/31/2014	
4500179135	KRATOS PUBLIC SAFETY & SECURITY SOLUTIONS INC 4820 EASTGATE MALL - STE 200 SAN DIEGO, CA 92121-1993 US	MAINTENANCE OF SENY SECURITY SYSTEMS 10/1/09-12/31/2010	S	B		N	N	6		1,351,768	1,129,777	234,352	221,991	10/1/2009	O	12/31/2014	
4500179789	A & A MAINTENANCE ENTERPRISE INC 965 MIDLAND AVE YONKERS, NY 10704-1027 US	OPERATING ENGINEERING SERVICES FOR THE WPO	S	B		N	N	3		4,250,000	3,542,951	727,490	707,049	10/1/2009	O	9/30/2014	
4500181184	OVER ROCK LLC 271 MIRTH DR VALLEY COTTAGE, NY 10989-2403 US	SNOW REMOVAL, MASONRY AND LANDSCAPING SERVICES	S	B		N	N	5		1,662,837	1,662,837	0	0	11/1/2009	C		1/1/2013
4500181403	TRENCH LTD 390 MIDWEST RD SCARBOROUGH, ON M1P 3B5 CA	F&D 765KV SF6 CURRENT TRANSFORMERS & SF6 765KV COMBINATION CT/PT FOR MARCY & MASSENA SUBSTATIONS	E	B		N	N	2		5,042,966	4,658,519	768,895	384,447	12/10/2009	C		12/31/2013
4500182464	SARA L MENDELSOHN MD PLLC 800 WOODBURY RD - STE K WOODBURY, NY 11797-2592 US	PERFORM ANNUAL PHYSICALS AT THE RICHARD M. FLYNN PLANT	P	B		N	N	2		60,001	44,921	13,860	15,080	1/4/2010	C		12/31/2013
4500183371	CLEAREDGE POWER CORP FORMERLY UTC POWER CORP 195 GOVERNORS HWY SOUTH WINDSOR, CT 06074-2419 US	PURCHASE AND STORAGE OF FUEL CELL SPARE PARTS	E	1	1	F	N	1		2,069,624	2,025,128	59,328	44,496	12/23/2009	O	12/31/2014	
4500183713	GARNET RIVER LLC 8 AUTOMATION LN ALBANY, NY 12205-1654 US	PLATFORM CONVERSION ENERGY SCHEDULING & ACCOUNTING SOFTWARE	P	B		N	3	5		1,207,407	1,166,334	40,326	41,073	1/12/2010	O	1/11/2015	
4500184143	THOMAS NG PO BOX 090961 BROOKLYN, NY 11209 US	VIDEO PRODUCTION ASSISTANT CONSULTING SERVICES	S	B		N	N	2		57,402	35,800	8,732	21,602	1/1/2010	C		12/30/2013
4500184144	EMSTAR MEDIA INC #212B 25 BANK ST WHITE PLAINS, NY 10606 US	VIDEOGRAPHER / EDITOR /PRODUCTION COORDINATOR CONSULTING SERVICE	S	B		N	N	3		423,927	403,650	104,698	20,277	1/1/2010	C		12/31/2013
4500184145	PIOPPI VIDEO ENTERTAINMENT CORP 67 BYRON AVE YONKERS, NY 10704-2111 US	VIDEO PRODUCER EDITOR DIRECTOR CONSULTING SERVICES	S	B		N	N	1		453,431	435,232	113,484	18,199	1/1/2010	C		12/31/2013

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500184152	CSL ENTERPRISES INC D/B/A REEL VISION PRODUCTIONS 310 LAUREN TICE RD - PO BOX 15 SAUGERTIES, NY 12477-0015 US	VIDEO SYSTEM MAINTENANCE ENGINEER SERVICES	S	B		N	N	2		240,212	219,322	61,646	20,890	1/1/2010	C		12/31/2013
4500185479	FIRSTCALL NETWORK INC 5423 GALERIA DR BATON ROUGE, LA 70816-6008 US	CALL TREE NOTIFICATION SYSTEM	S	B		F	N	7		59,040	47,355	11,685	11,685	3/1/2010	O	2/28/2015	
4500185622	NYS NURSERY & LANDSCAPE ASSOC INC 136 EVERETT RD ALBANY, NY 12205-1418 US	TREE POWER PROGRAM	E	1	8	N	N	1		530,000	124,993	22,143	405,007	2/17/2010	O	2/16/2016	
4500185714	MILIEU INC 1435 CHEMIN DE SAINT-JEAN LA PRAIRIE, PQ J5R 2L8 CA	ST. LAWRENCE-FDR INSTALLATION, OPERATION & MAINTENANCE OF EEL LADDER	C	1		N	N	1		75,000	10,051	853	64,949	6/1/2010	O	10/31/2014	
4500185716	MILIEU INC 1435 CHEMIN DE SAINT-JEAN LA PRAIRIE, PQ J5R 2L8 CA	ST. LAWRENCE-FDR POWER PROJECT -OPERATION, MAINTENANCE & MONITOR OF EEL PASSAGE FACILITY	C	B		N	N	3		520,000	338,669	160,540	181,331	6/1/2010	O	10/31/2014	
4500185720	AUBERTINE & CURRIER ARCHITECTS ENGINEERS & LAND SURVEYORS PLLC 522 BRADLEY ST WATERTOWN, NY 13601-1204 US	ST. LAWRENCE POWER PROJECT-ENGINEERING SERVICES FOR RECREATIONAL FACILITIES	A	B		N	3	13		350,000	69,415	0	280,585	4/1/2010	O	3/31/2014	
4500185815	DEADLINE SOLUTIONS INC 1201 E FAYETTE ST SYRACUSE, NY 13210-2258 US	ST. LAWRENCE-FDR POWER PROJECT-MAINTENANCE OF FLOWMETER SYSTEM	C	2		N	N	1		138,781	38,927	7,409	99,854	4/1/2010	O	3/31/2014	
4500186386	WARD NORRIS HELLER & REIDY LLP 300 STATE ST ROCHESTER, NY 14614-1020 US	LEGAL SERVICES FOR NIAGARA COUNTY CASE	L	C	1	N	N	4		300,000	172,189	0	127,811	2/8/2010	C		2/7/2013
4500186575	SOFTWARE SENSE ENTERPRISES INC 376 BROADWAY - STE L3 SARATOGA SPRINGS, NY 12866 US	PTR PLUS MAINTENANCE - TRUSTEE APPROVAL	S	1		N	N	1		135,000	97,750	18,750	37,250	3/1/2010	O	2/28/2015	
4500186825	BIOMARK INC 703 S AMERICANA BLVD - STE 150 BOISE, ID 83702-4976 US	ST. LAWRENCE POWER PROJECT-MAINTENANCE OF PIT TAG SYSTEM	C	2		N	N	1		173,089	13,720	0	159,369	6/1/2010	O	5/31/2014	
4500187002	CITYSIDE ARCHIVES LTD 499 MILL RD EDISON, NJ 08817 US	STORAGE AND SERVICE AGREEMENT FOR OFF-SITE STORAGE	E	B	9	N	N	1		429,000	307,969	108,486	121,031	4/1/2010	O	3/31/2015	

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4500187392	AIRMATIC COMPRESSOR SYSTEMS INC 700 WASHINGTON AVE CARLSTADT, NJ 07072-3007 US	COMPRESSED AIR SYSTEM AUDIT SERVICES	P	B		N	N	5		400,000	63,100	31,185	336,900	4/1/2010	O	3/31/2014	
4500187597	CLARKSON UNIVERSITY 8 CLARKSON AVE POTSDAM, NY 13699 US	ST. LAWRENCE POWER PROJECT-ENGINEERING CONSULTANT FOR ICE SLUICE DRUM GATES	P	B		N	N	1		250,000	94,311	23,103	155,689	5/31/2010	O	3/31/2014	
4500188074	JOANNE DARCY CRUM LS PROFESSIONAL LAND SURVEYOR 479 W MAIN ST COBLESKILL, NY 12043 US	SERVICES OF LICENSED LAND SURVEYOR	P	B		N	3	4		598,000	281,663	95,945	316,337	4/1/2010	O	12/31/2014	
4500189150	PITNEY BOWES INC 120 BLOOMINGDALE RD WHITE PLAINS, NY 10605-1500 US	LEASE OF MAIL MACHINES OGS CONTRACT	E	B	7	N	N	1		86,460	63,404	17,292	23,056	1/21/2010	O	1/20/2015	
4500189692	XEROX CAPITAL SERVICES LLC PO BOX 660502 DALLAS, TX 75266-0502 US	XEROX COPY FOR WPO	E	B	7	N	N	1		313,853	138,642	43,421	175,211	6/15/2010	O	6/14/2015	
4500189937	VOITH HYDRO INC 760 E BERLIN RD YORK, PA 17408-8701 US	RESTACK AND REWIND- UNITS 1,2,3 - NIAGARA	C	B		F	N	4		22,389,540	21,131,039	3,796,628	1,258,501	6/4/2010	O	2/28/2014	
4500189992	TRC ENVIRONMENTAL CORP 1430 BROADWAY - 10 FL NEW YORK, NY 10018-3308 US	SENY: ENGINEERING SUPPORT DURING DECOMMISSIONING OF POLETTI	A	B		N	N	8		1,598,087	1,116,286	140,862	481,801	5/26/2010	C		5/31/2013
4500190432	JSHP TRANSFORMER CO LTD NORTH AMERICA MARKETING & SERVICE 4030 MOORPARK AVE - STE 216 SAN JOSE, CA 95117-1849 US	LPGP - LEM - GENERATOR STEP UP TRANSFORMERS	E	B		F	N	8		6,291,000	6,285,000	2,887,860	6,000	6/4/2010	O	6/3/2014	
4500190871	NORMANDEAU ASSOCIATES INC 25 NASHUA RD BEDFORD, NH 03110 US	CRESCENT HYDROELECTRIC PROJECT HYDROACOUSTIC STUDY OF JUVENILE BLUEBACK HERRING	P	B		F	N	2		850,000	838,545	167,150	11,455	6/29/2010	C		6/30/2013
4500190958	A & A MAINTENANCE ENTERPRISE INC 965 MIDLAND AVE YONKERS, NY 10704-1027 US	JANITORIAL SERVICES	S	B		N	N	8		5,500,000	3,350,992	966,471	2,149,008	7/1/2010	O	6/30/2015	
4500190982	SCRUFARI CONSTRUCTION CO INC 3925 HYDE PARK BLVD NIAGARA FALLS, NY 14305-1701 US	NIAGARA INTAKE DECK HATCH AND CONCRETE REPAIR	C	B		N	N	3		1,762,294	1,661,098	126,861	101,196	7/1/2010	C		12/31/2013
4500191038	SEBESTA BLOMBERG & ASSOCIATES INC 150 PRESIDENTIAL WAY - STE 330 WOBURN, MA 01801 US	LEADERSHIP IN ENGINEERING AND ENVIRONMENTAL DESIGN (LEED) CERTIFICATION SERVICES FOR THE AUTHORITY'S VISITORS CENTER	P	B		F	N	6		199,820	40,535	0	159,285	7/1/2010	O	6/30/2014	

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4500191545	NYS INDUSTRIES FOR THE DISABLED INC 11 COLUMBIA CIR ALBANY, NY 12203-5156 US	PRINTING AND REPROGRAPHICS SERVICES FOR ENERGY SERVICES. PROJECTS ON AN AS-NEEDED BASIS	P	B		N	N	4		38,984	3,387	39	35,597	7/1/2010	O	6/30/2015	
4500191627	WEST FAIR ELECTRIC CONTRACTORS INC 200 BRADY AVE HAWTHORNE, NY 10532 US	WPO GENERAL ELECTRICAL SERVICES	S	B		N	N	5		334,000	67,565	23,950	266,435	7/1/2010	O	6/30/2015	
4500191665	BAC ELECTRICAL CONSTRUCTION CO INC 873 N BROADWAY WHITE PLAINS, NY 10603-2403 US	GENERAL ELECTRICAL SERVICES FOR THE WPO	C	B		N	N	5		333,000	290,985	99,337	42,015	7/1/2010	O	6/30/2015	
4500191674	HEALY ELECTRIC CONTRACTING INC 4 FISHER LANE WHITE PLAINS, NY 10603 US	GENERAL ELECTRIC SERVICES FOR THE WHITE PLAINS OFFICE	C	B		N	N	5		333,000	173,990	45,662	159,010	7/1/2010	O	6/30/2015	
4500192642	STIKEMAN ELLIOTT LLP 5300 COMMERCE COURT W - 199 BAY ST TORONTO, ON M5L 1B9 CA	CROSS-BORDER TRANSACTIONAL LEGAL SERVICES	L	C	1	F	N	1		150,000	65,902	17,813	84,098	8/1/2010	C		6/13/2013
4500193394	ABB INC 3450 HARVESTER RD BURLINGTON, ON L7N 3W5 CA	STATION SERVICE UPGRADE ST. LAWRENCE POWER PROJECT	E	B		F	N	3		1,152,783	547,108	0	605,675	9/2/2010	O	12/31/2014	
4500194404	DELL MARKETING LP 850 ASBURY DR BUFFALO GROVE, IL 60089-4557 US	RSA TOKENS - OGS STATE CONTRACT PT-65191	S	B	7	N	N	4		15,803	15,803	0	0	11/1/2010	C		10/31/2013
4500194507	SCHNEIDER ELECTRIC USA INC 1415 S ROSELLE RD PALATINE, IL 60067-7337 US	MNR GCT PLM/STANDBY GENERATION UPGRADE PROJECT: PLC/SCADA SYSTEM	E	1	9	N	N	1		806,761	806,761	82,204	0	9/30/2010	C		9/9/2013
4500194841	PACS INDUSTRIES INC 1211 STEWART AVE - STE 200 BETHPAGE, NY 11714-1602 US	MNR GCT PLM/STANDBY GENERATION UPGRADE SYSTEM - 15KV SWITCH GEAR	E	B		N	N	6		482,647	300,185	30,019	182,462	10/12/2010	C		6/29/2013
4500196697	KEMA INC 2 HYDE RD - PO BOX 281 CLARKLAKE, MI 49234-9652 US	LOAD RESEARCH FORECASTING	P	B		N	N	1		2,500,000	142,551	40,760	2,357,449	1/1/2011	O	12/31/2015	
4500197233	GE ENERGY MANAGEMENT SERVICES INC 175 SCIENCE PKWY ROCHESTER, NY 14620-4260 US	PURCHASE MULTIPLE GAS ANALYZERS FOR MARCY, MASSENA AND POLETTI PROJECTS	E	B		N	N	3		539,614	515,114	3,500	24,500	12/8/2010	O	12/31/2014	
4500197321	MERLINONE INC 17 WHITNEY RD QUINCY, MA 02169-4309 US	DIGITAL ASSET MANAGEMENT SOFTWARE SOLUTION AWARD	E	B		F	N	6		124,148	124,148	4,625	0	12/9/2010	C		12/31/2013
4500197541	HARRISON I GETZ JR 129 SILVERMINE AVE NORWALK, CT 06850-2038 US	DESIGN AND PRODUCTION SERVICES	P	B		N	N	4		437,273	255,385	91,210	181,888	1/1/2011	O	12/31/2015	

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4500197546	ANGELA WOODS 139 VILLA AVE YONKERS, NY 10704-2319 US	DESIGN AND PRODUCTION SERVICES	P	B		N	N	4		334,190	187,110	63,382	147,080	1/1/2011	O	12/30/2015	
4500197548	RUSSELL BROD 34 SALEM RD WHITE PLAINS, NY 10603 US	DESIGN & PRODUCTION SERVICES	P	B		N	N	4		359,748	117,613	19,017	242,135	1/1/2011	O	12/31/2015	
4500197577	HITACHI POWER SYSTEMS AMERICA LTD 645 MARTINSVILLE RD BASKING RIDGE, NJ 07920-4701 US	F/D WICKET GATES	E	B		F	N	3		2,401,404	2,381,404	900,707	20,000	12/15/2010	C		4/30/2013
4500197615	EILEEN BURTOFF 215 W 75TH ST - APT #11F NEW YORK, NY 10023-1713 US	DESIGN AND PRODUCTION SERVICES	S	B		N	N	4		362,486	164,474	56,578	198,012	1/1/2011	O	12/31/2015	
4500197698	GP STRATEGIES CORP FORMERLY GENERAL PHYSICS CORP 25 NORTHPOINTE PKWY - STE 100 AMHERST, NY 14228-1891 US	5 YEAR SERVICE AGREEMENT TO SUPPORT ETAPRO SOFTWARE	S	1	8	F	N	1		208,250	139,900	23,800	68,350	1/1/2011	O	12/31/2015	
4500197739	OSI SOFTWARE INC 777 DAVID ST - SUITE 250 SAN LEANDRO, CA 94577 US	RENEWAL OF 5 YEAR OSI SOFTWARE RELIANCE PROGRAM	S	1	8	F	N	1		272,969	192,950	84,087	80,019	1/1/2011	O	12/31/2015	
4500198012	SECUREWORKS INC 1 CONCOURSE PKWY NE - STE 500 ATLANTA, GA 30328-5346 US	NETWORK MONITORING SERVICES	S	B		F	N	2		1,336,496	798,894	307,110	537,602	12/31/2010	O	12/30/2015	
4500198015	SAP PUBLIC SERVICES INC RONALD REAGAN BLDG / ITC 1300 PENNSYLVANIA AVE NW - STE 500 WASHINGTON, DC 20004 US	SAP E-SOURCING AND CONTRACT MANAGEMENTS SOFTWARE SOLUTION	E	B	7	F	N	1		525,068	187,307	10,500	337,761	12/31/2010	C		12/31/2013
4500198214	TRANSITCHEK EMPLOYER SERV 8255 N CENTRAL PARK AVE SKOKIE, IL 60076 US	TRANSIT CHECKS FOR EMPLOYEES	E	1	9	N	N	1		570,000	286,735	93,380	283,265	1/1/2011	O	12/31/2015	
4500198547	KNORR BRAKE CORP 861 BALTIMORE BLVD WESTMINSTER, MD 21157-7021 US	MTA - NYC TRANSIT OIL-LESS AIR COMPRESSORS	E	B		N	N	2		13,612,000	13,612,000	602,700	0	1/13/2011	O	12/30/2014	
4500199312	OILES AMERICA CORP 4510 ENTERPRISE DR NW CONCORD, NC 28027-6437 US	GREASELESS BUSHINGS FOR NIAGARA	E	B		N	N	1		135,648	135,648	67,824	0	2/3/2011	O	9/2/2014	
4500199630	DANCO ELECTRICAL CONTRACTOR INC 4017 24TH ST LONG ISLAND CITY, NY 11101-3911 US	MNR GCT PLM/STANDBY GENERATORATION UPGRADE PROJECT: LABOR	C	B		N	4	7		10,086,461	9,664,773	466,803	421,688	2/10/2011	C		12/31/2013

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4500200071	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	SOPHOS SUPPORT / MAINTENANCE - OGS STATE CONTRACT PT-65193	S	B	7	N	N	1		84,300	84,300	0	0	3/30/2011	O	3/29/2014	
4500200149	BRAND-ON FIRST BUFFALO MARINA INC 53 HUNTLEY RD BUFFALO, NY 14215-1314 US	LEASE FIRST BUFFALO MARINA	E	B		N	N	3		77,344	77,344	0	0	3/1/2011	O	3/1/2016	
4500200960	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	PURCHASE OF HITACHI SAN HARDWARE, SOFTWARE AND SERVICES.	E	B		F	N	3		1,883,401	1,834,896	36,785	48,505	3/21/2011	O	3/31/2016	
4500201467	PROCUREMENT SERVICES CONSULTING INC 3121 ROUTE 22 E - STE 304 BRANCHBURG, NJ 08876-3559 US	Q & A ON CALL ENGINEERING AND METALLUGRISTS SERVICES	A	B		N	N	9		666,667	431,304	222,821	235,363	4/1/2011	O	3/31/2016	
4500201470	BUREAU VERITAS NORTH AMERICA INC 4343 COMMERCE CT - STE 120 LISLE, IL 60532-3614 US	Q & A ON CALL ENGINEERS AND METALLUGRISTS SERVICES	A	B		N	N	9		666,666	145,977	115,801	520,689	4/1/2011	O	3/31/2016	
4500201472	ASR INTERNATIONAL CORP 580 OLD WILLETS PATH HAUPPAUGE, NY 11788-4106 US	Q & A ON CALL ENGINEERING SERVICES	A	B		N	4	9		776,979	374,462	172,898	402,517	4/1/2011	O	3/31/2016	
4500201551	PMA MANAGEMENT CORP 380 SENTRY PKWY - STE 200 BLUE BELL, PA 19422-2328 US	WPO THIRD PARTY CLAIMS ADMIN. SERVICES	P	B		N	N	11		780,000	200,000	40,000	580,000	10/1/2010	O	12/31/2015	
4500201576	TURBOPROP EAST INC 836 STATE RD NORTH ADAMS, MA 01247-3027 US	AIRCRAFT MAINTENANCE FOR NYPA PLANE	S	B		N	N	2		2,544,755	437,189	151,840	2,107,566	4/1/2011	O	3/31/2016	
4500201727	ENVIRONETICS GROUP ARCHITECT PC 180 SYLVAN AVE ENGLEWOOD CLIFFS, NJ 07632-2512 US	ARCHITECTURAL SERVICES	A	B		N	N	9		225,000	94,578	51,282	130,422	4/1/2011	O	3/31/2016	
4500201885	BAVIER DESIGN LLC 46 SOUTHFIELD AVE - STE 350 STAMFORD, CT 06902-7225 US	ARCHITECTURAL SERVICES FOR WPO	A	B		N	N	9		150,000	103,068	10,903	46,932	4/1/2011	O	3/31/2016	
4500202041	AVIAT US INC 5200 GREAT AMERICA PKWY SANTA CLARA, CA 95054-1108 US	MICROWAVE SYSTEM UPGRADE	C	B		F	N	3		3,919,492	2,784,861	273,297	1,134,631	4/18/2011	C		12/31/2013
4500203078	DEVELOPMENT DIMENSIONS INT'L INC DDI INC 1225 WASHINGTON PIKE BRIDGEVILLE, PA 15017-2838 US	PROFESSIONAL ASSESSMENTS	P	2		F	N	1		175,000	102,060	28,800	72,940	4/11/2011	O	3/31/2016	

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4500203476	BROSNAN RISK CONSULTANTS LTD 295 MADISON AVE - FL 47 NEW YORK, NY 10017-6334 US	SECURITY PERSONNEL SERVICES	S	B		N	N	19		11,519,036	5,606,588	2,020,317	5,912,448	6/1/2011	O	6/30/2016	
4500203778	OHM'S ELECTRICAL CORP 6510 CENTRAL AVE GLENDALE, NY 11385-6244 US	ELECTRICAL LIGHTING INSTALLATION SERVICES AT 1 CENTER STREET (DCAS)	C	B		N	3	5		753,366	674,757	293,214	78,609	7/15/2011	C		4/17/2013
4500203958	CDW G INC 230 N MILWAUKEE AVE VERNON HILLS, IL 60061-4304 US	RSA TOKENS - OGS STATE CONTRACT - PT60953	E	B	7	F	N	2		15,959	15,959	0	0	6/27/2011	O	12/31/2016	
4500204188	AIRMATIC COMPRESSOR SYSTEMS INC 700 WASHINGTON AVE CARLSTADT, NJ 07072-3007 US	FURNISH, DELIVER AND INSTALL COMPRESSED AIR SYSTEMS	E	B		N	N	2		3,675,966	3,463,894	547,488	212,072	7/1/2011	O	6/30/2014	
4500204201	UTILITY SYSTEMS DIVISION DBA FOR MAGNETIC INSTRUMENTATION 8431 CASTLEWOOD DR INDIANAPOLIS, IN 46250-1534 US	UTILITY SYSTEMS TO F/D/I TWO DIGITAL FAULT RECORDERS	E	B		F	N	4		98,565	30,000	30,000	68,565	6/17/2011	O	12/31/2014	
4500204553	NEXANT INC 44 S BROADWAY - STE 404 WHITE PLAINS, NY 10601-4439 US	TRANSMISSION COST OF SERVICE	P	B		N	N	5		500,000	231,943	49,921	268,057	6/30/2011	O	7/2/2015	
4500204716	DANNIBLE & MCKEE LLP 221 S WARREN ST SYRACUSE, NY 13202 US	CUSTOMER JOB COMMITMENT AUDITS	P	B		N	N	5		280,000	23,452	0	256,548	1/3/2012	C		1/2/2013
4500205054	CLEAN AIR QUALITY SERVICE INC 161 BRADY AVE HAWTHORNE, NY 10532-2201 US	ENERGY MANAGEMENT SYSTEM MAINTENANCE	S	B		N	N	2		50,970	23,268	0	27,702	7/1/2011	O	6/30/2016	
4500205385	AUTRONIC PLASTICS INC 29 NEW YORK AVE WESTBURY, NY 11590-4908 US	MTA-NYCT LED PORTABLE LAMP BANK FIXTURE PROJECT	E	B		N	N	1		2,472,700	2,472,700	2,187,760	0	7/25/2011	C		12/16/2013
4500205400	PUBLIC FINANCIAL MANAGEMENT INC 18TH & ARCH STS - STE 1600 PHILADELPHIA, PA 19103 US	FINANCIAL ADVISORY SERVICES	P	B		N	N	4		250,000	20,000	20,000	230,000	7/1/2011	O	6/30/2014	
4500206398	WHITESTONE CONSTRUCTION CORP 50-52 49TH ST WOODSIDE, NY 11377-7423 US	INSTALLATION OF ENERGY EFFICIENT WINDOWS AT NYC CONEY ISLAND HOSPITAL	C	B		N	N	3		8,800,000	8,150,060	1,047,584	649,940	8/12/2011	C		8/1/2013
4500206602	GE ENERGY CONTROL SOLUTIONS INC 1800 NELSON RD LONGMONT, CO 80501-6324 US	500MW HMI/ETHERNET SWITCHES/HISTORIAN UPGRADE	E	B		N	N	1		919,620	792,330	72,006	127,290	8/18/2011	O	7/31/2016	

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4500207036	EME CONSULTING ENGINEERING GRP LLC 159 WEST 25TH ST NEW YORK, NY 10001-7203 US	ARRA FUNDED - RETRO-COMMISSIONING & MODIFIED RETRO-COMMISSIONING IMPLEMENTATION CONTRACTOR SERVICES FOR NYPA'S HELP IN SENY	C	B		N	N	9		2,443,332	138,600	0	2,304,732	9/7/2011	O	7/31/2014	
4500207037	WSP FLACK + KURTZ 512 7TH AVE NEW YORK, NY 10018 US	ARRA FUNDED - RETRO-COMMISSIONING & MODIFIED RETRO-COMMISSIONING IMPLEMENTATION CONTRACTOR SERVICES FOR NYPA'S HELP IN SENY	C	B		N	N	9		2,490,961	32,555	0	2,458,406	9/7/2011	O	7/31/2014	
4500207038	HORIZON ENGINEERING ASSOCIATES LLP MICHAEL ENGLISH 30 BROAD ST - 15TH FL NEW YORK, NY 10004-2969 US	ARRA FUNDED - RETRO-COMMISSIONING & MODIFIED RETRO-COMMISSIONING IMPLEMENTATION CONTRACTOR SERVICES FOR NYPA'S HELP IN SENY	C	B		N	N	9		2,496,400	170,700	0	2,325,700	8/29/2011	O	7/31/2014	
4500207932	THINKERSDESIGN STEPHANIE TOTHILL 328 COMMERCE ST HAWTHORNE, NY 10532-1958 US	DESIGN SERVICES (IN-HOUSE)	S	B		N	3	6		218,005	44,888	24,833	173,117	9/12/2011	O	12/31/2015	
4500208232	KLEINSCHMIDT ASSOCIATES PA PC PO BOX 650 PITTSFIELD, ME 04967-0650 US	BLENHEIM-GILBOA POWER PROJECT - FERC RELICENSING LEAD CONSULTANT	P	B		N	N	3		1,030,000	967,901	281,469	62,099	10/1/2011	O	9/30/2015	
4500208340	ABRAMS & ABRAMS LLP - 363 7TH AVE - 12TH FL NEW YORK, NY 10001-3904 US	IMMIGRATION COUNSEL SERVICES	L	C	8	N	N	7		250,000	129,997	55,648	120,003	10/1/2011	O	9/30/2016	
4500208674	POWERRUNNER LLC 145 SOUTH RD BRENTWOOD, NH 03833-6301 US	LONG TERM MARKET FORECASTING SOFTWARE AND IMPLEMENTATION	P	B		F	N	1		526,725	497,078	11,811	29,647	10/17/2011	C		1/1/2013
4500208698	GRAINGER DBA FOR W W GRAINGER INC 505 SAWMILL RIVER RD ELMSFORD, NY 10523-1009 US	FURNISH AND DELIVER AIR CONDITIONER UNITS FOR ENERGY SERVICES WINDOW REPLACEMENT PROJECT	E	B	7	N	N	3		452,205	426,596	256,974	25,609	10/7/2011	C		10/6/2013
4500209025	GOTHAM TECHNOLOGY GROUP 1 PARAGON DR - STE 200 MONTVALE, NJ 07645 US	PURCHASE OF INFOBLOX APPLIANCE HARDWARE AND SUPPORT	E	B		F	N	1		81,874	81,874	0	0	10/17/2011	C		1/1/2013
4500209118	KEMA INC 67 S BEDFORD ST - STE 201 E BURLINGTON, MA 01803-6803 US	CYBER SECURITY ASSESSMENT	P	B		F	N	8		226,160	126,160	65,000	100,000	10/19/2011	C		12/31/2013
4500209134	DELL MARKETING LP 850 ASBURY DR BUFFALO GROVE, IL 60089-4557 US	COMPUTRACE SECURITY SOFTWARE - OGS STATE CONTRACT T-65191	E	B	7	N	N	4		37,528	37,528	0	0	10/24/2011	O	10/20/2014	

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4500209922	BENTLY NEVADA INC STE 9 435 LAWRENCE BELL DR WILLIAMSVILLE, NY 14221-7806 US	FURNISH & DELIVER TWO CONDITION MONITORING SYSTEMS AND TECHNICAL SERVICES DURING NYPA INSTALLATION	E	1		F	N	1		85,624	69,755	1,735	15,869	11/7/2011	C		5/16/2013
4500209983	SYSTEM IMPROVEMENTS INC 238 S PETERS RD - STE 301 KNOXVILLE, TN 37923-5224 US	SOFTWARE PURCHASE AND INSTALLATION OF TAPROOT ROOT CAUSE ANALYSIS	E	B		F	N	1		75,191	59,793	6,899	15,398	11/7/2011	O	12/30/2016	
4500210108	LEE HECHT HARRISON LLC DBA FOR ASI STAFFING INC 200 PARK AVE NEW YORK, NY 10166-0005 US	PERFORM CAREER TRANSITION SERVICES	P	2	1	N	N	1		20,000	5,000	5,000	15,000	5/4/2012	C		3/31/2013
4500210337	SMIT TRANSFORMATOREN BV 120 VARNFIELD DR - STE E SUMMERVILLE, SC 29483-7313 US	AUTOTRANSFORMER REPLACEMENT AT ST. LAWRENCE SUBSTATION	E	B		F	N	7		3,068,912	74,426	74,426	2,994,486	11/17/2011	O	12/31/2014	
4500211118	STEEL-FAB INC 430 CRAWFORD ST - PO BOX 2145 FITCHBURG, MA 01420-6808 US	BLENHEIM-GILBOA - DESIGN, FABRICATION & DELIVERY OF TWO (2) FIXED CONE VALVES	E	B		N	N	3		345,780	320,510	0	25,270	1/1/2012	C**		12/31/2013
4500211243	BENTLY NEVADA INC 475 CREAMERY WAY EXTON, PA 19341-2546 US	F/D/I CONDITIONING MONITORING SYSTEMS FOR LPGP NPP	E	B		F	N	1		848,420	215,790	0	632,630	1/3/2012	O	12/31/2018	
4500211575	THE VALLEY GROUP INC 3A TROWBRIDGE DR BETHEL, CT 06801-2858 US	DYNAMIC LINE RATING	E	1	9	F	N	1		995,000	940,900	0	54,100	1/3/2012	O	12/31/2014	
4500211577	ETNA PRESTIGE TECHNOLOGY INC 46 HARRIET PL LYNBROOK, NY 11563-2606 US	FLYNN/BRENTWOOD: PROVIDE HVAC EQUIPMENT SERVICE	S	B		N	N	3		50,000	6,938	3,375	43,062	1/1/2012	C		12/31/2013
4500211620	CRICKETT STAFFING SERVICES INC CRICKETT PERSONNEL 159 MAIN ST OSSINING, NY 10562-4702 US	ENVIRONMENTAL TEMPORARY POSITION	S	B	7	N	4	1		60,900	37,814	3,360	23,086	2/1/2012	C		6/27/2013
4500211662	NYS DIV OF HOMELAND SECURITY OFC OF FIRE PREVENTION & CONTROL STE 710 1220 WASHINGTON AVE - BLDG ALBANY, NY 12226-1799 US	WPO - NYS OFFICE OF FIRE PREVENTION & CONTROL	S	B	7	N	N	1		175,000	0	0	175,000	1/1/2012	O	12/31/2016	
4500211763	DAVIS VISION INC 175 EXPRESS ST PLAINVIEW, NY 11803 US	THIRD PARTY ADMINISTRATION SERVICES FOR VISION	S	B		N	N	4		11,558	4,472	2,263	7,086	1/1/2012	O	12/31/2016	
4500211806	CREATIVE MEDIA AGENCY LLC 393 JERICHO TPKE-3RD FL MINEOLA, NY 11501 US	MEDIA PUBLICATION SERVICES	P	B		N	N	3		600,000	93,032	74,640	506,968	1/1/2012	O	12/31/2016	

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4500212024	GOTHAM TECHNOLOGY GROUP 1 PARAGON DR - STE 200 MONTVALE, NJ 07645 US	PURCHASE OF 5 YEARS MAINTENANCE ON INFOBLOX HARDWARE(4500209025)	S	B		F	N	1		65,318	65,318	0	0	1/3/2012	O	1/3/2017	
4500212109	SIEMENS INDUSTRY INC 685 EAST MIDDLEFIELD RD MOUNTAIN VIEW, CA 94043-4045 US	ST. LAWRENCE SCADA REPLACEMENT	E	B		F	N	3		1,201,135	853,381	389,710	347,754	1/12/2012	C		12/31/2013
4500212133	SHAW CONSULTANTS INT'L INC 150 ROYALL ST CANTON, MA 02021-1031 US	EXECUTIVE STRATEGIC PLANNING CONSULTING SERVICES	P	B		F	N	6		160,000	107,543	0	52,457	1/3/2012	C		1/2/2013
4500212158	ELECTRALED INC 12722 62ND ST - STE 200 LARGO, FL 33773-1818 US	FURNISH & DELIVER LIGHTING EQUIPMENT FOR THE NEW YORK HALL OF SCIENCE AND SENY PROJECTS FOR THE HELP PROGRAM	E	B		N	N	3		381,503	373,198	322,305	8,305	1/1/2012	C		12/31/2013
4500212177	MIDTOWN HVAC ENTERPRISES LTD 111 22ND ST BROOKLYN, NY 11232-1156 US	SUNY MARITIME COLLEGE SOLAR THERMAL DOMESTIC HOT WATER PROJECT	C	B		N	N	7		1,792,249	1,732,078	251,684	60,171	3/11/2012	C		3/12/2013
4500212248	EATON CORP 6780 NORTHERN BLVD - STE 500 EAST SYRACUSE, NY 13057-8724 US	LPGP - LEM UNIT CIRCUIT BREAKERS	E	B		N	N	3		2,177,131	2,018,760	147,008	158,371	1/10/2012	O	12/31/2020	
4500212420	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	AUTOCAD ANNUAL MAINTENANCE - GSA SCHEDULE GS-35F-4543G	S	B	7	N	N	1		69,173	69,173	0	0	1/31/2012	C		1/30/2013
4500212574	ORACLE AMERICA INC 1910 ORACLE WY RESTON, VA 20190-4733 US	ORACLE MAINTENANCE - OGS STATE CONTRACT PT-64000	S	B	7	N	N	1		14,282	14,282	3,570	0	2/10/2012	C		2/9/2013
4500212721	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	ANNUAL JBOSS APPLICATION MAINTENANCE - GSA SCHEDULE GS-35F-0131R	S	B	7	N	N	1		25,302	25,302	0	0	3/3/2012	C		3/2/2013
4500212754	FIREFLY ENERGY CONSULTING LLC 171 ROWAYTON WOODS DR NORWALK, CT 06854-3943 US	CONSULTING SERVICES FOR RENEWABLE & ENERGY EFFICIENCY	P	2	1	N	N	1		150,000	150,000	0	0	1/18/2012	C		1/17/2013
4500212944	PROFTECH LLC 200 CLEARBROOK RD - STE 177 ELMSFORD, NY 10523-1328 US	OFFICE SUPPLIES	E	M	8	N	4	1		80,000	76,800	17,567	3,200	1/1/2012	C		5/30/2013
4500213199	DELTA DENTAL OF NEW YORK 1 DELTA DR MECHANICSBURG, PA 17055-6999 US	THIRD PARTY ADMINISTRATIVE SERVICES FOR DENTAL	P	B		N	N	6		357,490	124,119	62,402	233,371	1/1/2012	O	12/31/2016	

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4500213200	MODERN DISPOSAL SERVICES INC PO BOX 209 MODEL CITY, NY 14107-0209 US	MODERN DISPOSAL TO REMOVE NON-HAZARDOUS SAND FROM FOUR(4) AREAS WHERE OIL TANKS WERE DEMOLISHED AT THE POLETTI POWER PROJECT	P	2	1	N	N	1		34,744	9,959	0	24,785	1/30/2012	C		1/16/2013
4500213229	JOSEPH M BRESS PO BOX 5680 WASHINGTON, DC 20016-1280 US	LABOR NEGOTIATION SERVICES	P	2	1	F	N	1		450,000	85,489	40,850	364,511	1/30/2012	O	12/31/2014	
4500213282	ASPEN INC 49 N SAN MATEO DR SAN MATEO, CA 94401-2865 US	ANNUAL MAINTENANCE - ASPEN	S	2	6	N	N	1		7,954	7,954	0	0	1/31/2012	C		1/30/2013
4500213308	LDC CONSTRUCTION CO INC 1920 BASELINE RD GRAND ISLAND, NY 14072-2013 US	NIAGARA POWER PROJECT - MOTOR ISLAND EXCAVATION PHASE	C	B		N	N	4		1,295,245	1,057,445	19,716	237,800	2/3/2012	O	12/31/2014	
4500213337	GLOBALSTAR USA LLC c/o IMAGE MANAGEMENT SYSTEMS INC 300 HOLIDAY SQUARE BLVD COVINGTON, LA 70433-6132 US	GLOBALSTAR SATELLITE TELEPHONE SERVICES - GS-35F-0489-S	S	B	7	N	N	1		13,248	13,248	0	0	2/1/2012	C		1/31/2013
4500213348	LIRO ENGINEERS INC 3 AERIAL WAY SYOSSET, NY 11791-5501 US	ENVIRONMENTAL ENGINEERING SURVEY & DESIGN SERVICES FOR THE KENSICO AND VERNON BLVD. FACILITIES	A	B		N	N	10		16,350	13,150	0	3,200	2/1/2012	C		1/31/2013
4500213355	PERRAS EXCAVATING INC 1909 ST HWY 420 MASSENA, NY 13662 US	ST. LAWRENCE-FDR POWER PROJECT - LITTLE SUCKER BROOK HABITAT IMPROVEMENT	C	B		N	N	6		2,035,640	757,638	134,900	1,278,002	1/31/2012	O	1/30/2015	
4500213389	LUCID SOLUTIONS GROUP INC 2176 RIVER RD NISKAYUNA, NY 12309-1133 US	ACTIVE DIRECTORY ASSESSMENT - OGS STATE CONTRACT CMT079A	S	B	7	N	N	1		15,000	15,000	0	0	2/2/2012	C		2/1/2013
4500213441	ALDEN RESEARCH LABORATORY INC 30 SHREWSBURY ST HOLDEN, MA 01520-1098 US	PERFORMANCE TESTING & ENGINEERING ANALYSES USING CURRENT METER METHOD AT LPGP	C	B		F	N	2		158,200	82,592	0	75,608	2/3/2012	O	9/30/2014	
4500213487	ORACLE AMERICA INC 1001 SUNSET BLVD ROCKLIN, CA 95765-3702 US	ORACLE ANNUAL MAINTENANCE - OGS STATE CONTRACT PT64000	S	B	7	N	N	1		185,980	185,980	139,485	0	5/30/2012	C		5/29/2013
4500213488	AMTEL INC 900 LAFAYETTE ST - STE 506 SANTA CLARA, CA 95050-4967 US	MOBILE DEVICE MANAGEMENT - GSA SCHEDULE GS-35F-0320V	S	B	7	N	N	1		28,400	28,400	0	0	2/6/2012	C		2/5/2013
4500213532	CAMERON TECHNOLOGIES US INC 1000 MCCLAREN WOODS DR CORAOPOLIS, PA 15108-7766 US	NIAGARA POWER PROJECT - INSTALL, FURNISH & DELIVER 18-CHORDAL PATH ULTRASONIC FLOW METHOD	C	B		N	N	2		544,855	457,338	2,919	87,517	2/7/2012	O**	9/30/2014	

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4500213743	UTILITY SYSTEMS DIVISION DBA FOR MAGNETIC INSTRUMENTATION 8431 CASTLEWOOD DR INDIANAPOLIS, IN 46250-1534 US	FURNISH AND DELIVER (1) DFR/PMU AT THE MARCY SUBSTATION	E	B		F	N	5		146,004	126,960	126,960	19,044	2/13/2012	O	7/1/2014	
4500213922	D J H MECHANICAL SERVICES INC 155 E KINGSBRIDGE RD MOUNT VERNON, NY 10550-4929 US	ST. MARY'S POOL AND RECREATION CENTER STEAM TRAP REPLACEMENT PROJECT	C	B		N	4	6		159,850	74,764	74,764	85,086	2/17/2012	C		2/16/2013
4500213940	UNITED HEALTHCARE SERVICES INC ONE MADISON AVE NEW YORK, NY 10010-3690 US	THIRD PARTY ADMINISTRATION MANAGED HEALTH CARE SERVICES	S	B		N	N	5		6,613,816	1,961,186	976,227	4,652,630	1/1/2012	O	12/31/2016	
4500213941	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ANNUAL BLACKBERRY SUPPORT - OGS STATE CONTRACT PT-65193	S	B	7	N	N	4		9,525	9,525	0	0	3/19/2012	C		3/18/2013
4500214059	UTILITY SYSTEMS DIVISION DBA FOR MAGNETIC INSTRUMENTATION 8431 CASTLEWOOD DR INDIANAPOLIS, IN 46250-1534 US	ONE INTEGRATED DIGITAL FAULT RECORDER (DFR)/PHASOR MEASUREMENT UNITS (PMU) FOR EAST GARDEN CITY SUBSTATION	E	1	8	F	N	1		84,714	0	0	84,714	2/21/2012	O	6/30/2014	
4500214062	FLOW SCIENCE INC 683 HARKLE RD - STE A SANTA FE, NM 87505-4750 US	3D SOFTWARE & TRAINING	E	C	8	F	N	3		65,976	65,976	0	0	3/19/2012	C		3/18/2013
4500214083	D J H MECHANICAL SERVICES INC 155 E KINGSBRIDGE RD MOUNT VERNON, NY 10550-4929 US	FURNISH & INSTALL A POOL CVR. FOR ST. MARY'S PARK & REC. CTR	E	B		N	4	1		77,968	77,968	77,968	0	2/23/2012	C		2/11/2013
4500214170	COMPUTER ASSOC INT'L INC ONE COMPUTER ASSOC PLAZA ISLANDIA, NY 11749 US	CA SPECTRUM SOFTWARE AND MAINTENANCE - OGS STATE CONTRACT PT-64273	E	B	7	N	N	1		114,525	114,525	0	0	2/15/2012	C		2/27/2013
4500214172	COMPUTER ASSOC INT'L INC ONE COMPUTER ASSOC PLAZA ISLANDIA, NY 11749 US	CA WILY - OGS STATE CONTRACT PT-64273	S	B	7	N	N	1		36,629	36,629	0	0	2/15/2012	C		2/27/2013
4500214173	COMPUTER ASSOC INT'L INC ONE COMPUTER ASSOC PLAZA ISLANDIA, NY 11749 US	EHEALTH - OGS STATE CONTRACT PT-64273	S	B	7	N	N	1		40,766	40,766	0	0	3/15/2012	C		3/14/2013
4500214241	APPLIED ECOLOGICAL SERVICES INC 17921 W SMITH RD BRODHEAD, WI 53520-9355 US	NIAGARA POWER PROJECT - MOTOR ISLAND SHORELINE RESTORATION & HABITAT IMPROVEMENT PROJECT	C	B		N	N	4		431,132	347,017	282,731	84,115	3/1/2012	O	12/31/2014	
4500214473	POWERRUNNER LLC 145 SOUTH RD BRENTWOOD, NH 03833-6301 US	LONG-TERM MARKET 5 YEAR MAINTENANCE ON POWER RUNNER SOFTWARE	S	B		F	N	1		348,300	69,660	69,660	278,640	12/1/2012	O	12/1/2017	
4500214540	CITRIX SYSTEMS INC 851 WEST CYPRESS CREEK RD FORT LAUDERDALE, FL 33309 US	CITRIX ANNUAL MAINTENANCE - OGS STATE CONTRACT PT-61273	S	B	7	N	N	1		42,413	42,413	0	0	5/1/2012	C		4/30/2013

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4500214711	CLEAREDGE POWER CORP FORMERLY UTC POWER CORP 195 GOVERNORS HWY SOUTH WINDSOR, CT 06074-2419 US	DECOMMISSIONING OF THE NORTH CENTRAL BRONX HOSPITAL FUEL CELL	C	B		N	N	3		32,300	27,300	27,300	5,000	3/8/2012	C		4/18/2013
4500214903	TRANSYSTEMS CORP 2400 PERSHING RD - STE 400 KANSAS CITY, MO 64108-2526 US	CARBON OFFSET PROGRAM	P	B		N	N	4		30,000	11,680	3,884	18,320	7/1/2012	C		6/1/2013
4500214988	PREFERRED BUSINESS FORMS INC 635 OLD WHITE PLAINS RD TARRYTOWN, NY 10591-5045 US	OFFICE SUPPLIES	E	M	8	N	N	1		40,000	0	0	40,000	4/1/2012	C		4/18/2013
4500215021	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	NITROSECURITY ANNUAL MAINTENANCE - CMT 505A	S	B	7	N	N	1		32,610	32,610	0	0	4/16/2012	C		4/30/2013
4500215081	MTS INTEGRA TRAK 65 STATE RT 4 - STE 1 RIVER EDGE, NJ 07661-1949 US	NEW MTS CALL ACCOUNTING SYSTEM - GSA SCHEDULE GS-35F-0088X	E	B	7	N	N	1		41,397	41,397	41,397	0	3/26/2012	C		3/18/2013
4500215143	WESTCON GROUP NORTH AMERICA INC 14850 CONFERENCE CENTER DR CHANTILLY, VA 20151-3844 US	ANNUAL BLUECOAT MAINTENANCE - GSA SCHEDULE GS-35F-0563U	S	B	7	N	N	1		18,113	18,113	0	0	4/4/2012	C		5/31/2013
4500215167	CANDELA SYSTEMS CORP 148 ROUTE 202 SOMERS, NY 10589-1606 US	LIGHTING AND SENSOR INSTALLATION AT BROOKLYN PUBLIC LIBRARY LEONARD/WASHINGTON IRVING BRANCHES	C	B		N	4	5		29,371	23,569	0	5,802	4/9/2012	C		4/8/2013
4500215303	ASSETWORKS INC 4275 EXECUTIVE SQ - STE 300 LA JOLLA, CA 92037-8408 US	MAINTENANCE FOR MAXIMUS FA SUITE FOCUS FA - OGS STATE CONTRACT PT-60412	S	B	7	N	N	1		25,967	25,967	0	0	4/1/2012	C		3/31/2013
4500215358	CAPITAL PROJECT STRATEGIES LLC 11710 PLAZA AMERICA DR - STE 2000 RESTON, VA 20190-4743 US	ENERGY CONTRACTING ADVISOR SERVICES	P	C		N	N	4		100,000	13,789	0	86,211	3/29/2012	C		4/18/2013
4500215362	ULTRA ELECTRONICS OCEAN SYSTEMS 115 BAY STATE DR BRAINTREE, MA 02184-5203 US	CRESCENT & VISCHER FERRY-INSTALLATION, MAINTENANCE. & REMOVAL OF THE ACOUSTIC FISH DETERRENT SYSTEMS	S	B		N	N	1		110,730	0	0	110,730	4/1/2012	O	3/31/2017	
4500215367	THE TELX GROUP INC 1 STATE ST - 21ST FL NEW YORK, NY 10004-1561 US	PROVISION OF DISASTER RECOVERY CO-LOCATION SERVICES FOR SPACE, POWER AND CONNECTIVITY	E	B		N	N	3		525,778	275,034	172,336	250,744	6/1/2012	O	6/30/2015	
4500215410	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	ANNUAL MAINTENANCE-VIDEOCONFERENCING EQUIPMENT-STATE CONTRACT PT64261	S	B	7	N	N	1		6,885	6,885	0	0	12/15/2011	C		1/2/2013

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4500215424	GE-PROLEC TRANSFORMERS INC 1223 FAIRGROVE CHURCH RD SE CONOVER, NC 28613-9091 US	SENY: SPARE GENERATOR STEP-UP TRANSFORMER	E	B		N	N	12		861,808	829,297	755,980	32,511	3/26/2012	C		5/1/2013
4500215472	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ANNUAL QUALYSGUARD WEB SERVICE - OGS STATE CONTRACT PT-65193	S	B	7	N	N	4		8,681	8,681	0	0	4/25/2012	C		4/24/2013
4500215475	PREMIER UTILITY SERVICES LLC STE 3 100 MARCUS BLVD HAUPPAUGE, NY 11788-3749 US	CONSULTING SERVICES FOR BURIED UTILITIES RESPONSE SERVICES	S	B		N	N	3		470,000	53,832	49,182	416,168	3/1/2012	O	3/31/2017	
4500215719	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY POSITIONS FOR THE RNY PROGRAM & IT PROJECTS	S	B	7	N	3	1		79,800	58,240	22,155	21,560	4/9/2012	C		3/31/2013
4500215807	DEVELOPER EXPRESS INC 801 N BRAND BLVD - STE 850 GLENDALE, CA 91203-1272 US	DXPERIENCE UNIVERSAL & ENTERPRISE SUBSCRIPTION	S	C	6	N	N	4		9,360	9,360	0	0	4/16/2012	C		4/15/2013
4500215864	KEMA INC 67 S BEDFORD ST - STE 201 E BURLINGTON, MA 01803-6803 US	CONSULTING SERVICES - MARKET READINESS FOR THE HUDSON TRANSMISSION PROJECT	P	B		N	N	6		182,000	155,530	0	26,470	4/5/2012	C		4/18/2013
4500215933	FOUR POINTS TECHNOLOGY LLC STE 100 14900 CONFERENCE CENTER DR CHANTILLY, VA 20151-3834 US	ANNUAL MAINTENANCE - BMC SOFTWARE	S	C	6	N	N	3		11,483	11,483	0	0	7/1/2012	C		6/30/2013
4500216071	ROHN PRODUCTS LLC 1 FAIRHOLM AVE PEORIA, IL 61603-2319 US	BALDWINSVILLE TOWER REPLACEMENT PROJECT	E	2	5	F	N	1		16,405	15,340	15,340	1,065	4/10/2012	C		3/13/2013
4500216184	OPEN TEXT INC SUBSIDIARY FOR OPEN TEXT CORP 1301 S MOPAC EXPY - STE 150 AUSTIN, TX 78746-6903 US	ANNUAL MAINTENANCE - STREAMSERVE	S	2	8	N	N	1		29,376	29,376	0	0	5/11/2012	C		5/10/2013
4500216215	TERRESTRIAL ENVIRONMENTAL SPECIALISTS INC 23 COUNTY ROUTE 6 - STE A PHOENIX, NY 13135-2117 US	WETLANDS ASSESSMENT	P	B	6	N	N	2		14,100	14,087	1,487	13	4/13/2012	C		4/1/2013
4500216262	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	ANNUAL MAINTENANCE - QRADAR SOFTWARE - GSA SCHEDULE GS-35F-013R	S	B	7	N	N	1		40,409	40,409	0	0	6/30/2012	C		6/29/2013
4500216267	EMA INC 900 NORTHBROOK DR - STE 110 TREVOSE, PA 19053 US	LABOR-MAINTENANCE RESOURCE MANUAL(MRM)-GSA SCHEDULE GS-35F-0622K	S	B	7	N	N	1		263,815	263,046	125,010	769	5/26/2012	C		5/25/2013

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4500216401	NORTHEAST PROFESSIONAL ENGINEERING CONSULTANTS LLC 108 NEW PARK AVE - UNIT 3 N FRANKLIN, CT 06254-1807 US	ENGINEERING ASSESSMENT OF THE CONDITION OF THE PLATTSBURGH SUBSTATION SERVICE UPGRADE	A	C	1	F	N	2		77,680	77,680	14,980	0	4/4/2012	C		1/31/2013
4500216494	ZONES INC 1102 15TH ST, SW, STE 102 AUBURN, WA 98001-9605 US	ANNUAL MAINTENANCE FOR MTS CALL DETAIL RECORDING	S	C	6	N	N	3		7,861	7,861	0	0	5/1/2012	C		4/30/2013
4500216527	MOLLENBERG BETZ INC 300 SCOTT ST BUFFALO, NY 14204-2293 US	FINAL COMMISSIONING OF CO2 SYSTEM	C	2	1	N	N	1		52,913	4,218	4,218	48,695	4/17/2012	C		3/29/2013
4500216570	IRON MOUNTAIN INFORMATION MANAGEMENT INC 745 ATLANTIC AVE BOSTON, MA 02111-2735 US	COMPUTER TAPE VAULT STORAGE, INCLUDING TRANSPORTATION, HANDLING & ADMINISTRATIVE COSTS	S	B	7	N	N	1		6,000	2,453	1,558	3,547	5/1/2012	C	4/30/2013	
4500216672	GSS INFOTECH INC FORMERLY ATEC GROUP 1762 CENTRAL AVE ALBANY, NY 12205-4786 US	ANNUAL MAINTENANCE - NETWORK APPLIANCE SUPPORT-STATE CONTRACT PT-60947	S	B	7	N	N	1		33,939	33,939	0	0	4/1/2012	C		3/31/2013
4500216856	GENERAL DYNAMICS INFORMATION TECHNOLOGY INC 3211 JERMANTOWN RD FAIRFAX, VA 22030-2844 US	MAXIMO UPGRADE GAP ANALYSIS CONSULTING SERVICES	P	B		F	N	4		392,788	375,702	50,746	17,086	5/15/2012	C		5/30/2013
4500216932	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	UPPER HEAD GATE RAIL AND SLOT REFURBISHMENT	C	B		N	N	5		943,547	846,947	413,880	96,600	5/1/2012	C		4/30/2013
4500216980	CORPORATE COUNSELING ASSOCIATES INC 475 PARK AVE SOUTH - 5FL NEW YORK, NY 10016 US	PROVIDE EMPLOYEE ASSISTANCE PROGRAM SERVICES	S	B		N	N	4		205,640	64,000	38,400	141,640	4/1/2012	O	3/31/2017	
4500217059	AIA NEW DIMENSIONS IN MARKETING INC 124 S CENTRAL AVE ELMSFORD, NY 10523-3537 US	ON CALL CONTRACT FOR PROMOTIONAL MATERIALS	E	2	9	N	4	1		40,000	0	0	40,000	5/3/2012	C		10/1/2013
4500217171	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	LABOR - BGP CONFIGURATION - OGS STATE CONTRACT PT-64525	S	B	7	N	3	1		6,789	6,789	0	0	5/7/2012	C		1/1/2013
4500217194	ACLARA TECHNOLOGIES LLC SUB FOR ESCO TECHNOLOGIES INC 30400 SOLON RD SOLON, OH 44139-3416 US	ANNUAL MAINTENANCE FOR LOAD FORECASTING SOFTWARE - LONG AND SHORT TERM	S	1		N	N	1		66,613	66,613	0	0	4/1/2012	C		3/31/2013
4500217242	AIRMATIC COMPRESSOR SYSTEMS INC 700 WASHINGTON AVE CARLSTADT, NJ 07072-3007 US	INSTALLATION OF A ENERGY EFFICIENT COMPRESSED AIR SYSTEM FOR FLATBUSH BUS DEPOT-NEW YORK CITY TRANSIT.	E	B		N	N	1		290,411	290,411	144,295	0	6/1/2012	C		7/1/2013

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4500217320	CONTINUANT INC 5050 20TH ST E FIFE, WA 98424-1917 US	ANNUAL MAINTENANCE - SIEMENS HIPATH AND EXCHANGE	S	C		N	N	5		99,367	99,367	0	0	5/1/2012	C		4/30/2013
4500217416	TSI TURTLE SERVICES LLC FORMERLY TECHNOSCOUTS INC 1900 LOWER RD LINDEN, NJ 07036-6519 US	ENVIRONMENTAL HEALTH AND SAFETY CONSULTANT FOR THE AUTHORITY'S SENY POWER PROJECTS	P	B		N	N	10		202,800	184,119	114,791	18,681	5/15/2012	C		5/6/2013
4500217446	DELL MARKETING LP 850 ASBURY DR BUFFALO GROVE, IL 60089-4557 US	MS ENTERPRISE AGREEMENT - OGS STATE CONTRACT PT-65196	E	B	7	N	N	1		1,442,898	954,593	488,306	488,305	7/1/2012	O	6/30/2015	
4500217765	ENOSERV INC 7780 E 106TH ST TULSA, OK 74133-6843 US	ANNUAL RTS AND VECTOR MAINTENANCE. ADDITIONAL DONGLE KEYS	S	2	5	N	N	1		12,934	12,934	0	0	6/1/2012	C		5/31/2013
4500217768	J HOGAN REFRIGERATION & MECH INC PO BOX 67 - 12 CHAMBERLAIN RD PERU, NY 12972-0067 US	HVAC SYSTEM REPLACEMENTS WILLIS & MASSENA SUBSTATIONS AND 765 RELAY BUILDING	C	B		N	N	1		303,869	56,772	56,772	247,097	5/18/2012	C		5/17/2013
4500217806	EIA ELECTRIC INC 3100 47TH AVE LONG ISLAND CITY, NY 11101-3013 US	LIGHTING INSTALLATION UPGRADE AT GUN HILL ROAD BUS DEPOT FOR THE HELP PROGRAM.	C	B		N	N	10		157,974	155,874	19,762	2,100	6/15/2012	C		6/14/2013
4500218020	D G WARD ELECTRIC INC 3304 SMITH RD - PO BOX 371 CONSTABLEVILLE, NY 13325 US	CLARK ENERGY CENTER - MICROWAVE SYSTEM UPGRADE	E	1	5	N	N	1		7,213	7,213	770	0	5/25/2012	C		4/18/2013
4500218085	NYS OFFICE OF GENERAL SERVICES 40TH FL 5 EMPIRE STATE PLZ - CORNIN ALBANY, NY 12223-1500 US	MICROSOFT SUPPORT - OGS STATE CONTRACT PT-54572	S	B	7	N	N	1		63,828	63,828	0	0	6/28/2012	C		6/27/2013
4500218095	MARK CERRONE INC 2368 MARYLAND AVE NIAGARA FALLS, NY 14305-1718 US	SECURING THE GRAIN SILOS AT THE FIRST BUFFALO RIVER MARINA	C	B		N	3	5		2,908,067	2,908,047	1,830,459	20	5/30/2012	C		5/1/2013
4500218274	EIA ELECTRIC INC 3100 47TH AVE LONG ISLAND CITY, NY 11101-3013 US	LIGHTING INSTALLATION UPGRADE AT JFK PARKING GARAGES	C	B		N	N	8		214,289	182,033	152,424	32,256	7/15/2012	C		7/11/2013
4500218378	MUTUALINK INC 1269 SOUTH BROAD ST WALLINGFORD, CT 06492-1737 US	COMMUNICATION EQPT - OGS STATE CONTRACT PT-64775	E	B	7	F	N	1		40,124	40,124	8,606	0	6/25/2012	C		6/24/2013
4500218462	NEXANT INC 44 S BROADWAY - STE 404 WHITE PLAINS, NY 10601-4439 US	CONSULTING SERVICES FOR ENERGY HIGHWAY TASKFORCE	P	B		F	N	11		495,000	325,206	0	169,794	6/7/2012	C		6/6/2013

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4500218464	ICF RESOURCES LLC 9300 LEE HIGHWAY FAIRFAX, VA 22031-6050 US	CONSULTING SERVICES FOR ENERGY HIGHWAY TASK FORCE	P	B		F	N	11		742,000	680,601	208,160	61,399	6/7/2012	C		6/6/2013
4500218519	SANOFI PASTEUR INC 1 DISCOVERY DR SWIFTWATER, PA 18370 US	FLU VACCINATIONS 2012-2013	E	1	9	N	N	1		7,949	6,767	0	1,182	6/8/2012	C		10/3/2013
4500218536	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	ANNUAL MAINTENANCE - CHECKPOINT APPLIANCES-OGS STATE CONTRACT PT-61429	S	B	7	N	N	1		62,462	62,462	0	0	7/1/2012	C		6/30/2013
4500218538	SHI INTERNATIONAL CORP 290 DAVIDSON AVE SOMERSET, NJ 08873-4145 US	CONSULTING SERVICES TO PROVIDE THE DESIGN & IMPLEMENTATION SERVICES RELATED TO THE NETWORK BASED INTRUSION PREVENTION SYSTEM	S	B	7	N	N	1		20,000	20,000	20,000	0	7/2/2012	C		1/18/2013
4500218575	OPEN TEXT INC SUBSIDIARY FOR OPEN TEXT CORP 1301 S MOPAC EXPY - STE 150 AUSTIN, TX 78746-6903 US	ANNUAL LIVELINK MAINTENANCE - GSA SCHEDULE GS-35F-0480J	S	B	7	N	N	1		83,178	83,178	0	0	7/1/2012	C		6/30/2013
4500218653	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	NIAGARA SWITCHYARD STRUCTURES FOUNDATION REPAIRS	C	B		N	N	5		1,313,105	850,531	453,504	462,574	5/30/2012	O	10/13/2014	
4500218785	IMAGE MANAGEMENT SYSTEMS INC 239 W 15TH ST NEW YORK, NY 10011-6459 US	SATELLITE TELEPHONE PREPAID MINUTES	S	C	6	N	N	3		10,880	10,880	0	0	6/7/2012	C		6/3/2013
4500218868	THYSSENKRUPP ELEVATOR CORP 59 OTIS ST WEST BABYLON, NY 11704-1415 US	ELEVATOR MAINTENANCE CONTRACT AT 123 MAIN STREET	S	B	7	N	N	13		108,400	95,133	42,073	13,267	7/1/2012	C		7/1/2013
4500218883	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	AUDIO VISUAL SYSTEMS INTEGRATION - OGS STATE CONTRACT PC64216 SMART TECHNOLOGIES; PC64992 - EXTRON	E	B	7	N	N	1		25,200	25,200	25,200	0	6/30/2012	C		4/16/2013
4500218925	VENTYX INC VENTYX INC (AN ABB) COMPANY STE 500 400 PERIMETER CENTER TER NE ATLANTA, GA 30346-1231 US	DEVELOP & DELIVER THE ENERGY SCHEDULING AND ACCOUNTING NMARKET INTERFACE	S	1		N	N	1		80,500	59,176	676	21,324	6/25/2012	C		6/24/2013
4500218994	SIMMERS CRANE DESIGN & SERVICES CO 1134 SALEM PKWY SALEM, OH 44460-1063 US	REFURBISHMENT AND UPGRADE OF TWO(2) 5 TON TRAVELLING CRANES AT NIAGARA/LPGP	C	B		F	N	3		565,257	565,257	261,345	0	6/20/2012	C		2/15/2013

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4500219234	J E SHEEHAN CONTRACTING CORP 208 SISSONVILLE RD POTSDAM, NY 13676-3563 US	ST. LAWRENCE-FDR POWER PROJECT - NICHOLS ISLAND HABITAT IMPROVEMENT PROJECT	C	B		N	N	2		3,293,050	2,426,734	2,426,734	866,316	7/2/2012	O	6/30/2015	
4500219291	GOVERNMENT MARKETING & PROCUREMENT LLC 1818 LIBRARY ST - STE 500 RESTON, VA 20190-6274 US	ANNUAL MAINTENANCE - SECUNIA - GSA SCHEDULE GS-35F-0858N	S	B	7	N	N	1		45,509	45,509	0	0	10/19/2012	C		10/18/2013
4500219304	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	ANNUAL MAINTENANCE - CHECKPOINT APPLIANCES-OGS STATE CONTRACT PT-61429	S	B	7	N	N	1		24,463	24,463	0	0	7/1/2012	C		6/30/2013
4500219328	MUTUALINK INC 1269 SOUTH BROAD ST WALLINGFORD, CT 06492-1737 US	COMMUNICATION EQPT - OGS STATE CONTRACT PT-64775	E	B	7	F	N	1		75,730	74,862	12,019	868	7/9/2012	C		7/8/2013
4500219487	LUMENSION SECURITY INC 8660 E HARTFORD DR - STE 300 SCOTTSDALE, AZ 85255-2584 US	ANNUAL MAINTENANCE - LUMENSION LEMSS SOFTWARE	S	C	6	N	N	3		6,624	6,624	0	0	7/29/2012	C		7/28/2013
4500219520	NO OUTAGE ELECTRICAL TESTING INC 1001 E BAKER ST - STE 200 PLANT CITY, FL 33563-3700 US	CONDITION ASSESSMENT OF TRANSMISSION TOWERS FOUNDATION	P	B		F	N	3		136,000	136,000	0	0	7/5/2012	C		4/17/2013
4500219576	MAC PRODUCTS INC 60 PENNSYLVANIA AVE KEARNY, NJ 07032 US	HIGH PRESSURE FLUID FILLED (HPFF) CABLE PRESSURIZATION PLANTS FOR THE NIAGARA POWER PROJECT	C	B		F	N	1		1,957,611	1,014,514	1,000,791	943,097	7/9/2012	O	7/8/2015	
4500219697	STIEGLITZ SNYDER ARCHITECTURE 425 FRANKLIN ST BUFFALO, NY 14202-1301 US	ST. LAWRENCE-FDR POWER PROJECT - DESIGN SERVICES FOR THE NEW NATURE CENTER	A	B		N	N	6		475,513	241,006	241,006	234,507	8/1/2012	O	12/1/2015	
4500219763	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	CRESCENT & VISCHER FERRY POWER PROJECTS - INSTALL 34.5KV CIRCUIT BREAKERS	C	B		N	1	5		278,529	278,529	12,827	0	8/1/2012	C		1/31/2013
4500219891	MILHERST CONSTRUCTION INC 10025 COUNTY RD CLARENCE CENTER, NY 14032-9243 US	LEWISTON PUMP GENERATING PLANT (LPGP)LEM SITE UPGRADES	C	B		N	N	6		519,201	514,178	55,761	5,023	7/16/2012	C		5/30/2013
4500219941	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	MAINTENANCE - CISCO EQUIPMENT - STATE CONTRACT PT-64525	S	B	7	N	N	1		12,586	12,586	0	0	5/4/2012	C		5/3/2013
4500219942	FIREFLY ENERGY CONSULTING LLC 171 ROWAYTON WOODS DR NORWALK, CT 06854-3943 US	ENERGY EFFICIENCY CONSULTANT	P	B		F	N	5		250,000	127,890	113,496	122,110	8/1/2012	C		7/31/2013

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4500219976	RSI ROOFING INC 44 COUNTY RTE 9 - BOX 282B GOUVERNEUR, NY 13642-2565 US	WAREHOUSE ROOF REPLACEMENT AT STL	C	B		N	N	1		656,250	656,018	268,943	232	7/17/2012	C		7/16/2013
4500219984	OHM'S ELECTRICAL CORP 6510 CENTRAL AVE GLENDALE, NY 11385-6244 US	OHM ELECTRICAL TO PROVIDE HIGH EFFICIENCY LIGHTING AND SENSORS FOR THE AUTHORITY AT DEPT. OF SANITATION (DSNY) BRONX GARAGE 11	C	B		N	3	3		25,978	25,978	0	0	8/20/2012	C		4/2/2013
4500220035	M/E ENGINEERING PC 60 LAKEFRONT BLVD - STE 320 BUFFALO, NY 14202-4302 US	LPGP LEM HVAC STUDY	P	B		N	N	4		127,926	127,926	105,246	0	7/18/2012	C		7/18/2013
4500220044	FIRST ENVIRONMENT INC 91 FULTON ST - STE 1 BOONTON, NJ 07005-1942 US	AGREEMENT FOR VERIFICATION SERVICES OF REPORTED INVENTORY TO THE CLIMATE REGISTRY AS A TRANSITIONAL REPORTER FOR 2011 DATA	P	B	1	N	N	1		45,000	24,366	15,499	20,634	7/2/2012	O	6/27/2015	
4500220045	FISHER ASSOCIATES PE LS PC 135 CALKINS RD ROCHESTER, NY 14623-4255 US	BIENNIAL BRIDGE INSPECTION OF NIAGARA BRIDGES	P	B		N	3	4		185,339	185,339	46,379	0	7/18/2012	C		2/28/2013
4500220095	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	QUEST SOFTWARE & IMPLEMENTATION SERVICES - GSA NO -GS-35F-4543G	E	B	7	F	N	1		23,631	17,747	9,306	5,884	8/13/2012	C		8/12/2013
4500220136	SIEMENS INDUSTRY INC 400 STATE ST SCHENECTADY, NY 12305-2304 US	CONSULTING SERVICES FOR R&TD	P	2	8	N	N	1		29,800	12,000	12,000	17,800	7/20/2012	C		6/15/2013
4500220297	MAUELL CORP 31 OLD CABIN HOLLOW RD DILLSBURG, PA 17019 US	CONTROL ROOM CONSOLE REPLACEMENT	E	B		F	N	3		200,065	200,065	113,648	0	7/26/2012	C		7/25/2013
4500220355	DUANE MORRIS LLP 1540 BROADWAY NEW YORK, NY 10036-4086 US	OUTSIDE COUNSEL SERVICES FOR DESIGN AND DRAFTING OF CONSTRUCTION CONTRACTS FOR ENERGY EFFICIENT PROJECTS	L	C	9	N	N	1		300,000	97,408	21,499	202,592	8/1/2012	O	7/31/2016	
4500220463	SHI INTERNATIONAL CORP 290 DAVIDSON AVE SOMERSET, NJ 08873-4145 US	2012 RED HAT JBOSS ANNUAL MAINTENANCE	S	B	7	N	N	3		73,367	73,367	0	0	7/31/2012	C		7/31/2013
4500220521	NEW ELECTRICITY TRANSMISSION SOFTWARE SOLUTIONS 22 WEIR HILL RD SUDBURY, MA 01776 US	DYNAMIC LINE RATING PROJECT	P	1	9	F	N	1		550,000	100,000	100,000	450,000	7/31/2012	O	12/31/2014	
4500220543	MUTUALINK INC 1269 SOUTH BROAD ST WALLINGFORD, CT 06492-1737 US	COMMUNICATION EQPT- OGS STATE CONTRACT PT-64775	E	B	7	F	N	1		172,040	109,480	28,797	62,560	9/17/2012	C		9/16/2013

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4500220544	MUTUALINK INC 1269 SOUTH BROAD ST WALLINGFORD, CT 06492-1737 US	IPAD APPLICATION CONSULTING SERVICES-OGS STATE CONTRACT PT64775	S	B	7	N	N	1		98,753	98,753	98,753	0	7/31/2012	C		7/26/2013
4500220545	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	CONSULTING SERVICES-UNIFIED MEETING PLACE-STATE CONTRACT PS59010	S	B	7	N	N	1		20,500	20,500	20,500	0	8/20/2012	C		2/19/2013
4500220581	M J BRADLEY & ASSOCIATES LLC 1000 ELM ST - 2ND FL MANCHESTER, NH 03101-1730 US	ARRA FUNDED HYBRID ELECTRIC SCHOOL BUS FIELD TEST DATA ANALYSIS PHASE TWO	P	2		F	N	1		101,000	88,549	57,602	12,451	8/8/2012	O	6/30/2014	
4500220700	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	VIDEOCONFERENCING EQPT - SMART EQPT-STATE CONTRACT PC64216 & EXTRRON EQPT - STATE CONTRACT PC64992	E	B	7	F	N	1		114,031	103,862	103,862	10,169	8/13/2012	C		8/12/2013
4500220726	NTT INDUSTRIES INC 520 MADISON AVE - BSMT A NEW YORK, NY 10022-4357 US	ON CALL MAINTENANCE SERVICES AT 123 MAIN STREET	S	2	1	N	4	1		200,000	59,745	41,705	140,255	8/6/2012	C		7/31/2013
4500220739	HEWLETT PACKARD CO ATTN: EXPRESS SUPPORT QUOTES 8000 FOOTHILLS BLVD ROSEVILLE, CA 95747-5754 US	NON -MAINTENANCE SUPPORT SERVICES FOR BLADE SERVER IMPLEMENTATION	S	B	7	F	N	1		25,392	25,392	0	0	9/1/2012	C		9/1/2013
4500220757	724 INC 416 E OCEAN AVE LOMPOC, CA 93436-6829 US	EXTERNAL HOSTING SERVICES	S	B		F	N	4		64,771	48,825	48,825	15,946	11/14/2012	C		11/15/2013
4500220779	STRUCTURAL PRESERVATION SYSTEMS INC 39 UTTER AVE HAWTHORNE, NJ 07506-2116 US	WPO-CENTROPLEX GARAGE REPAIRS, PHASE 3	C	B		F	N	3		1,514,317	1,509,861	1,154,318	4,456	8/7/2012	C		8/2/2013
4500220793	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	LIGHTING INSTALLATION SERVICES AT NYC PUBLIC SCHOOL 41K	C	M	8	N	4	1		171,845	133,845	75,595	38,000	8/20/2012	C		8/19/2013
4500220816	EMA INC 1970 OAKCREST AVE SAINT PAUL, MN 55113 US	CONSULTING SERVICES FOR MAXIMO UPGRADE PROJECT	P	B	7	F	N	1		14,776	13,542	13,542	1,234	8/8/2012	C		9/30/2013
4500220826	RDS INDUSTRIES INC 4301 162ND ST FLUSHING, NY 11358-3107 US	LIGHTING UPGRADE FOR LABOR AT JFK BUILDING NO. 14 FOR THE PORT AUTHORITY - NEW YORK/NEW JERSEY	C	1	0	N	N	1		64,005	34,481	34,481	29,524	8/1/2012	C		4/17/2013
4500220856	BABCOCK & WILCOX POWER GENERATION GROUP INC 2849 STERLING DR HATFIELD, PA 19440-1956 US	CEMS SERVER UPGRADE SERVICES	S	1	8	N	N	1		10,200	10,200	10,200	0	8/20/2012	C		1/2/2013

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4500220887	OHM'S ELECTRICAL CORP 6510 CENTRAL AVE GLENDALE, NY 11385-6244 US	LIGHTING AND OCCUPANCY SENSORS AT THE WILLIAM H. PRESCOTT SCHOOL PS 93K	C	2	0	N	3	1		161,415	127,583	58,500	33,832	8/20/2012	C		8/19/2013
4500220959	CANDELA SYSTEMS CORP 148 ROUTE 202 SOMERS, NY 10589-1606 US	ELECTRICAL LIGHTING AND OCCUPANCY SENSORS AT THE NEW VISION SCHOOL PS 69X	C	M	8	N	4	1		123,742	81,107	7,759	42,635	8/20/2012	C		4/4/2013
4500220977	CANDELA SYSTEMS CORP 148 ROUTE 202 SOMERS, NY 10589-1606 US	ELECTRICAL LIGHTING INSTALLATION UPGRADE AT PS 110X	C	M	8	N	4	1		194,991	144,075	9,066	50,916	8/13/2012	C		4/17/2013
4500221009	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	ELECTRICAL LIGHTING AND OCCUPANCY SENSOR AT PS 2X	C	M	8	N	4	1		114,010	95,834	42,824	18,176	8/12/2012	C		4/17/2013
4500221030	PINNACLE ENVIRONMENTAL CORP 200 BROAD ST CARLSTADT, NJ 07072-1904 US	LIGHTING FIXTURES/BALLASTS REMOVAL AT PS 93K, 41K AND 110X	C	B		N	N	7		1,069,801	725,959	725,959	343,842	8/13/2012	C		2/28/2013
4500221034	KISS CONSTRUCTION INC 59-11 56TH ST MASPETH, NY 11378 US	LIGHT FIXTURES & BALLASTS REMOVAL AT PS 2X AND 114K	C	B		N	N	7		315,332	194,537	194,537	120,795	8/13/2012	C		1/30/2013
4500221066	ACS SYSTEM ASSOCIATES INC 160 W LINCOLN AVE MOUNT VERNON, NY 10550-1242 US	ENERGY SERVICES PROGRAM: ST. MARY'S POOL & RECREATION CTR CONDENSING BOILER AND SOLAR THERMAL DHW PROJECT	C	B		N	4	7		500,000	452,173	452,173	47,827	8/20/2012	C		8/19/2013
4500221165	REGALO INC 36 TIVOLI ST - STE A ALBANY, NY 12207-1303 US	SERVICE AWARD AND RECOGNITION PROGRAM	E	M	8	N	N	1		30,000	26,992	11,069	3,008	8/16/2012	C		8/15/2013
4500221309	CAREY & WALSH INC 529 N STATE RD - PO BOX 2529 BRIARCLIFF MANOR, NY 10510 US	ON CALL HVAC MAINTENANCE SERVICES AT THE RAPPLEYEA BLDG	S	B	1	N	N	1		200,000	55,589	55,589	144,411	8/20/2012	C		7/31/2013
4500221315	COMMVAULT SYSTEMS INC 2 CRESCENT PL OCEANPORT, NJ 07757-1233 US	COMMVAULT ANNUAL MAINTENANCE	S	C	8	N	N	4		53,153	53,153	0	0	9/11/2012	C		9/10/2013
4500221317	S & L ELECTRIC INC 5313 STATE HIGHWAY 56 COLTON, NY 13625 US	REPLACEMENT OF OBSTRUCTION LIGHTING CABLE - STL	C	B		N	N	4		77,306	77,306	77,306	0	8/20/2012	C		1/30/2013
4500221481	SYLVANIA LIGHTING SERVICES CORP 100 ENDICOTT ST DANVERS, MA 01923-3781 US	WARRANTY WORK SERVICES FOR MAINTENANCE WORK DONE IN THE NEW YORK CITY, LONG ISLAND AND WESTCHESTER COUNTY AREAS FOR LIGHTING PROJECTS ON AN AS-NEEDED BASIS	E	B		N	N	3		100,000	810	810	99,190	9/1/2012	C		8/31/2013
4500221507	ACME SKILLMAN CONCRETE CO INC 5622 58TH ST MASPETH, NY 11378-1114 US	500MW:INSTALL DRAINAGE SYSTEM AND RELOCATE THE OFFICE TRAILERS	C	B		N	N	5		782,149	779,899	779,899	2,250	8/22/2012	C		6/11/2013

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4500221512	A & P RAINALDI INC 404 IRVINGTON ST - STE 204 PLEASANTVILLE, NY 10570-3459 US	ON CALL PLUMBING SERVICES 123 MAIN STREET	S	2	1	N	N	1		60,000	22,002	10,552	37,998	8/24/2012	C		8/22/2013
4500221593	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	PURCHASE OF VSP IN-SYSTEM REPLICATION SOFTWARE LICENSE	E	B	7	F	N	1		86,243	86,243	0	0	8/27/2012	C		7/31/2013
4500221614	EX LIBRIS (USA) INC 1350 E TOUHY AVE - STE 200E DES PLAINES, IL 60018-3307 US	MAINTENANCE - VOYAGER - GSA SCHEDULE GS-35F-0344R	S	B	7	F	N	1		23,320	23,320	0	0	10/1/2012	C		9/30/2013
4500221619	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	INSTALLATION OF ONE CIRCUIT BREAKER	C	B		N	1	2		296,445	286,598	286,598	9,847	8/28/2012	C		1/29/2013
4500221669	WINSTON & STRAWN LLP 1700 K ST NW WASHINGTON, DC 20006-3817 US	LEGAL SERVICES RELATED TO THE NY TRANSMISSION OPENERS COMMITTEE	L	C	9	F	N	1		150,000	116,252	36,497	33,748	8/29/2012	O	8/23/2014	
4500221688	LEONARD BUS SALES INC 4 LEONARD WAY DEPOSIT, NY 13754 US	MAINTENANCE AGREEMENT HYBRID-ELECTRIC SCHOOL BUS FIELD TEST PROGRAM	S	1	9	N	N	1		10,000	4,097	2,698	5,903	8/30/2012	C		8/29/2013
4500221697	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	TM FIREWALL SYSTEM CONSULTING SVC - OGS STATE CONTRACT CMT 339A	S	B	7	N	N	1		7,085	0	0	7,085	9/24/2012	C		9/23/2013
4500221774	MILLENNIUM ELECTRICAL SUPPLY CORP 201 FRANKLIN ST BROOKLYN, NY 11222 US	ELECTRICAL LIGHTING INSTALLATION UPGRADE AT ATLANTIC AVENUE CABLE SECTION	C	1	0	N	4	1		36,069	34,848	23,198	1,221	9/4/2012	C		9/3/2013
4500221913	SIEMENS INDUSTRY INC 10900 WAYZATA BLVD - STE 400 MINNETONKA, MN 55305-5602 US	POWER TG MAINTENANCE AND CIP COMPLIANCE SUPPORT AT NIA & BG	P	B		F	N	1		206,110	206,110	60,000	0	9/5/2012	C		9/4/2013
4500221997	JT CLEARY INC 100 RED SCHOOLHOUSE RD - STE A12 CHESTNUT RIDGE, NY 10977-7051 US	REHABILITATION OF GOWANUS BULKHEAD AND SINKHOLE REPAIR	C	B		F	N	11		3,526,237	3,526,237	3,490,077	0	9/7/2012	C		8/2/2013
4500222051	J E SHEEHAN CONTRACTING CORP 208 SISSONVILLE RD POTSDAM, NY 13676-3563 US	ST. LAWRENCE-FDR POWER PROJECT - SITE PREPARATION WORK FOR THE NATURE CENTER BUILDING	C	B		N	N	1		553,325	553,325	553,325	0	9/10/2012	C		9/30/2013
4500222058	A SERVIDONE INC DBA B ANTHONY CONST CORP JV 1364 RTE 9 CASTLETON, NY 12033 US	SCHOHARIE CREEK EROSION RESTORATION PROJECT BLENHEIM GILBOA POWER PROJECT	C	B		N	N	3		2,835,339	2,835,339	2,597,464	0	9/10/2012	C		8/22/2013

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4500222063	CAREERBUILDER GOVERNMENT SOLUTIONS DBA FOR CAREERBUILDER LLC 1101 15TH ST NW - STE 1210 WASHINGTON, DC 20005-5002 US	RECRUITMENT SERVICES (SOURCE AND SCREEN)	P	B		F	N	13		6,750	6,750	6,750	0	9/10/2012	O	3/31/2017	
4500222125	LUCK BROS INC 73 TRADE RD PLATTSBURGH, NY 12901 US	RE-PAVING EAST WEST HIGHWAY	C	B		N	N	3		1,576,827	1,575,681	611,462	1,146	9/7/2012	C		6/30/2013
4500222282	SIEMENS INDUSTRY INC 685 EAST MIDDLEFIELD RD MOUNTAIN VIEW, CA 94043-4045 US	TROUBLESHOOT AND FIX MOBDUS SERVICE ON BG SCADA WEB SERVER.	P	2	6	F	N	1		11,800	11,800	11,800	0	9/13/2012	C		3/28/2013
4500222419	NATIONAL URBAN FELLOWS INC 989 AVE OF THE AMERICAS - STE 400 NEW YORK, NY 10018-5410 US	MENTORING SERVICES	S	1	9	N	N	1		65,000	65,000	0	0	9/4/2012	C		5/24/2013
4500222491	IRIS POWER LP 3110 AMERICAN DR MISSISSAUGA, ON L4V 1T2 CA	ST. LAWRENCE-FDR POWER PROJECT - ANALYSIS OF STATOR WINDINGS	P	1	9	N	N	1		19,655	18,702	18,702	953	9/19/2012	C		9/18/2013
4500222539	JETT INDUSTRIES INC PO BOX 219 COLLIERSVILLE, NY 13747-0219 US	SLUICE GATE REPAIR	C	B		N	N	3		318,976	318,524	318,524	452	9/20/2012	C		9/1/2013
4500222581	METROWEST ELECTRIC SALES INC 18 LYMAN ST.-SUITE A, BOX 1082 WESTBOROUGH, MA 01581-6082 US	FURNISH AND DELIVER REPLACEMENT CABLE TO STL AND NIA	E	B		F	N	3	*	59,464	59,798	59,798	-334	9/20/2012	C		9/1/2013
4500222582	ANIXTER BROS INC 4 MARWAY CIRCLE-STE 10 ROCHESTER, NY 14624 US	FURNISH AND DELIVER REPLACEMENT CABLE TRAYS TO NIA	E	B		F	N	3		23,571	23,218	23,218	353	9/20/2012	C		9/19/2013
4500222583	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP SMALL FORM FACTOR DESKTOPS-OGS STATE CONTRACT PT-65350-NYAG12	E	B	7	F	N	1		28,800	28,800	28,800	0	10/5/2012	C		3/18/2013
4500222584	BARCODES INC DBA FOR BARCODES LLC 200 W MONROE ST - STE 1050 CHICAGO, IL 60606-5122 US	MOTOROLA MC9090 HANDHELD UNITS W/ACCESSORIES - GS-35F-0429P	E	B	7	N	N	3		64,998	64,998	64,998	0	10/8/2012	C		1/4/2013
4500222652	O'CONNELL ELECTRIC CO 830 PHILLIPS RD VICTOR, NY 14564 US	CRESCENT DOT HYDRO SUBMARINE CABLE REPLACEMENT PROJECT	C	B		N	N	2		635,000	634,529	634,529	471	9/24/2012	C		8/31/2013
4500222723	ONTARIO POWER GENERATION INC 800 KIPLING AVE - UNIT 1 TORONTO, ON M8Z 5S4 CA	TURBINE PERFORMANCE TESTING FOR THE UPGRADED UNIT 19 AT THE ST. LAWRENCE POWER PROJECT	P	1		F	N	1		199,792	199,792	199,792	0	9/25/2012	C		9/24/2013

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4500222746	MISTRAS GROUP INC 195 CLARKSVILLE RD PRINCETON JUNCTION, NJ 08550-5392 US	B-G SPARE TRANSFORMER ACOUSTIC EMISSION TEST	S	1		F	N	1		12,315	12,365	12,365	-50	9/25/2012	C		1/10/2013
4500222824	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	AUTO TRANSFORMER REFURBISHMENT	S	B		F	N	2		2,084,544	1,641,448	1,641,448	443,096	9/27/2012	C		9/26/2013
4500222851	NEWMARK & COMPANY REAL ESTATE INC 125 PARK AVE - 12TH FL NEW YORK, NY 10017-5690 US	REAL ESTATE BROKERAGE FEES AT 123 MAIN STREET	P	2	9	N	N	1		400,000	205,519	12,731	194,481	9/11/2012	O	6/1/2014	
4500222860	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	AUTOCAD SOFTWARE AND MAINTENANCE GSA SCHEDULE GS-35F-4543G	S	B	7	N	N	1		81,401	81,401	0	0	10/1/2013	C		10/25/2013
4500222931	BUFFALO ENVIRONMENTAL CONSULTANTS INC 7815 BUFFALO AVE NIAGARA FALLS, NY 14304-4221 US	ENVIRONMENTAL, HEALTH & SAFETY PROFESSIONAL SUPPORT NIAGARA POWER PROJECT	P	B		N	N	12		1,000,000	236,574	200,108	763,426	10/1/2012	O	9/30/2017	
4500222932	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	TRANSMISSION LINE CLEARANCE REMEDIATION	C	B		N	1	3		997,470	990,430	990,430	7,040	9/28/2012	C		12/31/2013
4500222937	IHS GLOBAL INC FORMERLY ENVIRONMENTAL SUPPORT 1700 RESEARCH BLVD - STE 200 ROCKVILLE, MD 20850-3105 US	ANNUAL ESSENTIAL SOFTWARE MAINTENANCE - GSA SCHEDULE GS-35F-0552T	S	B	7	N	N	1		60,246	60,246	0	0	10/1/2012	C		9/30/2013
4500222938	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	MAINTENANCE & USAGE FOR IBM GENTRAN SERVER	S	C		N	N	8		18,807	18,807	6,742	0	8/1/2012	C		7/31/2013
4500222994	OSI SOFTWARE INC 777 DAVID ST - SUITE 250 SAN LEANDRO, CA 94577 US	OSI CONVERSION - GSA SCHEDULE GS-35F-0265X	E	B	7	N	N	1		37,252	37,252	15,000	0	10/1/2012	C		9/30/2013
4500223119	GLOBAL INDUSTRIES INC C/O BELL OFFICE FURNITURE 17 W STOW RD MARLTON, NJ 08053-3116 US	CHAIRS	E	B	7	F	N	1		22,176	22,176	0	0	9/30/2012	C		4/26/2013
4500223147	SUSAN P TOLCHIN 22 COLONIAL RD WHITE PLAINS, NY 10605-2212 US	STRATEGIC COMMUNICATIONS CONSULTANT	P	2	1	N	N	1		35,000	28,646	3,300	6,354	10/10/2012	C		2/1/2013
4500223158	TRANSWAVE COMMUNICATION SYSTEMS INC 9020 WEHRLE DR CLARENCE, NY 14031 US	INSTALLATION OF NEW DIGITAL DATA MICROWAVE SYSTEM	E	B		N	N	1		304,418	282,382	282,382	22,036	10/5/2012	O	6/27/2014	

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4500223210	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	AUDIO VISUAL SYSTEMS - OGS STATE CONTRACT	E	B	7	N	N	1		114,586	114,586	114,586	0	10/17/2012	C		5/1/2013
4500223214	J E SHEEHAN CONTRACTING CORP 208 SISSONVILLE RD POTSDAM, NY 13676-3563 US	ST. LAWRENCE-FDR POWER PROJECT - CONSTRUCTION OF TWO LAKE STURGEON SPAWNING BEDS	C	B		N	N	2		450,000	450,000	450,000	0	10/10/2012	C		9/1/2013
4500223215	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	QUEST ANNUAL MAINTENANCE GSA-35F-4543G	S	B	7	F	N	2		45,734	45,734	0	0	10/31/2012	C		10/30/2013
4500223216	ALPHA INDUSTRIAL POWER INC 3767 ALPHA WAY BELLINGHAM, WA 98226-8302 US	SCPP: FURNISH, DELIVER, INSTALL AND COMMISSION STATIONARY BATTERIES	E	B		N	N	2		394,161	359,886	353,886	34,275	10/29/2012	C		10/28/2013
4500223251	NINTEX USA LLC STE 230 PLAZA CTR - 10900 NE 8TH ST BELLEVUE, WA 98004-4453 US	ANNUAL MAINTENANCE - NINTEX	S	B	6	N	N	4		13,650	13,650	0	0	10/11/2012	C		10/10/2013
4500223253	STRUCTURAL PRESERVATION SYSTEMS INC 39 UTTER AVE HAWTHORNE, NJ 07506-2116 US	RESURFACE AMMONIA LOADING & PUMPING STATIONS-SCPP	C	B		F	N	1		304,900	269,079	269,079	35,821	10/12/2012	C		10/11/2013
4500223316	CAROUSEL INDUSTRIES OF NORTH AMERICA INC 659 SOUTH COUNTY TRL EXETER, RI 02822-3412 US	CISCO AND POLYCOM VIDEO CONFERENCING INTEGRATION - PT-64255	S	B	7	N	N	1		9,309	9,309	9,309	0	10/24/2012	C		1/8/2013
4500223406	ROYAL DIAMOND CONSTRUCTION CORP 1 HIGHLAND DR CORTLANDT MANOR, NY 10567-2609 US	ON-CALL GENERAL CONTRACTING SERVICES	C	1	9	N	1	1		112,934	112,934	97,663	0	10/17/2012	C		10/16/2013
4500223446	ORACLE AMERICA INC 500 ORACLE PKWY REDWOOD CITY, CA 94065-1677 US	ORACLE MAINTENANCE ON LONG TEREM MARKET FORECASTING SOFTWARE	S	B		F	N	1		177,049	43,279	43,279	133,770	11/16/2012	O	11/15/2016	
4500223505	ANS ADVANCED NETWORK SERVICES LLC 12 ELMWOOD RD MENANDS, NY 12204-2425 US	EMAIL PHISHING, VOICE VISHING - OGS STATE CONTRACT PT-64252	S	B	7	N	N	1		53,100	53,100	53,100	0	10/29/2012	C		10/28/2013
4500223512	SACO CONTROLS 550 MCCAFFREY ST ST LAURENT, PQ H4T 1N1 CA	INTERFACE MODULES & OUTPUT BOARDS FOR SCADA	E	1	8	F	N	1		18,100	18,175	18,175	-75	11/1/2012	C		1/15/2013
4500223513	MOTOROLA INC 1313 E ALGONQUIN RD SCHAUMBURG, IL 60196 US	CONSULTING SERVICES-NARROW BANDING-OGS STATE CONTRACT PT62495 (EQPT)AND PT62496 (SERVICES)	S	B	7	N	N	1		9,000	8,000	8,000	1,000	9/25/2012	C		2/27/2013

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4500223538	CDW GOVERNMENT INC 75 REMITTANCE DR - STE 1515 CHICAGO, IL 60675-1515 US	ST. LAWRENCE-FDR POWER PROJECT - FEASIBILITY STUDY FOR WIRELESS COMMUNICATION	P	1	5	N	N	1		13,000	0	0	13,000	10/16/2012	C		12/16/2013
4500223661	QUALITY INSPECTION SERVICES INC DBA FOR APPLUS RTD USA 37 FRANKLIN ST - STE 400 BUFFALO, NY 14202-4117 US	ON CALL TESTING AND LABORATORY SERVICES	S	B		N	N	2		237,374	146,408	146,408	90,966	10/31/2012	O	3/31/2014	
4500223686	RJ ASSOCIATES LLC 92 MCCARTY COVE RD WESTPORT ISLAND, ME 04578 US	PROVIDE TECHNICAL EXPERTISE AND ENGINEERING SUPPORT AS A RESULT OF HURRICANE IRENE FOR THE BLENHEIM-GILBOA POWER PROJECT	A	2	8	F	N	1		30,000	0	0	30,000	10/18/2012	C		10/17/2013
4500223690	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	SERVICES FOR WHITE PLAINS WIRELESS SITE SURVEY OGS #PT64525	S	B	7	N	3	1		7,872	7,872	7,872	0	10/18/2012	C		10/17/2013
4500223700	HEWLETT PACKARD CO ORDER ENTRY 10810 FARNAN RD OMAHA, NE 68154 US	HP DESIGN JET T7100 PRINTER - OGS STATE CONTRACT PT-58424	E	B	7	F	N	1		15,097	15,097	15,097	0	11/19/2012	C		3/13/2013
4500223790	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY PERSONNEL FOR THE GRAPHIC COMMUNICATIONS GROUP	S	B	7	N	3	1		19,236	16,295	12,968	2,941	10/26/2012	C		2/28/2013
4500223845	HEWLETT PACKARD CO ATTN: EXPRESS SUPPORT QUOTES 8000 FOOTHILLS BLVD ROSEVILLE, CA 95747-5754 US	ANNUAL MAINTENANCE - SCADA PC EQPT - OGS STATE CONTRACT PT-64150	S	B	7	N	N	1		11,800	11,800	0	0	11/1/2012	C		10/31/2013
4500223856	PERSONNEL TRAVEL CONSULTANTS LLC GAYLE E WALSH 2 MAIN ST NEW EGYPT, NJ 08533-1404 US	PLACEMENT SERVICES FOR TRAVEL POSITION	P	2	5	F	N	1		12,000	0	0	12,000	10/24/2012	C		10/23/2013
4500223949	HERMAN MILLER INC 855 E MAIN AVE ZEELAND, MI 49464-1372 US	PURCHASE OF HERMAN MILLER CAPER CHAIRS	E	B	7	F	N	1		16,875	16,383	16,383	492	10/25/2012	C		3/1/2013
4500223952	JOHN HERBERT CO DBA FOR PSH CORP 142 RT 17K - PO BOX 2758 NEWBURGH, NY 12550 US	CARPET TILES FOR THE POLETTI ADMIN BLDG	E	B	7	N	1	1		24,940	24,821	24,821	119	10/25/2012	C		1/7/2013
4500223960	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ANNUAL MAINTENANCE - ORG PUBLISHER - OGS STATE CONTRACT PT-65193	S	C	6	N	N	4		6,522	6,522	0	0	11/1/2012	C		10/31/2013

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4500223992	GOTHAM TECHNOLOGY GROUP 1 PARAGON DR - STE 200 MONTVALE, NJ 07645 US	ANNUAL MAINTENANCE FOR VARIOUS PRODUCTS	S	C	8	N	N	3		50,641	50,641	0	0	12/30/2012	C		12/16/2013
4500224001	COMPUWARE CORP 1 MEADOWLANDS PL - STE 1050 E RUTHERFORD, NJ 07073 US	SOFTWARE TRAINING - DYNATRACE - STATE CONTRACT PT-64379	E	B	7	N	N	1		11,486	11,486	11,486	0	11/5/2012	C		11/4/2013
4500224005	MISTRAS GROUP INC 195 CLARKSVILLE RD PRINCETON JUNCTION, NJ 08550-5392 US	B-G SPARE TRANSFORMER ACOUSTIC EMISSION TEST	S	1	1	F	N	1		20,250	20,250	20,250	0	10/29/2012	C		9/1/2013
4500224014	XPERTPOWER ASSOCIATES DBA FOR TEST LAB INT'L INC PO BOX 12097 COLLEGE STATION, TX 77840-6906 US	ANNUAL MAINTENANCE - NETWORK BASED DATA ANALYZER SYSTEM	S	1	8	N	N	1		21,990	21,990	0	0	1/1/2013	C		12/31/2013
4500224141	EMPIRE DISMANTLEMENT CORP 2680 GRAND ISLAND BLVD GRAND ISLAND, NY 14072-1693 US	OLD WAREHOUSE DEMOLITION SERVICES	C	B		N	N	11		650,254	288,281	288,281	361,973	10/31/2012	C		9/17/2013
4500224152	COMPUTER AIDED ENGINEERING ASSOCIATES INC 1579 STRAITS TPKE - STE 2B MIDDLEBURY, CT 06762-1835 US	ANNUAL ANSYS MAINTENANCE - GSA SCHEDULE - GS-35F-0639N	S	B	7	N	N	1		14,726	14,726	0	0	1/1/2013	C		12/31/2013
4500224160	HEWLETT PACKARD CO ATTN: EXPRESS SUPPORT QUOTES 8000 FOOTHILLS BLVD ROSEVILLE, CA 95747-5754 US	HP HARDWARE MAINTENANCE FOR SCADA ST. LAWRENCE 11/1/12-10/31/13	S	B	7	F	N	1		17,320	12,911	0	4,409	11/1/2012	C		10/31/2013
4500224214	LVI DEMOLITION SERVICES INC 32 WILLIAMS PKWY EAST HANOVER, NJ 07936-2105 US	POLETTI DECONSTRUCTION PROJECT	C	B		N	N	4		23,575,673	6,651,817	6,651,817	16,923,856	10/1/2012	O	6/30/2014	
4500224254	DRESSER-RAND CO 203 PRECISION RD HORSHAM, PA 19044-1226 US	FLYNN-COMBUSTION TURBINE GENERATOR FUEL GAS SUPPLY PROJECT-PERFORM ASSESSMENTS OF SYSTEMS, PREPARE SPECIFICATIONS & DRAWINGS FOR MODIFICATIONS	S	B		F	N	1		408,174	103,439	103,439	304,735	11/5/2012	C		11/4/2013
4500224275	DOBLE ENGINEERING CORP 85 WALNUT ST WATERTOWN, MA 02472 US	CONSULTING SERVICES FOR FAILURE ANALYSIS OF BG HYUNDAI 325MVAGSU	P	2	8	F	N	1		200,000	35,815	35,815	164,185	11/2/2012	C		7/10/2013
4500224296	JETT INDUSTRIES INC PO BOX 219 COLLIERSVILLE, NY 13747-0219 US	REPLACEMENT OF B-G SPILLWAY CONE VALVES	C	B		N	N	2		150,097	150,097	150,097	0	11/2/2012	C		3/12/2013

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4500224374	SANDHILL CONSULTANTS USA LTD 14 PENN PLAZA - STE 2220 NEW YORK, NY 10122-2220 US	ANNUAL MAINTENANCE FOR CA ERWIN PRODUCTS STATE CONTRACT# PT64273	S	B	7	N	N	1		10,205	10,205	10,205	0	12/31/2012	C		12/16/2013
4500224409	CLEAREDGE POWER CORP FORMERLY UTC POWER CORP 195 GOVERNORS HWY SOUTH WINDSOR, CT 06074-2419 US	PREPARE FUEL CELL FOR STORAGE	C	1	1	F	N	1		38,300	19,600	19,600	18,700	11/6/2012	C		6/7/2013
4500224509	E J CONSTRUCTION GROUP INC 4522A WETZEL RD LIVERPOOL, NY 13090-2562 US	INSTALLATION OF MICROWAVE TOWER	C	2	9	N	4	1		123,113	120,513	120,513	2,600	11/2/2012	C		11/1/2013
4500224571	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	AUDIO VISUAL SYSTEM EQUIPMENT-STATE CONTRACT-EXTRON-PC64216; SMART TECHNOLOGIES - PC64216; CRESTON-PC64215; MITSUBISH-PC64108	E	B	7	N	N	1		39,611	4,486	4,486	35,125	11/19/2012	C		5/1/2013
4500224572	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	AUDIO VISUAL SYSTEMS-STATE CONTRACT-SMART TECHNOLOGIES-PC-64218 EXTRON-PC-64992; CRESTRON-PC-64215; AND MITSUBISHI-PC-64108	E	B	7	N	N	1		37,267	37,267	37,267	0	11/19/2012	C		5/30/2013
4500224587	VAISALA-GAI INC 2705 E MEDINA RD TUCSON, AZ 85756-7147 US	2013 ANNUAL MAINTENANCE NLDN - WEATHER	S	B	7	F	N	1		20,333	20,333	20,333	0	1/1/2013	C		12/16/2013
4500224632	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	AUDIO VISUAL SYSTEMS-STATE CONTRACT-SMART TECHNOLOGIES-PC-64218 EXTRON-PC-64992	E	B	7	N	N	1		13,421	13,421	13,421	0	11/26/2012	C		4/22/2013
4500224659	SCHWEITZER ENGINEERING LAB INC C/O ROBINSON SALES INC 1240 ASHBRIDGE RD WEST CHESTER, PA 19380 US	PURCHASE OF 2 X RTAC DEVICES PLUS MANUALS	E	C	6	F	N	3		14,096	14,096	14,096	0	11/13/2012	C		1/30/2013
4500224685	HAYES TECHNOLOGY GROUP INC 3 HAWTHORN PKWY - STE 225 VERNON HILLS, IL 60061-1448 US	ANNUAL CLIENT COPY MAINTENANCE	S	1	6	N	N	1		14,100	14,100	0	0	11/19/2012	C		11/18/2013
4500224686	INTERNATIONAL INTEGRATED SOLUTIONS LTD 245 NEWTOWN RD PLAINVIEW, NY 11803 US	SERVER EQPT/MAINTENANCE/INSTALLATION - BIG DEAL PRICING - PT-64150	E	B	7	N	N	1		274,535	274,535	274,535	0	12/7/2012	C		1/16/2013
4500224687	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ANNUAL MAINTENANCE - IRONKEYS DEVICES	S	C		N	N	5		20,679	20,679	20,679	0	9/1/2012	C		9/30/2013

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4500224719	YONKERS ELECTRIC CONTRACTING CORP 145 SAW MILL RIVER RD YONKERS, NY 10701-6615 US	EXISTING BUILDING SUBSTATION-PHASE II, POLETTI	C	B		N	N	4		1,269,070	1,118,021	1,118,021	151,049	11/15/2012	C		10/14/2013
4500224807	EMA INC 1970 OAKCREST AVE SAINT PAUL, MN 55113 US	MAXIMO SUPPORT - GSA SCHEDULE GS-35F-0622K	S	B	7	N	N	1		50,000	0	0	50,000	1/1/2013	C		12/31/2013
4500224860	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	VIDEOCONFERENCING EQPT - OGS STATE CONTRACT PT-64536	E	B	7	N	N	1		74,465	74,465	74,465	0	12/6/2012	C		1/4/2013
4500224865	CORPORATE AVIATORS INC 6 BERKSHIRE BLVD - STE 302 BETHEL, CT 06801-1065 US	CO-PILOT FOR NYPA PLANE ON AS-NEEDED BASIS	S	2	5	N	N	1		10,000	2,175	2,175	7,825	12/1/2012	C		11/30/2013
4500224869	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	NETWORK CONSULTING - PT-64525	S	B	7	N	3	1		36,000	31,000	0	5,000	12/3/2012	C		12/2/2013
4500224905	HERMAN MILLER INC 855 E MAIN AVE ZEELAND, MI 49464-1372 US	PURCHASE OF 30 X AERON CHAIRS FOR WPO	E	B	7	F	N	1		18,275	17,685	17,685	590	11/19/2012	C		9/16/2013
4500224933	HERMAN MILLER INC 855 E MAIN AVE ZEELAND, MI 49464-1372 US	PURCHASE OF HERMAN MILLER WORKSTATIONS	E	B	7	F	N	1		442,417	426,217	426,217	16,200	11/19/2012	C		9/16/2013
4500224964	JOHN HERBERT CO DBA FOR PSH CORP 142 RT 17K - PO BOX 2758 NEWBURGH, NY 12550 US	FURNISH, DELIVER AND INSTALL JOHNSONITE CARPET TILE AT CEC	S	B	7	F	1	1		5,586	5,586	5,586	0	11/20/2012	C		1/7/2013
4500224986	AMETEK SOLIDSTATE CONTROLS INC 875 DEARBORN DR COLUMBUS, OH 43085-1586 US	PURCHASE OF 3 X AMETEK INVERTERS - NIA	E	B		F	N	2		179,084	151,085	151,085	27,999	11/20/2012	C		11/20/2013
4500225011	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ANNUAL MAINTENANCE - FTP (FILE TRANSFER PROTOCOL) PROJECT-PT65193	S	B	7	N	N	5		7,863	7,863	7,863	0	9/13/2012	C		9/12/2013
4500225034	ORACLE AMERICA INC 1910 ORACLE WY RESTON, VA 20190-4733 US	ORACLE PRIMAVERA MIGRATION - OGS STATE CONTRACT PT-64000	S	B	7	N	N	1		55,597	55,597	55,597	0	11/26/2012	C		11/25/2013
4500225051	GSS INFOTECH INC FORMERLY ATEC GROUP 1762 CENTRAL AVE ALBANY, NY 12205-4786 US	ANNUAL MAINTENANCE FOR FUJITSU SCANNERS - GSA SCHEDULE GS-35F-4342D	S	B	7	N	N	1		7,054	7,054	7,054	0	1/16/2013	O	1/15/2014	
4500225052	STORAGE ENGINE INC WORLD HEADQUARTERS 1 SHEILA DR - BLDG 64 TINTON FALLS, NJ 07724-2658 US	ANNUAL MAINTENANCE - FALCONSTAR SOFTWARE - OGS STATE CONTRACT PT-61460	S	B	7	N	N	1		22,040	22,040	0	0	1/1/2013	C		12/31/2013

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4500225060	MINHAS CONSTRUCTION CORP 156 CANAL ST STATEN ISLAND, NY 10304 US	CONCRETE FOUNDATION FOR SPARE TRANSFORMER AT POLETTI PLANT	C	B		N	4	9		572,301	572,301	572,301	0	11/16/2012	C		5/1/2013
4500225107	BLUE CIELO ECM SOLUTIONS INC 102 PICKERING WAY - STE 303 EXTON, PA 19341-1330 US	ANNUAL BLUECIELO SOFTWARE MAINTENANCE	S	C	8	N	N	3		93,556	88,141	88,141	5,415	1/1/2013	C		12/31/2013
4500225139	EGGAN EXCAVATING EQUIPMENT CO INC 7439 TOWNLINE RD ROME, NY 13440-1450 US	AUTO TRANSFORMER REPLACEMENT PROJECT- STONE REMOVAL	C	2		N	1	1		74,324	74,324	74,324	0	11/27/2012	C		5/1/2013
4500225140	TBEA USA CORP 3452 E FOOTHILL BLVD STE 1020 PASADENA, CA 91107-6020 US	DESIGN, FURNISH, DELIVER.& I ONE 3-PHASE SHUNT REACTOR	E	B		F	N	4		2,182,738	0	0	2,182,738	11/21/2012	O	4/27/2014	
4500225161	SS & C TECHNOLOGIES INC 80 LAMBERTON RD - STE 7 WINDSOR, CT 06095-2150 US	ANNUAL MAINTENANCE FOR DBS SOFTWARE	S	1	8	N	N	1		28,080	28,080	0	0	12/17/2012	C		12/16/2013
4500225162	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	ANNUAL MAINTENANCE-POLYCOM VIDEOCONFERENCE EQPT-STATE CONTRACT-PT64536	S	B	7	N	N	1		16,528	16,528	16,528	0	11/1/2012	C		12/31/2013
4500225190	ATLANTIC TESTING LAB LTD BOX 29 - CANTON-POTSDAM RD CANTON, NY 13617 US	REPLACEMENT OF FAILED VIBRATING WIRE PIZOMETER(S) B-G	C	B		F	N	1		201,561	201,556	201,556	5	11/28/2012	C		3/31/2013
4500225207	MEJIA ENTERPRISES INC 126 CONCORD AVE WHITE PLAINS, NY 10606-2010 US	ON CALL PAINTING SERVICES FOR WPO AND POLETTI	S	2	9	N	N	1		100,000	56,578	43,282	43,422	11/1/2012	C		10/31/2013
4500225223	LIVEDATA INC 810 MEMORIAL DR CAMBRIDGE, MA 02139-4642 US	ANNUAL LIVE DATA MAINTENANCE	S	M	8	N	N	1		20,000	20,000	0	0	1/1/2013	C		12/31/2013
4500225250	ARBITER SYSTEMS INC 1324 VENDELS CIR - STE 121 PASO ROBLES, CA 93446-3806 US	GPS CLOCKS FOR MARCY, CLARK ENERGY CENTER AND RICHARD M. FLYNN POWER PLANTS	E	1	6	N	N	1		11,841	11,841	5,913	0	11/29/2012	C		11/25/2013
4500225251	OHM'S ELECTRICAL CORP 6510 CENTRAL AVE GLENDALE, NY 11385-6244 US	HELP PROGRAM - INSTALLATION OF VFD FOR THE YUKON BUS DEPOT TAIL PIPE PROJECT	C	B		N	3	1		59,124	50,255	50,255	8,869	1/15/2013	C		2/15/2013
4500225270	DELL MARKETING LP 850 ASBURY DR BUFFALO GROVE, IL 60089-4557 US	METASPOILT SOFTWARE & MAINTENANCE - OGS STATE CONTRACT PT-65191	E	B	7	N	N	3		17,442	17,442	0	0	12/18/2012	C		1/2/2013
4500225287	PKA TECHNOLOGIES INC 1 EXECTIVE BLVD - STE 203 SUFFERN, NY 10901-4156 US	HP STORAGE DIR & SAN SWITCHES-OGS STATE CONTRACT PT-63224	E	B	7	N	3	3		68,804	68,804	68,804	0	12/17/2012	C		1/8/2013
4500225360	JOHN IACONO INC 230 KNICKERBOCKER AVE BOHEMIA, NY 11716 US	INSTALLATION OF A ENERGY EFFICIENT COMPRESSED AIR SYSTEM AT CASTLETON BUS DEPOT	C	B		N	N	3		108,456	108,456	108,456	0	12/3/2012	C		12/3/2013

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4500225391	INTELLIGENT ENTERPRISE SOLUTIONS LLC 6901 ROCKLEDGE DR STE 600 BETHESDA, MD 20817-7836 US	ANNUAL ACL PREMIUM SUPPORT MAINTENANCE	S	C	6	N	N	5		7,365	7,365	7,365	0	1/1/2013	C		12/31/2013
4500225392	SYMON COMMUNICATIONS INC 121 VICTOR HEIGHTS PKWY VICTOR, NY 14564 US	2013 SCROLLING MONITOR SOFTWARE MAINTENANCE	S	C	8	N	N	5		20,263	20,263	0	0	1/1/2013	C		12/31/2013
4500225442	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	GOOGLE SITE SEARCH APPLIANCE GSA#GS-35F-4543G	S	B	7	F	N	1		13,500	13,500	13,500	0	1/1/2013	C		12/16/2013
4500225444	ENVIRONMENTAL SYSTEMS RESEARCH INSTITUTE INC 380 NEW YORK ST REDLANDS, CA 92373-8100 US	ANNUAL GIS MAINTENANCE - OGS STATE CONTRACT PT-63832	S	B	7	N	N	1		30,728	30,728	0	0	1/1/2013	C		12/31/2013
4500225453	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	ANNUAL MAINTENANCE VMWARE	S	M	8	N	3	1		52,462	52,462	0	0	12/28/2012	C		12/27/2013
4500225501	IBM CORP 100 CROSBY DR BEDFORD, MA 01730-1438 US	ANNUAL MAINTENANCE -IBM MAXIMO	S	C	8	N	N	4		349,159	349,159	349,159	0	1/1/2013	C		12/31/2013
4500225505	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	ADTRAN MAINTENANCE	S	B	7	N	3	1		82,617	82,617	82,617	0	1/1/2013	C		12/31/2013
4500225508	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	ANNUAL MAINTENANCE - LIZESIZE VIDEOCONFERENCING EQPT - PT-64261	S	B	7	N	N	1		34,200	34,200	34,200	0	9/22/2012	C		12/31/2013
4500225517	PERRAS EXCAVATING INC 1909 ST HWY 420 MASSENA, NY 13662 US	F/D/I STABILIZATION PADS TO EXISTING	C	B		N	N	3		107,280	70,080	70,080	37,200	12/6/2012	C		9/19/2013
4500225518	LINITA DESIGN & MANUFACTURING CORP PO BOX 1101 BUFFALO, NY 14201-1101 US	DESIGN AND SUPPLY REPLACEMENT LIFTING DEVICES	E	B		N	4	5		162,985	162,985	162,985	0	12/6/2012	C		5/30/2013
4500225576	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	ANNUAL MAINTENANCE - SOLARWINDS SOFTWARE	S	B	7	N	N	5		13,449	13,449	13,449	0	1/1/2013	C		12/31/2013
4500225577	ESVA INC 116 TAZEWELL AVE CAPE CHARLES, VA 23310-3128 US	ANNUAL MAINTENANCE METALOGIX SOFTWARE-GSA SCHEDULE GS-35F-0333W	S	B	7	N	N	1		5,774	5,774	5,774	0	1/31/2013	O	1/30/2014	

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4500225628	UNIVERSAL MULTILINK INC 18911 UNION TURNPIKE FLUSHING, NY 11366 US	ANNUAL HP PLOTTER MAINTENANCE	S	M	6	N	4	1		12,357	12,357	12,357	0	1/1/2013	C		12/31/2013
4500225660	CARJEN FENCE NO 1 CORP 1223 PARK ST PEEKSKILL, NY 10566-4009 US	SECURITY FENCING UPGRADES	C	2	9	N	N	1		55,440	55,440	55,440	0	12/10/2012	C		11/30/2013
4500225668	ORRICK HERRINGTON & SUTCLIFFE LLP 666 FIFTH AVE NEW YORK, NY 10103-0001 US	OUTSIDE COUNSEL SERVICES	L	C	1	N	N	3		654,000	652,771	652,771	1,229	12/10/2012	C		6/30/2013
4500225677	MODERNFOLD/STYLES INC 15 EMPIRE BLVD SOUTH HACKENSACK, NJ 07606-1805 US	FURNISH, DELIVER, INSTALL FURNITURE	C	2	8	N	N	1		18,544	18,544	9,272	0	12/18/2012	C		2/1/2013
4500225734	RESOLVER INC 257 ADELAIDE ST W - STE 400 TORONTO, ON M5H 1X9 CA	SAAS SERVICES FOR BPS CLOUD HOSTED SERVICE	S	1	8	N	N	1		44,112	44,112	44,112	0	1/1/2013	C		12/31/2013
4500225735	HEWLETT PACKARD CO ATTN: EXPRESS SUPPORT QUOTES 8000 FOOTHILLS BLVD ROSEVILLE, CA 95747-5754 US	ANNUAL HP SUPPORT - OGS STATE CONTRACT PT-64150	S	B	7	N	N	1		233,091	230,196	230,196	2,895	1/1/2013	C		12/31/2013
4500225736	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	ANNUAL CISCO SMARTNET MAINTENANCE - OGS STATE CONTRACT PT-64525	S	B	7	N	3	1		295,176	295,176	295,176	0	1/1/2013	C		12/31/2013
4500225848	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	HARDWARE UPGRADE FOR HITACHI SAN	E	B	7	F	N	1		279,773	279,773	279,773	0	12/13/2012	C		2/1/2013
4500225906	WWW.TEQUIPMENT.NET DBA FOR INTERWORLD HIGHWAY LLC 205 WESTWOOD AVE LONG BRANCH, NJ 07740-6564 US	ELECTRICAL METERING EQUIPMENT FOR VARIOUS SITES.	E	1	9	N	N	1		8,786	8,786	8,786	0	12/22/2012	C		4/18/2013
4500225920	MATHWORKS INC 3 APPLE HILL DR NATICK, MA 01760-2098 US	MATLAB SOFTWARE	E	C		N	N	5		41,260	41,260	41,260	0	12/19/2012	C		1/2/2013
4500225966	THE HON COMPANY C/O JFD SALES 200 OAK ST MUSCATINE, IA 52761-4313 US	FURNITURE	E	B	7	N	N	1		20,639	20,301	20,301	338	12/31/2012	C		3/27/2013
4500225976	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	CONSULTING - ACE-4710 LOAD BALANCES - PT-64525	S	B	7	N	N	1		14,440	7,608	7,608	6,832	12/17/2012	C		12/16/2013

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4500226014	AIRMATIC COMPRESSOR SYSTEMS INC 700 WASHINGTON AVE CARLSTADT, NJ 07072-3007 US	FURNISH & DELIVER COMPRESSED AIR SYSTEM FOR THE NYC TRANSIT HYDRAULICS DIVISION	E	B		N	N	2		393,279	364,779	364,779	28,500	12/18/2012	C		12/17/2013
4500226016	SIEMENS INDUSTRY INC 10900 WAYZATA BLVD - STE 400 MINNETONKA, MN 55305-5602 US	MAINTENANCE AND SOFTWARE SERVICES	P	1	1	F	N	1		214,000	204,872	204,872	9,128	1/1/2013	C		12/31/2013
4500226017	DION GLOBAL SOLUTIONS (CANADA) LTD 75 INTERNATIONAL BLVD STE 103 TORONTO, ON M9W 6L9 CA	SAAS SERVICES FOR DION GLOBAL SOLUTIONS HOSTED SERVICE	S	1	5	N	N	1		10,000	10,000	0	0	12/30/2012	C		12/16/2013
4500226125	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	NETWORK EQPT - PT-64525	E	B	7	N	3	3		8,932	8,932	8,932	0	12/31/2012	C		1/8/2013
4500226129	HELBERG ELECTRICAL SUPPLY LLC 200 W SUNRISE HWY FREEPORT, NY 11520-3365 US	CFL BULBS	E	2	5	N	4	1		9,794	9,794	9,794	0	12/20/2012	C		3/15/2013
4500226158	ORACLE AMERICA INC 500 ORACLE PKWY REDWOOD CITY, CA 94065-1677 US	PURCHASE OF ORACLE SOFTWARE AND MAINTENANCE FOR EDRMC	S	B		F	N	1		263,520	251,640	251,640	11,880	12/27/2012	O	2/13/2014	
4500226164	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ACRONIS SOFTWARE - OGS STATE CONTRACT PT-65193	E	B	7	N	N	3		59,610	59,610	59,610	0	12/31/2012	C		3/12/2013
4500226178	SS & C TECHNOLOGIES INC 80 LAMBERTON RD - STE 7 WINDSOR, CT 06095-2150 US	PORTIA ANNUAL MAINTENANCE	S	2	8	N	N	1		36,302	36,302	0	0	1/1/2013	C		12/31/2013
4500226200	SAS INSTITUTE INC SAS CAMPUS DR CARY, NC 27513 US	ANNUAL SAS SOFTWARE MAINTENANCE	S	C	8	N	N	4		15,530	15,530	0	0	1/1/2013	C		12/31/2013
4500226210	LEONARD POWERS INC 519 W 27TH ST NEW YORK, NY 10001-5505 US	FDNY SOLAR THERMAL HOT WATER SYSTEMS	C	B		N	N	6		312,615	142,600	142,600	170,015	3/7/2013	O	3/6/2014	
4500226227	VERSONA SYSTEMS LLC 3100 TIMMONS LN - STE 401 HOUSTON, TX 77027-5904 US	BARCODE SOFTWARE SOLUTION WITH 5 YEARS MAINTENANCE	S	B		F	N	4		424,165	304,211	162,261	119,954	12/21/2012	O	12/31/2017	
4500226250	AXYON CONSULTING LLC 2745 DALLAS PKWY STE 300 PLANO, TX 75093-8729 US	DATA MANAGEMENT SOFTWARE & MAINTENANCE - Q12-5224TB	S	B	8	N	N	3		186,510	176,712	176,712	9,798	12/31/2012	O	12/31/2017	
4500226252	PKA TECHNOLOGIES INC 1 EXECTIVE BLVD - STE 203 SUFFERN, NY 10901-4156 US	SERVER EQPT - OGS STATE CONTRACT PT63224	E	B	7	N	3	4		15,120	15,120	15,120	0	1/4/2013	C		1/7/2013

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4500226253	EMERSON NETWORK POWER LIEBERT SERVICES INC 610 EXECUTIVE CAMPUS DR WESTERVILLE, OH 43082-8870 US	LIEBERT MAINTENANCE	S	C	6	N	N	3		13,800	13,800	13,800	0	12/27/2012	C		12/26/2013
4500226255	ORACLE AMERICA INC 1910 ORACLE WY RESTON, VA 20190-4733 US	MAINTENANCE	S	B	7	N	N	1		25,447	19,085	19,085	6,362	1/1/2013	C		12/31/2013
4500226261	HEALTH QUEST URGENT MEDICAL CARE PRACTICE PC 1530 ROUTE 9 WAPPINGERS FALLS, NY 12590-4131 US	MEDICAL SERVICES AND RECORD RETENTION	P	B		N	N	2		322,500	28,110	28,110	294,390	1/1/2013	O	12/31/2017	
4500226281	POWERTECH LABS INC 12388-88TH AVE SURREY, BC V3W 7R7 CA	TECHNICAL SUPPORT SERVICES	P	1	1	F	N	1		29,036	29,036	29,036	0	11/15/2012	C		8/30/2013
4500226295	SECURELOGIX CORP 13750 SAN PEDRO AVE - STE 820 SAN ANTONIO, TX 78232-0002 US	ANNUAL MAINTENANCE - SECURELOGIX SOFTWARE	S	C	6	N	N	3		7,125	7,125	7,125	0	1/1/2013	C		12/31/2013
4500226343	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY EMPLOYEE FOR DATA ENTRY SERVICES	S	1	9	N	3	1		28,000	27,972	27,972	28	1/1/2013	C		7/31/2013
4500226464	MITCH ROSE STRATEGIC CONSULTING 1431 COLA DR MCLEAN, VA 22101-3158 US	GOVERNMENT RELATIONS CONSULTING	P	2	9	N	N	1		50,000	30,000	30,000	20,000	1/2/2013	C		3/31/2013
4500226490	ASPEN INC 49 N SAN MATEO DR SAN MATEO, CA 94401-2865 US	ANNUAL MAINTENANCE - ASPEN	S	1	8	N	N	1		8,193	8,193	8,193	0	2/1/2013	O	1/31/2014	
4500226491	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	WEBSPHERE ANNUAL MAINTENANCE	S	B	7	N	N	1		274,641	274,641	274,641	0	1/1/2013	C		12/31/2013
4500226492	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	GENTRAN ANNUAL MAINTENANCE AND USAGE	S	B	7	N	N	1		9,733	6,318	6,318	3,415	8/1/2013	C		12/31/2013
4500226524	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	ANNUAL MAINTENANCE RSA SECURE ID OGS STATE CONTRACT PT65192	S	B	7	N	N	1		18,493	18,493	18,493	0	1/1/2013	C		10/3/2013
4500226563	LAYNE CHRISTENSEN CO 1126 LINCOLN AVE HOLBROOK, NY 11741-2260 US	FLYNN POWER PROJECT-F/D/I WELL WATER PUMPS (2) REPLACEMENT PROJECT	C	B		N	N	3		176,552	77,480	77,480	99,072	1/8/2013	O	1/7/2014	

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4500226591	HOHL INDUSTRIAL SERVICES INC 770 RIVERVIEW BLVD TONAWANDA, NY 14150-7880 US	NEW DOCKS - FIRST BUFFALO RIVER MARINA	C	B		N	1	1		1,375,680	1,264,612	1,264,612	111,068	1/2/2013	C		12/31/2013
4500226602	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY POSITION FOR THE TRAVEL DESK	S	B	7	N	3	1		15,000	1,365	1,365	13,635	1/8/2013	C		4/16/2013
4500226620	LIVEDATA INC 810 MEMORIAL DR CAMBRIDGE, MA 02139-4642 US	IT CONSULTING SERVICES FOR RTI PLATFORM	P	M	8	F	N	1		40,000	38,071	38,071	1,929	1/9/2013	C		5/1/2013
4500226666	ASCOM SPA VIA DELLA FORNACE 16 FORMIGINE, MO 41043 IT	FURNISH, DELIVER AND INSTALL 50 TON TRAVEL LIFT BUFFALO MARINA	E	B		F	N	1		425,500	407,100	407,100	18,400	1/9/2013	C		8/30/2013
4500226732	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	FURNISH DELIVER AND INSTALL CABLE TRAYS - STL	C	C	8	F	1	1		260,140	238,900	238,900	21,240	1/10/2013	O	1/10/2014	
4500226775	CA INC PREVIOUSLY NETQOS INC 5001 PLAZA ON THE LAKE AUSTIN, TX 78746-1070 US	NETQOS ANNUAL MAINTENANCE	S	C	8	N	N	7		16,513	16,513	16,513	0	1/1/2013	C		12/31/2013
4500226810	SMIT TRANSFORMATOREN BV 120 VARNFIELD DR - STE E SUMMERVILLE, SC 29483-7313 US	F D & I SIX AUTO-TRANSFORMERS	E	B		F	N	10		16,824,890	24,730	24,730	16,800,160	1/14/2013	O	12/31/2014	
4500226835	ORACLE AMERICA INC 1001 SUNSET BLVD ROCKLIN, CA 95765-3702 US	ORACLE MAINTENANCE - OGS STATE CONTRACT - PT-64000	S	B	7	N	N	1		185,980	92,990	92,990	92,990	5/30/2013	O	5/30/2014	
4500226836	ORACLE AMERICA INC 1910 ORACLE WY RESTON, VA 20190-4733 US	ORACLE MAINTENANCE - OGS STATE CONTRACT PT-64000	S	B	7	N	N	1		14,710	11,033	11,033	3,677	2/10/2013	O	2/9/2014	
4500226837	JOHN HERBERT CO DBA FOR PSH CORP 142 RT 17K - PO BOX 2758 NEWBURGH, NY 12550 US	CARPET	E	M	6	N	1	1		7,972	7,972	7,972	0	1/25/2013	C		3/8/2013
4500226845	LAZARD FRERES & CO LLC 30 ROCKEFELLER PLZ NEW YORK, NY 10112-5999 US	CONSULTING SERVICES	P	C	1	N	N	3		990,000	965,432	965,432	24,568	1/4/2013	C		12/31/2013
4500226885	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP SMALL FORM FACTOR DESKTOPS - OGS CONTRACT PT-65350-NYAG12	E	B	7	F	N	1		168,940	168,940	168,940	0	1/15/2013	C		4/18/2013
4500226992	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	765KV TRANSFORMER REMOVAL PROJECT	C	B		N	1	4		339,421	286,410	286,410	53,011	1/16/2013	O	1/15/2014	

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4500227003	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP COMPAQ ELITE 8300 SMALL FORM DESKTOPS - OGS - PT-65350-NYAG12	E	B	7	F	N	1		22,760	22,760	22,760	0	1/16/2013	C		4/18/2013
4500227031	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP FACTOR PC'S SMALL FORM DESKTOPS OGS PT-65350 NYAG12	E	B	7	F	N	1		34,520	34,520	34,520	0	1/16/2013	C		10/3/2013
4500227034	POWERRUNNER LLC 145 SOUTH RD BRENTWOOD, NH 03833-6301 US	ENERGY & DISTRIBUTION RATE MODELING & COMPARISON IMPLEMENTATION	P	B		F	N	1		390,606	163,339	163,339	227,267	2/13/2013	O	2/12/2014	
4500227040	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY POSITION FOR TRAVEL DESK	S	B	7	N	3	1		42,000	25,753	25,753	16,247	1/21/2013	O	1/22/2014	
4500227063	PERRAS EXCAVATING INC 1909 ST HWY 420 MASSENA, NY 13662 US	SHORELINE STABILIZATION FOR SITES IN LOISVILLE AND WADDINGTON	C	C	8	N	N	2		188,500	188,500	188,500	0	1/17/2013	C		9/30/2013
4500227071	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	DESKTOP LIFECYCLE - CEC - OGS STATE CONTRACT PT65350-NYAG12	E	B	7	N	N	1		34,520	34,520	34,520	0	2/25/2013	C		6/7/2013
4500227072	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	DESKTOP LIFECYCLE - BG - OGS STATE CONTRACT PT65350-NYAG12	E	B	7	N	N	1		47,420	47,420	47,420	0	2/25/2013	C		3/7/2013
4500227163	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP/COMPAQ 20INCH MONITORS - OGS STATE CONTRACT PT65350-NYAG12	E	B	7	N	N	1		6,600	6,600	6,600	0	2/1/2013	C		2/13/2013
4500227165	GOTHAM TECHNOLOGY GROUP 1 PARAGON DR - STE 200 MONTVALE, NJ 07645 US	ANNUAL MAINTENANCE FOR CITRIX	S	C	6	N	N	3		14,250	14,250	14,250	0	12/1/2012	C		1/1/2013
4500227273	WALDNERS BUSINESS ENVIRONMENTS 401 THEODORE FREMD AVE RYE, NY 10580 US	ROOMWIZARD-SPACE SCHEDULING - WEB SIGN	E	M	8	N	3	1		24,920	24,920	24,920	0	1/31/2013	C		2/21/2013
4500227323	LASCON INC 185 SUMMERFIELD ST FL 2 SCARSDALE, NY 10583-5425 US	SNOW REMOVAL	S	M	8	N	1	1		100,000	69,195	69,195	30,805	1/24/2013	O	1/23/2014	

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4500227324	SIEMENS INDUSTRY INC 400 STATE ST SCHENECTADY, NY 12305-2304 US	ANNUAL MAINTENANCE - PSS/E SOFTWARE	S	B	6	N	N	4		10,550	10,550	10,550	0	1/1/2013	C		12/31/2013
4500227382	RIMINI STREET INC 7251 W LAKE MEAD BLVD - STE 300 LAS VEGAS, NV 89128-8380 US	SAP MAINTENANCE & SUPPORT SERVICES	S	B		N	N	6		1,600,000	284,418	284,418	1,315,582	1/25/2013	O	1/24/2016	
4500227386	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH PRINTER & TONER - OGS STATE CONTRACT PC-59466	E	B	7	N	N	1		10,058	10,058	10,058	0	2/11/2013	C		3/13/2013
4500227411	OHM'S ELECTRICAL CORP 6510 CENTRAL AVE GLENDALE, NY 11385-6244 US	ELECTRICAL LIGHTING INSTALLATION AT THE NEW YORK HALL OF SCIENCE	C	B		N	3	4		48,841	35,164	35,164	13,677	7/30/2012	C		7/11/2013
4500227417	BARCODES INC DBA FOR BARCODES LLC 200 W MONROE ST - STE 1050 CHICAGO, IL 60606-5122 US	PURCHASE OF BARCODE SCANNERS	E	B	7	F	N	1		12,980	12,980	12,980	0	1/25/2013	C		2/28/2013
4500227440	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	ANNUAL MAINTENANCE - MCAFEE SAFEBOOT - OGS STATE CONTRACT PT-65192	S	B	7	N	N	6		6,648	6,648	6,648	0	11/16/2012	C		11/15/2013
4500227514	B & H PHOTO VIDEO INC 420 NINTH AVE NEW YORK, NY 10001 US	APPLE RELATED EQUIPMENT	E	C	6	N	N	5		8,361	8,361	8,361	0	2/4/2013	C		2/7/2013
4500227517	CAMBRIDGE TECHNOLOGY ENTERPRISES INC 1 MAIN ST - STE 530 CAMBRIDGE, MA 02142-1531 US	SMARTSHIFT SMARTDEVELOP LIC & SVC	E	B	8	N	N	3		17,400	14,400	14,400	3,000	1/29/2013	O	1/28/2014	
4500227528	RIZZO & DIGIACCO CPA'S PLLC 69B MONROE AVE PITTSFORD, NY 14534 US	AUDIT OF NYPA'S DEFERRED COMPENSATION PLAN FROM 2013 - 2017	P	B		N	N	3		50,000	8,442	8,442	41,558	2/23/2013	O	2/22/2018	
4500227644	SIEMENS ENERGY INC 85 JOHN RD - UNIT 1 CANTON, MA 02021-2826 US	PROVIDE A LONG TERM SERVICE PLAN (LTSP) FOR THE SIEMENS POWER PLANT AUTOMATION (SPPA) CONTROL SYSTEM AT FLYNN	P	1		N	N	1		25,000	25,000	25,000	0	1/30/2013	C		6/30/2013
4500227695	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	VIDEOCONFERENCING EQUIPMENT - OGS STATE CONTRACT - PT-64261	E	B	7	N	N	1		8,009	8,009	8,009	0	2/15/2013	C		8/16/2013
4500227696	DATA SYSTEMS HARDWARE INC 6585 MERCHANT PL STE 100 WARRENTON, VA 20187-2355 US	AEP NETWORK SOFTWARE - GSA SCHEDULE 35F-3082D	E	B	7	N	N	1		10,943	10,943	10,943	0	2/2/2013	C		2/7/2013

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4500227723	BIDCO MARINE GROUP INC 220 KATHERINE ST BUFFALO, NY 14210-2008 US	LPGP DAM FACE CONCRETE REPAIRS UNITS 1,2, & 3	C	B		N	N	2		708,620	708,620	708,620	0	2/1/2013	C		7/31/2013
4500227759	APPLE COMPUTER INC STATE & LOCAL GOVERNMENT SALES 2420 RIDGEPOINT DR AUSTIN, TX 78754 US	APPLE COMPUTER MAC PRO - OGS-PT-65428-NEG-22314-E	E	B	7	F	N	1		6,745	6,735	6,735	10	2/1/2013	C		4/18/2013
4500227899	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	PHONES - PRESIDIO PT 64525	E	B	7	N	N	1		26,533	26,533	26,533	0	2/5/2013	C		12/16/2013
4500227901	TIME WARNER CABLE INC 20 CENTURY HILL DR LATHAM, NY 12110-2116 US	WIDE AREA NETWORK BACKBONE	S	B		F	N	2		7,437,492	1,051,000	1,051,000	6,386,492	1/1/2013	O	12/31/2019	
4500227902	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	CHECKPOINT APPLICATIONS - OGS STATE CONTRACT PT-61429	E	B	7	N	N	1		16,477	16,477	16,477	0	3/4/2013	O	3/3/2014	
4500227946	TANDUS US INC c/o JOHN HERBERT COMPANY 142 ROUTE 17K NEWBURGH, NY 12550-3929 US	F/D/I - CARPET - HQ- OGS STATE PC63781	C	B	7	N	N	1		8,510	8,510	8,510	0	2/22/2013	C		6/21/2013
4500227951	DAY AUTOMATION SYSTEMS INC 9 AVIS DR LATHAM, NY 12110-2601 US	F/D/I-COMMISSION & TEST HYBRID NETWORK VIDEO RECORDER-PT64059	E	B	7	N	1	1		80,911	80,911	80,911	0	7/12/2013	C		9/27/2013
4500228131	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	NETWORK EQPT - OGS STATE CONTRACT PT-64525	E	B	7	N	3	1		22,468	22,468	22,468	0	2/15/2013	C		2/15/2013
4500228205	MOTOROLA INC 1313 E ALGONQUIN RD SCHAUMBURG, IL 60196 US	COMMUNICATION EQUIPMENT - PT-62495 (HW) OR PS-62496 (SERVICES)	E	B	7	N	N	1		8,146	8,146	8,146	0	2/18/2013	C		3/4/2013
4500228212	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	SERVER EQPT - OGS STATE CONTRACT PT-64150	E	B	7	N	4	5		35,007	35,007	35,007	0	3/1/2013	C		3/4/2013
4500228244	CORPORATE COMPUTER SOLUTIONS INC 55 HALSTEAD AVE HARRISON, NY 10528 US	HP 32GB QUAD RANK X4 PC3L-8500 (DDR3-1066) REGISTERED CAS-7 LP MEMORY KIT -HW	E	B	7	N	3	3		8,360	8,360	8,360	0	2/13/2013	C		4/18/2013
4500228245	NYC TAXI & LIMOUSINE COMMISSION 33 BEAVER ST - FL 22 NEW YORK, NY 10004-2738 US	RAPID CHARGING EQUIPMENT	E	1	9	N	N	1		20,000	0	0	20,000	2/13/2013	O	2/12/2014	
4500228250	AIRMATIC COMPRESSOR SYSTEMS INC 700 WASHINGTON AVE CARLSTADT, NJ 07072-3007 US	NYCT JAMAICA & PITKIN MAINTENANCE SHOP GAS FIRED INFRARED HEATING SYSTEM PROJECT	C	B		F	N	3		1,931,228	117,039	117,039	1,814,189	2/13/2013	O	2/12/2014	

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4500228251	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	NETWORK EQPT - OGS STATE CONTRACT PT_64525	E	B	7	N	3	1		234,468	234,468	234,468	0	2/25/2013	C		3/28/2013
4500228252	GOTHAM TECHNOLOGY GROUP 1 PARAGON DR - STE 200 MONTVALE, NJ 07645 US	CITRIX NETSCALER SOFTWARE - OGS STATE CONTRACT PT-61263	E	B	7	N	N	1		30,404	30,404	30,404	0	2/22/2013	C		2/25/2013
4500228309	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH TO FURNISH AND DELIVER TONER CARTRIDGES TO NYPA	E	B	8	N	N	4		100,000	16,079	16,079	83,921	2/25/2013	O	2/14/2015	
4500228310	AL MARTINELLO PLUMBING & HEATING INC 4 N STONE AVE ELMSFORD, NY 10523-2637 US	PLUMBING SERVICES - WPO	S	M	8	N	1	1		100,000	16,159	16,159	83,841	2/19/2013	O	2/18/2014	
4500228313	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	MONITORS - OGS STATE CONTRACT PT-65350-NYAG12	E	B	7	N	N	1		7,055	7,055	7,055	0	3/18/2013	C		4/16/2013
4500228343	SUSAN P TOLCHIN 22 COLONIAL RD WHITE PLAINS, NY 10605-2212 US	STRATEGIC COMMUNICATIONS CONSULTANT	P	B		N	N	6		92,000	78,750	78,750	13,250	2/15/2013	O	2/14/2014	
4500228378	APPLE COMPUTER INC STATE & LOCAL GOVERNMENT SALES 2420 RIDGEPOINT DR AUSTIN, TX 78754 US	APPLE COMPUTER MAC BOOK AIR 13-INCH OGS PT-65428 NEW_Y2697-12	E	B	7	N	N	1		11,310	9,910	9,910	1,400	2/15/2013	C		4/18/2013
4500228396	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY STAFFING FOR ELEARNING DEVELOPER/CAMTASIA EXPERT	S	B	7	N	3	1		126,614	89,229	89,229	37,385	2/21/2013	O	3/1/2014	
4500228537	ALSTOM GRID INC DISCONNECT SWITCHES DIV 11 GUTTMAN BLVD CHARLEROI, PA 15022-1016 US	FURNISH AND DELIVER DISCONNECT SWITCH	E	B		F	N	2		34,255	26,900	26,900	7,355	2/22/2013	O	9/30/2014	
4500228543	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	GENERATOR STEP UP (GSU) TRANSFORMERS PROJECT AT THE ST. LAWRENCE POWER PROJECT	C	B		F	N	8		22,139,326	114,582	114,582	22,024,744	1/23/2013	O	12/31/2017	
4500228544	CHA CONSULTING INC III WINNERS CIRCLE ALBANY, NY 12205-0269 US	BIENNIAL BRIDGE INSPECTION BARNHART ISLAND BRIDGE	P	B		N	N	9		30,450	30,450	30,450	0	2/21/2013	C		8/1/2013

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4500228557	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	NETWORK EQPT - OGS STATE CONTRACT PT-64450	E	B	7	N	N	1		113,381	113,381	113,381	0	3/4/2013	C		4/12/2013
4500228569	ADOBE SYSTEMS INC 345 PARK AVE SAN JOSE, CA 95110-2704 US	ADOBE SYSTEMS' ADOBE ECHOSIGN ENTERPRISE LICENSE	S	C	5	F	N	4		14,400	14,400	14,400	0	2/28/2013	O	2/27/2014	
4500228570	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	CISCO NETWORK EQUIPMENT-OGS STATE CONTRACT NO. PT64525	E	B	7	N	4	3		61,728	61,728	61,728	0	3/4/2013	C		12/16/2013
4500228582	AON FIRE PROTECTION ENGINEERING OF ILLINOIS PC 1600 SUMMER ST - 6TH FL STAMFORD, CT 06905-5125 US	ENGINEERING ASSESSMENT OF SAFETY SYSTEMS	A	B		N	N	10		73,912	62,825	62,825	11,087	2/22/2013	O	2/1/2014	
4500228600	WESTCON GROUP NORTH AMERICA INC 14850 CONFERENCE CENTER DR CHANTILLY, VA 20151-3844 US	SECURITY SW/MAINTENANCE - GSA SCHEDULE GS-35F-0563U	E	B	7	N	N	1		237,694	237,693	237,693	1	3/4/2013	C		3/7/2013
4500228602	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY ADMINISTRATIVE SUPPORT SERVICES	S	B	7	N	3	1		20,000	18,646	18,646	1,354	2/25/2013	C		4/19/2013
4500228604	TRANSDYN INC 4256 HACIENDA DR - STE 100 PLEASANTON, CA 94588-8595 US	SUPPLY OF RAIL HEATER CONTROL BOXES	E	1	1	F	N	1		594,368	594,368	594,368	0	2/26/2013	C		8/20/2013
4500228611	PKA TECHNOLOGIES INC 1 EXECTIVE BLVD - STE 203 SUFFERN, NY 10901-4156 US	SERVER EQPT - OGS STATE CONTRACT PT-64150	E	B	7	N	3	5		35,086	35,086	35,086	0	3/18/2013	C		3/21/2013
4500228612	MUTUALINK INC 1269 SOUTH BROAD ST WALLINGFORD, CT 06492-1737 US	VIDEOCONFERENCING EQPT - OGS STATE CONTRACT - PT64775	E	B	7	N	N	1		14,336	1,180	1,180	13,156	3/11/2013	O	3/10/2014	
4500228672	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP COMPAQ 8300 ELITE CONVERTIBLE MINITOWER OGS-PT-65350-NYAG12	E	B	7	N	N	1		17,493	17,493	17,493	0	2/25/2013	C		6/6/2013
4500228780	BOOZ & CO (N A) INC 901 MAIN ST - STE 6500 DALLAS, TX 75202-5602 US	CONSULTING SERVICES	P	2	9	N	N	1		495,000	394,130	394,130	100,870	2/28/2013	O	1/30/2014	
4500228794	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	PROFESSIONAL SERVICES - BIP IP EQPT-OGS STATE CONTRACT PT-64450	S	B	7	N	N	1		17,710	9,994	9,994	7,716	3/25/2013	O	3/24/2014	

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4500228846	INSIGHT PUBLIC SECTOR INC 6820 S HARL AVE TEMPE, AZ 85283-4318 US	FIJITSU SCANNERS	E	B	8	N	N	6		26,483	26,483	26,483	0	3/4/2013	C		3/6/2013
4500228907	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	ANNUAL JBOSS APPLICATION MAINTENANCE - GSA SCHEDULE GS-35F-0131R	S	B	7	N	N	1		8,434	8,434	8,434	0	3/3/2013	O	3/2/2014	
4500228920	AMTEL INC 900 LAFAYETTE ST - STE 506 SANTA CLARA, CA 95050-4967 US	MOBILE DEVICE MANAGEMENT MAINTENANCE -	S	C		N	N	3		32,315	32,315	32,315	0	2/15/2013	O	2/14/2014	
4500228922	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	UPGRADE CURRENT NETSCALER - GSA SCHEDULE GS-35F-060AA	S	B	7	N	N	1		7,294	7,294	7,294	0	3/18/2013	C		4/30/2013
4500228956	ALSTOM GRID INC 1 POWER LN CHARLEROI, PA 15022-1082 US	345 KV SF6 CIRCUIT BREAKER FOR COOPERS CORNERS SHUNT REACTOR PROJECT	E	B		F	N	4		231,300	0	0	231,300	3/4/2013	O	4/30/2014	
4500228965	DERIVE TECHNOLOGIES LLC 110 WILLIAM ST - FL 14 NEW YORK, NY 10038-3943 US	PANASONIC LAPTOPS & DOCKS OGS STATE CONTRACT PT-65147	E	B	7	N	4	3		15,024	15,024	15,024	0	3/4/2013	C		10/3/2013
4500229047	CITY UNIVERSITY OF NY 535 E 80TH ST NEW YORK, NY 10021 US	CUNY INTERNET METERING PHASE III TECHNOLOGY UPDATE	C	1	9	N	N	1		10,347	0	0	10,347	3/7/2013	O	3/6/2014	
4500229071	MUTUALINK INC 1269 SOUTH BROAD ST WALLINGFORD, CT 06492-1737 US	COMMUNICATION EQPT. - OGS STATE CONTRACT PT64775	E	B	7	N	N	1		18,552	18,552	18,552	0	3/6/2013	C		12/16/2013
4500229086	GLOBAL INDUSTRIES INC C/O BELL OFFICE FURNITURE 17 W STOW RD MARLTON, NJ 08053-3116 US	OFFICE FURNITURE	E	B	7	F	N	1		29,380	29,380	29,380	0	3/7/2013	C		9/9/2013
4500229122	CARDIAC LIFE PRODUCTS INC 2565 BROWNCROFT BLVD ROCHESTER, NY 14625-1528 US	AUTOMATED EXTERNAL DEFIBRILLATORS	E	B	7	N	3	1		81,846	77,391	77,391	4,455	3/7/2013	C		9/9/2013
4500229284	CITRIX SYSTEMS INC 851 WEST CYPRESS CREEK RD FORT LAUDERDALE, FL 33309 US	CITRIX ANNUAL MAINTENANCE	S	B	7	N	N	1		7,920	7,920	7,920	0	4/30/2013	O	4/30/2014	
4500229362	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY STAFFING SERVICES	S	B	7	N	3	1		13,000	9,079	9,079	3,921	3/11/2013	C		5/3/2013
4500229407	ASSETWORKS INC 4275 EXECUTIVE SQ - STE 300 LA JOLLA, CA 92037-8408 US	MAXIMUS FASUITEFOCUS FA MAINTENANCE - OGS STATE CONTRACT PT-60412	S	B	7	N	N	1		27,265	27,265	27,265	0	4/1/2013	O	3/31/2014	

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4500229410	ZINTER HANDLING INC 4313 ROUTE 50 SARATOGA SPRINGS, NY 12866-2914 US	5 TON FREE STANDING GATE HOIST MONORAIL SYSTEM FOR CRESCENT AND VISCHER FERRY PLANTS	E	2	8	N	N	1		199,995	199,995	199,995	0	3/14/2013	C		11/30/2013
4500229432	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	POLYCOM EQUIPMENT & SERVICES - OGS STATE CONTRACT PT-64536	E	B	7	N	N	1		32,787	32,787	32,787	0	3/25/2013	C		5/13/2013
4500229444	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	SERIES CAPACITOR STUDY	P	B	8	F	N	2		155,000	155,000	155,000	0	3/14/2013	O	3/1/2014	
4500229455	GOTHAM TECHNOLOGY GROUP 1 PARAGON DR - STE 200 MONTVALE, NJ 07645 US	CITRIX LICENSING - OGS STATE CONTRACT PT-61263	E	B	7	N	N	1		49,500	49,500	49,500	0	3/18/2013	C		5/2/2013
4500229466	CEMTEK SYSTEMS INC 2013 SOUTH WOOD AVE LINDEN, NJ 07036 US	500MW: CEMS HARDWARE MAINTENANCE	S	B		N	N	2		75,156	6,773	6,773	68,383	4/1/2013	O	3/31/2014	
4500229483	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	ANNUAL PLXROUTED PROVISIONAL SERVICES	S	1	8	N	N	1		114,858	114,858	114,858	0	3/18/2013	O	3/17/2014	
4500229496	MOTOROLA INC 1313 E ALGONQUIN RD SCHAUMBURG, IL 60196 US	RADIO COMMUNICATION EQUIPMENT - PT-62495	E	B	7	N	N	1		12,135	12,135	12,135	0	3/15/2013	C		10/3/2013
4500229588	A & F ELECTRICAL TESTING INC PO BOX 446 SAINT JAMES, NY 11780-0446 US	ELECTRICAL TESTING - SUFFOLK STATE OFFICE BUILDING	C	2	1	N	3	1		88,350	76,000	76,000	12,350	3/20/2013	O	3/19/2014	
4500229625	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	COMMUNICATION EQUIPMENT - PT64525	E	B	7	N	N	1		7,946	7,946	7,946	0	3/19/2013	C		10/3/2013
4500229652	ORIENTA DATA PRODUCTS 180 E PROSPECT AVE MAMARONECK, NY 10543-3709 US	RAD EQUIPMENT	E	C	5	F	N	3		12,938	12,938	12,938	0	3/19/2013	C		10/3/2013
4500229686	HAMON RESEARCH COTTRELL INC PO BOX 1500 SUMMERVILLE, NJ 08876 US	550MW: AIR COOLED CONDENSER (ACC) STREET 8 REPAIRS AND REINSTATEMENT	S	2		N	N	1		488,605	400,000	400,000	88,605	3/21/2013	C		6/7/2013
4500229690	EDBAUER CONSTRUCTION 2790 CLINTON ST WEST SENECA, NY 14224 US	24" SANITARY SEWER LINE REPAIR PROJECT	C	B		N	N	3		224,274	127,589	127,589	96,685	3/20/2013	C		12/31/2013
4500229730	BMC SOFTWARE INC 2101 CITY WEST BLVD HOUSTON, TX 77042-2828 US	HELP DESK SYSTEM UPGRADE W/MAINTENANCE - OGS STATE CONTRACT PT-65668	E	B	7	N	N	1		139,350	139,350	139,350	0	3/25/2013	C		5/7/2013
4500229781	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	HP EQUIPMENT - OGS CONTRACT NO. 64150	E	B	7	F	4	4		22,534	22,534	22,534	0	3/21/2013	C		10/3/2013

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4500229795	FRANKLIN COMPANY CONTRACTORS INC 22-04 119TH ST COLLEGE POINT, NY 11356-2516 US	POLETTI FUEL OIL YARD DIKE WALL DEMOLITION PROJECT	C	B		N	N	3		6,925,765	1,417,181	1,417,181	5,508,584	3/22/2013	O	2/28/2014	
4500229890	DELL COMPUTER CORP ONE DELL WAY ROUND ROCK, TX 78682 US	ORACLE CREATIVE CLOUD SOFTWARE - OGS CONTRACT PT-65191	E	B	7	N	N	3		5,367	5,367	5,367	0	4/1/2013	O	3/31/2014	
4500229994	CRANE HOGAN STRUCTURAL SYSTEMS INC 3001 BROCKPORT RD SPENCERPORT, NY 14559 US	DAM FACE CONCRETE REPAIRS - INSPECTION AND RESTORATION	C	B		N	N	1		9,257,311	2,612,208	2,612,208	6,645,103	3/27/2013	O	12/31/2014	
4500230026	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	HELP PROGRAM JOHN F. KENNEDY AIRPORT BLDG #87 LIGHTING UPGRADE	C	1	9	N	4	1		31,690	30,340	30,340	1,350	4/1/2013	C		7/2/2013
4500230031	STRUCTURE WORKS INC 43 MILL ST DOVER PLAINS, NY 12522-5123 US	FIRE ALARM SYSTEM SERVICES	S	M	8	N	1	1		200,000	11,067	11,067	188,933	4/1/2013	O	3/31/2014	
4500230068	HAY GROUP INC 100 E PENN SQ - 11th FL PHILADELPHIA, PA 19107-3325 US	COMPENSATION BENEFITS PACKAGE ANALYSIS: REMUNERATION STUDY	P	2	9	N	N	1		40,000	37,945	37,945	2,055	4/1/2013	C		12/31/2013
4500230072	APPLE COMPUTER INC STATE & LOCAL GOVERNMENT SALES 2420 RIDGEPOINT DR AUSTIN, TX 78754 US	APPLE IPAD W/MAINTENANCE - OGS STATE CONTRACT PT-65438	E	B	7	N	N	1		26,850	26,850	26,850	0	4/5/2013	C		4/8/2013
4500230073	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	INFRASTRUCTURE PROFESSIONAL SERVICES-OGS STATE CONTRACT PT64525	P	B	7	N	N	1		16,500	16,500	16,500	0	4/1/2013	C		7/31/2013
4500230106	P & T SUPPLY AND SERVICES INC 914 WATER ST WATERTOWN, NY 13601-2117 US	REPLACE WATER TREATMENT SYSTEM - STL	C	B		N	N	5		68,000	68,000	68,000	0	4/1/2013	C		11/30/2013
4500230144	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	CARD READERS FOR NERC CIP	S	1		N	N	1		66,698	0	0	66,698	4/2/2013	O	3/31/2014	
4500230161	CANDELA SYSTEMS CORP 148 ROUTE 202 SOMERS, NY 10589-1606 US	LIGHTING UPGRADE FOR THE MTA NEW YORK CITY TRANSIT - LINDEN SHOP FACILITY	C	2	0	N	4	1		120,621	112,274	112,274	8,347	4/8/2013	C		12/31/2013
4500230212	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	PC/DESKTOP LIFECYCLE - OGS STATE CONTRACT PT-65350-NYAG12	E	B	7	N	N	1		143,550	143,550	143,550	0	4/15/2013	C		5/23/2013

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4500230281	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP 647B NOTEBOOK PCS - OGS CONTRACT PT-65360-NYAG12 HP	E	B	7	N	N	1		47,628	47,625	47,625	3	4/4/2013	C		10/3/2013
4500230282	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	CONSULTING SERVICES - SOLORWINDS - GS-35F-4543G	S	B	7	N	N	1		10,137	10,137	10,137	0	4/22/2013	C		7/31/2013
4500230335	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	VIDEOCONFERENCING EQPT-STATE CONTRACTS-PC64216;PC64992;PC64215	E	B	7	F	N	1		17,767	17,767	17,767	0	5/1/2013	C		7/1/2013
4500230354	LOPEZ & ASSOCIATES INC 1010 NORTHERN BLVD - STE 208 GREAT NECK, NY 11021 US	GRADING ENTRY LEVEL EXAMS & CREATING NEW TESTS	P	2	9	N	N	1		30,000	13,975	13,975	16,025	4/9/2013	O	4/8/2014	
4500230377	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	LABOR - CITRIX XENDESKTOP POC - GSA SCHEDULE GS-35F-060AA	S	B	7	N	N	1		10,893	8,558	8,558	2,335	4/8/2013	O	3/24/2014	
4500230383	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY SECRETARY FOR LEGAL	S	B	7	N	3	1		17,702	15,743	15,743	1,959	4/9/2013	C		7/17/2013
4500230386	JOHN W DANFORTH CO INC 300 COLVIN WOODS PKWY TONAWANDA, NY 14150-6908 US	POWER VISTA CHILLER UPGRADE AT NIAGARA POWER PROJECT	E	B		N	N	3		446,447	363,640	363,640	82,807	4/9/2013	O	12/1/2014	
4500230394	JOHN W DANFORTH CO INC 300 COLVIN WOODS PKWY TONAWANDA, NY 14150-6908 US	SERVICE AND RELAY BUILDING COOLING TOWER UPGRADE PROJECT AT NIAGARA POWER PROJECT	E	B		N	N	3		950,000	793,350	793,350	156,650	4/9/2013	O	12/1/2014	
4500230440	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ANNUAL MAINTENANCE - NITROGUARD - OGS STATE CONTRACT PT-65193	S	B	7	N	N	1		29,850	29,850	29,850	0	4/16/2013	O	4/30/2014	
4500230495	JFD OFFICE INTERIORS DBA FOR JFD SALES CONSULTING SERV 1112 44TH DR LONG ISLAND CITY, NY 11101-6916 US	OFFICE FURNITURE	E	B	7	N	4	1		44,892	44,892	44,892	0	4/11/2013	C		6/27/2013
4500230517	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	VIDEO CONFERENCING EQPT.-STATE CONTRACTS PC64216 AND PC64992	E	B	7	F	N	1		12,622	12,622	12,622	0	5/1/2013	C		12/16/2013
4500230545	PROFTECH LLC 200 CLEARBROOK RD - STE 177 ELMSFORD, NY 10523-1328 US	OFFICE SUPPLIES	E	M	8	N	4	1		80,000	39,415	39,415	40,585	1/1/2013	C		12/31/2013

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4500230546	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	SMARTBOARD MAINTENANCE - OGS STATE CONTRACT PT-64216	S	B	7	N	N	1		42,000	42,000	42,000	0	1/1/2013	C		12/31/2013
4500230613	DEVELOPER EXPRESS INC 801 N BRAND BLVD - STE 850 GLENDALE, CA 91203-1272 US	DXPERIENCE ENTERPRISE SUBSCRIPTION	S	C	6	N	N	4		9,952	9,952	9,952	0	4/17/2013	O	4/16/2014	
4500230614	WORK TECHNOLOGY CORP 255 ELM ST WEST SOMERVILLE, MA 02144-2956 US	ANNUAL MAINTENANCE - QUICKPICK	S	2	6	N	N	1		9,500	9,500	9,500	0	1/1/2013	C		12/31/2013
4500230615	ENOSERV INC 7780 E 106TH ST TULSA, OK 74133-6843 US	ANNUAL MAINTENANCE - RTS AND VECTOR	S	1	6	N	N	1		13,162	13,162	13,162	0	6/1/2013	O	5/31/2014	
4500230629	DERIVE TECHNOLOGIES LLC 110 WILLIAM ST - FL 14 NEW YORK, NY 10038-3943 US	HP EQUIPMENT - OGS CONTRACT PT64150	E	B	7	F	4	1		10,961	10,961	10,961	0	4/15/2013	C		10/3/2013
4500230660	J E SHEEHAN CONTRACTING CORP 208 SISSONVILLE RD POTSDAM, NY 13676-3563 US	HAWKINS POINT BOAT LAUNCH REPAIR AND EXTENSION	C	C	8	N	N	2		54,575	54,575	54,575	0	4/15/2013	C		9/16/2013
4500230808	LUCK BROS INC 73 TRADE RD PLATTSBURGH, NY 12901 US	REPLACEMENT OF POTABLE WATER LOOP AT STL	C	B		N	N	3		798,095	794,630	794,630	3,465	4/18/2013	C		12/31/2013
4500230852	APPLIED SYSTEMS ENGINEERING INC 1671 DELL AVE CAMPBELL, CA 95008 US	ASE 2000 TEST SETS	E	C	6	N	N	4		7,944	7,944	7,944	0	4/29/2013	C		5/29/2013
4500230857	GROVE ROOFING SERVICES INC 131 READING ST BUFFALO, NY 14220 US	MAINTENANCE BUILDING ROOF REPLACEMENT PROJECT	C	B		N	N	5		404,450	404,450	404,450	0	4/22/2013	C		10/1/2013
4500230948	B & H PHOTO VIDEO INC 420 NINTH AVE NEW YORK, NY 10001 US	VIDEO PRODUCTION EQUIPMENT	E	C	6	N	N	5		9,282	9,282	9,282	0	4/29/2013	C		5/15/2013
4500230955	PKA TECHNOLOGIES INC 1 EXECTIVE BLVD - STE 203 SUFFERN, NY 10901-4156 US	SERVER EQUIPMENT - OGS CONTRACT PT 64150	E	B	7	F	3	1		23,044	23,044	23,044	0	4/23/2013	C		10/3/2013
4500230965	THE BRATTLE GROUP 44 BRATTLE ST CAMBRIDGE, MA 02138-3736 US	CONSULTING SERVICES FOR INDEPENDENT REVIEW	P	B	1	F	N	6		990,000	755,877	755,877	234,123	4/24/2013	O	2/28/2014	
4500231017	COMPUTER ASSOC INT'L INC ONE COMPUTER ASSOC PLAZA ISLANDIA, NY 11749 US	ANNUAL CA MAINTENANCE - OGS STATE CONTRACT PT-64273	S	B	7	F	N	1		79,146	79,146	79,146	0	2/28/2013	O	3/14/2014	
4500231052	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	CISCO EQPT - OGS STATE CONTRACT - PT-64525	E	B	7	N	3	1		225,706	225,706	225,706	0	5/13/2013	C		7/18/2013

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4500231065	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	VIDEOCONFERENCING EQPT-STATE CONTRACTS-PC64216;PC64992;PC64215	E	B	7	N	N	1		24,087	24,087	24,087	0	5/13/2013	C		7/12/2013
4500231113	CANDELA SYSTEMS CORP 148 ROUTE 202 SOMERS, NY 10589-1606 US	INSTALLATION OF LIGHTING EQUIPMENT-OHM ROCKLAND PSYCH CENTER PHASE 1	C	2	9	N	4	1		5,115	5,115	5,115	0	5/1/2013	C		6/1/2013
4500231138	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	30" MONITORS - OGS STATE CONTRACT - PT65350	E	B	7	F	4	5		11,390	11,390	11,390	0	5/3/2013	C		5/8/2013
4500231168	MVA POWER INC 1 HOLLY RD MONTREAL QC, PQ H3X 3K6 CA	TOWERS FOR MOSES WILLIS SEPARATION PROJECT	E	B		F	N	2		372,617	372,617	372,617	0	4/30/2013	C		11/30/2013
4500231200	TELCOM SYSTEMS & SERVICES 6556 XMAS TREE DR OREFIELD, PA 18069-3034 US	ANNUAL MAINTENANCE MTS CALL DETAIL RECORDING FOR ALL NYPA LOCATIONS	S	B	5	N	N	1		11,750	11,750	11,750	0	5/1/2013	O	4/30/2014	
4500231204	CONTINUANT INC 5050 20TH ST E FIFE, WA 98424-1917 US	SIEMENS PBX MAINTENANCE - GSA SCHEDULE GS-06F-005T	S	B	7	N	N	1		103,658	103,658	103,658	0	5/1/2013	O	4/30/2014	
4500231205	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP PLOTTER - GSA PRICING - GS-06F-0005T	E	B	7	F	N	1		16,413	16,412	16,412	1	5/30/2013	C		9/25/2013
4500231219	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	UPPER HEAD GATE RAIL REFURBISHMENT PROJECT	C	B		N	N	5		840,641	572,000	572,000	268,641	5/1/2013	O	1/31/2014	
4500231256	IRON MOUNTAIN INFORMATION MANAGEMENT INC 745 ATLANTIC AVE BOSTON, MA 02111-2735 US	TAPE STORAGE	S	B	7	N	N	1		6,000	2,339	2,339	3,661	5/1/2013	O	4/30/2014	
4500231287	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	HP SERVERS - OGS STATE CONTRACT PT-64150	E	B	7	F	4	5		26,019	26,019	26,019	0	5/20/2013	C		6/10/2013
4500231314	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	NETWORK EQUIPMENT OGS CONTRACT PT64525	E	B	7	N	3	1		7,255	7,255	7,255	0	5/2/2013	C		10/3/2013
4500231344	AIRMATIC COMPRESSOR SYSTEMS INC 700 WASHINGTON AVE CARLSTADT, NJ 07072-3007 US	FURNISH, DELIVER AND INSTALL A COMPRESSED AIR SYSTEM FOR THE NYC TRANSIT LINDEN SHOP	C	B		N	N	4		269,720	264,431	264,431	5,289	6/10/2013	C		9/6/2013
4500231401	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP SMALL FORM FACTOR DESKTOP PC'S - OGS CONTRACT PT65350-NYAG12	E	B	7	N	N	1		6,479	6,479	6,479	0	5/6/2013	C		10/3/2013

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4500231450	ERNST & YOUNG LLP 5 TIMES SQ NEW YORK, NY 10036-6540 US	CONSULTANT TO PERFORM AN ASSESSMENT OF NYPA'S PROCUREMENT DEPT. AND INTERNAL AUDIT DEPT.	P	B	9	N	N	4		365,000	43,522	43,522	321,478	5/1/2013	O	4/30/2014	
4500231514	ICF RESOURCES LLC 9300 LEE HIGHWAY FAIRFAX, VA 22031-6050 US	CONSULTING SERVICES TO ANALYZE CAYUGA AND DUNKIRK ALTERNATIVE CASE 12-E-0577	P	B	1	F	N	4		495,000	227,862	227,862	267,138	5/8/2013	O	5/7/2014	
4500231534	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY PERSONNEL FOR THE GRAPHIC COMMUNICATIONS GROUP	S	1	9	N	3	1		10,820	10,820	10,820	0	5/13/2013	C		8/12/2013
4500231545	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	PHONES - PRESIDIO PT 64525	E	B	7	N	N	1		11,322	11,157	11,157	165	5/9/2013	C		12/16/2013
4500231547	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	LABOR CONTRACT - MTA ROBERT MOSES BUILDING LIGHTING UPGRADE	C	2	0	N	4	1		50,380	47,500	47,500	2,880	5/15/2013	C		12/14/2013
4500231562	KELLY WINDOW SYSTEMS 460 SMITH ST FARMINGDALE, NY 11735 US	WINDOW REPLACEMENT UPGRADE AT ATLANTIC AVENUE CABLE SECTION	C	B		N	N	4		59,507	59,507	59,507	0	5/15/2013	C		12/17/2013
4500231638	S A COMUNALE CO INC FIRE PROTECTION CONTRACTORS 99 N BROAD ST PHILLIPSBURG, NJ 08865-1208 US	EMERGENCY SPRINKLER REPAIR REQUEST	S	2	5	N	N	1		6,348	6,348	6,348	0	3/22/2013	C		5/14/2013
4500231665	W W PATENAUDE SONS INC 3 BEST AVE MECHANICVILLE, NY 12118 US	COATING OF DRFT TUBE GATES AT CRESCNET & VISCHER FERRY	C	M	8	N	N	1		195,778	191,996	191,996	3,782	5/13/2013	O	4/30/2014	
4500231721	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY SUPPORT PERSONNEL	S	1	9	N	3	1		40,000	25,064	25,064	14,936	5/14/2013	C		9/20/2013
4500231885	NIKON INC 1300 WALT WHITMAN RD MELVILLE, NY 11747-3064 US	VIDEO PRODUCTION EQUIPMENT	E	C		N	N	6		22,823	22,823	22,823	0	5/24/2013	C		6/3/2013
4500231898	ZINTER HANDLING INC 4313 ROUTE 50 SARATOGA SPRINGS, NY 12866-2914 US	BRIDGE CRANES UPGRADE AT CRESCENT & VISCHER FERRY	C	B		N	N	3		1,281,320	911,822	911,822	369,498	5/20/2013	O	1/31/2014	

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4500231904	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	ANNUAL MAINTENANCE VMWARE	S	M	8	N	3	1		32,169	32,169	32,169	0	5/3/2013	O	5/2/2014	
4500231908	FRESH MEADOW POWER LLC 6501 FRESH MEADOW LN FRESH MEADOWS, NY 11365-2011 US	PAINTING AND REFURBISHMENT OF HRSG STACK AT RICHARD FLYNN COMBINED CYCLE POWER PLANT	C	B		N	N	4		571,700	544,099	544,099	27,601	5/20/2013	C		8/30/2013
4500231917	WESTCON GROUP NORTH AMERICA INC 14850 CONFERENCE CENTER DR CHANTILLY, VA 20151-3844 US	ANNUAL BLUECOAT MAINTENANCE - GSA SCHEDULE GS-35F-0563U	S	B	7	N	N	1		21,657	21,657	21,657	0	6/1/2013	O	5/31/2014	
4500232048	LDC CONSTRUCTION CO INC 1920 BASELINE RD GRAND ISLAND, NY 14072-2013 US	FROG ISLAND WETLAND RESTORATION HIP: DREDGING & CONSTRUCTION	C	B		N	N	3		3,851,253	2,354,124	2,354,124	1,497,129	5/22/2013	O	12/31/2014	
4500232052	STRUCTURAL PRESERVATION SYSTEMS LLC 3521 VERNON BLVD LONG ISLAND CITY, NY 11106-5122 US	FLYNN WATER STORAGE TANKS REFURBISHMENT	C	B		N	N	2		2,313,117	1,227,775	1,227,775	1,085,342	5/27/2013	O	4/30/2014	
4500232100	ECOLOGY AND ENVIRONMENT INC 368 PLEASANT VIEW DR LANCASTER, NY 14086-1316 US	CONSULTING SERVICES FOR SEQRA REVIEW	P	B	1	N	N	4		495,000	188,706	188,706	306,294	5/23/2013	O	5/22/2014	
4500232106	TECHNICAL BUILDING SERVICES INC 12 E COMMERCE DR BALLSTON SPA, NY 12020 US	BUILDING SERVICES - DIRECT DIGITAL CONTROL(DDC) TO EXISTING VAV (VARIABLE AIR VOLUME)	C	M	8	N	N	1		100,000	12,300	12,300	87,700	5/27/2013	O	5/26/2014	
4500232130	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP MONITORS - OGS STATE CONTRACT PT-65350-NYAG12	E	B	7	F	N	1		9,600	9,600	9,600	0	6/3/2013	C		6/6/2013
4500232193	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	2013 FERC PART 12 SAFETY INSPECTION REPORTS FOR GREGORY B. JARVIS AND NIAGARA HYDROELECTRIC PROJECTS	P	B		N	N	5		512,000	0	0	512,000	5/28/2013	O	12/31/2017	
4500232196	CRYSTAL MCKENZIE INC 30 E 20TH ST - 5TH FL NEW YORK, NY 10003-1310 US	VISITORS CENTER UPGRADE BENEFIT ANALYSIS NIAGARA, ST. LAWRENCE, B-G POWER PLANTS	P	B	1	N	4	2		380,867	296,473	296,473	84,394	5/28/2013	C		10/30/2013
4500232204	LINITA DESIGN & MANUFACTURING CORP PO BOX 1101 BUFFALO, NY 14201-1101 US	7.5 TON UPGRADE	C	B		N	4	2		933,562	471,649	471,649	461,913	5/28/2013	O	5/27/2014	

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4500232210	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	ALCOA T-LINE RELOCATION PROJECT	C	B		N	1	4		2,437,710	676,134	676,134	1,761,576	5/21/2013	O	3/30/2014	
4500232213	EDBAUER CONSTRUCTION 2790 CLINTON ST WEST SENECA, NY 14224 US	NIAGARA SIDEWALK REPLACEMENT PROJECT POWER VISTA AND ADMINISTRATION BUILDING	C	B		N	N	3		571,400	220,224	220,224	351,176	5/28/2013	O	12/31/2015	
4500232217	WILKINS MECHANICAL INC 6923 HERMAN RD SYRACUSE, NY 13209-9718 US	LONG SAULT DAM AIR AND WATER LINE INSTALLATION ST. LAWRENCE POWER PROJECT	C	B		N	3	3		537,151	498,411	498,411	38,740	5/20/2013	O	4/1/2014	
4500232225	HELBERG ELECTRICAL SUPPLY LLC 200 W SUNRISE HWY FREEPORT, NY 11520-3365 US	ES&T F/D FUSE BOXES FOR NYCTA	E	M	8	N	4	1		136,060	136,060	136,060	0	5/28/2013	C		12/31/2013
4500232257	MICHELS POWER A DIVISION OF MICHELS CORP 1775E SHADY LN NEENAH, WI 54956 US	MOSES-WILLIS CIRCUIT SEPARATION - STL	C	B		F	N	2		2,499,168	0	0	2,499,168	6/7/2013	O	4/30/2014	
4500232287	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ANNUAL MAINTENANCE - ROXIO CREATOR - OGS STATE CONTRACT PT-65193	S	B	7	N	N	3		7,739	7,739	7,739	0	5/29/2013	O	5/28/2014	
4500232406	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ACRONIS SNAP SOFTWARE & MAINTENANCE - OGS STATE CONTRACT PT_65193	E	B	7	N	N	4		21,980	21,980	21,980	0	5/31/2013	C		6/3/2013
4500232474	IMAGE MANAGEMENT SYSTEMS INC 239 W 15TH ST NEW YORK, NY 10011-6459 US	SATELLITE EQUIPMENT SERVICE-TELECOMMUNICATION CHARGES	S	2	5	N	N	1		7,947	7,947	7,947	0	6/5/2013	O	6/25/2014	
4500232494	PRECISION ENVIRONMENTAL CO 5500 OLD BRECKSVILLE RD INDEPENDENCE, OH 44131-1508 US	CONTROL ROOM ASBESTOS REMEDIATION AND ABATEMENT	C	B		F	N	1		1,428,894	0	0	1,428,894	5/30/2013	O	3/31/2014	
4500232522	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	CPES-SS-PREMIUM SUPPORT SERVICE	S	B	7	N	N	1		24,784	24,784	24,784	0	6/15/2013	O	6/14/2014	
4500232540	POWER ENGINEERING INC 16632 MILLIKAN AVE - STE B IRVINE, CA 92606 US	POST UPGRADE MECHANICAL TESTING-LEWISTON UNIT 11	S	B		F	N	1		722,648	0	0	722,648	6/5/2013	O	6/4/2014	
4500232548	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	ANNUAL MAINTENANCE CHECKPOINT APPLIANCES OGS CONTRACT PT-61429	S	B	7	N	N	1		244,244	244,244	244,244	0	6/15/2013	O	6/14/2014	
4500232586	B & H PHOTO VIDEO INC 420 NINTH AVE NEW YORK, NY 10001 US	VIDEO PRODUCTION EQUIPMENT - PC-64397 & PC-6411116	E	B	7	N	N	2		38,719	38,719	38,719	0	6/21/2013	C		7/31/2013

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4500232587	AQUIRE SOLUTIONS INC 400 E LAS COLINAS BLVD - STE 500 IRVING, TX 75039-5617 US	SUCCESSION PLANNING UPGRADE	S	1	8	N	N	1		18,000	0	0	18,000	8/5/2013	O	8/4/2014	
4500232588	EMA INC 1970 OAKCREST AVE SAINT PAUL, MN 55113 US	ASSET MANAGEMENT IMPLEMENTATION - GSA SCHEDULE - GS-35F-0622K	P	B	7	N	N	1		11,410	689	689	10,721	6/10/2013	O	6/9/2014	
4500232616	SATURN BUSINESS SYSTEMS INC 228 E 45TH ST FL 5 NEW YORK, NY 10017-3303 US	ASSESSMENT OF NYPA ANNUAL BUDGET PROCESS -	S	M	8	N	1	1		36,000	33,852	33,852	2,148	6/17/2013	O	6/16/2014	
4500232626	OPEN TEXT INC SUBSIDIARY FOR OPEN TEXT CORP 1301 S MOPAC EXPY - STE 150 AUSTIN, TX 78746-6903 US	ANNUAL LIVELINK MAINTENANCE - GSA SCHEDULE GS-35F-0480J	S	B	7	N	N	1		83,178	83,178	83,178	0	7/1/2013	O	6/30/2014	
4500232674	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	AUTO-TRANSFORMERS AND REACTORS REFURBISHMENT - CEC	C	B		F	N	1		19,642,909	0	0	19,642,909	6/11/2013	O	12/31/2018	
4500232713	CANDELA SYSTEMS CORP 148 ROUTE 202 SOMERS, NY 10589-1606 US	LIGHTING AND OCCUPANCY SENSOR INSTALLATION UPGRADE AT THE CRESCENT SCHOOL PS 57X	C	2	0	N	4	1		143,672	104,268	104,268	39,404	7/1/2013	O	6/30/2014	
4500232727	LABELLA ASSOCIATES PC 300 STATE ST - STE 201 ROCHESTER, NY 14614-1019 US	DEVELOP ENERGY MASTER PLAN FOR THE CITY OF ROCHESTER	P	B		N	N	11		336,700	0	0	336,700	7/1/2013	O	8/30/2014	
4500232739	PETER J CATANZARO INC 126 41ST ST BROOKLYN, NY 11232-3305 US	500MW - LIGHTING UPGRADE	C	B		N	N	8		89,999	72,399	72,399	17,600	6/17/2013	C		9/10/2013
4500232751	LABELLA ASSOCIATES PC 300 STATE ST - STE 201 ROCHESTER, NY 14614-1019 US	DEVELOP ENERGY MASTER PLAN FOR THE CITY OF SYRACUSE	P	B		N	N	11		320,200	0	0	320,200	7/1/2013	O	8/30/2014	
4500232759	LYNDA.COM INC 6410 VIA REAL CARPINTERIA, CA 93013-2925 US	E-LEARNING TRAINING	S	C	8	F	N	3		22,500	22,500	22,500	0	6/17/2013	O	6/16/2014	
4500232969	FLORENCE CONSTRUCTION CORP PO BOX 195 ST JAMES, NY 11780-0195 US	MAINTENANCE ON WAUKESHA ENGINE GENERATOR	E	2	0	N	3	1		5,789	5,789	5,789	0	6/12/2013	C		10/3/2013
4500232996	TRANSDYN INC 4256 HACIENDA DR - STE 100 PLEASANTON, CA 94588-8595 US	NYCT - WIRELESS CONTROL OF RAIL HEATERS, PHASE - III	E	2		F	N	1		1,712,656	506,886	506,886	1,205,770	6/18/2013	O	5/1/2014	
4500232998	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	LIGHTING AND OCCUPANCY SENSOR INSTALLATION UPGRADE AT THE LILLIAN WEBER SCHOOL PS 84M	C	2	0	N	4	1		170,451	107,818	107,818	62,633	7/1/2013	O	6/30/2014	

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4500233008	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	DEVELOP ENERGY MASTER PLAN FOR THE CITY OF BUFFALO	P	B		N	N	11		725,000	0	0	725,000	7/1/2013	O	8/30/2014	
4500233011	CITY OF WHITE PLAINS DEPT OF PARKING 7-11 S BROADWAY WHITE PLAINS, NY 10601-3520 US	WPO PARKING PERMITS	E	2	8	N	N	1		124,850	124,850	124,850	0	7/1/2013	O	6/30/2014	
4500233049	J HOGAN REFRIGERATION & MECH INC PO BOX 67 - 12 CHAMBERLAIN RD PERU, NY 12972-0067 US	HVAC SYSTEM REPLACEMENT - STL & CEC SUBSTATIONS	C	2	8	N	N	1		159,365	70,261	70,261	89,104	6/18/2013	O	8/30/2014	
4500233082	OVE ARUP & PARTNERS PC 77 WATER ST NEW YORK, NY 10005-4401 US	DEVELOP ENERGY MASTER PLAN FOR THE CITY OF YONKERS	P	B		N	N	11		370,000	0	0	370,000	7/1/2013	O	8/30/2014	
4500233101	RIVEREDGE ASSOCIATES LLC 58 OLD RIVER RD MASSENA, NY 13662 US	ENVIRONMENTAL MONITORING OF MOSES-WILLIS CIRCUIT SEPARATION PROJECT	S	C	8	N	N	3		40,400	0	0	40,400	6/19/2013	O	6/18/2014	
4500233140	ELECTRICAL SERVICE & SYSTEMS INSTALLATION INC 35 LEE ST BUFFALO, NY 14210-2109 US	NIAGARA FALLS VETERANS MEMORIAL LED LIGHTING PROJECT	C	1	1	N	3	1		154,800	86,505	86,505	68,295	6/20/2013	O	2/28/2014	
4500233212	N C C SYSTEMS INC PO BOX 670 POTSDAM, NY 13676 US	AXIS IP CAMERAS W/ACCESSORIES W/USER TRAINING W/INSTALL	E	M	8	N	1	1		18,000	13,940	13,940	4,060	8/25/2013	O	6/19/2014	
4500233237	A VAILLANCOURT LLC ALANE VAILLANCOURT 10364 COUNTY RD CLARENCE, NY 14031-1006 US	LANDSCAPE MAINTENANCE OF UPPER NIAGARA OBSERVATION/INTAKE AREA.	S	M	8	N	3	1		126,920	61,470	61,470	65,450	6/21/2013	O	6/19/2014	
4500233257	IMMIXTECHNOLOGY INC 8444 WESTPARK DR - STE 200 MCLEAN, VA 22102-5112 US	DYNATRACE SOFTWARE & MAINTENANCE - GSA SCHEDULE GS-35F-0265X	E	B	7	N	N	1		40,777	40,777	40,777	0	6/28/2013	C		7/29/2013
4500233260	GLOBAL BMU DBA FOR GLOBAL SCAFFOLD DISTB LLC 33 W 19TH ST FL 4 NEW YORK, NY 10011-4333 US	DESIGN, MANUFACTURE, INSTALLATION OF WINDOW CLEANING SCAFFOLD SYSTEMS	S	2		N	1	1		20,000	8,000	8,000	12,000	6/24/2013	O	6/23/2014	
4500233272	MULTI MEDIA COMMUNICATIONS INC 190 ADAMS AVE HAUPPAUGE, NY 11788 US	RADIO EQUIPMENT FOR FCC INITIATIVE.	E	C	8	N	N	3		16,029	16,029	16,029	0	7/22/2013	O	6/23/2014	
4500233307	PROFORMA PRIORITY ENTERPRISES 2600 SOUTH RD STE 44-127 POUGHKEEPSIE, NY 12601-7003 US	PROMOTIONAL MATERIAL NY STATE FAIR	E	C	6	N	N	7		11,016	11,016	11,016	0	7/19/2013	C		8/1/2013

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4500233411	JBH ENVIRONMENTAL INC 194 ATLANTIC AVE GARDEN CITY PARK, NY 11040-5028 US	ABATEMENT WORK FOR PS 84M AND PS 57X FOR THE REMOVAL AND REPLACEMENT OF FIXTURES AND BALLASTS	C	B		N	4	5		687,585	457,833	457,833	229,752	7/1/2013	O	6/30/2014	
4500233419	HYUNDAI CORP (USA) C/O HYUNDAI HEAVY INDUSTRIES CO LTD 21250 HAWTHORNE BLVD - STE 775 TORRANCE, CA 90503-5513 US	F.D.&I. TWO GENERATOR STEP-UP TRANSFORMERS	E	B		F	N	9		5,600,942	0	0	5,600,942	6/26/2013	O	12/31/2014	
4500233432	CASTER DRILLING ENTERPRISES DBA FOR CASTER'S HOLDING CO INC 5363 STOW RD ASHVILLE, NY 14710-9503 US	PUMP	E	2	8	N	N	1		22,175	22,175	22,175	0	6/26/2013	C		12/31/2013
4500233502	MICROSOFT CORP 3000 BAYPORT DR - STE 480 TAMPA, FL 33607 US	MICROSOFT PREMIER TECHNICAL SUPPORT FOR INFORMATION TECHNOLOGY	E	B	7	F	N	1		79,080	79,080	79,080	0	6/28/2013	O	6/27/2014	
4500233515	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	REMEDIATION WORK ON HIGH AND MEDIUM PRIORITY TRANSMISSION LINES	C	B		N	1	3		2,526,347	322,265	322,265	2,204,082	6/28/2013	O	3/31/2014	
4500233517	HITACHI HVB INC 7250 MCGINNIS FERRY RD SUWANNE, GA 30024-1245 US	FURNISH AND DELIVER 115KV AND 230KV CIRCUIT BREAKERS	E	B		F	N	3		3,172,660	0	0	3,172,660	6/28/2013	O	12/31/2017	
4500233646	IMAGE MANAGEMENT SYSTEMS INC 239 W 15TH ST NEW YORK, NY 10011-6459 US	SATELLITE EQUIPMENT - FOR THE AUTHORITY'S SMALL CLEAN POWER PLANTS	E	2	5	N	N	1		7,315	7,315	7,315	0	7/2/2013	C		10/3/2013
4500233652	PALADINO CONCRETE CREATIONS CORP 315 N MACQUESTEN PKWY MOUNT VERNON, NY 10550-1007 US	DEMOLITION & REMOVAL OF BRICK & CONCRETE - CITY OF WHITE PLAINS	C	M	8	N	3	1		27,000	24,500	24,500	2,500	7/8/2013	C		7/26/2013
4500233662	CLEARBID INC 48 SENECA ST DOBBS FERRY, NY 10522-1137 US	SENY FAIR MARKET VALUATION AND OTHER SERVICES	P	C		N	N	1		198,000	29,748	29,748	168,252	7/2/2013	O	7/1/2014	
4500233678	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY ADMINISTRATIVE ASSISTANT SUPPORTING THE POLETTI PLANT	S	1	9	N	3	1		75,000	26,320	26,320	48,680	7/15/2013	O	7/14/2014	
4500233748	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	ADDITIONAL PHONES FOR IP EXPANSION AND 12TH FLOOR	E	B	7	F	N	1		9,699	9,699	9,699	0	7/8/2013	O	7/7/2014	

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4500233753	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	SOUTH ACCESS GUARDHOUSE REPLACEMENT PROJECT	C	B		N	N	3		618,700	317,583	317,583	301,117	7/8/2013	O	7/1/2014	
4500233777	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	FLUKE NETWORK EQUIPMENT & SERVICES	E	C	8	N	N	3		49,476	49,476	49,476	0	7/22/2013	C		7/31/2013
4500233787	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	BACKUP SYSTEM UPGRADE, IMPLEMENT TAPELESS BACKUPS, SQL SNAPSHOTS AND NATIVE VMWARE BACKUPS.	E	B	7	F	N	3		448,056	448,056	448,056	0	7/9/2013	O	7/8/2014	
4500233795	P & T SUPPLY AND SERVICES INC 914 WATER ST WATERTOWN, NY 13601-2117 US	MASSENA LINE CREW BUILDING REPLACEMENT OF WATER TREATMENT SYSTEM	C	2	9	N	N	1		58,809	58,809	58,809	0	7/9/2013	C		11/30/2013
4500233800	JACKSON LEWIS LLP 1 N BROADWAY WHITE PLAINS, NY 10601-2310 US	EMPLOYMENT LITIGATION SERVICES	L	C	1	N	N	3		250,000	6,201	6,201	243,799	7/3/2013	O	7/3/2016	
4500233804	HAROLD MOORE & ASSOCIATES INC 143 KINGFISHER CIR POOLER, GA 31322-9763 US	CONSULTING SERVICES FOR DESIGN REVIEW OF ABB GSU TRANSFORMERS FOR ST. LAWRENCE.	P	2	8	F	N	1		40,550	0	0	40,550	7/10/2013	O	4/30/2014	
4500233825	EDBAUER CONSTRUCTION 2790 CLINTON ST WEST SENECA, NY 14224 US	NIAGARA SHARED CAMPUS INITIATIVE	C	B		N	N	5		5,481,281	537,771	537,771	4,943,510	7/9/2013	O	12/31/2014	
4500233874	SIEMENS INDUSTRY INC 10900 WAYZATA BLVD - STE 400 MINNETONKA, MN 55305-5602 US	POWER TG FOR LINUX SCADA SYSTEM BASE SCOPE, CONSISTING OF NPP AGC MODIFICATIONS FOR LEM UNITS SERVICES	P	2	8	F	N	1		91,500	91,500	91,500	0	7/10/2013	C		12/2/2013
4500233898	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP LASERJET ENTERPRISE 700 COLOR MFP M775F GSA 35F-4663G-COOP	E	B	7	N	N	1		6,049	6,049	6,049	0	7/10/2013	C		8/31/2013
4500233921	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIER MODEL MPC 5502 GSA CONTRACT GS-03F-0085U	E	B	7	N	N	1		12,690	12,044	12,044	646	7/11/2013	C		12/16/2013
4500233945	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	765KV ASEA REACTORS REFURBISHMENT - STL	C	B		F	N	3		11,885,832	0	0	11,885,832	6/11/2013	O	12/31/2018	
4500233989	RIGHTSTAR SYSTEMS DBA FOR RIGHTSTAR INC 1951 KIDWELL DR STE 110 VIENNA, VA 22182-3930 US	FOOTPRINTERS CONSULTING SERVICES - GSA GS-35F-0592R	S	B	7	N	N	1		9,600	9,357	9,357	243	7/15/2013	C		10/7/2013

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4500234090	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	CHECKPOINT CONSULTING SERVICES	P	B	7	N	N	1		44,000	0	0	44,000	7/16/2013	O	7/15/2014	
4500234111	ASD HEALTHCARE DBA FOR ASD SPECIALTY HEALTHCARE 3101 GAYLORD PKWY FRISCO, TX 75034-8655 US	FLU VACCINE - OGS STATE CONTRACT PC-65808	E	B	7	N	N	1		6,825	0	0	6,825	7/29/2013	C		9/10/2013
4500234178	MCD MECHANICAL INC 20 CORPORATE CIRCLE ALBNAY, NY 12203-5175 US	INSTALL FAN COIL UNITS FOR WSWHE BOCES	E	2	6	N	3	1		14,715	0	0	14,715	7/18/2013	O	2/21/2014	
4500234188	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	HP SERVERS - OGS STATE CONTRACT PT-64150	E	B	7	F	4	1		5,203	5,203	5,203	0	7/22/2013	C		8/1/2013
4500234190	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	UPGRADE FOR AUTOCAD SOFTWARE.	E	B	7	F	N	1		11,611	11,611	11,611	0	7/18/2013	O	7/17/2014	
4500234217	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	HP SERVERS - OGS STATE CONTRACT PT-64150	E	B	7	F	4	1		10,406	10,406	10,406	0	7/29/2013	C		7/29/2013
4500234218	LUMENSION SECURITY INC 8660 E HARTFORD DR - STE 300 SCOTTSDALE, AZ 85255-2584 US	2013 ANNUAL MAINTENANCE FOR LUMENSION LPR LICENSES.	S	C	6	F	N	3		6,720	6,720	6,720	0	7/18/2013	O	7/17/2014	
4500234265	MICHAEL C FINA CO PO BOX 36208 NEWARK, NJ 07188-6208 US	2013-2018 SERVICE AWARDS	E	B	8	N	N	2		150,000	20,705	20,705	129,295	7/19/2013	O	7/18/2018	
4500234268	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	NETWORK EQUIPMENT UNDER OGS CONTRACT PT64525	E	B	7	N	3	1		16,239	16,239	16,239	0	7/19/2013	C		10/3/2013
4500234280	APPLE COMPUTER INC STATE & LOCAL GOVERNMENT SALES 2420 RIDGEPOINT DR AUSTIN, TX 78754 US	APPLE I-PAD AND APPLECARE FOR I-PAD - OGS CONTRACT PT65428	E	B	7	N	N	1		31,325	31,325	31,325	0	7/19/2013	C		10/3/2013
4500234299	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	ANNUAL MAINTENANCE FOR NETWORK APPLIANCE	S	B	7	N	3	1		23,883	0	0	23,883	4/1/2013	O	3/21/2014	
4500234300	SIEMENS INDUSTRY INC 685 EAST MIDDLEFIELD RD MOUNTAIN VIEW, CA 94043-4045 US	SIEMENS ANNUAL MAINTENANCE	S	1	8	N	N	1		220,050	0	0	220,050	5/1/2013	O	4/30/2014	
4500234301	FLOW SCIENCE INC 683 HARKLE RD - STE A SANTA FE, NM 87505-4750 US	FLOW 3D ANNUAL MAINTENANCE	S	C	8	F	N	4		70,000	70,000	70,000	0	5/14/2013	O	5/13/2014	

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4500234308	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	CONSULTING SERVICES FOR HITACHI DATA PROCTECTION SUITE SOFTWARE.	P	B	7	F	N	1		150,000	0	0	150,000	7/22/2013	O	7/21/2014	
4500234354	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	EQUIPMENT AND MAINTENANCE QRADAR SOFTWARE GSA SCHEDULE GS-35F-0119Y	E	B	7	N	N	1		56,690	56,690	56,690	0	7/22/2013	C		8/4/2013
4500234405	DANNIBLE & MCKEE LLP 221 S WARREN ST SYRACUSE, NY 13202 US	CUSTOMER COMPLIANCE AUDITS FOR ECONOMIC PROGRAM JOB COMMITMENTS.	P	B		N	N	3		330,000	30,957	30,957	299,043	8/1/2013	O	7/31/2016	
4500234407	PURPLE GENIE INC 415 MADISON AVE FL 15 NEW YORK, NY 10017-1111 US	EXTERNAL HOSTING SOLUTION	S	B		F	3	4		772,368	0	0	772,368	8/1/2013	O	7/31/2018	
4500234410	SIRINA PROTECTION SYSTEMS CORP 112 W 34TH ST - STE 2102 NEW YORK, NY 10120-2401 US	DESIGN, FURNISH, DELIVER, INSTALL, TEST AND COMMISSION: FUEL FORWARDING PUMP SKID FIRE SUPPRESSION SYSTEM	C	B		N	N	2		94,150	84,735	84,735	9,415	5/29/2013	O	1/13/2014	
4500234440	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	2013 MAINTENANCE FOR IBM QRADAR SOFTWARE	E	C	6	F	N	3		9,971	9,971	9,971	0	7/24/2013	O	7/23/2014	
4500234466	TRADEBE ENVIRONMENTAL SERVICES LLC FORMERLY UNITED OIL RECOVERY INC 47 GRACEY MERIDEN, CT 06451 US	TRANSPORTATION AND DISPOSAL OF OIL FROM TANK #31 EAST SETAUKET NY, TO TRADEBE	S	2	1	N	N	1		150,000	62,709	62,709	87,291	7/24/2013	C		9/23/2013
4500234542	SHI INTERNATIONAL CORP 290 DAVIDSON AVE SOMERSET, NJ 08873-4145 US	2013 RED HAT JBOSS ANNUAL MAINTENANCE	S	B	7	N	N	1		65,039	65,039	65,039	0	7/31/2013	O	7/30/2014	
4500234606	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	NETWORK ENGINEERING SERVICES - OGS STATE CONTRACT PT64525	S	B	7	N	3	1		35,000	35,000	35,000	0	7/29/2013	O	7/28/2014	
4500234617	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY PERSONNEL	S	1	9	N	3	1		56,000	12,390	12,390	43,610	9/3/2013	O	8/31/2014	
4500234693	STARBOARD CONSULTING LLC 2170 W STATE ROAD 434 - STE 124 LONGWOOD, FL 32779-4976 US	MAXIMO 7.5 UPGRADE CONSULTING SERVICES	P	B		F	N	8		3,000,000	477,351	477,351	2,522,649	8/1/2013	O	2/28/2015	

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4500234701	ANS ADVANCED NETWORK SERVICES LLC 12 ELMWOOD RD MENANDS, NY 12204-2425 US	INTERNAL SECURITY CONTROLS - OGS CONTRACT PT64252	S	B	7	N	N	1		26,300	0	0	26,300	8/6/2013	O	8/5/2014	
4500234709	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	HELP PROJECT - WARRANTY LABOR FOR SENY HELP PROJECTS	S	2	9	N	4	1		100,000	0	0	100,000	7/31/2013	O	7/30/2014	
4500234766	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	CISCO EQUIP. STATE CONTRACT PT64525	E	B	7	N	3	1		36,563	36,563	36,563	0	8/1/2013	C		12/16/2013
4500234811	NEW YORK WINDOW FILM CO INC 131 FLORIDA ST FARMINGDALE, NY 11735 US	F/D/I - WINDOW FILM	C	2	6	N	1	1		8,190	8,190	8,190	0	8/1/2013	C		8/5/2013
4500234843	O'CONNOR DAVIES MUNNS & DOBBINS LLP 60 E 42ND ST - 36TH FL NEW YORK, NY 10165-0006 US	ANNUAL MAINTENANCE OPERATING EXPENSES AUDIT	S	M	8	N	N	1		10,700	10,700	10,700	0	8/2/2013	C		9/25/2013
4500234862	BRISTOL HARBOR GROUP INC 103 POPPASQUASH RD BRISTOL, RI 02809-1018 US	ENGINEERING, DESIGN AND FABRICATION SUPPORT SERVICES FOR TWO NEW TUG VESSELS - NIA	A	B		F	N	3		234,700	41,976	41,976	192,724	8/5/2013	O	12/31/2017	
4500234866	THYSSENKRUPP ELEVATOR CORP 59 OTIS ST WEST BABYLON, NY 11704-1415 US	ELEVATOR SERVICES - OGS STATE CONTRACT CMU61AA	S	B	7	N	N	1		84,000	15,600	15,600	68,400	7/1/2013	O	6/30/2014	
4500234925	JAMES H MALOY INC 421 ALBANY SHAKER RD - PO BOX 11016 LOUDONVILLE, NY 12211-1815 US	CIVIL REPAIRS AT VISCHER FERRY AND CRESCENT PROJECTS AT VISCHER FERRY AND CRESCENT POWER PROJECTS	C	B		N	N	4		599,600	582,675	582,675	16,925	8/6/2013	O	5/30/2014	
4500235054	PDI NINTH HOUSE DBA FOR PERSONNEL DECISIONS 33 S 6TH ST STE 4900 MINNEAPOLIS, MN 55402-3716 US	PROFILOR SERVICES	S	2	6	N	N	1		5,000	5,000	5,000	0	7/30/2013	O	6/30/2014	
4500235072	NORTHEAST CONTROLS INC PO BOX 275 UPPER SADDLE RIVER, NJ 07458 US	FULL INSERTION TYPE FLOW-METERS	E	1	1	F	N	1	*	19,726	19,969	19,969	-243	8/19/2013	O	1/13/2014	
4500235105	NATIONAL URBAN FELLOWS INC 989 AVE OF THE AMERICAS - STE 400 NEW YORK, NY 10018-5410 US	STUDENT MENTORING	S	1	8	N	N	1		65,000	65,000	65,000	0	7/1/2013	O	6/27/2014	
4500235110	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	NETWORK EQUIPMENT - OGS STATE CONTRACT PT-64525	E	B	7	F	3	6		6,600	6,600	6,600	0	8/26/2013	C		10/21/2013

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4500235111	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	CISCO NETWORK EQUIPMENT - OGS STATE CONTRACT PT-64525	E	B	7	N	4	7		54,247	54,247	54,247	0	8/26/2013	C		11/26/2013
4500235178	EDBAUER CONSTRUCTION 2790 CLINTON ST WEST SENECA, NY 14224 US	SOUTH ACCESS ROAD IMPROVEMENTS	C	B		N	N	3		3,646,000	566,000	566,000	3,080,000	8/12/2013	O	10/31/2014	
4500235195	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP LASERJET ENTERPRISE 700 COLOR MFP M775F (OPEN MARKET ITEM)	E	B	7	F	N	1		6,213	6,213	6,213	0	8/14/2013	O	8/14/2014	
4500235238	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	CARD ACCESS F/D/I - OGS STATE CONTRACT PT-63103	S	B	7	N	N	2		192,229	82,254	82,254	109,975	8/19/2013	O	8/18/2014	
4500235275	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP COLOR LASERJET ENTERPRISE CP4525DN PRINTER AND 500-SHEET PAPER TRAY	E	B	7	F	N	1		6,206	6,206	6,206	0	8/15/2013	O	8/14/2014	
4500235288	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	SMART BOARD 885 WITH UX60 PROJECTOR AND SMART MEETING PRO	E	B	7	N	N	1		16,695	0	0	16,695	8/15/2013	O	8/14/2014	
4500235290	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	SMART BOARD 885 WITH UX60 PROJECTOR AND SMART MEETING PRO	E	B	7	N	N	1		12,235	0	0	12,235	8/15/2013	O	8/14/2014	
4500235308	MUNICIPAL ELECTRIC UTILITIES ASSOC OF NEW YORK STATE PO BOX 387 SOLVAY, NY 13209-0387 US	MUNICIPAL ALTERNATE FUEL VEHICLE PROGRAM	E	1	9	N	N	1		42,420	27,948	27,948	14,472	8/21/2013	O	8/20/2016	
4500235317	IMMIXTECHNOLOGY INC 8444 WESTPARK DR - STE 200 MCLEAN, VA 22102-5112 US	ANNUAL MAINTENANCE - SILENT RUNNER SENTINEL - GSA SCHEDULE GS-35F-0265X	S	B	7	N	N	1		17,901	17,901	17,901	0	9/1/2013	O	8/31/2014	
4500235394	SCHWEITZER ENGINEERING LAB INC C/O ROBINSON SALES INC 1240 ASHBRIDGE RD WEST CHESTER, PA 19380 US	SEL RELAYS -	E	2	6	N	N	1		7,675	7,675	7,675	0	9/9/2013	C		9/13/2013
4500235408	212/HARAKAWA INC TWO TWELVE 902 BROADWAY FL 20 NEW YORK, NY 10010-6002 US	BUILD SMART NY ANNUAL REPORT DESIGN	P	M	8	N	4	2		43,806	12,861	12,861	30,945	8/19/2013	O	2/28/2014	
4500235415	SCHWEITZER ENGINEERING LAB INC C/O ROBINSON SALES INC 1240 ASHBRIDGE RD WEST CHESTER, PA 19380 US	SEL RELAYS -	E	2	6	N	N	1		7,675	7,675	7,675	0	9/9/2013	C		9/13/2013

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4500235432	COMMUNICATION TECHNOLOGY INC 415 MAIN ST - STE N RIDGEFIELD, CT 06877-4500 US	PERFORMANCE MONITORING TOOL	E	C		F	N	3		105,285	105,285	105,285	0	9/9/2013	C		10/25/2013
4500235484	HEWLETT PACKARD FINANCIAL SERVICES 200 CONNELL DR - STE 5000 BERKELEY HEIGHTS, NJ 07922-2816 US	XEROX D95 COPIER B/W COPIES WESTCHESTER COUNTY. CONTRACT IT-1169 SCHEDULE A-2 AMENDMENT 2	E	B	7	N	N	1		45,000	0	0	45,000	8/26/2013	O	8/25/2018	
4500235494	FLUKE NETWORKS INC PO BOX 777 MS 207C EVERETT, WA 98206-0777 US	PERFORMANCE MONITORING TOOL - SECURITY	E	C		F	N	4		31,781	31,781	31,781	0	9/9/2013	C		9/26/2013
4500235502	KEMCO INDUSTRIES LLC 70 KEYES CT SANFORD, FL 32773 US	F&D PACKARD 194 LINE SYSTEM UPGRADE	E	B		N	N	5		217,480	0	0	217,480	8/22/2013	O	12/31/2014	
4500235613	US ARMY CORPS OF ENGINEERS BUFFALO DISTRICT 1776 NIAGARA ST BUFFALO, NY 14207-3199 US	UPPER NIAGARA RIVER AND TONAWANDA CHANNEL FLOOD DAMAGE STUDY	A	1		N	N	1		42,000	42,000	42,000	0	8/23/2013	O	3/31/2014	
4500235648	ABB INC 10300 HENRI-BOURASSA WEST ST LAURENT, PQ H4S 1N6 CA	FINALIZE THE POWER SYSTEM STABILIZER (PSS) TUNING AT THE ST. LAWRENCE POWER PROJECT	P	2		F	N	1		27,000	0	0	27,000	8/12/2013	C		12/17/2013
4500235666	GLOBALSTAR INC GLOBALSTAR USA LLC 461 S MILPITAS BLVD MILPITAS, CA 95035-5485 US	GLOBALSTAR SATELLITE TELEPHONE SERVICES-GS-35F-0489-S	S	B	7	N	N	1		25,108	0	0	25,108	4/15/2013	O	4/15/2014	
4500235690	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	SOFTWARE TO MONITOR PROTECTED SCADA NETWORKS	S	B	7	N	N	1		137,197	137,197	137,197	0	8/26/2013	C		12/16/2013
4500235694	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	CISCO EQUIPMENT STATE CONTRACT PT64525	E	B	7	N	3	1		32,285	32,285	32,285	0	8/26/2013	C		12/16/2013
4500235703	HYDROPOWER PERFORMANCE ENGINEERING INC 3044 BLOOR ST W - STE 307 TORONTO, ON M8X 2Y8 CA	INDEX TESTING AT ST. LAWRENCE POWER PROJECT	S	B		F	N	1		364,560	121,830	121,830	242,730	9/5/2013	O	9/4/2014	
4500235788	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	CISCO UC PHONE 7965, GIG ETHERNET, COLOR	E	B	7	F	N	1		81,320	81,320	81,320	0	8/28/2013	O	8/27/2014	
4500235795	GOVERNMENT MARKETING & PROCUREMENT LLC 1818 LIBRARY ST - STE 500 RESTON, VA 20190-6274 US	ANNUAL MAINTENANCE - SECUNIA - GSA GS-35F-0858N	S	B	7	N	N	1		49,125	45,509	45,509	3,616	10/19/2013	O	10/18/2014	

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4500235916	JOHN HERBERT CO DBA FOR PSH CORP 142 RT 17K - PO BOX 2758 NEWBURGH, NY 12550 US	CARPET	E	2	6	N	1	1		8,814	8,814	8,814	0	7/11/2013	C		9/5/2013
4500235924	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	QRADAR CONSULTING SERVICES TO UPGRADE EOL HARDWARE.	P	B	7	N	N	1		11,824	0	0	11,824	9/3/2013	O	9/2/2014	
4500236000	PALADINO CONCRETE CREATIONS CORP 315 N MACQUESTEN PKWY MOUNT VERNON, NY 10550-1007 US	REMOVAL OF TREE GRATES, RESET BRICK, FILL IN TREE PITS	C	M	6	N	3	1		11,800	11,800	11,800	0	9/4/2013	C		10/18/2013
4500236032	O'BRIEN & GERE / DEWBERRY ENERGY SERVICES JOINT VENTURE 333 W WASHINGTON - STE 400 SYRACUSE, NY 13202-9202 US	SUNY BUFFALO ENERGY MASTER PLAN	A	1		N	N	1		235,000	23,500	23,500	211,500	9/9/2013	O	9/8/2014	
4500236042	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	ANNUAL MAINTENANCE VMWARE RENEWAL	S	2	5	N	3	1		10,032	10,032	10,032	0	9/28/2013	O	9/27/2014	
4500236065	SANDHILL CONSULTANTS USA LTD 14 PENN PLAZA - STE 2220 NEW YORK, NY 10122-2220 US	ANNUAL MAINTENANCE ERWIN SOFTWARE PRODUCTS OGS STATE CONTRACT PT64273	S	B	7	N	N	1		25,925	25,925	25,925	0	9/5/2013	C		12/16/2013
4500236155	COMMVAULT SYSTEMS INC 2 CRESCENT PL OCEANPORT, NJ 07757-1233 US	COMMVAULT ANNUAL MAINTENANCE	S	2	8	N	N	1		55,192	55,192	55,192	0	9/11/2013	O	9/10/2014	
4500236161	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	GLOBASCAPE FTP SOFTWARE FOR DR SITE.	E	B	7	F	N	4		33,055	33,055	33,055	0	9/9/2013	O	9/8/2014	
4500236176	LRP CONSTRUCTION CORP 287 NORTHERN BLVD GREAT NECK, NY 11021-4700 US	SUNY MARITIME - SOLAR THERMAL HOT WATER HEATING SYSTEM	C	2	8	N	3	1		59,500	0	0	59,500	9/9/2013	O	3/5/2014	
4500236183	DELL MARKETING LP 850 ASBURY DR BUFFALO GROVE, IL 60089-4557 US	2013 IRONKEY ENCRYPTED USB SERVICES	S	B	7	F	N	1		43,017	43,017	43,017	0	9/9/2013	O	9/8/2014	
4500236208	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	TEMPORARY CONTROL ROOM RELOCATION	C	B		N	1	3		128,111	107,477	107,477	20,634	9/9/2013	O	1/30/2014	
4500236215	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	CITRIX SHAREFILE - GSA SCHEDULE GS-35F-060AA	S	B	7	N	N	1		8,947	0	0	8,947	10/8/2013	O	9/8/2014	

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4500236273	GOTHAM TECHNOLOGY GROUP 1 PARAGON DR - STE 200 MONTVALE, NJ 07645 US	CITRIX LICENSING - OGS CONTRACT PT-61263	E	B	7	N	N	1		12,620	12,620	12,620	0	9/10/2013	C		12/16/2013
4500236306	DATALAN CORP 12 WATER ST - STE 202 WHITE PLAINS, NY 10601-1410 US	CONSULTING SERVICES 2013 SHAREPOINT BUILD OUT AND MIGRATION	P	1	1	N	N	1		170,000	0	0	170,000	9/11/2013	O	9/10/2014	
4500236340	CBRE INC 201 TRESSER BLVD - STE 201 STAMFORD, CT 06901-3433 US	REAL ESTATE BROKERAGE SERVICES RELATED TO 123 MAIN STREET WHITE PLAINS.	P	B		F	N	2		1,000,000	0	0	1,000,000	3/31/2013	O	4/1/2017	
4500236419	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIER MODEL MPC3503 GSA CONTRACT GS-03F-0085U	E	B	5	N	N	1		9,684	0	0	9,684	9/13/2013	C		12/16/2013
4500236445	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIER MODEL MPC3503 GSA CONTRACT GS-03F-0085U	E	B	5	N	N	1		9,684	0	0	9,684	9/13/2013	O	2/28/2014	
4500236532	BEEBE CONSTRUCTION SERVICES INC 6153 TRENTON RD UTICA, NY 13502-6229 US	B/G SUPPORT FACILITIES IMPROVEMENT PROJECT - PHASE 1. SITE DEVELOPMENT	C	B		N	N	7		3,618,017	465,025	465,025	3,152,992	9/25/2013	O	7/1/2014	
4500236545	MID-STATE INDUSTRIES LTD 1105 CATALYN ST SCHENECTADY, NY 12303 US	ROOF OPENINGS AT CRESCENT & VISCHER FERRY	C	2		N	N	1		18,800	0	0	18,800	9/17/2013	O	6/1/2014	
4500236562	SIDEBAND SYSTEMS INC 30 RANTOUL ST BEVERLY, MA 01915-4823 US	CAMBIUM PTP 58600 MICROWAVE RADIO AND INSTALLATION AT BG	E	B	7	F	N	1		25,645	0	0	25,645	9/18/2013	O	9/17/2014	
4500236582	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIER MODEL MPCC5303 GSA CONTRACT GS-03F-0085U	E	B	7	N	N	1		19,368	0	0	19,368	10/21/2013	C		12/16/2013
4500236671	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	2013 MAINTENANCE FOR QUEST UTILITY TOOLS GSA CONTRACT GS-35F-4543G	E	B	7	N	N	1		35,764	35,764	35,764	0	10/31/2013	O	10/30/2014	
4500236711	BURO HAPPOLD CONSULTING ENG PC DBA HAPPOLD CONSULTING 100 BROADWAY FL 23 NEW YORK, NY 10005-4502 US	PROGRAM COORDINATION CONSULTANT SERVICES FOR FIVE CITIES' EMP'S FOR ALBANY, BUFFALO, ROCHESTER, SYRACUSE AND YONKERS	P	B	8	N	N	6		195,000	0	0	195,000	9/23/2013	O	9/22/2014	
4500236721	DION GLOBAL SOLUTIONS (CANADA) LTD 75 INTERNATIONAL BLVD STE 103 TORONTO, ON M9W 6L9 CA	CONSULTING SERVICES - AUDITING UPGRADE	S	1	6	N	N	1		5,000	0	0	5,000	9/24/2013	O	9/23/2014	
4500236731	NPTS INC 2060 SHERIDAN DR BUFFALO, NY 14223-1470 US	AWARD FOR SUPPORT SERVICES TO NYPA'S BUILD SMART NY (BSNY).	S	2	8	N	4	1		200,000	0	0	200,000	9/24/2013	O	4/15/2014	

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4500236740	ADAMS ELECTRIC SALES INC 45 HALLADAY ST JERSEY CITY, NJ 07304-3209 US	LOW VOLTAGE POWER CONTROL CABLE	E	B		F	4	3		119,812	0	0	119,812	9/23/2013	O	3/31/2014	
4500236748	VHB ENGINEERING SURVEYING & LANDSCAPE ARCHITECTURE PC 100 GREAT OAKS BLVD - STE 109 ALBANY, NY 12203-7924 US	CITY OF ALBANY STRATEGIC ENERGY MAST PLAN	A	C		N	N	4		350,000	0	0	350,000	9/24/2013	O	9/23/2014	
4500236803	APPLIED ECOLOGICAL SERVICES INC 17921 W SMITH RD BRODHEAD, WI 53520-9355 US	FROG ISLAND HIP - PLANTING CONTRACT	C	B		F	N	2		493,186	0	0	493,186	9/25/2013	O	12/31/2015	
4500236833	IHS GLOBAL INC FORMERLY ENVIRONMENTAL SUPPORT 1700 RESEARCH BLVD - STE 200 ROCKVILLE, MD 20850-3105 US	2013 MAINTENANCE RENEWAL FOR ESS ENVIRONMENTAL SUPPORT SUITE	E	B	7	F	N	1		63,258	60,246	60,246	3,012	9/25/2013	O	9/24/2014	
4500236849	CAPERBERRY EVENTS DBA FOR P H D HOLDING CORP 52 GEDNEY WAY WHITE PLAINS, NY 10605-2518 US	PROVIDE CATERING SERVICES FOR 2013 NYPA STRATEGIC PLANNING CONFERENCE	S	C	8	N	4	3		15,231	15,231	15,231	0	9/25/2013	C		12/31/2013
4500236886	SISTERS OF THE DIVINE COMPASSION 52 N BROADWAY WHITE PLAINS, NY 10603-3710 US	PROVIDE FACILITIES FOR NYPA'S 2013 STRATEGIC PLANNING CONFERENCE	E	C	5	N	N	3		6,750	6,750	6,750	0	9/9/2013	C		10/30/2013
4500236946	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	POWER SUPPLY TO SECURITY AND SPILLWAY BUILDING	C	B		N	1	3		2,223,818	0	0	2,223,818	10/2/2013	O	9/1/2014	
4500237011	EX LIBRIS (USA) INC 1350 E TOUHY AVE - STE 200E DES PLAINES, IL 60018-3307 US	MAINTENANCE - VOYAGER - GSA SCHEDULE GS-35F-0344R	S	B	7	N	N	1		24,136	24,136	24,136	0	10/1/2013	O	9/30/2014	
4500237045	PTC INC 140 KENDRICK ST NEEDHAM, MA 02494-2743 US	PTC, INC. TO PROVIDE MATHCAD SOFTWARE LICENSES AND MAINTENANCE	S	C	6	N	N	1		5,200	5,200	5,200	0	10/1/2013	C		11/30/2013
4500237046	UTILITY SYSTEMS DIVISION DBA FOR MAGNETIC INSTRUMENTATION 8431 CASTLEWOOD DR INDIANAPOLIS, IN 46250-1534 US	F/D INTEGRATED DIGITAL FAULT RECORDER/PHASOR MEASUREMENT UNITS FOR ALL PROJECTS	E	B		F	N	3		790,025	0	0	790,025	10/7/2013	O	2/1/2015	
4500237099	STRUCTURAL PRESERVATION SYSTEMS INC 39 UTTER AVE HAWTHORNE, NJ 07506-2116 US	EMERGENT WPO CENTROPLEX GARAGE REPAIRS	C	2	1	N	N	1		18,500	0	0	18,500	10/1/2013	C		11/15/2013
4500237167	NINTEX USA LLC STE 230 PLAZA CTR - 10900 NE 8TH ST BELLEVUE, WA 98004-4453 US	ANNUAL MAINTENANCE - NINTEX	S	B	6	N	N	1		15,680	15,680	15,680	0	10/11/2013	O	10/10/2014	

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4500237186	IIA QUALITY SERVICES LLC 247 MAITLAND AVE ALTAMONTE SPRINGS, FL 32701-4201 US	PERFORM INTERNAL AUDIT DEPARTMENT - QUALITY ASSESSMENT REVIEW	P	B		F	N	4		36,000	26,000	26,000	10,000	10/7/2013	O	1/24/2014	
4500237211	GENERAL ELECTRIC INTERNATIONAL INC 4200 WILDWOOD PKWY ATLANTA, GA 30339 US	480KV CIRCUIT BREAKER REPLACEMENT (B-G)	E	B		F	N	3		560,259	0	0	560,259	10/7/2013	O	9/30/2014	
4500237214	LIVEDATA INC 810 MEMORIAL DR CAMBRIDGE, MA 02139-4642 US	MAINTENANCE FOR LIVE DATA FOR THE LAST QTR. OF 2013	S	M	8	N	N	1		69,825	69,825	69,825	0	10/1/2013	C		12/16/2013
4500237266	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	ARTPARK STEEL STAIRWAY REPLACEMENT	C	B		N	N	3		577,500	0	0	577,500	10/7/2013	O	10/6/2014	
4500237278	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	CHILLER REPLACEMENT PROJECT AT CLARK ENERGY CENTER	C	B		N	N	4		531,000	0	0	531,000	10/7/2013	O	5/15/2014	
4500237299	POWERTECH LABS INC 12388-88TH AVE SURREY, BC V3W 7R7 CA	SSAT SMALL SIGNAL ANALYSIS TOOL SOFTWARE	E	1	1	F	N	1		23,000	23,000	23,000	0	10/8/2013	O	10/7/2014	
4500237400	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP COMPAQ LA1955X 19 INCH LED BACLIT LCD NYS AGGREGATE BUY OGS CONTRACT PT65350NYAG12	E	B	7	N	N	1		6,000	0	0	6,000	10/9/2013	C		11/30/2013
4500237473	RIGHTSTAR SYSTEMS DBA FOR RIGHTSTAR INC 1951 KIDWELL DR STE 110 VIENNA, VA 22182-3930 US	SCANSTAR SCANNER LIC SUBSCRIP&ADSYNC INTEGRATION SW-GS-35F-0592R	E	B	7	N	N	1		17,419	0	0	17,419	10/14/2013	O	10/13/2014	
4500237474	RIGHTSTAR SYSTEMS DBA FOR RIGHTSTAR INC 1951 KIDWELL DR STE 110 VIENNA, VA 22182-3930 US	CONSULTING SERVICES - FOOTPRINTS IMPLEMENTATION - GS-35F-0592R	P	B	7	N	N	1		133,625	7,285	7,285	126,340	10/14/2013	O	10/13/2014	
4500237699	COMPUTER AIDED ENGINEERING ASSOCIATES INC 1579 STRAITS TPKE - STE 2B MIDDLEBURY, CT 06762-1835 US	ANSYS SOFTWARE - GSA SCHEDULE GS-35F-0639N	E	B	7	N	N	1		6,003	6,003	6,003	0	10/21/2013	O	10/20/2014	
4500237755	KHM INC 744 CONKLIN RD BINGHAMTON, NY 13903-2805 US	SHOTCRETE REPAIR OF TOWER FOOTING	C	2	8	N	3	1		29,932	29,532	29,532	400	10/18/2013	C		11/1/2013
4500237761	C D PERRY & SONS INC PO BOX 866 TROY, NY 12181-0866 US	TUG AND BARGE RENTAL TO SUPPORT TOWER REPAIR AT VISCHER FERRY	E	2		N	1	1		45,650	0	0	45,650	10/18/2013	C		11/1/2013

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4500237776	ROYAL DIAMOND CONSTRUCTION CORP 1 HIGHLAND DR CORTLANDT MANOR, NY 10567-2609 US	ON-CALL GENERAL CONTRACTING SERVICES	C	M	8	N	1	1		200,000	7,096	7,096	192,904	10/17/2013	O	10/16/2014	
4500237833	PALADINO CONCRETE CREATIONS CORP 315 N MACQUESTEN PKWY MOUNT VERNON, NY 10550-1007 US	CONSTRUCTION - WALL REPAIR MAIN STREET	C	M	6	N	3	1		5,500	5,500	5,500	0	10/28/2013	C		11/12/2013
4500237858	CITY OF WHITE PLAINS COMMISSIONER OF PUBLIC WORKS 255 MAIN ST WHITE PLAINS, NY 10601 US	GREEN FLEETS PROGRAM	E	1	9	N	N	1		60,000	0	0	60,000	10/23/2013	O	10/22/2014	
4500237875	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	PROFESSIONAL SERVICES TO REVIEW MAXIMO ENVIRONMENT.	P	B	7	N	N	1		17,492	9,149	9,149	8,343	10/22/2013	O	10/21/2014	
4500237878	HERMAN MILLER INC C/O ALIANZA SERVICES LLC 855 E MAIN AVE ZEELAND, MI 49464-1372 US	FURNITURE - OGS STATE CONTRACT PC-63357	E	B	7	N	N	1		6,026	0	0	6,026	10/28/2013	O	1/31/2014	
4500237907	CDW G INC 230 N MILWAUKEE AVE VERNON HILLS, IL 60061-4304 US	WIRELESS COMMUNICATION EQUIPMENT FOR KELMAN GAS ANALYZERS	E	C		F	N	2		64,390	0	0	64,390	12/4/2013	O	12/3/2014	
4500237912	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	2013 ANNUAL MAINTENANCE FOR AUTOCAD SOFTWARE	S	B	7	F	N	1		53,731	53,731	53,731	0	10/23/2013	O	12/31/2014	
4500238015	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	2013 MAINTENANCE FOR QUEST UTILITY TOOLS.	S	B	7	F	N	1		13,308	13,308	13,308	0	10/25/2013	O	10/29/2014	
4500238040	ORACLE AMERICA INC 1910 ORACLE WY RESTON, VA 20190-4733 US	2013 ANNUAL MAINTENANCE FOR PRIMAVERA LICENSES.	S	B	7	F	N	1		83,192	0	0	83,192	10/25/2013	O	12/31/2014	
4500238048	BIDCO MARINE GROUP INC 220 KATHERINE ST BUFFALO, NY 14210-2008 US	BUFFALO HARBOR TERN NESTING GRAVEL MAINTENANCE PROJECT	C	2	1	N	N	1		17,740	0	0	17,740	10/30/2013	O	5/1/2014	
4500238069	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	PROFESSIONAL SERVICES FOR SOLARWINDS INSTALLATION AND TRAINING.	P	B	7	F	N	1		29,150	0	0	29,150	10/28/2013	O	10/28/2014	
4500238115	DAY AUTOMATION SYSTEMS INC 9 AVIS DR LATHAM, NY 12110-2601 US	COMMUNICATION INFRASTRUCTURE UPGRADE FOR NEW SECURITY BUILDING AT BLENHEIM GILBOA.	E	B	7	N	1	1		742,876	0	0	742,876	10/29/2013	O	10/28/2014	

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4500238149	DELL MARKETING LP 850 ASBURY DR BUFFALO GROVE, IL 60089-4557 US	SOFTWARE MAINTENANCE	S	B	7	N	N	1		6,783	6,783	6,783	0	10/29/2013	C		12/16/2013
4500238151	GIBSON DUNN & CRUTCHER LLP 333 S GRAND AVE LOS ANGELES, CA 90071-3197 US	LEGAL WORK	L	C		F	N	3		500,000	195,046	195,046	304,954	10/1/2013	O	9/30/2014	
4500238173	IMMIXTECHNOLOGY INC 8444 WESTPARK DR - STE 200 MCLEAN, VA 22102-5112 US	ORACLE PRIMAVERA CONSULTING	P	B	7	F	N	1		88,298	0	0	88,298	10/30/2013	O	10/30/2014	
4500238323	SAP PUBLIC SERVICES INC RONALD REAGAN BLDG / ITC 1300 PENNSYLVANIA AVE NW - STE 500 WASHINGTON, DC 20004 US	SAP CONSULTING SERVICES - GSA SCHEDULE GS-35F-0406V	S	B	7	N	N	1		17,680	0	0	17,680	11/4/2013	O	11/3/2014	
4500238399	UNDERGROUND SYSTEMS INC 84 BUSINESS PARK DR - STE 109 ARMONK, NY 10504-1734 US	SOPHISTICATED LEAK DETECTION SYSTEM ON NYPA Q35L&M 345KV EHV TRANSMISSION CABLES	C	B		N	N	1		961,875	0	0	961,875	11/4/2013	O	10/10/2014	
4500238514	J E SHEEHAN CONTRACTING CORP 208 SISSONVILLE RD POTSDAM, NY 13676-3563 US	SHORELINE STABILIZATION IN TOWNS OF LOUISVILLE AND WADDINGTON	C	M	8	N	N	2		152,000	0	0	152,000	11/6/2013	O	7/1/2014	
4500238609	HEWLETT PACKARD CO ATTN: EXPRESS SUPPORT QUOTES 8000 FOOTHILLS BLVD ROSEVILLE, CA 95747-5754 US	HP SCADA ANNUAL MAINTENANCE - OGS STATE CONTRACT PT-64150	S	B	7	N	N	1		9,075	9,075	9,075	0	11/1/2013	O	10/31/2014	
4500238616	ELECTRONIC DRIVES & CONTROLS INC 17 EASTMANS RD PARSIPPANY, NJ 07054-3702 US	FURNISH, DELIVER, INSTALL, START-UP & COMMISSION VARIABLE FREQUENCY DRIVES & PLC SYSTEMS AT 500 MW CCPP, ASTORIA, NY	C	2		F	N	1		428,823	0	0	428,823	11/12/2013	O	11/6/2014	
4500238619	HEWLETT PACKARD CO ATTN: EXPRESS SUPPORT QUOTES 8000 FOOTHILLS BLVD ROSEVILLE, CA 95747-5754 US	ANNUAL MAINTENANCE - SCADA HP EQPT - OGS STATE CONTRACT PT-64150	S	B	7	N	N	1		8,991	8,991	8,991	0	11/1/2013	O	10/31/2014	
4500238621	PALADINO CONCRETE CREATIONS CORP 315 N MACQUESTEN PKWY MOUNT VERNON, NY 10550-1007 US	REPAIRS - HAMILTON AVENUE	S	M	6	N	3	1		12,400	12,400	12,400	0	11/11/2013	C		12/3/2013

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4500238652	DA COLLINS CONSTRUCTION CO INC 269 BALLARD RD WILTON, NY 12831-1357 US	GATE SYSTEM UPGRADE AT VISCHER FERRY	E	B		N	N	3		2,474,505	0	0	2,474,505	11/12/2013	O	11/11/2014	
4500238681	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	HITACHI NETWORK ATTACHED STORAGE DEVICE (HNAS)	E	B	7	F	N	1		8,347	8,347	8,347	0	11/13/2013	O	11/12/2014	
4500238697	APPLE COMPUTER INC STATE & LOCAL GOVERNMENT SALES 2420 RIDGEPOINT DR AUSTIN, TX 78754 US	APPLE I-PAD AND APPLECARE FOR I-PAD - OGS CONTRACT PT65428	E	B	7	N	N	1		26,850	0	0	26,850	11/13/2013	C		11/30/2013
4500238718	GENCON CONSTRUCTION CO 2107 MONTAUK HWY BROOKHAVEN, NY 11719-9528 US	FURNISH AND INSTALL EXHAUST GAS BYPASS PIPE	C	2	0	N	1	1		60,664	0	0	60,664	11/4/2013	O	11/3/2014	
4500238719	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	EXPEDITE EQUIPMENT & SERVICES - OGS STATE CONTRACT PT-64261	E	B	7	N	N	1		99,831	0	0	99,831	11/13/2013	O	2/28/2014	
4500238784	SS & C TECHNOLOGIES INC 80 LAMBERTON RD - STE 7 WINDSOR, CT 06095-2150 US	ANNUAL MAINTENANCE FOR DBC DEBT MGR. AND DBC FINANCE SOFTWARE	S	1	8	N	N	1		28,080	28,080	28,080	0	12/17/2013	O	12/16/2014	
4500238792	GENERAL ELECTRIC INTERNATIONAL INC 3960 MYSTIC VALLEY PKWY MEDFORD, MA 02155-6920 US	LEWISTON GENERATOR STUB SHAFT AND CT ASSEMBLY	E	2		N	N	1		420,171	0	0	420,171	11/15/2013	O	8/1/2014	
4500238805	LIVEDATA INC 810 MEMORIAL DR CAMBRIDGE, MA 02139-4642 US	2014 ANNUAL MAINTENANCE FOR THE LIVEDATA ERM PORTAL.	S	B	7	F	N	1		33,300	33,300	33,300	0	11/15/2013	O	12/31/2014	
4500238808	ADAMS ELECTRIC SALES INC 45 HALLADAY ST JERSEY CITY, NJ 07304-3209 US	TERTIARY CABLE FOR THE MASSENA SUBSTATION	E	2		F	4	1		200,000	0	0	200,000	11/15/2013	O	2/1/2014	
4500238930	CITY CARTING & RECYCLING PO BOX 17250 STAMFORD, CT 06907-7250 US	PICKUP OF CONSTRUCTION DUMPSTERS AND GARBAGE	S	2		N	N	1		20,000	7,371	7,371	12,629	9/26/2013	C		12/31/2013
4500238957	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	HITACHI NETWORK DATA PROTECTION ENTERPRISE SOFTWARE OGS CONTRACT PT61807	S	B	7	N	N	1		105,166	105,166	105,166	0	11/20/2013	O	11/19/2014	
4500239050	U S MATERIALS HANDLING CORP 2231 STATE ROUTE 5 UTICA, NY 13502-7513 US	WORKSTATIONS - NIAGARA	E	2	5	N	1	1		6,814	0	0	6,814	12/27/2013	C		12/30/2013

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4500239057	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIER MODEL MPCC5303 GSA CONTRACT GS-03F-0085U	E	B	7	N	N	1		127,893	0	0	127,893	11/21/2013	C		12/31/2013
4500239102	HAYES TECHNOLOGY GROUP INC 3 HAWTHORN PKWY - STE 225 VERNON HILLS, IL 60061-1448 US	ANNUAL CLIENT COPY MAINTENANCE	S	1	6	N	N	1		14,100	14,100	14,100	0	11/19/2013	O	11/18/2014	
4500239110	DION GLOBAL SOLUTIONS (CANADA) LTD 75 INTERNATIONAL BLVD STE 103 TORONTO, ON M9W 6L9 CA	ANNUAL ENTERPRISE AUDIT MAINTENANCE	S	1	6	N	N	1		10,000	10,000	10,000	0	12/30/2013	O	12/29/2014	
4500239163	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP DESKTOPS UNDER HP AGGREGATE BUY - PT65350-NYAG12	E	B	7	N	N	1		48,100	48,100	48,100	0	11/13/2013	C		12/10/2013
4500239171	EMA INC 900 NORTHBROOK DR - STE 110 TREVOSE, PA 19053 US	2014 EMA SUPPORT FOR MAXIMO	P	B	7	F	N	1		25,000	0	0	25,000	11/26/2013	O	12/31/2014	
4500239224	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	CISCO EQUIPMENT - OGS STATE CONTRACT PT-64525	E	B	7	N	4	6		96,087	0	0	96,087	12/20/2013	C		12/23/2013
4500239225	DELL MARKETING LP 850 ASBURY DR BUFFALO GROVE, IL 60089-4557 US	MS SOFTWARE - PS66134	E	B	7	N	N	1		34,832	0	0	34,832	11/29/2013	C		12/2/2013
4500239241	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP NOTEBOOKS - OGS STATE CONTRACT - PT65350-NYAG12	E	B	7	N	N	1		21,090	0	0	21,090	12/6/2013	C		12/12/2013
4500239248	WORK TECHNOLOGY CORP 255 ELM ST WEST SOMERVILLE, MA 02144-2956 US	2014 MAINTENANCE FOR WORKTECH QUICKPICK SOFTWARE	E	2	5	F	N	1		9,500	0	0	9,500	11/27/2013	O	12/31/2014	
4500239277	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP LASERJET ENTERPRISE 700 PRINTER - GS-35F-446AA-COOP	E	B	7	N	N	1		15,368	2,942	2,942	12,426	12/10/2013	O	1/6/2014	
4500239280	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	ANNUAL MAINTENANCE - MCAFEE SAFEBOOT SOFTWARE	S	C	6	N	N	3		9,576	0	0	9,576	11/16/2013	O	11/15/2014	

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4500239282	IDESCO IDENTIFICATION SERV 37 W 26TH ST NEW YORK, NY 10010-1081 US	HOPPER ETHERNET PRINTER - OGS STATE CONTRACT PT-63108	E	B	7	N	N	1		6,134	0	0	6,134	12/17/2013	C		12/18/2013
4500239332	BIRMINGHAM CONTROL SYSTEMS INC 1205 8TH ST W BIRMINGHAM, AL 35204-1909 US	F/D PROTECTION RELAYS AND TEST SWITCHES FOR THE MASSENA SUBSTATION - T-LEM	E	B		F	N	5		172,175	0	0	172,175	12/3/2013	O	5/1/2014	
4500239376	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	ANNUAL MAINTENANCE CITRIX 2014	S	B	7	N	N	1		14,250	0	0	14,250	12/1/2013	O	11/30/2014	
4500239474	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	2013 MAINTENANCE FOR INVINCEA END POINT SECURITY SOLUTION	E	B	7	F	N	1		105,945	0	0	105,945	12/5/2013	O	12/4/2014	
4500239536	JOHN HERBERT CO DBA FOR PSH CORP 142 RT 17K - PO BOX 2758 NEWBURGH, NY 12550 US	F/D/I - CARPET & ALUMINUM STRIP	C	M	6	N	1	1		6,748	0	0	6,748	12/7/2013	C		12/31/2013
4500239543	SHI INTERNATIONAL CORP 290 DAVIDSON AVE SOMERSET, NJ 08873-4145 US	ADDITIONAL PERPETUAL LIC./MAINTENANCE WINSHUTTLE TRANSACTION.	E	B	7	F	N	1		9,581	0	0	9,581	12/9/2013	O	10/21/2014	
4500239563	EMERSON NETWORK POWER LIEBERT SERVICES INC 610 EXECUTIVE CAMPUS DR WESTERVILLE, OH 43082-8870 US	2013 ANNUAL MAINTENANCE FOR EMERSON NETWORK POWER, LIEBERT SERVICES	E	C	6	F	N	1		14,490	0	0	14,490	12/27/2013	O	12/26/2014	
4500239743	AQUIRE SOLUTIONS INC 400 E LAS COLINAS BLVD - STE 500 IRVING, TX 75039-5617 US	ORGPUBLISHER ANNUAL MAINTENANCE	S	1	6	N	N	1		6,533	0	0	6,533	11/1/2013	O	10/31/2014	
4500239766	FOTRONIC CORP TEST EQUIPMENT DEPOT 99 WASHINGTON ST MELROSE, MA 02176-6024 US	FOUR THERMAL IMAGING/COMPACT INFRARED CAMERAS	E	C	6	N	N	1		6,799	0	0	6,799	12/13/2013	O	1/28/2014	
4500239768	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	2014 MAINTENANCE RENEWAR FOR 1 YEAR SUBSCRIPTION AND SUPPORT.	E	1	1	F	3	1		49,206	0	0	49,206	12/27/2013	O	12/27/2014	
4500239785	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIER MODEL MPCC5303 GSA CONTRACT GS-03F-0085U	E	B	7	N	N	1		9,954	0	0	9,954	12/13/2013	O	1/31/2014	
4500239802	ENSIGN ENGINEERING PC 1111 CALHOUN AVE BRONX, NY 10465-1800 US	ENGINEERING SERVICES	A	M	8	N	3	1		60,000	47,683	47,683	12,317	10/11/2013	O	3/31/2014	

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4500239878	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	LEAD DATA CHECKPOINT FIREWALLS	E	B	7	F	N	1		48,764	0	0	48,764	12/17/2013	O	12/16/2014	
4500239974	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	NERC COMPLIANCE SECURITY UPGRADE - CEC, STL AND NIA	P	B		F	N	1		1,110,836	0	0	1,110,836	12/19/2013	O	12/31/2014	
4500240001	CH2M HILL ENGINEERING PA 9127 S JAMAICA ST ENGLEWOOD, CO 80112-5946 US	500MW CTG FUEL GAS SUPPLY STUDY PROJECT	P	B		F	N	2		176,800	0	0	176,800	12/20/2013	O	12/31/2014	
4500240022	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	WEBSPHERE DATAPOWER EQUIPMENT AND SERVICES.	E	B	7	N	N	1		183,200	0	0	183,200	12/19/2013	O	12/19/2014	
4500240069	BLUE CIELO ECM SOLUTIONS INC 102 PICKERING WAY - STE 303 EXTON, PA 19341-1330 US	BLUECIELO SOFTWARE 2014 MAINTENANCE	S	C	8	F	N	3		100,526	0	0	100,526	12/20/2013	O	12/19/2014	
4500240112	ENER-G RUDOX INC 765 STATE HIGHWAY 17 N CARLSTADT, NJ 07072 US	PERRY DYRYEA STATE OFFICE BUILDING-RECOMMENDED TROUBLESHOOTING REPAIR PLAN FOR CHP UNIT	A	2	6	F	N	1		11,540	0	0	11,540	12/23/2013	O	2/28/2014	
4500240126	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	ANNUAL MAINTENANCE - DATADVANTAGE SOFTWARE	S	C		N	N	4		50,641	0	0	50,641	12/30/2013	O	12/29/2014	
4500240129	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	ANNUAL MAINTENANCE - VIDEOCONFERENCING EQPT - OGS PT-64535	S	B	7	N	N	1		27,275	0	0	27,275	12/3/2013	O	12/31/2014	
4500240131	SIMMERS CRANE DESIGN & SERVICES CO 1134 SALEM PKWY SALEM, OH 44460-1063 US	LOAD TESTING OF THE 150 TON GANTRY MAIN HOOK FOR NIAGARA LPGP	S	2	1	F	N	1		35,988	0	0	35,988	12/24/2013	O	3/7/2014	
4500240190	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	ANNUAL MAINTENANCE - GLOBALSCAPE	S	C	6	N	N	3		7,755	0	0	7,755	12/28/2013	O	12/27/2014	
4500240244	OSI SOFTWARE INC 777 DAVID ST - SUITE 250 SAN LEANDRO, CA 94577 US	ANNUAL PI SERVER MAINTENANCE - GSA SCHEDULE GS-35F-0265X	S	B	7	N	N	1		9,487	0	0	9,487	10/1/2013	O	9/30/2014	
4500240507	J RICHARD CICCONE MD 300 CRITTENDEN BLVD ROCHESTER, NY 14642 US	LEGAL SERVICES	L	1		N	N	1		50,000	0	0	50,000	12/3/2013	O	12/2/2014	
4500241463	V&R ENERGY SYSTEMS RESEARCH INC 11824 DARLINGTON AVE STE 101 LOS ANGELES, CA 90049 US	ANNUAL MAINTENANCE POM (PHYSICAL OPERATIONS MARGINS) SOFTWARE	S	1	8	N	N	1		18,000	0	0	18,000	1/1/2013	C		12/31/2013

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4600000395	GENERAL ELECTRIC INTERNATIONAL INC 1 RIVER RD - BLDG 53-310 SCHENECTADY, NY 12305-2500 US	ST LAW ROTOR RIM WORK (LEM)	E	B	9	N	N	0		12,656,000	11,752,299	132,306	903,701	4/6/2000	C		9/3/2013
4600000840	VOITH HYDRO INC 2185 N SHERIDAN WAY MISSISSAUGA, ON L5K 1A4 CA	F/D/I GENERATOR STATOR ARMATURE WINDINGS	C	B		F	N	0		24,137,176	13,558,101	0	10,579,075	6/10/2002	O	7/15/2015	
4600001092	SIEMENS ENERGY INC 85 JOHN RD - UNIT 1 CANTON, MA 02021-2826 US	PROVIDE AN OPERATING PLANT SERVICE AGREEMENT (OPSA)	S	1	1	N	N	0		36,000,000	28,712,608	298,683	7,287,392	5/5/2003	O	12/31/2015	
4600001229	HERITAGE ENVIRONMENTAL SERVICES LLC 7901 W MORRIS ST INDIANAPOLIS, IN 46231 US	DISPOSAL OF SOLID WASTE, HAZARDOUS WASTE, UNIVERSAL WASTE FROM ALL NYPA FACILITIES, AND INCINERATION OF SAME.	S	1	8	N	N	0		630,000	198,209	0	431,791	3/15/2004	O	12/31/2015	
4600001236	ALSTOM POWER INC 7901 SOUTHPARK PLZ - STE 110 LITTLETON, CO 80120-4505 US	RUNNER REPLACEMENT AND TURBINE OVERHAUL - SUPPLY COMPONENTS	E	B		F	N	0		26,374,000	26,273,192	737,851	100,808	3/25/2004	O	2/24/2014	
4600001237	ALSTOM POWER INC 7901 SOUTHPARK PLZ - STE 110 LITTLETON, CO 80120-4505 US	RUNNER REPLACEMENT AND TURBINE OVERHAUL - INSTALLATION OF COMPONENTS	C	B		N	N	0		11,425,698	9,918,212	192,902	1,507,486	3/25/2004	O	2/24/2014	
4600001295	GE HYDRO POWER INC STE 300B 6130 STONEBRIDGE MALL RD PLEASANTON, CA 94588 US	STATOR REWINDS OF ELEVEN 20,000 KW PUMP/TURBINE - MOTOR/GENERATOR UNITS AT THE LEWISTON PUMP GENERATING PLANT OF THE ROBERT MOSES NIAGARA POWER PROJECT	C	B		F	N	0		15,000,000	6,190,386	1,936,640	8,809,614	7/12/2004	O	6/30/2015	
4600001307	AECOM USA INC 605 THIRD AVE NEW YORK, NY 10158-0180 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR SOUTHEAST NEW ENERGY SERVICES PROGRAM	C	B		N	N	0		189,581,856	167,848,664	1,471,451	21,733,192	8/1/2004	C		7/31/2013
4600001308	CDM CONSTRUCTORS INC 60 CROSSWAYS PARK W - STE 340 WOODBURY, NY 11797-2018 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES	C	B		N	N	0		13,000,000	9,946,098	5,992,898	3,053,902	8/1/2004	C		7/31/2013
4600001339	CLEAN HARBORS ENVIRONMENTAL SERVICES INC 761 MIDDLE ST BRISTOL, CT 06010-7442 US	WASTE DISPOSAL SERVICES	S	C	8	F	N	0		4,900,000	2,526,062	254,361	2,373,938	11/1/2004	O	12/31/2015	
4600001393	CWM CHEMICAL SERVICES LLC 1550 BALMER RD MODEL CITY, NY 14107 US	WASTE DISPOSAL SERVICES	S	C	8	N	N	0		1,500,000	435,429	26,990	1,064,571	3/2/2005	O	12/31/2015	

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4600001403	AERC.COM INC dba AERC RECYCLING SOLUTIONS 2591 MITCHELL AVE ALLENTOWN, PA 18103-6609 US	WASTE DISPOSAL SERVICES OF MERCURY WASTE STREAMS	S	C	8	F	N	0		45,000	6,190	707	38,810	4/4/2005	O	12/31/2015	
4600001457	TRADEBE ENVIRONMENTAL SERVICES LLC FORMERLY UNITED OIL RECOVERY INC 47 GRACEY AVE MERIDEN, CT 06451 US	WASTE DISPOSAL SERVICES, ALL FACILITIES.	S	C	8	N	N	0		750,000	221,422	34,658	528,578	6/10/2005	O	12/31/2015	
4600001644	EYP ARCHITECTURE & ENGINEERING PC 1ST FL NANOFAB EAST - 257 FULLER RD ALBANY, NY 12203-3613 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	9		55,000,000	21,416,912	744,388	33,583,088	5/23/2006	O	12/31/2015	
4600001645	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE AUTHORITY'S STATEWIDE ENERGY SERVICES PROGRAM	C	B		N	N	9		55,000,000	30,444,833	4,920,714	24,555,167	5/23/2006	O	12/31/2015	
4600001688	ABB INC 308 DRIVE 14 CLEVELAND, NY 13042-3101 US	SCOPE OF WORK FOR COMMISSIONING OF A UNIT PAIR.	S	1		N	N	1		278,807	218,080	58,377	60,727	7/26/2006	C		6/29/2013
4600001738	TCI OF NY LLC 39 FALLS INDUSTRIAL PARK RD HUDSON, NY 12534 US	WASTE DISPOSAL SERVICES	S	C	8	N	1	1		445,000	171,438	41,602	273,562	12/20/2006	O	12/31/2015	
4600001740	DUPONT SECURE ENVIRONMENTAL TREATMENT 23-1224B BARLEY MILL PLAZA - PO BOX WILMINGTON, DE 19880-0023 US	WASTE DISPOSAL SERVICES	S	C	8	N	N	1		53,149	46,870	0	6,279	12/20/2006	C		1/1/2013
4600001762	VEOLIA ES TECHNICAL SOLUTIONS LLC 1275 MINERAL SPRINGS DR PORT WASHINGTON, WI 53074-2163 US	DISPOSAL OF SURPLUS/OBSOLETE COMPUTER AND ELECTRONIC EQUIPMENT- ALL FACILITIES	S	B		F	N	4		250,000	74,956	11,442	175,044	2/19/2007	O	12/31/2015	
4600001839	TRADEBE ENVIRONMENTAL SERVICES LLC FORMERLY UNITED OIL RECOVERY INC 47 GRACEY MERIDEN, CT 06451 US	TRANSPORT HAZARDOUS WASTE/MATERIALS/UNIVERSAL INDUSTRIAL WASTE	S	B	8	N	N	2		1,140,851	483,222	75,529	657,629	10/1/2007	O	12/31/2015	
4600001871	E K WARD & ASSOCIATES PO BOX 630 WILLIAMSVILLE, NY 14231-0630 US	EXECUTIVE COACHING SERVICES	P	B		N	4	9		500,000	168,918	44,050	331,082	1/1/2008	C		12/31/2013

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4600001873	ALEXANDER BLDG CORP 690 N BROADWAY WHITE PLAINS, NY 10603 US	GENERAL CONTRACTING SERVICE	C	B		N	N	5		1,337,504	1,313,202	0	24,302	1/1/2008	C		1/1/2013
4600001875	C W BROWN INC 1 LABRIOLA CT ARMONK, NY 10504-1336 US	GENERAL CONTRACTING SERVICE	C	B		N	3	5		2,230,000	2,091,993	457,870	138,007	1/1/2008	C**		12/31/2013
4600001876	SCULLY CONSTRUCTION LLC 141 LAFAYETTE AVE WHITE PLAINS, NY 10603 US	GENERAL CONTRACTING SERVICE	C	B		N	N	5		1,500,000	1,018,472	223,464	481,528	1/1/2008	C**		12/31/2013
4600001883	TVGA ENGINEERING SURVEYING PC 620 MAIN ST BUFFALO, NY 14202-1906 US	SURVEY AND MAPPING	S	B		N	1	19		2,300,000	1,080,619	193,456	1,219,381	1/1/2008	C		6/28/2013
4600001898	TQS RESEARCH INC 3600 MANSELL RD - STE 220 ALPHARETTA, GA 30022 US	CONDUCT CUSTOMER SATISFACTION SURVEYS	P	C		F	N	1		222,000	109,793	0	112,207	2/1/2008	C		4/18/2013
4600001902	EME CONSULTING ENGINEERING GRP LLC 159 WEST 25TH ST NEW YORK, NY 10001-7203 US	CONDUCT ENERGY AUDITS IN THE FIVE(5)BOROUGHS OF NYC, ROCKLAND WESTCHESTER, ORANGE, ROCKLAND, PUTNAM, SUFFOLK & NASSAU COUNTIES	P	B		N	N	3		10,000,000	761,471	0	9,238,529	2/26/2008	C		4/18/2013
4600001903	GENESYS ENGINEERING PC 629 FIFTH AVE - STE 111 PELHAM, NY 10803 US	CONDUCT ENERGY AUDITS IN THE FIVE (5) BOROUGHS OF NYC, ROCKLAND WESTCHESTER, ORANGE, ROCKLAND, PUTNAM, SUFFOLK & NASSAU COUNTIES	P	B		N	N	3		10,000,000	809,536	0	9,190,464	2/26/2008	C		2/25/2013
4600001905	IRIS POWER LP 3110 AMERICAN DR MISSISSAUGA, ON L4V 1T2 CA	ST. LAWRENCE: F/D/I/C SIXTEEN FLUX TRAC MONITORING SYSTEM	E	1		F	N	1		232,520	168,875	0	63,645	3/3/2008	O	5/31/2014	
4600001906	CONSOLIDATED EDISON SOLUTIONS INC 100 SUMMITT LAKE DR - STE 410 VALHALLA, NY 10595-1373 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR NYPA'S HIGH EFFICIENCY LIGHTING PROGRAM	C	B		N	N	4		20,000,000	7,468,206	174,301	12,531,794	2/26/2008	C		4/17/2013
4600001907	APPLIED ENERGY MANAGEMENT LIGHTING LLC PO BOX 602137 CHARLOTTE, NC 28260-2137 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR NYPA'S HIGH EFFICIENCY LIGHTING PROGRAM	C	B		F	N	4		20,000,000	5,925,641	0	14,074,359	2/26/2008	C		4/17/2013
4600001916	HVB AE POWER SYSTEMS INC 7250 MCGINNIS FERRY RD SUWANEE, GA 30024 US	FABRICATE, FURNISH, DELIVER 13-SFY 230KV CIRCUIT BREAKERS FOR STL/FDR	E	B		N	N	6		1,815,124	1,525,147	115,200	289,977	1/4/2008	O	12/31/2014	
4600001921	CLEAREDGE POWER CORP FORMERLY UTC POWER CORP 195 GOVERNORS HWY SOUTH WINDSOR, CT 06074-2419 US	F/D/COMMISSION FUEL CELL POWER PLANT SYSTEMS FOR THE WORLD TRADE CENTERS	E	B		F	N	2		17,651,828	7,530,998	525,020	10,120,830	4/21/2008	O	6/30/2015	

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4600001943	AECOM USA INC 605 THIRD AVE NEW YORK, NY 10158-0180 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE SOUTHEAST NEW YORK	C	B		N	N	6		337,500,000	235,887,746	55,920,203	101,612,254	6/1/2008	O	5/31/2017	
4600001944	CDM CONSTRUCTORS INC 60 CROSSWAYS PARK W - STE 340 WOODBURY, NY 11797-2018 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE SOUTHEAST	C	B		N	N	6		123,000,000	37,758,794	20,193,336	85,241,206	6/1/2008	O	5/31/2017	
4600001946	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE SOUTHEAST NEW YORK	C	B		N	N	6		195,000,000	87,291,577	32,698,330	107,708,423	6/1/2008	O	5/31/2017	
4600001951	FULCRUM FACILITIES SERVICES DBA THE FULCRUM GROUP 80 BROAD ST - STE1601 NEW YORK, NY 10004-2209 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES - SOUTHEAST NEW YORK ENERGY SERVICES PROGRAM	C	B		N	N	6		41,500,000	12,701,528	824,642	28,798,472	6/1/2008	O	5/31/2017	
4600001974	ALTRAN SOLUTIONS CORP 2525 ROUTE 130 - STE E CRANBURY, NJ 08512-3513 US	ENGINEERING SERVICES IN SUPPORT OF ENERGY SERVICES PROJECTS	A	B		F	N	15		75,000	52,803	0	22,197	6/25/2008	C		7/10/2013
4600001975	AEROTEK INC 757 3RD AVE - STE 201 NEW YORK, NY 10017 US	HUMAN CAPITAL RECRUITMENT SERVICES	P	B		N	N	4		250,000	105,625	0	144,375	8/1/2008	C		7/31/2013
4600001976	RCM TECHNOLOGIES INC RCM TECHNOLOGIES (USA) INC 99 BAUER DR OAKLAND, NJ 07436-3101 US	ENGINEERING SERVICES IN SUPPORT OF ENERGY SERVICES PROJECTS	A	B		F	N	15		522,337	457,188	62,561	65,149	6/25/2008	C		7/10/2013
4600001977	SCHUYLER ENGINEERING PC 163 NORTH WELLWOOD AVE - STE 6 LINDENHURST, NY 11757 US	ENGINEERING SERVICES IN SUPPORT OF ENERGY SERVICES PROJECTS	A	B		N	N	15		175,000	163,257	12,352	11,743	6/25/2008	C		7/10/2013
4600001985	PRES SERVICES LLC 2430 NORTH FOREST RD - STE 106 GETZVILLE, NY 14068 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	8		45,000,000	31,963,048	10,906,054	13,036,952	8/1/2008	O	12/31/2017	
4600001998	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 333 SEVENTH AVE - 15TH FL NEW YORK, NY 10001-5091 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	8		45,000,000	19,881,145	1,237,004	25,118,855	8/1/2008	O	12/31/2017	
4600002001	CARRIER CORP 175 CENTRAL AVE - STE 300 FARMINGDALE, NY 11735-6917 US	SENY: HVAC EQUIPMENT AND SERVICES	S	B		N	N	1		1,500,000	1,102,320	243,403	397,680	10/1/2008	C		9/30/2013
4600002014	DOBLE ENGINEERING CORP 85 WALNUT ST WATERTOWN, MA 02472 US	DOBLE M-SERIES TEST EQUIPMENT & SOFTWARE	E	B		N	N	2		1,500,000	779,435	131,614	720,565	11/1/2008	C		10/31/2013

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4600002035	HATCH ASSOCIATES CONSULTANTS INC 100 SYLVAN PKWY AMHERST, NY 14228-1146 US	ON CALL ENGINEERING SERVICES	A	B		N	N	11		5,837,545	5,534,133	594,280	303,412	1/1/2009	C		4/25/2013
4600002038	ADELAIDE ENVIRONMENTAL HEALTH ASSOCIATES INC 1511 ROUTE 22 - STE C24 BREWSTER, NY 10509-4082 US	ASBESTOS PLANNING SERVICES	P	B		N	3	56		900,000	366,919	122,692	533,081	12/17/2008	C		12/16/2013
4600002039	EMTEQUE CORP 1350 BROADWAY - STE 1901 NEW YORK, NY 10018-0891 US	ASBESTOS PLANNING SERVICES	P	B		N	4	56		1,275,000	442,046	200,929	832,954	12/17/2008	C		12/16/2013
4600002040	LIRO ENGINEERS INC 3 AERIAL WAY SYOSSET, NY 11791-5501 US	ASBESTOS AND LEAD ABATEMENT PLANNING SERVICES	P	B		N	N	56		800,000	365,592	94,500	434,408	12/17/2008	C		12/16/2013
4600002041	PARSONS BRINCKERHOFF QUADE & DOUGLAS INC ONE PENN PLAZA NEW YORK, NY 10119 US	ASBESTOS PLANNING SERVICES	P	B		N	N	56		1,275,000	864,839	314,630	410,161	12/17/2008	C		12/16/2013
4600002042	TRC ENVIRONMENTAL CORP 1430 BROADWAY - 10 FL NEW YORK, NY 10018-3308 US	ASBESTOS PLANNING SERVICES	P	B		N	N	5		1,850,000	1,014,782	130,831	835,218	12/17/2008	C		12/16/2013
4600002043	WARREN & PANZER ENGINEERS PC 228 E 45TH ST NEW YORK, NY 10017 US	ASBESTOS PLANNING SERVICES	P	B		N	N	5		900,000	341,437	113,622	558,563	12/17/2008	C		12/16/2013
4600002045	GZA GEOENVIRONMENTAL OF NEW YORK 249 VANDERBILT AVE NORWOOD, MA 02062-5033 US	CIVIL & GEOTECHNICAL ENG. SERVICES	A	B		N	N	6		2,000,000	1,515,427	170,356	484,573	1/1/2009	C		12/31/2013
4600002046	GREENMAN-PEDERSEN INC 400 RELLA BLVD - STE 207 MONTEBELLO, NY 10901-4256 US	ON CALL ENGINEERING CONTRACT	A	B		N	N	10		724,341	678,629	48,934	45,712	1/1/2009	C		4/25/2013
4600002047	HARDESTY & HANOVER LLP 1501 BROADWAY NEW YORK, NY 10036-5587 US	CIVIL & GEOTECHNICAL ENG. SERVICES	A	B		N	N	6		500,000	243,565	29,097	256,435	1/1/2009	C		12/31/2013
4600002051	DELOITTE CONSULTING LLP 333 LUDLOW ST - STE 29 STAMFORD, CT 06902-6993 US	BENEFIT CONSULTING SERVICES	P	B		F	N	5		600,000	406,600	53,114	193,400	1/1/2009	C		12/31/2013
4600002054	PAUL C RIZZO ENGINEERING - NY PLLC 580 WHITE PLAINS RD - STE 4250 TARRYTOWN, NY 10591-5189 US	CIVIL & GEOTECHNICAL ENG. SERVICES	A	B		N	N	6		500,000	415,628	115,657	84,372	1/1/2009	C		12/31/2013

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4600002056	SILKROAD TECHNOLOGY INC 102 W 3RD ST - STE 250 WINSTON SALEM, NC 27101-3900 US	RECRUITMENT, APPLICANT TRACKING AND ONBOARD SOLUTION	S	B		N	N	11		228,400	213,281	39,000	15,119	9/29/2008	O	3/28/2014	
4600002059	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 75 ARLINGTON ST - 4TH FL BOSTON, MA 02116-3936 US	CIVIL & GEOTECHNICAL ENG. SERVICES	A	B		F	N	6		500,000	131,936	15,486	368,064	1/1/2009	C		12/31/2013
4600002064	CAI SERVICES P C 2700 W ARGYLE ST - PO BOX 1124 JACKSON, MI 49204-1967 US	ON CALL ENGINEERING SERVICES	A	B		F	N	11		200,642	193,685	6,296	6,957	12/17/2008	C		4/25/2013
4600002065	C & S ENGINEERS INC 499 COL EILEEN COLLINS BLVD SYRACUSE, NY 13212-3931 US	ON CALL ENGINEERING SERVICES	A	B		N	N	11		977,236	960,476	188,619	16,760	12/17/2008	C		4/25/2013
4600002069	TRC ENGINEERS INC 225 GREENFIELD PKWY - STE 203 LIVERPOOL, NY 13088-6667 US	ON CALL ENGINEERING SERVICES	A	B		N	N	11		905,500	791,708	60,126	113,792	12/17/2008	C		4/25/2013
4600002080	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	MULTIFUNCTION COPIER/PRINTER/SCAN/FAX DEVICES	E	B	7	N	N	4		1,914,520	1,611,525	94,352	302,995	5/8/2009	O	7/31/2014	
4600002081	CG POWER SOLUTIONS USA INC 403 NEW KARNER RD ALBANY, NY 12205-3809 US	ON CALL ENGINEERING SERVICES CONTRACT	A	B		N	N	11		1,712,257	1,502,184	457,604	210,073	12/17/2008	C		4/25/2013
4600002090	LEWIS TREE SERVICE INC 300 LUCIUS GORDON DR W HENRIETTA, NY 14586 US	ROW CLEARING CONTRACT	S	B		N	N	3		10,895,000	10,139,933	246,174	755,067	3/31/2009	C		9/30/2013
4600002095	WEEDS NO MORE INC PO BOX 236 WALTERSBURG, PA 15488-0236 US	BARE GROUND WEED CONTROL	S	B		N	N	3		300,000	225,613	0	74,387	3/31/2009	C		3/31/2013
4600002101	TRANSDYN INC 4256 HACIENDA DR - STE 100 PLEASANTON, CA 94588-8595 US	TURNKEY WIRELESS CONTROL OF RAIL HEATERS FOR THE NYCT	E	B		F	N	5		5,080,281	5,079,042	155,493	1,239	4/1/2009	C**		9/13/2013
4600002103	ENERGYICT INC 208 S ROGERS LN RALEIGH, NC 27610-2144 US	PROVIDE EQUIPMENT & SERVICES FOR THE END USE METERING PROJECT	S	B		F	N	4		5,000,000	578,063	204,026	4,421,937	4/15/2009	O	4/14/2014	
4600002108	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	FURNISH & DELIVER FLUORESCENT BALLASTS FOR NYPA'S HIGH EFFICIENCY LIGHTING PROGRAM	E	B		N	N	2		958,907	294,398	3,990	664,509	5/1/2009	O	3/31/2014	
4600002112	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 75 ARLINGTON ST - 4TH FL BOSTON, MA 02116-3936 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES-SOUTHEAST NEW YORK (SENY) ENERGY SERVICES PROGRAM	C	B		N	N	4		20,000,000	1,888,627	1,434,420	18,111,373	5/19/2009	C		12/31/2013

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4600002113	SOURCEONE INC 370 7TH AVE - STE 704 NEW YORK, NY 10001-0013 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES SOUTHEAST NEW YORK (SENY) ENERGY SERVICES PROGRAM	C	B		N	N	4		70,000,000	44,376,952	18,978,404	25,623,048	5/19/2009	C		12/31/2013
4600002118	GEI CONSULTANTS INC 400 UNICORN PARK DR WOBURN, MA 01801 US	FERC PART 12 INDEPENDENT CONSULTANT DAM SAFETY INSPECTION AND REPORTS	P	B		F	N	4		220,000	29,276	0	190,724	5/20/2009	O	5/19/2014	
4600002124	ROTATOR STAFFING SERVICES INC 25 KENNEDY BLVD E BRUNSWICK, NJ 08816-1259 US	ROTATOR STAFFING SERVICES TO PROVIDE TEMPORARY ENGINEERING PERSONNEL ON AN-AS-NEEDED BASIS.	S	B		N	N	13		10,298,261	8,046,349	500,673	2,251,912	7/1/2009	O	6/30/2014	
4600002125	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 07016 US	TEMPORARY ENGINEERING PERSONNEL ON AN AS-NEEDED-BASIS.	S	B		N	N	13		3,150,000	2,625,513	385,967	524,487	7/1/2009	O	6/30/2014	
4600002127	TSI TURTLE SERVICES LLC FORMERLY TECHNOSCOUTS INC 1900 LOWER RD LINDEN, NJ 07036-6519 US	PROVIDE CONSULTING SERVICES TO ASSIST IN IMPLEMENTATION OF AIR PROGRAMS REQUIREMENTS FOR ALL SENY EMISSIONS SOURCES.	S	B		N	N	6		1,000,000	930,559	119,681	69,441	7/1/2009	C		6/30/2013
4600002131	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	ROW INVENTORY CLASSIFICATION CONTRACT	P	B		N	N	6		950,000	826,078	53,606	123,922	4/1/2009	C		3/31/2013
4600002133	OP-TECH ENVIRONMENTAL SERVICES INC 1 ADLER DR EAST SYRACUSE, NY 13057-1223 US	EMERGENCY OIL SPILL RESPONSE AND DISPOSAL SERVICES	S	B		N	N	6		1,000,000	333,579	54,233	666,421	7/15/2009	O	6/30/2014	
4600002134	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE AUTHORITY'S SOUTHEAST NEW YORK (SENY) ENERGY SERVICES PROGRAM	C	B		N	N	10		20,000,000	9,653,358	3,895,843	10,346,642	7/1/2009	O	6/14/2014	
4600002135	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 333 SEVENTH AVE - 15TH FL NEW YORK, NY 10001-5091 US	SOUTHEAST NEW YORK (SENY) ENERGY SERVICES PROGRAM	C	B		N	N	10		90,000,000	17,003,169	8,211,559	72,996,831	7/1/2009	O	6/14/2014	
4600002136	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE AUTHORITY'S SOUTHEAST NEW YORK (SENY) ENERGY SERVICES PROGRAM	C	B		N	N	10		20,000,000	2,016,661	564,370	17,983,339	7/1/2009	O	6/14/2014	
4600002137	FULCRUM FACILITIES SERVICES DBA THE FULCRUM GROUP 80 BROAD ST - STE1601 NEW YORK, NY 10004-2209 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE AUTHORITY'S SOUTHEAST NEW YORK (SENY) ENERGY SERVICES PROGRAM	C	B		N	N	10		20,000,000	7,417,157	4,748,652	12,582,843	7/1/2009	O	6/14/2014	

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4600002141	B & N & K RESTORATION CO INC 223 RANDOLPH AVE CLIFTON, NJ 07011-1330 US	EMERGENCY ASBESTOS ABATEMENT	C	B		N	N	6		170,000	131,150	0	38,850	8/1/2009	O	7/31/2014	
4600002143	AWS TRUEWIND LLC 463 NEW KARNER RD ALBANY, NY 12205-3880 US	WINDPOWER CONSULTING FOR OFFSHORE PROJECTS	P	B		N	N	18		2,000,000	757,888	0	1,242,112	6/1/2009	O	5/30/2014	
4600002144	OP-TECH ENVIRONMENTAL SERVICES INC 63 TRADE RD - BLDG 4 MASSENA, NY 13662-2575 US	ASBESTOS ABATEMENT AT NYPA'S FACILITIES	C	B		N	N	6		703,200	356,099	29,307	347,101	8/1/2009	O	7/31/2014	
4600002145	WRS ENVIRONMENTAL SERVICES INC 17 OLD DOCK RD YAPHANK, NY 11980-9702 US	ASBESTOS ABATEMENT AT NYPA FACILITIES	C	B		N	N	6		63,400	0	0	63,400	7/31/2009	O	7/31/2014	
4600002146	JBH ENVIRONMENTAL INC 194 ATLANTIC AVE GARDEN CITY PARK, NY 11040-5028 US	ASBESTOS ABATEMENT AT NYPA FACILITIES	C	B		N	4	6		63,400	0	0	63,400	8/1/2009	O	7/31/2014	
4600002147	OPTIMAL ENERGY INC 10600 ROUTE 116 - STE 3 HINESBURG, VT 05461-8501 US	ENERGY EFFICIENCY CONSULTING SERVICES	P	B		N	N	13		772,000	678,297	88,614	93,703	7/1/2009	C		5/31/2013
4600002148	MILLER ENVIRONMENTAL GROUP INC 538 EDWARDS AVE CALVERTON, NY 11933-1636 US	EMERGENCY OIL SPILL RESPONSE AND DISPOSAL SERVICES	S	B		N	N	6		1,500,000	321,810	5,030	1,178,190	7/15/2009	O	6/30/2014	
4600002149	WRS ENVIRONMENTAL SERVICES INC 17 OLD DOCK RD YAPHANK, NY 11980-9702 US	EMERGENCY OIL SPILL RESPONSE AND DISPOSAL SERVICES	S	B		N	N	6		500,000	0	0	500,000	7/15/2009	O	6/30/2014	
4600002151	PRES SERVICES LLC 2430 NORTH FOREST RD - STE 106 GETZVILLE, NY 14068 US	PROGRAM MANAGEMENT AND IMPLEMENTATION SERVICES FOR FACILITIES LOCATED THROUGH OUT THE STATE OF NEW YORK	C	B		N	N	5		10,000,000	529,678	0	9,470,322	7/1/2009	O	6/30/2014	
4600002152	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR SMALL FACILITIES LOCATED THROUGHOUT THE STATE OF NEW YORK	C	B		N	N	5		10,000,000	553,500	0	9,446,500	7/1/2009	O	6/30/2014	
4600002153	SOURCEONE INC 370 7TH AVE - STE 704 NEW YORK, NY 10001-0013 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR SMALL FACILITIES THROUGHOUT THE STATE OF NEW YORK	C	B		N	N	5		10,000,000	4,653,940	2,824,713	5,346,060	7/1/2009	O	6/30/2014	
4600002154	HATCH ASSOCIATES CONSULTANTS INC 100 SYLVAN PKWY AMHERST, NY 14228-1146 US	ON CALL WINDPOWER CONSULTING	P	B		N	N	18		2,000,000	400,628	0	1,599,372	7/1/2009	O	6/30/2014	

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4600002155	GRAY & PAPE INC 60 VALLEY ST - STE 103 PROVIDENCE, RI 02909-7418 US	CULTURAL RESOURCES SERVICES	P	B		F	N	86		50,000	0	0	50,000	8/19/2009	O	8/18/2014	
4600002156	NATIONAL VACUUM CORP 408 47TH ST NIAGARA FALLS, NY 14304-2102 US	EMERGENCY OIL SPILL RESPONSE & DISPOSAL SERVICES	S	B		N	N	6		500,000	13,601	883	486,399	8/26/2009	O	6/30/2014	
4600002158	NASCO CONSTRUCTION SERVICES INC 200 BUSINESS PARK DR ARMONK, NY 10504-1700 US	COST ESTIMATING & SCHEDULING SERVICES	P	B		N	3	16		300,000	23,602	0	276,398	9/1/2009	O	8/31/2014	
4600002159	SCHUYLER ENGINEERING PC 163 NORTH WELLWOOD AVE - STE 6 LINDENHURST, NY 11757 US	NEW YORK CITY DEPT. OF BUILDINGS CONSULTING SERVICES	P	B		N	N	3		50,000	608	0	49,392	7/1/2009	O	6/30/2014	
4600002160	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 07016 US	CONSTRUCTION MANAGEMENT PERSONNEL	S	B		F	N	7		1,750,000	35,621	0	1,714,379	10/1/2009	O	9/20/2014	
4600002161	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	CONSTRUCTION MANAGEMENT PERSONNEL	S	B		F	N	7		1,750,000	544,529	84,240	1,205,471	10/1/2009	O	9/30/2014	
4600002162	HILL INTERNATIONAL INC 303 LIPPINCOTT CENTRE - STE 102 MARLTON, NJ 08053 US	CONSTRUCTION MANAGEMENT PERSONNEL	S	B		F	N	7		3,450,000	1,363,656	678,939	2,086,344	10/1/2009	O	9/30/2014	
4600002163	INDUSTRIAL STAFFING SERVICES INC 557 CRANBURY RD - STE 19 EAST BRUNSWICK, NJ 08816-5419 US	CONSTRUCTION MANAGEMENT PERSONNEL	S	B		F	3	7		50,000	0	0	50,000	10/1/2009	O	9/30/2014	
4600002166	GL GARRAD HASSAN CANADA INC 4100 MOLSON ST - STE 100 MONTREAL, PQ H1Y 3N1 CA	WIND POWER PROJECTS CONSULTING SERVICES	P	B		F	N	18		500,000	164,796	0	335,204	7/1/2009	O	6/30/2014	
4600002167	ESS GROUP INC 401 WAMPANOAG TRL - STE 400 RIVERSIDE, RI 02915 US	WIND POWER PROJECTS CONSULTING SERVICES	P	B		F	N	18		500,000	0	0	500,000	7/1/2009	O	6/30/2014	
4600002168	LANDMARK ARCHAEOLOGY INC 6242 HAWES RD ALTAMONT, NY 12009-4606 US	CULTURAL RESOURCES SERVICES	P	B		N	3	86		175,000	41,527	34,777	133,473	8/19/2009	O	8/18/2014	
4600002170	HARTGEN ARCHEOLOGICAL ASSOC INC 1744 WASHINGTON AVE EXTENSION RENSSELAER, NY 12144 US	CULTURAL RESOURCES SERVICES	P	B		N	N	86		150,000	123,035	30,658	26,965	8/19/2009	O	8/18/2014	

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4600002172	VHB ENGINEERING SURVEYING & LANDSCAPE ARCHITECTURE PC 2150 JOSHUAS PATH - STE 300 HAUPPAUGE, NY 11788-4765 US	CULTURAL RESOURCES SERVICES	P	B		N	N	86		125,000	55,788	0	69,212	8/19/2009	O	8/18/2014	
4600002174	TRC ENVIRONMENTAL CORP 4425 FORBES BLVD - STE B LANHAM, MD 20706-4338 US	CULTURAL RESOURCES SERVICES	P	B		F	N	86		125,000	16,484	0	108,516	8/19/2009	O	8/18/2014	
4600002175	ATLANTIC TESTING LAB LTD BOX 29 - CANTON-POTSDAM RD CANTON, NY 13617 US	ST. LAWRENCE POWER PROJECT-TESTING & INSPECTION SERVICES	S	B		N	N	1		316,443	184,008	60,862	132,435	1/1/2010	O	12/31/2014	
4600002177	AMERICAN ELECTRICAL TESTING CO INC 480 NEPONSET ST - BLDG 3 CANTON, MA 02021-1971 US	ON CALL SWITCHYARD MAINTENANCE SERVICES	S	B		F	N	4		3,750,000	2,340,543	191,286	1,409,457	10/1/2009	O	9/30/2014	
4600002180	LIRO PROGRAM & CONST MANGMT PC 3 AERIAL WAY SYOSSET, NY 11791-5501 US	COST ESTIMATING & SCHEDULING SERVICES	P	B		N	N	16		400,000	43,533	43,533	356,467	10/1/2009	O	9/30/2014	
4600002181	BAER & ASSOCIATES LLC 2495 MAIN ST - STE 470 BUFFALO, NY 14214-2154 US	COST ESTIMATING & SCHEDULING SERVICES	P	B		N	N	16		200,000	11,900	0	188,100	10/1/2009	O	9/30/2014	
4600002182	HILL INTERNATIONAL INC 303 LIPPINCOTT CENTRE - STE 102 MARLTON, NJ 08053 US	COST ESTIMATING & SCHEDULING SERVICES	P	B		N	N	16		300,000	38,423	28,646	261,577	10/1/2009	O	9/30/2014	
4600002190	SIEMENS INDUSTRY INC FORMERLY SIEMENS WATER TECH CORP 95 LOWER MORRISVILLE RD FALLSINGTON, PA 19054-1403 US	500MW: DEMINERALIZED WATER AGREEMENT	E	B		N	N	2		1,500,000	960,473	271,663	539,527	1/4/2010	O	12/31/2014	
4600002191	SIEMENS INDUSTRY INC FORMERLY SIEMENS WATER TECH CORP 95 LOWER MORRISVILLE RD FALLSINGTON, PA 19054-1403 US	SCPP: DEMINERALIZED WATER AGREEMENT	E	B		N	N	3		4,500,000	2,620,932	793,980	1,879,068	1/4/2010	O	12/31/2014	
4600002195	KONECRANES INC 1020 LEHIGH STATION RD HENRIETTA, NY 14467-9369 US	CRANE UPGRADE, DESIGN AND INSTALLATION, MAINTENANCE, REPAIR AND INSPECTION SERVICES	S	B		N	N	7		1,225,000	915,136	193,249	309,864	1/1/2010	O	12/31/2014	
4600002197	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	FURNISH & DELIVER FLUORESCENT AND HID LIGHTING EQUIPMENT FOR SENY PROJECTS	E	B		N	N	5		394,776	62,015	0	332,761	1/1/2010	C		12/31/2013
4600002199	ABB INC 308 DRIVE 14 CLEVELAND, NY 13042-3101 US	SWITCHYARD MAINTENANCE SERVICES	S	B		N	N	4		2,000,000	573,810	20,791	1,426,190	10/1/2009	O	9/30/2014	

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4600002200	ARCH STREET COMMUNICATIONS INC 7 BROAD ST - STE 2 PAWLING, NY 12564-1070 US	PUBLIC OUTREACH AND STRATEGIC COMMUNICATIONS SERVICES	P	B		N	3	11		998,707	805,492	266,903	193,215	1/1/2010	O	12/31/2014	
4600002201	CROWLEY WEBB AND ASSOCIATES INC 268 MAIN ST BUFFALO, NY 14202 US	PUBLIC OUTREACH AND STRATEGIC COMMUNICATIONS SERVICES	P	B		N	N	11		62,092	61,362	61,362	730	1/17/2012	O	12/31/2014	
4600002202	EMPIRE GOVERNMENT STRATEGIES 707 WESTCHESTER AVE - STE 302 WHITE PLAINS, NY 10604-3100 US	PUBLIC OUTREACH AND STRATEGIC COMMUNICATIONS SERVICES	P	B		N	N	11		31,000	30,078	0	922	1/1/2010	O	12/31/2014	
4600002203	M J BRADLEY & ASSOCIATES LLC 47 JUNCTION SQUARE DR CONCORD, MA 01742-3049 US	PUBLIC OUTREACH AND STRATEGIC COMMUNICATIONS SERVICES	P	B		N	N	11		119,500	56,757	29,257	62,743	1/1/2010	O	12/31/2014	
4600002204	ZIMMERMAN EDELSON INC 5 BOND ST - STE 1 GREAT NECK, NY 11021-2440 US	PUBLIC OUTREACH AND STRATEGIC COMMUNICATIONS SERVICES	P	B		N	N	11		72,000	51,938	0	20,062	1/1/2010	O	12/31/2014	
4600002208	MACRODYNE INC 1 FAIRCHILD SQUARE - STE 5 CLIFTON PARK, NY 12065 US	CEC: MAINTENANCE OF PMU SYSTEM	S	2		N	N	1		250,000	92,984	0	157,016	1/1/2010	O	12/31/2014	
4600002213	HO PENN MACHINERY CO INC 122 NOXON RD POUGHKEEPSIE, NY 12603-2940 US	MNR GCT PLM/STANDBY GENERATION UPGRADE PROJECT DIESEL GENERATORS	E	B		N	N	3		2,560,496	2,555,958	148,329	4,538	1/12/2010	O	3/17/2014	
4600002214	LSI LIGHTRON INC 500 HUDSON VALLEY AVE NEW WINDSOR, NY 12553 US	HELP - FURNISH & DELIVER FLUORESCENT AND HID LIGHTING FIXTURES FOR ASSORTED SENY PROJECTS	E	B		N	N	4		856,788	77,554	13,890	779,234	1/1/2010	C		12/31/2013
4600002220	MID-ISLAND ELECTRICAL SALES CORP DBA MID-ISLAND ELECTRICAL SUPPLY 59 MALL DR - PO BOX 9027 COMMACK, NY 11725-9027 US	FURNISH, DELIVER T5, T8 FLUORESCENT LIGHTING EQUIPMENT FOR ASSORTED SENY PROJECTS	E	B		N	3	5		398,307	232,157	65,389	166,150	1/1/2010	C		12/31/2013
4600002221	DNV RENEWABLES (USA) INC 1809 7TH AVE - STE 900 SEATTLE, WA 98101-1330 US	WINDPOWER CONSULTING SERVICES	P	B		F	N	18		105,000	90,939	0	14,061	7/1/2009	O	6/14/2014	
4600002222	RDS INDUSTRIES INC 4301 162ND ST FLUSHING, NY 11358-3107 US	SERVICES IN SUPPORT OF THE OPERATION AND MAINTENANCE OF SOLAR PHOTOVOLTAIC (PV) SYSTEMS	S	B		N	N	2		700,000	413,712	162,327	286,288	2/1/2010	O	1/31/2015	
4600002223	MERCURY SOLAR SYSTEMS LLC 36 MIDLAND AVE PORT CHESTER, NY 10573-4923 US	SERVICES IN SUPPORT OF THE OPERATION AND MAINTENANCE OF SOLAR PHOTOVOLTAIC (PV) SYSTEMS	S	B		N	N	2		275,000	37,196	0	237,804	2/1/2010	O	1/31/2015	

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4600002228	RDS INDUSTRIES INC 4301 162ND ST FLUSHING, NY 11358-3107 US	SERVICES IN SUPPORT OF THE OPERATION AND MAINTENANCE OF SOLAR PHOTOVOLTAIC (PV) SYSTEMS	S	B		N	N	2		475,000	0	0	475,000	2/1/2010	C		4/18/2013
4600002238	WALTER T GORMAN PE PC 115-14 BEACH CHANNEL DR ROCKAWAY PARK, NY 11694 US	PERMITTING SERVICES BETWEEN THE AUTHORITY & WALTER T. GORMAN.	P	B		N	N	2		350,000	347,428	11,117	2,572	4/1/2010	C		3/21/2013
4600002239	AON FIRE PROTECTION ENGINEERING OF ILLINOIS PC 1600 SUMMER ST - 6TH FL STAMFORD, CT 06905-5125 US	PROVIDE ENGINEERING PERMITTING SERVICES ON AN AS-NEEDED-BASIS FOR POL, 500 MW, SCPP	P	B		N	N	2		50,000	0	0	50,000	4/1/2010	C		3/21/2013
4600002243	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 75 ARLINGTON ST - 4TH FL BOSTON, MA 02116-3936 US	FERC PART 12 INSPECTION	P	B		N	N	4		192,750	102,785	60,308	89,965	4/1/2010	O	5/19/2014	
4600002254	SIEMENS INDUSTRY INC 10900 WAYZATA BLVD - STE 400 MINNETONKA, MN 55305-5602 US	CEC - ENERGY MANAGEMENT SOFTWARE (EMS) - 2010 - 2016 - UPGRADES	S	1	9	F	N	1		5,950,000	3,148,428	3,092,428	2,801,572	5/3/2010	O	5/2/2016	
4600002258	ALLIED BIOLOGICAL INC OF NEW JERSEY 580 ROCKPORT RD HACKETTSTOWN, NJ 07840-5225 US	NIAGARA POWER PROJECT-INVASIVE SPECIES CONTROL	P	B		N	N	2		252,796	225,858	26,694	26,938	9/1/2010	C		12/1/2013
4600002264	ROTATOR STAFFING SERVICES INC 25 KENNEDY BLVD E BRUNSWICK, NJ 08816-1259 US	PROVIDE DESIGNING & DRAFTING PERSONNEL ON AN AS-NEEDED-BASIS.	S	B		N	N	9		2,490,253	1,477,593	314,386	1,012,660	7/1/2010	C		7/25/2013
4600002266	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 07016 US	PROVIDE TEMPORARY DESIGNING & DRAFTING ON AN-AS-NEEDED BASIS.	S	B		N	N	9		2,802,851	945,366	311,186	1,857,485	7/1/2010	C		7/25/2013
4600002268	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	PROGRAM MANAGEMENT AND IMPLEMENTATION SERVICES - STATEWIDE	C	B		N	N	11		20,000,000	81,586	53,700	19,918,414	5/26/2010	O	5/25/2015	
4600002273	GUTH DECONZO CONSULTING ENGINEERS PC 242 W 30TH ST - 3RD FL NEW YORK, NY 10001-4903 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	11		20,000,000	2,011,327	1,716,549	17,988,673	5/26/2010	O	5/25/2015	
4600002274	EYP ARCHITECTURE & ENGINEERING PC 1ST FL NANOFAB EAST - 257 FULLER RD ALBANY, NY 12203-3613 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	11		10,000,000	53,200	53,200	9,946,800	5/26/2010	O	5/25/2015	

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4600002279	GREENMAN-PEDERSEN INC 400 RELLA BLVD - STE 207 MONTEBELLO, NY 10901-4256 US	NACE COATING CONSULTANT SERVICE	S	B		N	N	5		500,000	223,412	179,804	276,588	7/1/2010	O	6/30/2015	
4600002281	EDM INTERNATIONAL INC 4001 AUTOMATION WAY FORT COLLINS, CO 80525-3479 US	SAGOMETER MAINTENANCE	S	1	9	N	N	1		75,000	56,250	18,750	18,750	7/2/2010	O	6/30/2014	
4600002284	ORRICK HERRINGTON & SUTCLIFFE LLP 666 FIFTH AVE NEW YORK, NY 10103-0001 US	LEGAL COUNSEL FOR UNDERWRITER SERVICES	L	B		N	N	12		150,000	0	0	150,000	6/1/2010	O	5/25/2014	
4600002285	INFORMATION TECHNOLOGY CORP OF THE TRI STATES 174 STATE RT 17 N ROCHELLE PARK, NJ 07662-4011 US	APPLE MAC CONSULTING - MAINTENANCE & SUPPORT - PUBLIC AFFAIRS	S	B		N	N	3		360,000	274,485	69,241	85,515	8/2/2010	O	7/31/2015	
4600002286	GONZALEZ SAGGIO & HARLAN LLP 292 MADISON AVE - FL 19 NEW YORK, NY 10017-6313 US	LEGAL COUNSEL FOR BOND AND UNDERWRITER COUNSEL SERVICES	L	B		N	4	12		567,000	0	0	567,000	6/1/2010	O	5/25/2014	
4600002288	HAWKINS DELAFIELD & WOOD LLP ONE CHASE MANHATTAN PLAZA NEW YORK, NY 10005-1401 US	LEGAL COUNSEL FOR BOND SERVICES	L	B		N	N	12		1,522,000	1,269,593	321,885	252,407	7/20/2010	O	5/25/2014	
4600002289	NIXON PEABODY LLP 437 MADISON AVE NEW YORK, NY 10022-7001 US	LEGAL COUNSEL FOR DISCLOSURE COUNSEL SERVICES	L	B		N	N	12		400,000	9,288	9,288	390,712	7/20/2010	O	5/25/2014	
4600002290	RIVKIN RADLER LLP ATTORNEYS AT LAW 926 RXR PLZ UNIONDALE, NY 11556-3823 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	N	30		150,000	0	0	150,000	7/20/2010	O	5/25/2014	
4600002291	JINPAN INTERNATIONAL USA LIMITED DBA JST INTERNATIONAL 390 VETERANS BLVD CARLSTADT, NJ 07072-2704 US	MNR GCT PLM/STANDBY GENERATION UPGRADE - TRANSFORMERS	E	B		N	N	4		230,670	230,670	37,917	0	7/15/2010	C		6/29/2013
4600002292	SCHOEMAN UPDIKE & KAUFMAN LLP 60 E 42ND ST - 39TH FL NEW YORK, NY 10165-0049 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	3	30		100,000	0	0	100,000	7/20/2010	O	5/25/2014	
4600002293	VAN NESS FELDMAN PC 1050 THOMAS JEFFERSON ST NW WASHINGTON, DC 20007-3877 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		F	N	19		1,102,000	775,496	377,085	326,504	6/1/2010	O	5/25/2014	

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4600002294	TROUTMAN SANDERS LLP ATTORNEYS AT LAW 401 9TH ST NW - STE 1000 WASHINGTON, DC 20004-2146 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		F	N	19		1,090,560	634,927	18,762	455,633	6/1/2010	O	5/25/2014	
4600002295	MERCER THOMPSON LLC 191 PEACHTREE ST NE - STE 4410 ATLANTA, GA 30303-1756 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		F	N	19		138,000	1,824	0	136,176	7/20/2010	O	5/25/2014	
4600002297	NAVIGANT CONSULTING INC 30 S WACKER DR - STE 3100 CHICAGO, IL 60606-7444 US	CONSULTANT SERVICES TO ASSIST NYPA'S RSC GROUP IN NERC RELIABILITY STANDARDS	P	B		N	N	8		2,419,000	2,148,167	217,402	270,833	7/1/2010	O	6/30/2015	
4600002299	SYSTEM OPERATIONS SUCCESS INT'L LLC 10715 SIKES PL - STE 114 CHARLOTTE, NC 28277-8175 US	SERVICES TO ASSIST RSC GROUP FOR NERC RELIABILITY STANDARDS	P	B		F	N	8		79,750	52,484	34,250	27,266	7/1/2010	O	6/30/2015	
4600002301	QUANTA TECHNOLOGY LLC 4020 WESTCHASE BLVD - STE 300 RALEIGH, NC 27607-3960 US	NERC RELIABILITY STANDARDS CONSULTING SERVICES	P	B		F	N	8		237,220	235,207	107,353	2,013	7/1/2010	O	6/30/2015	
4600002304	HOLLAND & KNIGHT LLP 31 W 52ND ST - 11TH FL NEW YORK, NY 10019-6111 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		F	N	30		3,500,000	29,821	15,694	3,470,179	6/1/2010	O	5/25/2014	
4600002305	HOLLAND & KNIGHT LLP 31 W 52ND ST - 11TH FL NEW YORK, NY 10019-6111 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		F	N	19		1,300,000	1,089,370	503,246	210,630	6/1/2010	O	5/25/2014	
4600002306	RUSKIN MOSCOU FALTISCHEK PC 1425 RXR PLZ - EAST TOWER - 15TH FL UNIONDALE, NY 11556-3807 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		N	N	19		9,439	9,439	0	0	7/23/2010	O	5/25/2014	
4600002307	RUSKIN MOSCOU FALTISCHEK PC 1425 RXR PLZ - EAST TOWER - 15TH FL UNIONDALE, NY 11556-3807 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	N	30		150,000	881	0	149,119	6/23/2010	O	5/25/2014	
4600002308	NIXON PEABODY LLP 437 MADISON AVE NEW YORK, NY 10022-7001 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	N	30		400,000	155,752	24,630	244,248	7/27/2010	O	5/25/2014	
4600002309	NIXON PEABODY LLP 437 MADISON AVE NEW YORK, NY 10022-7001 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		F	N	19		260,000	2,689	0	257,311	7/28/2010	O	5/25/2014	
4600002310	RDS INDUSTRIES INC 4301 162ND ST FLUSHING, NY 11358-3107 US	DESIGN, FABRICATION, DELIVERY & INSTALLATION OF SOLAR PHOTOVOLTAIC(PV) SYSTEMS	C	B		N	N	4		850,000	263,138	0	586,862	7/1/2010	O	6/30/2015	
4600002311	SOLAR LIBERTY ENERGY SYSTEMS INC 6500 SHERIDAN DR - STE 120 BUFFALO, NY 14221-4845 US	DESIGN, FABRICATION, DELIVERY & INSTALLATION OF SOLAR PHOTOVOLTAIC(PV) SYSTEMS	C	B		N	N	4		850,000	747,082	0	102,918	7/1/2010	O	6/30/2015	

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4600002312	CARTER LEDYARD & MILBURN 2 WALL ST NEW YORK, NY 10005 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	N	30		375,000	122,955	95,301	252,045	7/28/2010	O	5/25/2014	
4600002313	REISMAN PEIREZ & REISMAN LLP 1305 FRANKLIN AVE - STE 270 GARDEN CITY, NY 11530-7902 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	N	30		175,000	0	0	175,000	7/28/2010	O	5/28/2014	
4600002314	WHITEMAN OSTERMAN & HANNA LLP ATTORNEYS AT LAW 1 COMMERCE PLZ ALBANY, NY 12260-1000 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	N	30		800,000	358,080	255,921	441,920	7/28/2010	O	5/28/2014	
4600002315	HITACHI POWER SYSTEMS AMERICA LTD 645 MARTINSVILLE RD BASKING RIDGE, NJ 07920-4701 US	LPGP LEM -PUMP TURBINE UPGRADE, ACCESSORY REPLACEMENT AND UNIT OVERHAUL	C	B		F	N	6		125,507,430	25,210,118	18,457,668	100,297,312	7/28/2010	O	12/31/2020	
4600002316	HOHL INDUSTRIAL SERVICES INC 770 RIVERVIEW BLVD TONAWANDA, NY 14150-7880 US	INTAKE GATES AND DRAFT TUBE GATES REFURBISHMENT	S	B		N	1	7		13,578,685	3,578,235	1,427,142	10,000,450	7/28/2010	O	12/30/2023	
4600002317	AECOM USA INC 605 THIRD AVE NEW YORK, NY 10158-0180 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	11		20,000,000	30,737	18,237	19,969,263	5/26/2010	O	5/25/2015	
4600002319	CARCO GROUP INC 5000 CORPORATE CT - STE 203 HOLTSVILLE, NY 11742 US	BACKGROUND INVESTIGATION SERVICES	S	C		N	N	9		2,020,000	1,536,271	598,125	483,729	7/1/2010	O	6/30/2015	
4600002321	MUNICIPAL TESTING LABORATORY INC 375 RABRO DR - PO BOX 14124 HAUPPAUGE, NY 11788-4227 US	SPECIAL INSPECTION & LABORATORY TESTING SERVICES	S	B		N	N	3		5,000,000	1,906,457	1,219,387	3,093,543	8/26/2010	O	8/25/2015	
4600002322	SIRINA PROTECTION SYSTEMS CORP 112 W 34TH ST - STE 2102 NEW YORK, NY 10120-2401 US	WATER MIST FIRE PROTECTION SYSTEM BLENHEIM-GILBOA VISITOR CENTER	E	B		N	N	1		680,000	544,953	0	135,047	8/31/2010	O	3/28/2014	
4600002326	UNITED SUPPLY SYSTEMS A DIV OF PARADIGM GROUP 350 MICHAEL DR SYOSSET, NY 11791-5307 US	JANITORIAL SUPPLIES	E	B		N	N	4		375,000	181,573	58,600	193,427	11/1/2010	O	10/31/2015	
4600002330	DAY & ZIMMERMANN NPS INC 1818 MARKET ST PHILADELPHIA, PA 19103 US	SENY: GENERAL MAINTENANCE SERVICES	S	B		F	N	2		15,000,000	11,063,601	3,969,614	3,936,399	11/1/2010	O	10/31/2015	
4600002332	EPIC CONTRACTING OF ORCHARD PARK INC 3576 S BENZING RD ORCHARD PARK, NY 14127-1703 US	LONG TERM ASBESTOS ABATEMENT PROJECT AT NIAGARA POWER PROJECT	C	B		N	N	3		834,498	834,498	0	0	10/6/2010	C		4/24/2013

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4600002335	MITCHELL MARTIN INC dba GARRETT SAYER GROUP 307 W 38TH ST - STE 1305 NEW YORK, NY 10018-9521 US	2010-2012 - SAP TEMPORARY PROGRAMMING PERSONNEL	S	B		N	N	11		534,302	489,842	85,540	44,460	10/1/2010	C		12/31/2013
4600002339	T Y LIN INTERNATIONAL ENGINEERING ARCHITECTURE & LAND SURVEYING PC 255 EAST AVE ROCHESTER, NY 14604-2625 US	TY LIN INT'L TO PROVIDE ON-CALL COMPLIANCE ENGINEERS TO THE AUTHORITY ON AN AS NEEDED BASIS.	S	B		N	N	8		425,000	0	0	425,000	10/1/2010	O	9/30/2015	
4600002340	COLDEN CORP 5842 HERITAGE LANDING DR EAST SYRACUSE, NY 13057-9359 US	INDUSTRIAL HYGIENE/OCCUPATIONAL HEALTH AND SAFETY SERVICES	S	B		N	N	3		2,200,000	706,258	232,686	1,493,742	11/22/2010	O	12/14/2015	
4600002342	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	PROVIDE SERVICES FOR ASSESSMENT, IMPLEMENTATION, TRAINING & HOSTING SERVICES FOR INFO MANAGEMENT SYSTEM TO SUPPORT RELICENSING OF BG	P	B		N	N	4		802,934	382,263	37,619	420,671	11/29/2010	O	11/28/2015	
4600002348	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	PROVIDE FLUORESCENT, HID AND ENCORE LIGHTING FIXTURES AND EQUIPMENT FOR SENY PROJECTS	E	B		N	N	3		3,113,601	2,522,397	521,710	591,204	1/1/2011	O	4/30/2014	
4600002350	M G MCLAREN PC 100 SNAKE HILL RD WEST NYACK, NY 10994 US	UNDERWATER INSPECTION SERVICES	S	B		N	N	10		500,000	17,050	17,050	482,950	1/3/2011	O	12/30/2015	
4600002351	BIDCO MARINE GROUP INC 220 KATHERINE ST BUFFALO, NY 14210-2008 US	UNDERWATER INSPECTION SERVICES	S	B		N	N	10		1,500,000	599,620	182,357	900,380	1/3/2011	O	12/30/2015	
4600002352	SJB SERVICES INC 5167 SOUTH PARK AVE HAMBURG, NY 14075 US	NIAGARA POWER PROJECT - ON-CALL TESTING	S	B		N	N	4		400,000	78,832	14,993	321,168	1/1/2011	O	12/31/2015	
4600002353	RCM TECHNOLOGIES INC RCM TECHNOLOGIES (USA) INC 99 BAUER DR OAKLAND, NJ 07436-3101 US	PROVIDE ENGINEERING SERVICES IN SUPPORT OF ENERGY SERVICES AND DISTRIBUTED GENERATION PROJECTS	A	B		F	N	29		650,000	12,795	2,400	637,205	1/3/2011	O	1/2/2016	
4600002355	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	PROVIDE ENGINEERING SERVICES FOR ENERGY SERVICES & DISTRIBUTED GENERATION PROJECTS	A	B		F	N	29		400,000	176,226	29,185	223,774	1/3/2011	O	1/2/2016	
4600002356	MID-ISLAND ELECTRICAL SUPPLY DBA FOR MIE-TH HOLDINGS LLC 59 MALL DR COMMACK, NY 11725-9027 US	PROVIDE LIGHTING EQUIPMENT FOR THE HELP PROGRAM.	E	B		N	N	3		167,611	4,227	0	163,384	1/1/2011	C		12/31/2013
4600002357	LUCIUS PITKIN INC 304 HUDSON ST - 4TH FL NEW YORK, NY 10013-1015 US	ON-CALL FAILURE ANALYSIS METALLURGICAL EXAMINATION AND TESTING SERVICES	P	B		N	N	3		550,000	157,111	69,500	392,889	1/1/2011	O	12/31/2015	

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4600002358	RUDELL & ASSOCIATES INC 1111 40TH AVE LONG ISLAND CITY, NY 11101-6106 US	PROVIDE ENGINEERING SERVICES IN SUPPORT OF ENERGY SERVICES AND DISTRIBUTED GENERATION PROJECTS	A	B		N	4	29		275,000	0	0	275,000	1/3/2011	C		3/7/2013
4600002359	ALTRAN SOLUTIONS CORP 2525 ROUTE 130 - STE E CRANBURY, NJ 08512-3513 US	PROVIDE ENGINEERING SERVICES IN SUPPORT OF ENERGY SERVICES AND DISTRIBUTED GENERATION PROJECTS	A	B		F	N	29		400,000	290,309	173,574	109,691	1/3/2011	O	1/2/2016	
4600002360	SENSOR SWITCH INSTALLATIONS LLC 3 ESSEX SQ ESSEX, CT 06426-1113 US	PROVIDE OCCUPANCY SENSORS AND DAYLIGHT CONTROL DEVICES	E	B		N	3	3		500,000	167,916	28,896	332,084	1/1/2011	O	3/31/2014	
4600002361	ENERGY CONSERVATION & SUPPLY 55 WASHINGTON ST STE 302A BROOKLYN, NY 11201 US	PROVIDE OCCUPANCY SENSORS AND DAYLIGHT CONTROL DEVICES FOR THE POWER AUTHORITY'S HELP PROJECTS	E	B		N	N	3		500,000	305,191	87,498	194,809	1/1/2011	O	3/31/2014	
4600002362	THE BRATTLE GROUP 44 BRATTLE ST CAMBRIDGE, MA 02138-3736 US	RISK MANAGEMENT CONSULTING SERVICES	P	B		F	N	9		600,000	377,285	135,375	222,715	1/1/2011	C		12/31/2013
4600002363	PA CONSULTING GROUP INC 405 LEXINGTON AVE - FL 45 NEW YORK, NY 10174-0002 US	RISK MANAGEMENT CONSULTING SERVICES	P	B		F	N	9		250,000	70,000	20,000	180,000	1/1/2011	C		12/31/2013
4600002364	RISK CENTRIX LLC 225 GOOD HOPE RD BLUFFTON, SC 29909-3106 US	RISK MANAGEMENT CONSULTING SERVICES	P	B		F	N	9		250,000	150,620	0	99,380	1/1/2011	C		12/31/2013
4600002365	SIEMENS INDUSTRY INC FORMERLY PACE GLOBAL LLC 4401 FAIR LAKES CT - STE 400 FAIRFAX, VA 22033-3848 US	RISK MANAGEMENT CONSULTING SERVICES	P	B		F	N	9		250,000	52,807	18,692	197,193	1/1/2011	C		12/31/2013
4600002366	RMG FINANCIAL CONSULTING INC 813 EAST BALLARD COLBERT, WA 99005 US	RISK MANAGEMENT CONSULTING SERVICES	P	B		F	N	9		250,000	24,700	5,000	225,300	1/1/2011	C		12/31/2013
4600002367	SAPTA GLOBAL INC DBA ZEN41T 267 AMBOY AVE WOODBRIDGE, NJ 07095-2803 US	2010-2012 - SAP TEMPORARY PROGRAMMING PERSONNEL	S	B		N	4	99		300,000	280,800	0	19,200	1/10/2011	C		12/31/2013
4600002368	WM GROUP ENGINEERS PC 370 7TH AVE - STE 701 NEW YORK, NY 10001-3913 US	PROVIDE ENGINEERING SERVICES FOR ENERGY SERVICES & DISTRIBUTED GENERATION PROJECTS	A	B		N	4	29		275,000	0	0	275,000	1/3/2011	O	1/2/2016	
4600002370	APOGEE TRANSLITE INC 593 ACORN ST DEER PARK, NY 11729-3617 US	FURNISH & DELIVER LIGHTING EQUIPMENT FOR ASSORTED PROJECTS THROUGHOUT SOUTHEAST NEW YORK	E	B		N	N	3		249,850	141,300	0	108,550	3/1/2011	O	2/28/2014	

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4600002371	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	FURNISH & DELIVER LIGHTING EQUIPMENT FOR ASSORTED PROJECTS THROUGHOUT SOUTHEAST NEW YORK	E	B		N	N	3		33,818	32,160	0	1,658	3/1/2011	C		4/17/2013
4600002372	MID-ISLAND ELECTRICAL SUPPLY DBA FOR MIE-TH HOLDINGS LLC 59 MALL DR COMMACK, NY 11725-9027 US	FURNISH & DELIVER FLUORESCENT, LED AND HID LIGHTING EQUIPMENT FOR ASSORTED SENY PROJECTS	E	B		N	N	3		86,918	10,285	1,340	76,633	3/1/2011	C		12/31/2013
4600002373	CANDELA SYSTEMS CORP 148 ROUTE 202 SOMERS, NY 10589-1606 US	FURNISH & DELIVER SPECULAR RETROFIT REFLECTORS KITS ON AN AS NEEDED BASIS THROUGHOUT NEW YORK STATE	E	B		N	4	2		650,000	0	0	650,000	3/1/2011	O	2/28/2014	
4600002374	ADVANCED ENERGY & LIGHTING INC 23 E 10 ST - STE 615 NEW YORK, NY 10003-6137 US	FURNISH & DELIVER SPECULAR REFLECTOR RETROFIT KITS FOR PROJECTS THROUGHOUT NEW YORK STATE	E	B		N	N	2		650,000	34,844	0	615,156	3/1/2011	O	2/28/2014	
4600002375	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	ON CALL ENGINEERING CONTRACT AT NYPA POWER PROJECTS	A	B		F	N	11		110,000	98,362	0	11,638	2/10/2011	C		4/25/2013
4600002378	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	B-G PUMPED STORAGE PLANT - FERC PART 12D DAM SAFETY INSPECTION &REPORT	P	B		N	N	6		230,000	128,632	104,781	101,368	5/25/2010	O	12/31/2014	
4600002384	SIEMENS INDUSTRY INC FORMERLY PACE GLOBAL LLC 4401 FAIR LAKES CT - STE 400 FAIRFAX, VA 22033-3848 US	ENERGY COMMODITY RISK ANALYSIS SOFTWARE SOLUTION	E	B		F	N	6		1,400,000	956,108	360,971	443,892	4/1/2011	O	3/31/2015	
4600002391	TEST PRODUCTS INC (TPI) 302 NEW MILL LANE EXTON, PA 19341 US	STATION BATTERY TESTING	S	B		N	N	3		325,000	112,938	50,322	212,062	4/1/2011	O	3/31/2016	
4600002392	BLACK & VEATCH NEW YORK LLP 11401 LAMAR AVE OVERLAND PARK, KS 66211-1508 US	GENERAL ELECTRIC RATE CONSULTING SERVICES	P	B		N	N	6		2,000,000	126,950	0	1,873,050	4/1/2011	O	3/31/2016	
4600002394	WELSBACH ELECTRIC CORP 111-01 14TH AVE COLLEGE POINT, NY 11356-0252 US	POTHEAD REPLACEMENT PROJECT NIAGARA LPGP LEM PROJECT	C	B		N	N	3		3,296,861	2,969,965	886,991	326,896	4/7/2011	O	4/6/2014	
4600002396	FERGUSON ELECTRIC CONSTRUCTION CO INC 333 ELLICOTT ST BUFFALO, NY 14203-1618 US	GENERATOR STEP UP TRANSFORMER INSTALLATION AT NIAGARA LPGP LEM PROJECT	C	B		N	N	3		4,519,580	3,888,776	825,564	630,804	4/4/2011	O	2/14/2014	

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4600002398	KELLY & HAYES ELECT SUPPLY INC 66 SOUTHERN BLVD NESCONSET, NY 11767-1092 US	FURNISH & DELIVER FLUORESCENT AND LED LIGHTING EQUIPMENT FOR ASSORTED SENY PROJECTS	E	B		N	N	4		988,710	112,943	0	875,767	5/9/2011	C		5/8/2013
4600002399	L B ELECTRIC SUPPLY CO INC 5202 NEW UTRECHT AVE BROOKLYN, NY 11219-3899 US	PROVIDE LED LIGHTING EQUIPMENT FOR ASSORTED SENY PROJECTS AND JFK BUILDING NO. 14	E	B		N	3	5		73,300	47,157	23,405	26,143	6/1/2011	C		5/31/2013
4600002400	CASTLE POWER SOLUTIONS LLC 22 HUDSON FALLS RD - STE B SOUTH GLENS FALLS, NY 12803-5067 US	POWER FACTOR CORRECTIONS & POWER QUALITY IMPROVEMENTS	C	B		N	N	3		100,000	0	0	100,000	4/1/2011	O	3/31/2016	
4600002401	RENOVA LIGHTING SYSTEMS INC 20 MIDDLESEX RD MANSFIELD, MA 02048-1828 US	PROVIDE FLUORESCENT LIGHTING EQUIPMENT FOR JFK BUILDING NO. 14 AND ASSORTED SENY PROJECTS	E	B		N	N	3		234,948	90,257	16,355	144,691	5/16/2011	C		5/15/2013
4600002405	URS CORP ONE PENN PLAZA - SE 610 NEW YORK, NY 10119-0698 US	CONSULTING FOR ENVIRONMENTAL, HEALTH AND SAFETY AUDITS AT NYPA OPERATING FACILITIES	P	B		N	N	13		375,000	37,165	0	337,835	6/8/2011	O	6/7/2016	
4600002406	SHAW ENVIRONMENTAL INC 13 BRITISH AMERICAN BLVD LATHAM, NY 12110 US	CONSULTING FOR ENVIRONMENTAL, HEALTH AND SAFETY AUDITS AT NYPA OPERATING FACILITIES	P	B		N	N	13		375,000	208,725	109,450	166,275	6/8/2011	O	6/7/2016	
4600002409	UNIVERSAL OFFICE CLEANING INC PO BOX 716 SYOSSET, NY 11791 US	FLYNN/BRENTWOOD: JANITORIAL SERVICES	S	B		N	N	9		160,000	68,315	40,004	91,685	7/1/2011	O	6/30/2014	
4600002410	EDBAUER CONSTRUCTION 2790 CLINTON ST WEST SENECA, NY 14224 US	NIAGARA POWER PROJECT ROADWAY BRIDGES EXPANSION JOINT REPLACEMENT	C	B		N	N	5		2,250,568	2,173,975	129,853	76,593	6/29/2011	C		11/29/2013
4600002411	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	PART 12 FERC DAM SAFETY INSPECTION AND REPORT FOR THE CRESCENT AND VISCHER FERRY HYDROELECTRIC PROJECTS	P	B		N	N	6		206,000	119,401	83,801	86,599	6/30/2011	O	6/29/2015	
4600002414	ALSTOM POWER INC 7901 SOUTHPARK PLZ - STE 110 LITTLETON, CO 80120-4505 US	RMNPP UNITS 2 AND 13 STANDARDIZATION	C	B		F	N	2		8,943,359	7,730,130	3,735,915	1,213,229	7/1/2011	O	5/31/2014	
4600002419	ALSTOM GRID INC DISCONNECT SWITCHES DIV 11 GUTTMAN BLVD CHARLEROI, PA 15022-1016 US	765 KV MODS	E	B		F	N	2		3,117,012	2,182,305	722,395	934,707	7/7/2011	O	12/31/2014	
4600002424	BEST LED GROUP DBA FOR ZIO ENTERPRISES INC 1300 VETERANS HWY - STE 120 HAUPPAUGE, NY 11788-3063 US	FURNISH & DELIVER LIGHTING EQUIPMENT FOR THE PROJECT AT RANAQUA GARAGE AND SENY PROJECTS	E	B		N	3	2		41,990	10,302	0	31,688	8/1/2011	C		10/3/2013

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4600002425	KONECRANES INC 1020 LEHIGH STATION RD HENRIETTA, NY 14467-9369 US	OSHA ANNUAL CRANE AND FLEET INSPECTION SERVICES	S	B		N	N	1		375,000	99,396	54,940	275,604	7/20/2011	O	7/19/2016	
4600002426	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 07016 US	DESIGN AND DRAFTING SERVICES FOR ENERGY SERVICES PROJECTS	S	B		F	N	8		50,000	0	0	50,000	7/1/2011	O	6/30/2016	
4600002427	CDM CONSTRUCTORS INC 60 CROSSWAYS PARK W - STE 340 WOODBURY, NY 11797-2018 US	DESIGN AND DRAFTING SERVICES FOR ENERGY SERVICES PROJECTS	S	B		N	N	8		50,000	0	0	50,000	7/1/2011	O	6/30/2016	
4600002428	RITTER GROUP USA INC FORMERLY REGASOL USA INC 1927 W MAIN ST STROUDSBURG, PA 18360-1029 US	FURNISH & DELIVER SOLAR THERMAL EQUIPMENT FOR THE NYPA'S ENERGY SERVICES PROJECTS	E	B		N	N	4		1,350,000	391,887	59,286	958,113	8/5/2011	O	8/4/2014	
4600002429	D J H MECHANICAL SERVICES INC 155 E KINGSBRIDGE RD MOUNT VERNON, NY 10550-4929 US	FURNISH & DELIVER SOLAR THERMAL EQUIPMENT FOR NYPA'S VARIOUS ENERGY SERVICES PROJECTS	E	B		N	4	4		250,000	0	0	250,000	8/5/2011	O	8/4/2014	
4600002430	EME CONSULTING ENGINEERING GRP LLC 159 WEST 25TH ST NEW YORK, NY 10001-7203 US	RETRO-COMMISSIONING, THIRD PARTY COMMISSIONING AND PROJECT IMPLEMENTATION SERVICES	C	B		N	N	9		2,500,000	651,045	508,695	1,848,955	9/7/2011	O	7/31/2014	
4600002431	WSP FLACK + KURTZ 512 7TH AVE NEW YORK, NY 10018 US	RETRO-COMMISSIONING, THIRD PARTY COMMISSIONING AND PROJECT IMPLEMENTATION SERVICES	C	B		F	N	9		2,500,000	253,906	248,516	2,246,094	9/7/2011	O	7/31/2014	
4600002432	HORIZON ENGINEERING ASSOCIATES LLP MICHAEL ENGLISH 30 BROAD ST - 15TH FL NEW YORK, NY 10004-2969 US	RETRO-COMMISSIONING, THIRD PARTY COMMISSIONING AND PROJECT IMPLEMENTATION SERVICES	C	B		N	N	9		2,500,000	424,030	150,430	2,075,970	9/7/2011	O	7/31/2014	
4600002436	EATON CORP 690 RAHWAY AVE UNION, NJ 07083-6632 US	CONTROL SYSTEM INTEGRATION PROJECT AT LEWISTON PUMP GENERATING PLANT	C	C		F	N	5		13,061,239	4,344,923	3,108,188	8,716,316	9/27/2011	O	11/27/2020	
4600002438	TRC ENGINEERS INC 1430 BROADWAY - FL 10 NEW YORK, NY 10018-9202 US	ON CALL ENGINEERING SERVICES	A	B		F	N	23		2,000,000	865,603	832,935	1,134,397	10/1/2011	O	9/30/2015	
4600002439	GREENMAN-PEDERSEN INC 400 RELLA BLVD - STE 207 MONTEBELLO, NY 10901-4256 US	ON CALL ENGINEERING SERVICES	A	B		N	N	23		1,500,000	456,364	293,032	1,043,636	10/1/2011	O	9/30/2015	
4600002440	AECOM USA INC 605 THIRD AVE NEW YORK, NY 10158-0180 US	ON CALL ENGINEERING SERVICES	A	B		N	N	23		5,800,000	2,896,892	1,737,254	2,903,108	10/1/2011	O	9/30/2015	

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4600002441	STONE & WEBSTER ENGINEERNIG NY PC 100 TECHNOLOGY CENTER DR STOUGHTON, MA 02072 US	ON CALL ENGINEERING SERVICES	A	B		F	N	23		700,000	214,135	107,135	485,865	10/1/2011	O	9/30/2015	
4600002442	MONROE STAFFING SERVICES LLC PO BOX 187 MONROE, CT 06468-0187 US	IT TEMPORARY PERSONNEL	S	B		N	N	45		1,000,000	534,587	248,751	465,413	10/1/2011	O	9/30/2014	
4600002443	NAUTILUS CONSULTING LLC 6800 JERICHO TPKE - STE 216E SYOSSET, NY 11791-4445 US	RISK MGT. CONSULTING SERVICES FOR THE AUTHORITY'S ENERGY SERVICES DIVISION	P	B		N	N	11		2,000,000	977,137	646,185	1,022,863	10/1/2011	O	9/30/2016	
4600002444	ARCADIS US INC 655 3RD AVE - 12TH FL NEW YORK, NY 10017-5617 US	CONSTRUCTION CLAIMS RISK MANAGEMENT CONSULTANT SERVICES TO THE AUTHORITY ON AN-AS-NEEDED BASIS.	P	B		N	N	11		500,000	50,332	50,332	449,668	10/1/2011	O	9/30/2016	
4600002445	THE LOUIS BERGER GROUP INC 48 WALL ST - 16TH FL NEW YORK, NY 10005-2927 US	CONSTRUCTION CLAIMS RISK MGT. CONSULTANT TO THE AUTHORITY ON AN-AS-NEEDED BASIS.	P	B		N	N	11		500,000	0	0	500,000	10/1/2011	O	9/30/2016	
4600002446	HILL INTERNATIONAL INC 303 LIPPINCOTT CENTRE - STE 102 MARLTON, NJ 08053 US	CONSTRUCTION CLAIMS RISK MGT. SERVICES TO THE AUTHORITY ON-AN-AS-NEEDED BASIS.	P	B		N	N	11		1,500,000	965,497	685,965	534,503	10/1/2011	O	9/30/2016	
4600002447	MILLER ENVIRONMENTAL GROUP INC 538 EDWARDS AVE CALVERTON, NY 11933-1636 US	GENERAL ENVIRONMENTAL SERVICES FOR THE AUTHORITY'S SENY POWER PLANTS	S	B		N	N	5		5,000,000	1,205,556	705,576	3,794,444	10/1/2011	O	9/30/2016	
4600002448	ALLSTATE POWER VAC INC 928 E HAZELWOOD AVE RAHWAY, NJ 07065 US	TO PROVIDE GENERAL ENVIRONMENTAL SERVICES FOR THE AUTHORITY'S SENY POWER PLANTS	S	B		N	N	5		2,500,000	9,949	9,949	2,490,051	10/1/2011	O	9/30/2016	
4600002449	MITCHELL MARTIN INC DBA GARRETT SAYER GROUP 307 W 38TH ST - STE 1305 NEW YORK, NY 10018-9521 US	IT TEMPORARY PERSONNEL	S	B		N	N	45		1,700,000	1,215,077	545,420	484,923	10/1/2011	O	9/30/2014	
4600002450	UNIQUE COMP INC 2708 42ND RD LONG ISLAND CITY, NY 11101-4112 US	IT TEMPORARY PERSONNEL	S	B		N	4	45		600,000	280,801	132,160	319,199	10/1/2011	O	9/30/2014	
4600002451	CARLYLE CONSULTING SERVICES INC 641 LEXINGTON AVE - STE 1526 NEW YORK, NY 10022 US	IT TEMPORARY PERSONNEL	S	B		N	N	45		1,500,000	466,056	238,394	1,033,944	10/1/2011	O	9/30/2014	

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4600002452	VEOLIA ES TECHNICAL SOLUTIONS LLC 1275 MINERAL SPRINGS DR PORT WASHINGTON, WI 53074-2163 US	RECYCLING OF LIGHT BALLASTS AND LAMPS AND DISPOSAL OF SMALL CAPACITORS, MERCURY EQUIP., BATTERIES AND PCB IMPACTED LIGHT FIX	S	B		N	N	2		7,500,000	961,086	700,542	6,538,914	10/1/2011	O	9/30/2016	
4600002453	RCG GLOBAL SERVICES INC 379 THORNALL ST - 14TH FL EDISON, NJ 08837-2225 US	IT TEMPORARY PERSONNEL	S	B		N	N	45		1,000,000	427,376	107,618	572,624	10/1/2011	O	9/30/2014	
4600002456	COYNE TEXTILE SERVICES INC 140 CORTLAND AVE SYRACUSE, NY 13202-3411 US	LEASE/PURCHASE OF FIRE RETARDANT CLOTHING (FRC) FOR UPSTATE SITES(B-G, CEC, NIA, STL AND WPO)	E	B		N	N	4		1,000,000	434,270	221,978	565,730	1/1/2012	O	12/31/2016	
4600002457	GE ENERGY CONTROL SOLUTIONS INC 1800 NELSON RD LONGMONT, CO 80501-6324 US	DESIGN, FURNISH, DELIVER 12 STATIC EXCITATION SYSTEMS	E	B		F	N	5		4,116,470	2,052,282	130,238	2,064,188	12/2/2011	O	12/31/2021	
4600002458	SILICON SOLAR LLC DBA SUNMAXX SOLAR 56 BROOME CORPORATE PKWY CONKLIN, NY 13748-1506 US	FURNISH & DELIVER OF SOLAR THERMAL EQUIPMENT - FLAT PLATE TYPE SOLAR COLLECTORS FOR THE ENERGY SERVICE PROJECTS	E	B		N	N	4		400,000	18,980	18,980	381,020	12/2/2011	O	8/4/2014	
4600002461	READSOFT INC 3838 N CAUSEWAY BLVD - STE 2400 METAIRIE, LA 70002-8308 US	IMPLEMENT, MAINTAIN ACCOUNTS PAYABLE AUTOMATION SYSTEM SOFTWARE	S	B		N	N	5		496,000	420,067	282,341	75,933	12/23/2011	O	9/30/2017	
4600002462	DIALIGHT CORP 1501 RTE 34 S FARMINGDALE, NJ 07727 US	FURNISH & DELIVER OF LED BULBS FOR THE NEW YORK CITY TRANSIT SUBWAY TUNNELS FOR THE ENERGY SERVICES. DEPT.	E	B		N	N	3		5,500,000	1,902,840	656,753	3,597,160	12/19/2011	O	12/18/2016	
4600002463	WENDEL CONSTRUCTION INC 140 JOHN JAMES AUDUBON PKWY STE 201 AMHERST, NY 14228 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR DATA CENTER ENERGY EFFICIENCY PROJECTS	C	B		N	N	8		5,000,000	0	0	5,000,000	1/1/2012	O	12/31/2016	
4600002464	SOURCEONE INC 370 7TH AVE - STE 704 NEW YORK, NY 10001-0013 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR DATA CENTER ENERGY EFFICIENCY PROJECTS	C	B		N	N	8		5,000,000	0	0	5,000,000	1/1/2012	O	12/31/2016	
4600002465	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR DATA CENTER ENERGY EFFICIENCY PROJECTS	C	B		N	N	8		5,000,000	0	0	5,000,000	1/1/2012	O	12/31/2016	
4600002467	NAES CORP 1 COLLINS DR - STE 100 CARNEYS POINT, NJ 08069 US	SCPP: OPERATION AND MAINTENANCE SERVICES	S	B		N	N	5		35,000,000	10,421,013	5,714,899	24,578,987	1/1/2012	O	12/31/2016	

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4600002470	AQUATIPRO DIV OF SENTRY EQUIPMENT C 966 BLUE RIBBON CIR N OCONOMOWOC, WI 53066-8666 US	TO PROVIDE TO THE AUTHORITY THE FOLLOWING SERVICE FOR ON-LINE CHEMISTRY PANEL ANAYLZERS AT THE AUTHORITY 500MW PLA	S	B		N	N	1		200,000	27,208	27,208	172,792	1/3/2012	O	12/31/2016	
4600002471	RFJ INSULATION CONTRACTOR INC 232-C ORINOCO DR BRIGHTWATERS, NY 11718 US	SENY: PROVIDE AS-NEEDED BASIS REPAIR OF EXISTING INSULATION AND INSTALL NEW INSULATION	S	B		N	N	3		240,000	84,265	32,148	155,735	1/3/2012	O	12/31/2016	
4600002472	AON FIRE PROTECTION ENGINEERING OF ILLINOIS PC 1600 SUMMER ST - 6TH FL STAMFORD, CT 06905-5125 US	AON FIRE PROTECTION TO PROVIDE PERMITTING SERVICES TO THE AUTHORITY'S SENY FACILITIES.	P	B		N	N	3		200,000	0	0	200,000	1/3/2012	O	12/31/2014	
4600002474	WALTER T GORMAN PE PC 115-14 BEACH CHANNEL DR ROCKAWAY PARK, NY 11694 US	PERMITTING SERVICES TO THE AUTHORITY SENY FACILITIES.	P	B		N	N	3		800,000	106,624	106,624	693,376	1/3/2012	O	12/31/2014	
4600002475	ROTATOR STAFFING SERVICES INC 25 KENNEDY BLVD E BRUNSWICK, NJ 08816-1259 US	TEMPORARY ENGINEERING PERSONNEL ON AN AS NEEDED-BASIS.	S	B		N	N	13		10,500,000	3,439,095	2,741,305	7,060,905	1/3/2012	O	12/31/2016	
4600002476	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 07016 US	TEMPORARY ENGINEERING PERSONNEL ON AN-AS-NEEDED BASIS.	S	B		N	N	13		4,500,000	1,231,606	919,494	3,268,394	1/3/2012	O	12/31/2016	
4600002477	METRO TECH CONSULTING SERVICES INC 230 W 41ST ST - 13TH FL NEW YORK, NY 10036-7207 US	TEMPORARY ENGINEERING PERSONNEL ON-AN-AS-NEEDED BASIS.	S	B		N	N	13		50,000	0	0	50,000	1/3/2012	O	12/31/2016	
4600002478	NPTS INC 2060 SHERIDAN DR BUFFALO, NY 14223-1470 US	NPTS, INC., TO PROVIDE TEMPORARY ENGINEERING PERSONNEL ON AN-AS NEEDED-BASIS.	S	B		N	4	13		4,950,000	2,148,443	1,570,929	2,801,557	1/3/2012	O	12/31/2016	
4600002479	PFM ASSET MANAGEMENT LLC TWO LOGAN SQUARE 18TH & ARCH STREETS - STE 1600 PHILADELPHIA, PA 19103 US	FINANCIAL MANAGEMENT CONSULTING SERVICES FOR AUTHORITY'S OPEB AND NUCLEAR DECOMMISSIONING TRUST FUNDS	P	B		F	N	2		895,000	0	0	895,000	2/14/2012	O	2/13/2017	
4600002481	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	NIAGARA POWER PROJECT - COMPLIANCE AND IMPLEMENTATION SERVICES	P	B		N	N	1		5,000,000	3,134,373	1,619,888	1,865,627	3/1/2012	O	2/28/2017	
4600002482	CLEAREDGE POWER CORP FORMERLY UTC POWER CORP 195 GOVERNORS HWY SOUTH WINDSOR, CT 06074-2419 US	FUEL CELL MAINTENANCE SERVICES	S	B		F	N	1		3,000,000	1,037,669	732,243	1,962,331	1/1/2012	O	12/31/2014	

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4600002483	REAL TIME SERVICES INC 130 W 42ND ST - STE 350 NEW YORK, NY 10036-7800 US	AUDIO EQUIPMENT AND SERVICES FOR CONFERENCE ROOMS	S	1	1	F	N	1		180,000	129,428	0	50,572	1/13/2012	C		1/19/2013
4600002485	WILLDAN ENERGY SOLUTIONS INC STE 303 1 EXCHANGE PLZ - 55 BROADWA NEW YORK, NY 10006-3758 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES DATA CENTER ENERGY EFFICIENT PROJECTS	C	B		N	N	8		5,000,000	57,000	57,000	4,943,000	1/1/2012	O	12/31/2016	
4600002486	ECLARO INTERNATIONAL INC 450 7TH AVE - STE 506 NEW YORK, NY 10123-0506 US	ECLARO INTERNATIONAL TEMPORARY PERSONNEL - Q11-5019FS OUTLINE AGREEMENT	S	B		N	4	45		1,000,000	533,362	371,339	466,638	1/20/2012	O	9/30/2014	
4600002487	EATON CORP 690 RAHWAY AVE UNION, NJ 07083-6632 US	REPLACEMENT OF MOTOR CONTROL CENTER #4 & AUTOMATIC TRANSFER SWITCH AT BG	E	B		N	N	1		1,331,157	1,041,162	897,916	289,995	1/27/2012	C		9/30/2013
4600002488	LCSJ COMMUNICATIONS INC 326 SAINT PAUL PL - STE 300B BALTIMORE, MD 21202-2110 US	PROVISION OF TEMPORARY RF/MICROWAVE ENGINEERING SERVICES	S	B		F	N	2		50,000	0	0	50,000	2/7/2012	O	12/31/2014	
4600002489	TCE SYSTEMS INC 4819 25TH AVE ASTORIA, NY 11103-1005 US	PROVISION OF TEMPORARY RF/MICROWAVE ENGINEERING SERVICES	S	B		N	3	2		150,000	2,628	2,628	147,372	2/7/2012	O	12/31/2014	
4600002493	NAVIGANT CONSULTING INC 30 S WACKER DR - STE 3100 CHICAGO, IL 60606-7444 US	MANAGEMENT CONSULTING SERVICES FOR EXECUTIVE OFFICE	P	B		N	N	7		300,000	127,145	14,206	172,855	3/1/2012	C		2/28/2013
4600002494	KEMA INC 67 S BEDFORD ST - STE 201 E BURLINGTON, MA 01803-6803 US	MANAGEMENT CONSULTING SERVICES FOR EXECUTIVE OFFICE	P	B		N	N	7		380,500	323,560	102,383	56,940	3/1/2012	C		2/28/2013
4600002495	CUSTOMER CARE NETWORK INC 665 RIVER KNOLL DR SE MARIETTA, GA 30067-4748 US	MANAGEMENT CONSULTING SERVICES FOR THE EXECUTIVE OFFICE	P	B		N	N	7		405,000	323,764	185,890	81,236	3/1/2012	C		2/28/2013
4600002496	ABLE TESTING & INSPECTION INC PO BOX925 COBLESKILL, NY 12043 US	NON DESTRUCTIVE EXAMINATION (NDE) & WELDING QUALIFICATION SERVICES FOR BLENHEIM GILBOA & SMALL HYDRO POWER PROJECTS	S	B		N	N	2		95,000	2,420	1,073	92,580	4/1/2012	O	3/31/2015	
4600002500	FERGUSON ELECTRIC CONSTRUCTION CO INC 333 ELLICOTT ST BUFFALO, NY 14203-1618 US	DESIGN, FURNISH, DELIVER & INSTALL THE ISO PHASE BUS & PHASE REVERSAL SWITCH AT THE LEWISTON PUMP GENERATING PLANT	C	B		N	N	4		1,597,979	423,700	169,200	1,174,279	3/27/2012	O	11/27/2020	
4600002501	NEXANT INC 44 S BROADWAY - STE 404 WHITE PLAINS, NY 10601-4439 US	CONSULTING SERVICES FOR REVIEW, ANALYSIS AND SUPPORT IN UTILITY DELIVERY SERVICE RATE FILINGS	P	B		N	N	3		2,000,000	137,288	136,598	1,862,712	3/27/2012	O	3/26/2017	

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4600002504	BAY CRANE SERVICE INC 11-02 43RD AVE LONG ISLAND CITY, NY 11101-9717 US	SENY: CRANE RENTAL WITH OPERATOR SERVICES	E	B		N	N	2		880,000	268,555	208,030	611,445	4/1/2012	O	3/31/2017	
4600002505	GENCON CONSTRUCTION CO 2107 MONTAUK HWY BROOKHAVEN, NY 11719-9528 US	SENY: CRANE RENTAL WITH OPERATOR SERVICES	E	B		N	1	2		220,000	71,207	63,807	148,793	4/1/2012	O	3/31/2017	
4600002506	ULTRA ELECTRONICS OCEAN SYSTEMS 115 BAY STATE DR BRAINTREE, MA 02184-5203 US	CRESCENT & VISCHER FERRY - INSTALLATION, MAINTENANCE, & REMOVAL OF THE FISH DETERRENT SYSTEMS	S	B		N	N	1		667,518	191,844	149,967	475,674	5/1/2012	O	4/30/2017	
4600002507	CME ASSOCIATES INC 527 SOUTH MAIN ST - PO BOX 696 CENTRAL SQUARE, NY 13036-0696 US	CONCRETE AND SOIL TESTING SERVICES	S	B		N	N	7		95,000	2,468	1,090	92,532	4/2/2012	O	4/1/2015	
4600002509	SOLAR LIBERTY ENERGY SYSTEMS INC 6500 SHERIDAN DR - STE 120 BUFFALO, NY 14221-4845 US	FURNISH, DELIVERY & INSTALL ROOF MOUNTED SOLAR PHOTOVOLTAIC SYSTEMS	C	B		N	N	16		2,000,000	0	0	2,000,000	4/1/2012	O	3/31/2017	
4600002510	INDUSTRIAL STAFFING SERVICES INC 557 CRANBURY RD - STE 19 EAST BRUNSWICK, NJ 08816-5419 US	RECRUITMENT SERVICES	P	B		F	3	13		200,000	0	0	200,000	4/4/2012	O	4/3/2017	
4600002513	KEMA INC 67 S BEDFORD ST - STE 201 E BURLINGTON, MA 01803-6803 US	ST. LAWRENCE-FDR POWER PROJECT - ENVIRONMENTAL & ENGINEERING SUPPORT SERVICES - RELICENSING	P	B		N	N	1		350,000	0	0	350,000	4/13/2012	C		4/12/2013
4600002514	KLEINSCHMIDT ASSOCIATES PA PC PO BOX 650 PITTSFIELD, ME 04967-0650 US	ST. LAWRENCE-FDR POWER PROJECT - ENVIRONMENTAL & ENGINEERING SUPPORT SERVICES	P	B		N	N	1		525,000	394,750	293,380	130,250	4/1/2012	C		9/30/2013
4600002516	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	PROVIDE FLUORESCENT LIGHTING EQUIPMENT FOR 1 CENTER STREET (MUNICIPAL BUILDING) FOR THE HIGH EFFICIENCY LIGHTING PROGRAM	E	B		N	N	4		307,700	252,422	132,930	55,278	5/1/2012	O	4/30/2014	
4600002517	RANDSTAD TECHNOLOGIES LP DBA RANDSTAD ENGINEERING 225 SCIENTIFIC DR NORCROSS, GA 30092-2905 US	RECRUITMENT SERVICES	P	B		F	N	13		200,000	17,800	0	182,200	4/27/2012	O	4/26/2017	
4600002522	RECOURSE COMMUNICATIONS INC 550 HERITAGE DR - STE 200 JUPITER, FL 33458 US	RECRUITMENT SERVICES	P	B		F	N	13		200,000	0	0	200,000	5/11/2012	O	5/10/2017	

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4600002523	KMQ ENTERPRISES INC DBA TAILWIND ASSOCIATES 1462 ERIE BLVD SCHENECTADY, NY 12305-1026 US	RECRUITMENT SERVICES	P	B		N	N	13		200,000	0	0	200,000	5/17/2012	O	5/16/2017	
4600002524	K G INDUSTRIES INC 2708 42ND RD LONG ISLAND CITY, NY 11101-4112 US	RECRUITMENT SERVICES	P	B		N	4	13		200,000	0	0	200,000	5/17/2012	O	5/16/2017	
4600002525	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	FURNISH AND DELIVER FLUORESCENT, LED, CF AND HID LAMPS FOR THE AUTHORITY'S HIGH EFFICIENCY LIGHTING PROGRAM	E	B		N	N	3		2,700,125	65,047	45,407	2,635,078	6/1/2012	C		10/3/2013
4600002526	FBMC BENEFITS MANAGEMENT INC 3101 SESSIONS RD TALLAHASSEE, FL 32303-2874 US	WEB ENROLLMENT & BENEFITS/COMPENSATION STATEMENTS SERVICES	S	B		F	N	3		350,000	84,654	84,654	265,346	6/1/2012	O	5/31/2017	
4600002527	SOLAR ELECTRIC SYSTEMS INC 2 TIBBITS AVE WHITE PLAINS, NY 10606-2313 US	FURNISH, DELIVERY & INSTALL ROOF MOUNTED SOLAR PHOTOVOLTAIC SYSTEMS	C	B		N	N	16		250,000	0	0	250,000	4/1/2012	O	3/31/2017	
4600002530	CEMTEK SYSTEMS INC 2013 SOUTH WOOD AVE LINDEN, NJ 07036 US	SCPP: PROVIDE SUPPORT SERVICES FOR OPERATION AND MAINTENANCE OF THE CONTINUOUS EMISSION MONITORING SYSTEM (CEMS) AND HARDWARE CONSUMABLES	S	B		N	N	5		412,608	199,108	148,803	213,500	7/1/2012	O	6/30/2015	
4600002534	ANDRITZ HYDRO CORP 10735 DAVID TAYLOR DR - STE 500 CHARLOTTE, NC 28262-1289 US	MOTOR GENERATOR UPGRADE	C	B		F	N	5		90,989,359	7,891,413	2,576,868	83,097,946	7/1/2012	O	12/1/2020	
4600002535	TRADEBE ENVIRONMENTAL SERVICES LLC FORMERLY UNITED OIL RECOVERY INC 47 GRACEY MERIDEN, CT 06451 US	PROVIDE WASTE DISPOSAL SERVICES	S	B		N	N	11		600,000	21,059	21,059	578,941	7/1/2012	O	12/31/2015	
4600002536	COMPNOVA INC 300 N COIT RD - STE 340 RICHARDSON, TX 75080-5482 US	IT TEMPORARY PERSONNEL	S	B		N	N	45		600,000	202,964	148,564	397,036	7/5/2012	O	9/30/2014	
4600002537	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 07016 US	TEMPORARY ENGINEERING SUPPORT ON AN AS NEEDED BASIS FOR ENERGY SERVICES.	S	B		N	N	11		3,000,000	680,801	680,801	2,319,199	7/1/2012	O	6/30/2017	

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4600002538	INDUSTRIAL STAFFING SERVICES INC 557 CRANBURY RD - STE 19 EAST BRUNSWICK, NJ 08816-5419 US	INDUSTRIAL STAFFING SERVICES TO PROVIDE TEMPORARY ENGINEERING SUPPORT ON AN-AS-NEEDED BASIS FOR ENERGY SERVICES.	S	B		N	3	11		1,000,000	0	0	1,000,000	7/1/2012	O	6/30/2017	
4600002539	METRO TECH CONSULTING SERVICES INC 230 W 41ST ST - 13TH FL NEW YORK, NY 10036-7207 US	TEMPORARY ENGINEERING PERSONNEL ON AN AS NEEDED BASIS IN SUPPORT OF ENERGY SERVICES.	S	B		N	N	11		1,000,000	0	0	1,000,000	7/1/2012	O	6/30/2017	
4600002540	NAVIGANT CONSULTING INC 30 S WACKER DR - STE 3100 CHICAGO, IL 60606-7444 US	CONSULTING SERVICES FOR GENERATION, TRANSMISSION, FUEL SUPPLIES AND ENERGY POLICY AND REGULATORY ACTIVITIES	P	B		F	N	12		682,605	420,100	340,433	262,505	7/1/2012	O	6/29/2017	
4600002543	ELECNOR HAWKEYE LLC SUBSIDIARY OF ELECNOR INC 100 MARCUS BLVD - STE 1 HAUPPAUGE, NY 11788-3749 US	PROVIDE EMERGENCY REPAIR SERVICES FOR AUTHORITY UNDERGROUND POWER CABLES - STATEWIDE	C	B		N	N	2		5,000,000	0	0	5,000,000	7/16/2012	O	7/17/2017	
4600002544	UNDERGROUND SYSTEMS INC 84 BUSINESS PARK DR - STE 109 ARMONK, NY 10504-1734 US	PROVIDE EMERGENCY REPAIR SERVICES FOR AUTHORITY UNDERGROUND POWER CABLES - STATEWIDE	C	B		N	N	2		5,000,000	0	0	5,000,000	7/16/2012	O	7/15/2017	
4600002545	EMERACHEM LLC 1729 LOUISVILLE DR KNOXVILLE, TN 37921 US	SCPP: CO CATALYST CHEMICAL CLEANING	S	B		N	N	3		1,750,000	362,958	234,195	1,387,042	7/2/2012	O	7/1/2017	
4600002547	VOITH HYDRO INC 760 E BERLIN RD YORK, PA 17408-8701 US	SERVOMOTORS	E	B		F	N	2		5,999,139	2,159,206	2,082,891	3,839,933	7/2/2012	O	7/2/2017	
4600002548	ATLAS PAINTING & SHEETING CORP 465 CREEKSIDE DR AMHERST, NY 14228-2112 US	BARNHART ISLAND BRIDGE PAINTING & REHABILITATION PROJECT ST. LAWRENCE POWER PROJECT	C	B		N	N	5		11,110,230	5,143,896	5,143,896	5,966,334	8/1/2012	O	11/30/2014	
4600002550	M/E ENGINEERING PC 60 LAKEFRONT BLVD - STE 320 BUFFALO, NY 14202-4302 US	CONSULTING SERVICES FOR BIOMASS TECHNOLOGIES	P	B		N	N	7		75,000	10,380	0	64,620	8/2/2012	O	8/1/2015	
4600002551	ANTARES GROUP INC 4351 GARDEN CITY DR - STE 301 LANDOVER, MD 20785-2223 US	CONSULTING SERVICES FOR BIOMASS TECHNOLOGIES	P	B		F	N	7		123,908	123,908	123,908	0	8/2/2012	O	8/1/2015	
4600002553	GARRAD HASSAN AMERICA INC 9665 CHESAPEAKE DR - STE 435 SAN DIEGO, CA 92123 US	OFFSHORE WIND CONSULTING SERVICES	P	B		F	N	6		250,000	179,897	175,839	70,103	8/7/2012	C		7/31/2013
4600002555	FERGUSON ELECTRIC CONSTRUCTION CO INC 333 ELLICOTT ST BUFFALO, NY 14203-1618 US	INSTALLATION OF AUXILIARY EQUIPMENT FOR UNIT UPGRADE	S	B		N	N	1		5,608,834	2,589,725	2,589,725	3,019,109	8/1/2012	O	6/24/2014	

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4600002556	TETRA TECH INC FORMERLY TETRA TECH EC INC 1000 THE AMERICAN RD MORRIS PLAINS, NJ 07950-2406 US	CONSULTING SERVICES FOR GENERATION, TRANSMISSION, FUEL SUPPLIES AND ENERGY POLICY AND REGULATORY ACTIVITIES	P	B		F	N	12		87,000	55,120	55,120	31,880	8/16/2012	O	6/29/2017	
4600002557	QED INC QED NATIONAL 350 7TH AVE - FL 10 NEW YORK, NY 10001-5013 US	QED NATIONAL INC. IT TEMPORARY PERSONNEL - Q11-5019FS	S	B		N	3	45		100,000	20,175	0	79,825	8/20/2012	O	9/30/2014	
4600002558	CH2M HILL ENGINEERING PA 9127 S JAMAICA ST ENGLEWOOD, CO 80112-5946 US	CONSULTING SERVICES FOR GENERATION, TRANSMISSION, FUEL SUPPLIES AND ENERGY POLICY AND REGULATORY ACTIVITIES	P	B		F	N	12		2,692,796	348,073	348,073	2,344,723	8/15/2012	O	6/29/2017	
4600002559	PRES SERVICES LLC 2430 NORTH FOREST RD - STE 106 GETZVILLE, NY 14068 US	STATEWIDE ENGINEERING, DESIGN AND CONSTRUCTION SERVICES FOR ENERGY SERVICES DEPARTMENT	C	B		N	N	11		20,000,000	111,192	111,192	19,888,808	8/1/2012	O	7/31/2017	
4600002561	NORTHEAST PROFESSIONAL ENGINEERING CONSULTANTS LLC 108 NEW PARK AVE - UNIT 3 N FRANKLIN, CT 06254-1807 US	CONSULTING SERVICES FOR GENERATION, TRANSMISSION, FUEL SUPPLIES AND ENERGY POLICY AND REGULATORY ACTIVITIES	P	B		F	N	12		116,010	114,679	114,679	1,331	8/22/2012	O	6/29/2017	
4600002563	QUANTA TECHNOLOGY LLC 4020 WESTCHASE BLVD - STE 300 RALEIGH, NC 27607-3960 US	CONSULTING SERVICES FOR GENERATION, TRANSMISSION, FUEL SUPPLIES AND ENERGY POLICY AND REGULATORY ACTIVITIES	P	B		F	N	12		300,930	224,500	224,500	76,430	8/29/2012	O	6/29/2017	
4600002565	CANNON DESIGN-BUILD INC 2170 WHITEHAVEN RD GRAND ISLAND, NY 14072-2025 US	STATEWIDE ENGINEERING, DESIGN AND CONSTRUCTION SERVICES	C	B		N	N	11		10,000,000	0	0	10,000,000	8/1/2012	O	7/31/2017	
4600002569	DIALIGHT CORP 1501 RTE 34 S FARMINGDALE, NJ 07727 US	FURNISH & DELIVER LED LIGHTING EQUIPMENT FOR BRIDGES AND TUNNELS THROUGH NEW YORK STATE	E	B		N	N	6		356,935	0	0	356,935	10/1/2012	C		10/3/2013
4600002572	NORTHLAND ASSOCIATES INC 4701 BUCKLEY RD - PO BOX 2549 LIVERPOOL, NY 13088-3627 US	DESIGN, PERMIT, DELIVERY, FURNISH, INSTALL, COMMISSION & START UP OF A SINGLE DISTRIBUTED 2MW WIND TURBINE AT SUNY CANTON, NY	C	B		N	N	4		5,670,500	277,600	277,600	5,392,900	10/1/2012	O	6/30/2020	
4600002573	GE PACKAGED POWER INC 15855 JACINTOPORT BLVD HOUSTON, TX 77015 US	SCPP MAINTENANCE, REPAIR AND PARTS SERVICES FOR THE LM6000	S	B		N	N	1		40,000,000	11,973,840	11,851,434	28,026,160	10/1/2012	O	12/31/2017	

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4600002574	HATCH ASSOCIATES CONSULTANTS INC 100 SYLVAN PKWY AMHERST, NY 14228-1146 US	SOLAR MARKET ACCELERATION PROGRAM (MAP) TECHNICAL SUPPORT SERVICES	P	B		N	N	9		250,000	91,107	91,107	158,893	1/1/2012	O	9/30/2015	
4600002575	NAVIGANT CONSULTING INC 30 S WACKER DR - STE 3100 CHICAGO, IL 60606-7444 US	SOLAR MARKET ACCELERATION PROGRAM (MAP) TECHNICAL SUPPORT SERVICES	P	B		F	N	9		250,000	10,000	10,000	240,000	10/1/2012	O	9/30/2015	
4600002576	BLACK & VEATCH NEW YORK LLP 11401 LAMAR AVE OVERLAND PARK, KS 66211-1508 US	SOLAR MARKET ACCELERATION PROGRAM (MAP) TECHNICAL SUPPORT SERVICES	P	B		F	N	9		250,000	43,080	43,080	206,920	10/1/2012	O	9/30/2015	
4600002578	LONGO ELECTRICAL MECHANICAL INC ONE HARRY SHUPE BLVD WHARTON, NJ 07885 US	LONGO ELECTRICAL MECHANICAL, INC. TO PROVIDE MOTOR REPAIR AT THE AUTHORITY'S 500MW COMBINED CYCLE PLANT AND AT THE FLYNN AND SCPP	S	B		N	N	4		3,000,000	402,930	399,093	2,597,070	10/1/2012	O	9/30/2017	
4600002579	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 333 SEVENTH AVE - 15TH FL NEW YORK, NY 10001-5091 US	STATEWIDE ENGINEERING, DESIGN AND CONSTRUCTION SERVICES	C	B		N	N	11		20,000,000	2,500	2,500	19,997,500	8/1/2012	O	7/31/2017	
4600002580	CORE ENVIRONMENTAL CONSULTANTS INC 2312 WEHRLE DR BUFFALO, NY 14221-7021 US	CORE ENVIRONMENTAL SHALL PROVIDE SAMPLINGS AND ANALYSIS TO THE AUTHORITY'S SENY SITES	S	B		N	3	3		750,000	101,825	89,545	648,175	10/1/2012	O	9/30/2017	
4600002581	CLEAN POWER RESEARCH LLC 10 GLEN CT NAPA, CA 94558-4468 US	SOLAR MARKET ACCELERATION PROGRAM (MAP) TECHNICAL SUPPORT SERVICES	P	B		F	N	9		250,000	7,953	7,953	242,047	10/1/2012	O	9/30/2015	
4600002582	HORIZON ENGINEERING ASSOCIATES LLP MICHAEL ENGLISH 30 BROAD ST - 15TH FL NEW YORK, NY 10004-2969 US	STATEWIDE ENERGY AUDIT AND RETRO COMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		4,000,000	0	0	4,000,000	10/1/2012	O	9/30/2017	
4600002583	SCHUYLER ENGINEERING PC 163 NORTH WELLWOOD AVE - STE 6 LINDENHURST, NY 11757 US	ENGINEERING SERVICES IN THE UPSTATE NEW YORK AND SENY AREAS FOR THE ENERGY SERVICES DEPT.	A	B		N	N	26		1,000,000	25,448	25,448	974,552	10/1/2012	O	9/30/2017	
4600002584	ALTRAN SOLUTIONS CORP 2525 ROUTE 130 - STE E CRANBURY, NJ 08512-3513 US	ENGINEERING SERVICES FOR ENERGY SERVICES FOR UPSTATE NEW YORK AND SENY AREAS	A	B		N	N	26		1,000,000	0	0	1,000,000	10/1/2012	O	9/30/2017	
4600002585	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	ENGINEERING SERVICES FOR ENERGY SERVICES FOR UPSTATE NEW YORK AND SENY AREAS	A	B		N	N	26		1,000,000	0	0	1,000,000	10/1/2012	O	9/30/2017	

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4600002588	SOURCEONE INC 370 7TH AVE - STE 704 NEW YORK, NY 10001-0013 US	STATEWIDE ENERGY AUDIT AND RETRO COMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		2,500,000	0	0	2,500,000	10/1/2012	O	9/30/2017	
4600002589	WILLDAN ENERGY SOLUTIONS INC STE 303 1 EXCHANGE PLZ - 55 BROADWA NEW YORK, NY 10006-3758 US	STATEWIDE ENERGY AUDIT AND RETRO COMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		2,500,000	0	0	2,500,000	10/1/2012	O	9/30/2017	
4600002590	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	INSTALLATION OF LIGHTING SAMPLES FOR PROJECTS THROUGHOUT SOUTHEAST NEW YORK FOR ENERGY SERVICES GROUP	C	2	1	N	4	1		195,000	40,384	40,384	154,616	1/1/2013	C		12/31/2013
4600002591	GOLDMAN COPELAND ASSOC PC 229 W 36TH ST - FL 7 NEW YORK, NY 10018-8927 US	STATEWIDE ENERGY AUDIT AND RETRO COMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		2,500,000	0	0	2,500,000	10/1/2012	O	9/30/2017	
4600002593	C J BROWN ENERGY & ENGINEERING PC 4245 UNION RD - STE 204 BUFFALO, NY 14225 US	STATEWIDE ENERGY AUDIT AND RETRO COMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		500,000	0	0	500,000	10/1/2012	O	9/30/2017	
4600002594	ENERGY & RESOURCE SOLUTIONS INC 1430 BROADWAY - STE 1609 NEW YORK, NY 10018-3422 US	STATEWIDE ENERGY AUDIT AND RETRO COMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		1,000,000	0	0	1,000,000	10/1/2012	O	9/30/2017	
4600002595	ENERNOC INC 101 FEDERAL ST - STE 1100 BOSTON, MA 02110-1856 US	STATEWIDE ENERGY AUDIT AND RETRO COMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		2,500,000	0	0	2,500,000	10/1/2012	O	9/30/2017	
4600002596	ENERACTIVE SOLUTIONS LLC 150 BROADWAY - STE 802 NEW YORK, NY 10038-4381 US	STATEWIDE ENERGY AUDIT AND RETRO COMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		2,500,000	14,158	14,158	2,485,842	10/1/2012	O	9/30/2017	
4600002597	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	STATEWIDE ENERGY AUDIT AND RETRO COMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		1,500,000	0	0	1,500,000	10/1/2012	O	9/30/2017	
4600002598	TAITEM ENGINEERING PC 110 S ALBANY ST ITHACA, NY 14850-5402 US	STATEWIDE ENERGY AUDIT AND RET COMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		500,000	0	0	500,000	10/1/2012	O	9/30/2017	
4600002599	CAMP DRESSER MCKEE & SMITH DBA FOR CDM SMITH INC 60 CROSSWAYS PARK DR W - STE 340 WOODBURY, NY 11797-2018 US	STATEWIDE ENERGY AUDIT AND RETRO COMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		1,500,000	0	0	1,500,000	10/1/2012	O	9/30/2017	

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4600002602	APPLIED ENERGY GROUP INC 1377 MOTOR PKWY - STE 401 ISLANDIA, NY 11749-5258 US	ENERGY EFFICIENCY - CONSULTING SERVICES FOR RENEWABLE ENERGY PROGRAM INITIATIVES	P	B		N	N	21		1,500,000	45,903	45,903	1,454,097	1/1/2013	O	12/31/2017	
4600002603	OPTIMAL ENERGY INC 10600 ROUTE 116 - STE 3 HINESBURG, VT 05461-8501 US	ENERGY EFFICIENCY - CONSULTING SERVICES FOR RENEWABLE PROGRAM INITIATIVES	P	B		F	N	21		1,500,000	118,298	118,298	1,381,702	1/1/2013	O	12/31/2018	
4600002604	CRC ENGINEERING PC 1261 BROADWAY FL 7 NEW YORK, NY 10001-3506 US	NEW YORK CITY PARKS BIOMASS DESIGN & ENGINEERING SUPPORT SERVICES.	P	B		N	4	6		800,000	105,818	105,818	694,182	1/2/2013	O	1/2/2016	
4600002606	HATCH ASSOCIATES CONSULTANTS INC 100 SYLVAN PKWY AMHERST, NY 14228-1146 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		4,500,000	1,833,367	1,833,367	2,666,633	1/1/2013	O	12/31/2017	
4600002607	CG POWER SOLUTIONS USA INC 403 NEW KARNER RD ALBANY, NY 12205-3809 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		1,000,000	375,737	375,737	624,263	1/1/2013	O	12/31/2017	
4600002608	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		F	N	25		500,000	0	0	500,000	1/1/2013	O	12/31/2017	
4600002609	GREENMAN-PEDERSEN INC 400 RELLA BLVD - STE 207 MONTEBELLO, NY 10901-4256 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		1,000,000	0	0	1,000,000	1/1/2013	O	12/31/2017	
4600002610	TRC ENGINEERS INC 225 GREENFIELD PKWY - STE 203 LIVERPOOL, NY 13088-6667 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		1,000,000	544,797	544,797	455,203	1/1/2013	O	12/31/2017	
4600002611	POWER ENGINEERS CONSULTING P O BOX 1066 HAILEY, ID 83333 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		F	N	25		500,000	0	0	500,000	1/1/2013	O	12/31/2017	
4600002612	ALTRAN SOLUTIONS CORP 2525 ROUTE 130 - STE E CRANBURY, NJ 08512-3513 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		F	N	25		1,000,000	51,931	51,931	948,069	1/1/2013	O	12/31/2017	

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4600002613	HAIDER ENGINEERING PC 91 TOLEDO ST FARMINGDALE, NY 11735-6620 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	4	25		500,000	0	0	500,000	1/1/2013	O	12/31/2017	
4600002614	SEDC ENGINEERING PC ALLILIATED W/SEBESTA BLOMBERG&ASSOC 32 BROADWAY - STE 302 NEW YORK, NY 10004-1609 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		1,000,000	18,090	18,090	981,910	1/1/2013	O	12/31/2017	
4600002615	BURNS & MCDONNELL ENGINEERING CO INC 108 LEIGUS RD WALLINGFORD, CT 06492-2518 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		F	N	25		500,000	0	0	500,000	1/1/2013	O	12/31/2017	
4600002616	MOTT MACDONALD LLC DBA FOR MOTT MACDONALD INC 400 BLUE HILL DR STE 100 WESTWOOD, MA 02090-2164 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		F	N	25		500,000	0	0	500,000	1/1/2013	O	12/31/2017	
4600002617	FISHER ASSOCIATES PE LS PC 135 CALKINS RD ROCHESTER, NY 14623-4255 US	ON CALL REGIONAL SURVEYING & MAPPING SERVICES FOR NIAGARA REGION	P	B		N	3	29		2,333,333	150,654	150,654	2,182,679	1/1/2013	O	12/31/2017	
4600002618	INNOVATIVE AUTOMATION INC 954 HERITAGE HIILS DR YORK, PA 17402 US	PROVIDE OPERATING PROCEDURES AND SYSTEM DESCRIPTIONS UPGRADES AT ST. LAWRENCE POWER PROJECT	A	B		F	N	5		1,149,408	0	0	1,149,408	1/17/2013	O	1/16/2015	
4600002619	C & S ENGINEERS INC 499 COL EILEEN COLLINS BLVD SYRACUSE, NY 13212-3931 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		3,000,000	1,749,226	1,749,226	1,250,774	1/23/2013	O	12/31/2017	
4600002623	CT MALE ASSOCIATES PC 50 CENTURY HILL DR LATHAM, NY 12110-0727 US	ON CALL REGIONAL SURVEYING	P	B		N	N	29		2,333,333	379,189	379,189	1,954,144	1/1/2013	O	12/31/2017	
4600002624	DANA L DRAKE LS PLLC 393 STATE ROUTE 11B DICKINSON CENTER, NY 12930-2008 US	ON CALL REGIONAL SURVEYING AND MAPPING FOR ST LAWRENCE REGION	P	B		N	N	29		2,333,333	99,296	99,296	2,234,037	1/1/2013	O	12/31/2017	
4600002625	LEWIS TREE SERVICE INC 300 LUCIUS GORDON DR W HENRIETTA, NY 14586 US	TRANSMISSION VEGETATION MANAGEMENT	S	B		N	N	3		9,000,000	1,983,435	1,983,435	7,016,565	4/1/2013	O	9/30/2017	

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4600002626	CH2M HILL ENGINEERING PA 9127 S JAMAICA ST ENGLEWOOD, CO 80112-5946 US	CONSULTING SERVICES FOR TEMPORARY ON CALL ENGINEERING PROJECT AND CONSTRUCTION MANAGEMENT SERVICE FOR POWER SUPPLY AND GENERATION FACILITIES	S	B		N	N	12		5,300,000	1,289,866	1,289,866	4,010,134	1/23/2013	O	12/29/2017	
4600002627	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	CONSULTING SERVICES FOR TEMPORARY ON CALL ENGINEERING PROJECT AND CONSTRUCTION MANAGEMENT SERVICE FOR POWER SUPPLY AND GENERATION FACILITIES	P	B		N	N	12		3,500,000	297,557	297,557	3,202,443	1/23/2013	O	12/29/2017	
4600002628	KSW MECHANICAL SERVICES INC 37-16 23RD ST LONG ISLAND CITY, NY 11101-3518 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	C	B		N	N	30		20,000,000	0	0	20,000,000	3/1/2013	O	1/31/2018	
4600002629	LIZARDOS ENGINEERING ASSOCIATES PC 200 OLD COUNTY RD - STE 670 MINEOLA, NY 11501-4293 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	A	B		N	N	30		10,000,000	0	0	10,000,000	3/1/2013	O	1/31/2018	
4600002630	BURNS ENGINEERING PC 1835 MARKET ST - STE 300 PHILADELPHIA, PA 19103-2964 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	A	B		N	N	30		10,000,000	0	0	10,000,000	3/1/2013	O	1/31/2018	
4600002631	FULCRUM FACILITIES SERVICES DBA THE FULCRUM GROUP 61 BROADWAY - STE 1605 NEW YORK, NY 10006 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	C	B		N	N	30		30,000,000	0	0	30,000,000	3/1/2013	O	1/31/2018	
4600002632	GUTH DECONZO CONSULTING ENGINEERS PC 242 W 30TH ST - 3RD FL NEW YORK, NY 10001-4903 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	C	B		N	N	30		20,000,000	0	0	20,000,000	3/1/2013	O	1/31/2018	
4600002633	O'BRIEN & GERE / DEWBERRY ENERGY SERVICES JOINT VENTURE 333 W WASHINGTON - STE 400 SYRACUSE, NY 13202-9202 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	C	B		N	N	30		40,000,000	0	0	40,000,000	3/1/2013	O	1/31/2018	
4600002634	STRATEGIC BUILDING SOLUTIONS LLC 135 NEW RD MADISON, CT 06443-2508 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	A	B		N	N	30		2,000,000	0	0	2,000,000	3/1/2013	O	1/31/2018	
4600002635	CDM CONSTRUCTORS INC 60 CROSSWAYS PARK W - STE 340 WOODBURY, NY 11797-2018 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	C	B		N	N	30		40,000,000	0	0	40,000,000	3/1/2013	O	1/31/2018	

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4600002636	EME CONSULTING ENGINEERING GRP LLC 159 WEST 25TH ST NEW YORK, NY 10001-7203 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	A	B		N	N	30		10,000,000	0	0	10,000,000	3/1/2013	O	12/31/2017	
4600002637	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	C	B		N	N	30		30,000,000	0	0	30,000,000	3/1/2013	O	12/31/2017	
4600002638	WILLDAN ENERGY SOLUTIONS INC STE 303 1 EXCHANGE PLZ - 55 BROADWA NEW YORK, NY 10006-3758 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	P	B		N	N	30		2,000,000	0	0	2,000,000	3/1/2013	O	12/31/2017	
4600002639	SOURCEONE INC 370 7TH AVE - STE 704 NEW YORK, NY 10001-0013 US	ES&T DEPT.: SENY PROJECT MANAGEMENT IMPLEMENTATION SERVICES FOR THE AUTHORITY'S ENERGY SERVICES PROGRAM	C	B		N	N	30		20,000,000	0	0	20,000,000	3/1/2013	O	12/31/2017	
4600002640	CHA CONSULTING INC III WINNERS CIRCLE ALBANY, NY 12205-0269 US	CONSULTING SERVICES FOR TEMPORARY ON CALL ENGINEERING PROJECT AND CONSTRUCTION MANAGEMENT SERVICE FOR POWER SUPPLY AND GENERATION FACILITIES	P	B		N	N	12		2,099,526	117,059	117,059	1,982,467	1/23/2013	O	12/29/2017	
4600002642	SAVIN ENGINEERS PC 3 CAMPUS DR PLEASANTVILLE, NY 10570-1602 US	ES&T DEPT.: SENY IMPLEMENTATION SERVICES	C	B		N	4	30		40,000,000	0	0	40,000,000	3/1/2013	O	12/31/2017	
4600002643	AKF ENGINEERS LLP 1501 BROADWAY - STE 700 NEW YORK, NY 10036 US	ES&T DEPT.: SENY IMPLEMENTATION SERVICES	P	B		N	N	30		2,000,000	0	0	2,000,000	3/1/2013	O	12/31/2017	
4600002644	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	ES&T DEPT.: SENY IMPLEMENTATION SERVICES	C	B		N	N	30		40,000,000	0	0	40,000,000	3/1/2013	O	12/31/2017	
4600002645	INVENSYS SYSTEMS INC 33 COMMERCIAL ST FOXBORO, MA 02035 US	WONDERWARE SOFTWARE MAINTENANCE AND SUPPORT	S	B	8	N	N	1		339,580	0	0	339,580	1/1/2013	O	12/31/2017	
4600002646	ECOSYSTEM/LIRO ENERGY GROUP II JOINT VENTURE 3 AERIAL WAY SYOSSET, NY 11791-5501 US	ES&T DEPT.: SENY IMPLEMENTATION SERVICES	C	B		N	N	30		40,000,000	0	0	40,000,000	3/1/2013	O	12/31/2017	
4600002648	STV INC 225 PARK AVE S NEW YORK, NY 10003-1604 US	ES&T DEPT.: SENY IMPLEMENTATION SERVICES	C	B		N	N	30		30,000,000	0	0	30,000,000	3/1/2013	O	12/31/2017	

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4600002649	ARAMARK MANAGEMENT SERVICES LIMITED PARTNERSHIP 52 JAMES ST - FL 3 ALBANY, NY 12207-2747 US	ES&T DEPT.: SENY IMPLEMENTATION SERVICES	P	B		N	N	30		2,000,000	0	0	2,000,000	3/1/2013	O	12/31/2017	
4600002650	PHALANX 212 WILLOW DR LITTLE SILVER, NJ 07739-1538 US	PHALANX SUPPORT & SERVICES	S	B		N	N	1		225,000	28,100	28,100	196,900	1/1/2013	O	12/31/2017	
4600002651	VOITH HYDRO INC 760 E BERLIN RD YORK, PA 17408-8701 US	CRESCENT & VISCHER FERRY OVERHAUL	C	B		F	N	4		7,470,325	245,659	245,659	7,224,666	1/1/2013	O	7/1/2015	
4600002652	ANTARES GROUP INC 4351 GARDEN CITY DR - STE 301 LANDOVER, MD 20785-2223 US	R&D: BIOMASS CONSULTING	P	B		N	N	2		350,000	111,795	111,795	238,205	3/1/2013	O	2/28/2014	
4600002653	M/E ENGINEERING PC 60 LAKEFRONT BLVD - STE 320 BUFFALO, NY 14202-4302 US	R&D: BIOMASS CONSULTING	P	B		N	N	2		150,000	0	0	150,000	3/1/2013	O	2/28/2014	
4600002655	MALCOLM PIRNIE A SUB SIDIARY OF ARCADIS US INC 44 S BROADWAY - FL 15 WHITE PLAINS, NY 10601-4411 US	ES&T DEPT.: SENY IMPLEMENTATION SERVICES	C	B		N	N	30		40,000,000	0	0	40,000,000	3/1/2013	O	12/31/2017	
4600002657	GOVE GROUP INC 226 PAUL ST PITTSBURGH, PA 15211-2332 US	ENERGY EFFICIENCY EDUCATION PROGRAM CONSULTING SERVICES	P	B		F	N	8		450,000	35,889	35,889	414,111	3/18/2013	O	3/17/2016	
4600002658	VENTYX INC VENTYX INC (AN ABB) COMPANY STE 500 400 PERIMETER CENTER TER NE ATLANTA, GA 30346-1231 US	ANNUAL MAINTENANCE, SERVICES, SOFTWARE - VENTYX SUITE	S	B		N	N	1		3,200,000	371,391	371,391	2,828,609	1/1/2013	O	12/31/2017	
4600002659	WSP USA CORP DBA WSP SELLS 1 COLUMBIA CIR ALBANY, NY 12203-6383 US	ON-CALL REGIONAL AERIAL PHOTOGRAMMETRY SURVEYING & MAPPING SERVICES.	P	B		N	N	8		500,000	0	0	500,000	3/28/2013	O	12/31/2017	
4600002660	OPEN TEXT INC SUBSIDIARY FOR OPEN TEXT CORP 1301 S MOPAC EXPY - STE 150 AUSTIN, TX 78746-6903 US	FIVE YEAR MAINTENANCE AND SUPPORT - OPENTEXT (STREAMSERVE) S/W	S	B		F	N	1		145,427	29,085	29,085	116,342	5/11/2013	O	5/10/2018	
4600002661	QUANTA TECHNOLOGY LLC 4020 WESTCHASE BLVD - STE 300 RALEIGH, NC 27607-3960 US	CONSULTING SERVICES FOR POWER SYSTEM HARDENING, OPERATIONS AND GRID IMPROVEMENTS	P	B	8	F	N	6		1,243,100	548,425	548,425	694,675	4/1/2013	O	4/1/2015	
4600002664	THE NATIONAL THEATRE FOR CHILDREN INC 2733 PARK AVE MINNEAPOLIS, MN 55407-1008 US	ENERGY EFFICIENCY EDUCATION PROGRAM CONSULTING SERVICES	P	B		F	N	8		150,000	55,000	55,000	95,000	4/2/2013	O	3/31/2016	

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4600002665	KEMA INC 67 S BEDFORD ST - STE 201 E BURLINGTON, MA 01803-6803 US	CONSULTING SERVICES FOR POWER SYSTEM HARDENING, OPERATIONS AND GRID IMPROVEMENTS	P	B	8	F	N	6		107,000	107,000	107,000	0	4/2/2013	O	4/1/2015	
4600002666	SIEMENS ENERGY INC 85 JOHN RD - UNIT 1 CANTON, MA 02021-2826 US	FLYNN: OPERATING PLANT SERVICE AGREEMENT	S	B		N	N	1		60,000,000	109,885	109,885	59,890,115	4/1/2013	O	3/31/2025	
4600002667	INSTITUTE FOR BUILDING TECHNOLOGY AND SAFETY 45207 RESEARCH PL ASHBURN, VA 20147-2421 US	ENERGY EFFICIENCY MARKET ACCELERATION PROGRAM IMPLEMENTATION SERVICES (EEMAP)	P	B		F	N	7		10,000,000	315,290	315,290	9,684,710	4/5/2013	O	4/4/2018	
4600002669	WORLEYPARSONS OF NEW YORK INC 2675 MORGANTOWN RD READING, PA 19607-9676 US	CONSULTING SERVICES FOR POWER SYSTEM HARDENING, OPERATIONS AND GRID IMPROVEMENTS	P	B	8	F	N	6		278,950	185,144	185,144	93,806	4/8/2013	O	4/1/2015	
4600002670	GEODIGITAL INTERNATIONAL CORP 137 W CENTRAL AVE LOMPOC, CA 93438-2834 US	STATEWIDE LIDAR SURVEYING & MAPPING SERVICES	P	B		F	N	9		2,000,000	217,729	217,729	1,782,271	4/9/2013	O	12/31/2016	
4600002671	GLOBAL IT SOLUTIONS USI INC 1133 E 35TH ST - STE 2D BROOKLYN, NY 11210-4207 US	IT TEMPORARY PERSONNEL	S	B		F	4	45		100,000	39,313	39,313	60,687	4/9/2013	O	9/30/2014	
4600002672	DELOITTE & TOUCHE LLP 4022 SELLS DR HERMITAGE, TN 37076-2903 US	AWARD OF ENTERPRISE RISK MANAGEMENT CONSULTING	P	B		F	N	13		115,000	7,651	7,651	107,349	4/15/2013	O	4/14/2014	
4600002676	PACE UNIVERSITY 1 PACE PLZ NEW YORK, NY 10038-1598 US	MBA ONSITE	P	B		N	N	2		1,400,000	149,564	149,564	1,250,436	5/1/2013	O	4/30/2016	
4600002678	SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE, NY 13244-1200 US	ENERGY EFFICIENCY MARKET ACCELERATION PROGRAM IMPLEMENTATION SERVICES (EEMAP)	P	B		N	N	7		10,000,000	196,257	196,257	9,803,743	4/11/2013	O	4/10/2018	
4600002680	ROTATOR STAFFING SERVICES INC 25 KENNEDY BLVD E BRUNSWICK, NJ 08816-1259 US	TEMPORARY DESIGN & DRAFTING PERSONNEL	S	B		F	N	7		2,250,000	343,422	343,422	1,906,578	4/17/2013	O	4/16/2018	
4600002682	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 07016 US	PROVIDE DESIGN & DRAFTING PERSONNEL	S	B		F	N	7		2,250,000	274,244	274,244	1,975,756	4/17/2013	O	4/16/2018	
4600002683	PEAK TECHNICAL SERVICES INC DBA PEAK TECHNICAL STAFFING USA 5 PENN PLAZA - STE 2332 NEW YORK, NY 10001-1810 US	PROVIDE TEMPORARY DESIGN & DRAFTING PERSONNEL	S	B		F	N	7		2,250,000	27,176	27,176	2,222,824	5/3/2013	O	5/2/2018	

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4600002684	AEROTEK INC 757 3RD AVE - STE 201 NEW YORK, NY 10017 US	PROVIDE TEMPORARY DESIGN & DRAFTING PERSONNEL	S	B		N	N	7		2,250,000	0	0	2,250,000	4/18/2013	O	4/17/2018	
4600002685	ICF RESOURCES LLC 9300 LEE HIGHWAY FAIRFAX, VA 22031-6050 US	AWARD OF CONSULTING SERVICES TO EXECUTIVE OFFICE	P	B		F	N	25		200,000	32,995	32,995	167,005	4/15/2013	O	4/30/2018	
4600002686	M J BRADLEY & ASSOCIATES LLC 47 JUNCTION SQUARE DR CONCORD, MA 01742 US	AWARD OF CONSULTING SERVICES TO EXECUTIVE OFFICE	P	B		F	N	25		32,500	2,590	2,590	29,910	4/17/2013	O	4/30/2018	
4600002687	CUSTOMER CARE NETWORK INC 665 RIVER KNOLL DR SE MARIETTA, GA 30067-4748 US	AWARD OF CONSULTING SERVICES TO EXECUTIVE OFFICE	P	B		F	N	25		327,000	141,800	141,800	185,200	3/21/2013	O	4/30/2018	
4600002688	PA CONSULTING GROUP INC 405 LEXINGTON AVE - FL 45 NEW YORK, NY 10174-0002 US	AWARD OF CONSULTING SERVICES TO EXECUTIVE OFFICE	P	B		F	N	25		973,000	655,479	655,479	317,521	4/18/2013	O	4/30/2018	
4600002689	BURO HAPPOLD CONSULTING ENG PC DBA HAPPOLD CONSULTING 100 BROADWAY FL 23 NEW YORK, NY 10005-4502 US	AWARD OF CONSULTING SERVICES TO EXECUTIVE OFFICE	P	B		N	N	25		65,000	46,215	46,215	18,785	4/19/2013	O	4/30/2018	
4600002698	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	TRANSMISSION RIGHTS-OF-WAY (ROW) VEGETATION INVENTORY SERVICES	P	B		N	N	6		1,250,000	150,409	150,409	1,099,591	6/1/2013	O	5/31/2017	
4600002699	L & S ELECTRIC INC 1810 COUNTY RD XX ROTHSCHILD, WI 54474-0740 US	SMALL HYDRO CONTROL SYSTEM & GOVERNOR UPGRADE	C	B		F	N	5		3,713,930	0	0	3,713,930	6/11/2013	O	12/31/2016	
4600002700	ACLARA TECHNOLOGIES LLC SUB FOR ESCO TECHNOLOGIES INC 30400 SOLON RD SOLON, OH 44139-3416 US	FIVE YEAR MAINTENANCE AND SUPPORT ACLARA ENERGY VISION ENTERPRISE(EVE) SOFTWARE	S	B		N	N	1		378,838	0	0	378,838	4/1/2013	O	3/31/2018	
4600002701	TSI TURTLE SERVICES LLC FORMERLY TECHNOSCOUTS INC 1900 LOWER RD LINDEN, NJ 07036-6519 US	TURNKEY SUPPORT FOR AIR PROGRAM AT SENY FACILITIES	P	B		F	N	8		1,300,000	66,490	66,490	1,233,510	7/1/2013	O	6/30/2018	
4600002705	CARLYLE CONSULTING SERVICES INC 641 LEXINGTON AVE - STE 1526 NEW YORK, NY 10022 US	2010-2013 - SAP TEMPORARY PROGRAMMING PERSONNEL	S	B		N	N	11		132,480	122,268	122,268	10,212	6/17/2013	C		12/31/2013
4600002707	BAYFORCE TECHNOLOGY SOLUTIONS INC 5100 W KENNEDY BLVD - STE 425 TAMPA, FL 33609-1806 US	2010-2013 - SAP TEMPORARY PROGRAMMING PERSONNEL	S	B		N	N	11		120,000	67,000	67,000	53,000	6/24/2013	C		12/31/2013

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4600002708	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	FURNISH AND DELIVER FOB JOB SITE FLUORESCENT, LED, CF AND HID LAMPS TO NYPA PROJECTS LISTED UNDER NYPA'S "HELP" PROGRAM.	E	B		F	N	3		2,135,054	0	0	2,135,054	7/17/2013	O	7/16/2014	
4600002710	CARRIER CORP 175 CENTRAL AVE - STE 300 FARMINGDALE, NY 11735-6917 US	SENY: HVAC EQUIPMENT AND SERVICES	S	B		N	N	3		2,000,000	28,203	28,203	1,971,797	10/1/2013	O	9/30/2018	
4600002713	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY ADMINISTRATIVE PERSONNEL ON AS-NEEDED BASIS	S	B	7	N	3	2		500,000	31,145	31,145	468,855	8/1/2013	O	7/31/2018	
4600002714	PENDA AIKEN INC 330 LIVINGSTON ST - FL 2 BROOKLYN, NY 11217-1002 US	TEMPORARY ADMINISTRATIVE PERSONNEL ON AS NEEDED BASIS	S	B	7	N	N	2		500,000	0	0	500,000	8/1/2013	O	7/31/2018	
4600002718	ADELAIDE ENVIRONMENTAL HEALTH ASSOCIATES INC 1511 ROUTE 22 - STE C24 BREWSTER, NY 10509-4082 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	3	18		1,000,000	0	0	1,000,000	8/1/2013	O	7/31/2018	
4600002719	AIRTEK ENVIRONMENTAL CORP 39-37 29TH ST LONG ISLAND CITY, NY 11101 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	0	0	1,000,000	8/1/2013	O	7/31/2018	
4600002720	CORE ENVIRONMENTAL CONSULTANTS INC 2312 WEHRLE DR BUFFALO, NY 14221-7021 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	3	18		1,000,000	0	0	1,000,000	8/1/2013	O	7/31/2018	
4600002721	LU ENGINEERS 175 SULLYS TRL - STE 202 PITTSFORD, NY 14534-4560 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	0	0	1,000,000	8/1/2013	O	7/31/2018	
4600002722	LIRO ENGINEERS INC 3 AERIAL WAY SYOSSET, NY 11791-5501 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	0	0	1,000,000	8/1/2013	O	7/31/2018	
4600002723	KAM CONSULTANTS INC 35-40 36TH ST LONG ISLAND CITY, NY 11106 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	0	0	1,000,000	8/1/2013	O	7/31/2018	
4600002724	WARREN & PANZER ENGINEERS PC 228 E 45TH ST NEW YORK, NY 10017 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	0	0	1,000,000	8/1/2013	O	7/31/2018	
4600002725	TRC ENVIRONMENTAL CORP 1430 BROADWAY - 10 FL NEW YORK, NY 10018-3308 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	0	0	1,000,000	8/1/2013	O	7/31/2018	

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4600002726	MISTRAS GROUP INC 195 CLARKSVILLE RD PRINCETON JUNCTION, NJ 08550-5392 US	ACOUSTIC MONITORING ON TRANSFORMERS, REACTORS AND/OR OTHER POWER SYSTEM EQUIPMENT	S	B		F	N	3		300,000	0	0	300,000	9/24/2013	O	9/24/2018	
4600002728	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	MAINTENANCE FOR RICOH MULTIFUNCTION DEVICES (MDF'S)	S	B		N	N	1		1,100,000	0	0	1,100,000	10/1/2013	O	9/30/2018	
4600002729	KLEINSCHMIDT ASSOCIATES PA PC PO BOX 650 PITTSFIELD, ME 04967-0650 US	ENVIRONMENTAL STUDY SERVICES	P	B		F	N	2		800,000	5,715	5,715	794,285	10/9/2013	O	10/8/2015	
4600002730	TALISEN TECHNOLOGIES INC 12655 OLIVE BLVD - STE 500 SAINT LOUIS, MO 63141-6362 US	ENERGY MANAGEMENT CENTRE	P	B		F	N	13		1,790,000	22,810	22,810	1,767,190	10/9/2013	O	10/8/2018	
4600002731	DELPHI SOLUTIONS LLC DBA ROHN ROGERS ASSOCIATES 708 3RD AVE - FL 5 NEW YORK, NY 10017-4201 US	TEMPORARY IT PERSONNEL SERVICES	S	B		N	N	45		300,000	37,012	37,012	262,988	9/18/2013	O	9/30/2014	
4600002732	BRG MACHINERY CONSULTING LLC 703 HIGHLAND AVE CHARLOTTESVILLE, VA 22903-4014 US	SENY: CONSULTING SERVICES TO PROVIDE SUPPORT ON ALL MAJOR ROTATING MACHINERY AND NUOVO PIGNONE GAS COMPRESSORS	P	B		N	N	1		450,000	0	0	450,000	11/1/2013	O	10/31/2018	
4600002733	BUCK CONSULTANTS LLC PROCESSING ONE PENNSYLVANIA PLAZA NEW YORK, NY 10119 US	BENEFITS CONSULTING SERVICES	P	B		N	N	7		530,000	0	0	530,000	11/1/2013	O	10/31/2018	
4600002734	DOBLE ENGINEERING CORP 85 WALNUT ST WATERTOWN, MA 02472 US	ANALYTICAL TESTING SERVICES & DOBLE EQUIPMENT RENTAL	E	B		F	N	2		1,250,000	0	0	1,250,000	11/1/2013	O	10/31/2018	
4600002735	EV CONNECT INC 714 W OLYMPIC BLVD - STE 939 LOS ANGELES, CA 90015-1354 US	EE ADVANCE TECHNOLOGY ELECTRIC VEHICLE CHARGING STATIONS FOR PUBLIC SITES: FABRICATE, DELIVER, INSTALL, START-UP & SERVICES 100 EVSE'S	C	B		F	N	8		1,568,108	0	0	1,568,108	12/2/2013	O	11/30/2018	
4600002736	KLEINSCHMIDT ASSOCIATES PA PC PO BOX 650 PITTSFIELD, ME 04967-0650 US	FERC RE-LICENSING PHASE II FOR B-G	P	B		F	N	1		1,984,109	0	0	1,984,109	12/16/2013	O	12/31/2018	
		WPO TOTALS								3,633,071,944	1,465,340,318	417,279,064	2,167,731,626				

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
FACILITY: WPO REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

TOTAL NUMBER OF CONTRACTS	1,290
TOTAL CONTRACT VALUE	$3,633,071,944
TOTAL EXPENDED TO DATE	$1,465,340,318
TOTAL EXPENDED IN REPORT YEAR	$417,279,064

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	735
TOTAL NUMBER CLOSED CONTRACTS	555

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	1008
TOTAL NUMBER SOLE SOURCE	81
TOTAL NUMBER SINGLE SOURCE	88
TOTAL NUMBER COMP SEARCH	78
TOTAL NUMBER MWBE/SBE	35

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	62
TOTAL NUMBER CONSTRUCTION	232
TOTAL NUMBER EQUIP/GOODS/COMMODITY	319
TOTAL NUMBER LEGAL SERVICES	29
TOTAL NUMBER PERSONAL SERVICES	241
TOTAL NUMBER OTHER NON PERSONAL SERVICES	407

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
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4300005525	LENNY'S TIRE SERVICE 4530 STATE RT 30 MIDDLEBURGH, NY 12122 US	TIRES FOR FLEET EQUIPMENT	E	B	5	N	N	0	*	6,665	6,745	6,745	-80	8/28/2013	C		10/2/2013
4500194752	MAILFINANCE INC D/B/A HASLER FINANCIAL SERVICES 478 WHEELERS FARMS RD MILFORD, CT 06461-9105 US	MAIL MACHINE RENTAL	E	B	7	N	N	1		11,000	7,165	2,144	3,835	9/22/2010	O	9/21/2015	
4500198046	DELAWARE VALLEY SPRING WATER INC PO BOX 918 - 194 REGAN WAY ONEONTA, NY 13820-0918 US	BOTTLED SPRING WATER	E	B	5	N	N	2		14,560	10,870	863	3,690	1/3/2010	C		3/26/2013
4500212072	B R JOHNSON INC 6960 FLY RD EAST SYRACUSE, NY 13057-0672 US	SPILLWAY AND WAREHOUSE ENTRY DOORS	E	M	5	N	1	1		14,865	14,865	14,865	0	2/15/2012	C		1/9/2013
4500213648	HY-SAFE TECHNOLOGY DBA FOR FPA INC 960 COMMERCE DR UNION GROVE, WI 53182-1070 US	CRESCENT AND VISCHER FERRY FALL PROTECTION SYSTEMS	C	B		F	N	3		55,230	55,230	45,228	0	2/9/2012	C		1/15/2013
4500213851	COYNE TEXTILE SERVICES INC 1435 ERIE BLVD SCHENECTADY, NY 12305-1004 US	FLOOR MATS, SHOP TOWELS & MOPS	E	B		N	N	1		36,058	31,492	17,281	4,566	3/1/2012	O	2/28/2014	
4500214404	DAY AUTOMATION SYSTEMS INC 7931 RAE BLVD VICTOR, NY 14564-8931 US	SECURITY SYSTEM MAINTENANCE AND TESTING PROGRAM	S	M	8	N	1	1		18,342	18,342	0	0	3/3/2012	C		3/2/2013
4500215854	US DEPT OF AGRICULTURE ANIMAL & PLANT HEALTH INSPECTION 1930 ROUTE 9 CASTLETON, NY 12033-9607 US	WILDLIFE SERVICES	S	2	5	N	N	1		12,793	5,901	2,624	6,892	4/5/2012	C		3/31/2013
4500216394	S & O CONSTRUCTION SERVICES INC 11 CHARLES ST PLEASANT VALLEY, NY 12569-7703 US	SWITCHYARD AND RELAY BUILDING HVAC REPLACEMENT	C	B		N	N	2		195,930	195,930	46,926	0	5/1/2012	C		2/21/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
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CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500219320	BLOOMVILLE DISPOSAL SERVICE DBA FOR G HAYNES HOLDINGS INC 51971 STATE HWY 10 BLOOMVILLE, NY 13739 US	TRASH REMOVAL	E	M	8	N	1	1		44,799	44,799	25,425	0	7/24/2012	C		8/20/2013
4500219462	C D PERRY & SONS INC PO BOX 866 TROY, NY 12181-0866 US	LOWER RESERVOIR DEBRIS REMOVAL	C	M	8	N	1	1		60,000	38,897	10,103	21,103	7/5/2012	C		1/22/2013
4500219882	APPLIED MEASUREMENT & CONTROLS INC 314 HOGAN RD FAIRPORT, NY 14450-8414 US	SHELL AND TUBE HEAT EXCHANGERS	E	M	8	N	1	1		133,656	133,656	133,656	0	7/16/2012	C		1/10/2013
4500220983	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	NERC REMEDIATION TRANSMISSION HARDWARE	E	B	5	N	N	1		7,620	7,620	117	0	8/13/2012	C		1/30/2013
4500221228	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	TRANSMISSION HARDWARE	E	B	5	N	N	2		14,132	14,132	103	0	8/17/2012	C		1/4/2013
4500221308	W W PATENAUDE SONS INC 3 BEST AVE MECHANICVILLE, NY 12118 US	SANDBLAST AND PAINT PENSTOCKS AND HATCH COVERS	C	M	8	N	N	1		127,990	125,423	25,567	2,567	8/20/2012	C		8/8/2013
4500222513	HITACHI POWER SYSTEMS AMERICA LTD 645 MARTINSVILLE RD BASKING RIDGE, NJ 07920-4701 US	HITACHI AIR BOX ASSEMBLIES	E	B		F	N	1		402,300	402,300	402,300	0	9/19/2012	C		7/16/2013
4500223410	ADIRONDACK ENVIRONMENTAL SERVICES INC 314 N PEARL ST ALBANY, NY 12207-1322 US	ENVIRONMENTAL TESTING SERVICES	S	2	5	N	1	1		9,780	9,005	9,005	775	10/12/2012	C		3/4/2013
4500223418	B-LANN EQUIPMENT CO INC 2288 5TH AVE - PO BOX 997 TROY, NY 12180-2201 US	FIRE EXTINGUISHER MAINTENANCE & REPAIRS	E	B	5	N	1	1	*	10,000	10,194	7,443	-194	10/26/2012	C		10/8/2013
4500224513	TCI OF NY LLC 39 FALLS INDUSTRIAL PARK RD HUDSON, NY 12534 US	DISASSEMBLE GENERATOR STEP UP TRANSFORMER	C	M	8	N	1	1		76,203	76,203	76,203	0	11/8/2012	C		3/4/2013
4500224517	FPI MECHANICAL INC 11 GREEN MOUNTAIN DR COHOES, NY 12047 US	FABRICATE AND INSTALL DELUGE SYSTEM FOR GENERATOR STEP UP TRANSFORMER	C	M	8	N	1	1		100,000	97,781	97,781	2,219	11/8/2012	C		7/15/2013

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500224518	RONDOUT ELECTRIC INC 33 ARLINGTON AVE POUGHKEEPSIE, NY 12603-1698 US	MODIFY FIRE DETECTION SYSTEM ON GENERATOR STEP UP TRANSFORMER	C	M	8	N	1	1		62,377	62,337	62,337	40	11/8/2012	C		3/21/2013
4500224906	FLOCK FIGHTERS USA INC PO BOX 6553 FORT WAYNE, IN 46896-0553 US	BIRD REMOVAL FROM CRESCENT PROJECT SWITCHYARD	P	1	5	F	N	1		9,950	0	9,950	9,950	11/19/2012	C		1/1/2013
4500225413	SHEBER/WRIGHT INC 6 WINNERS CIR - APT #630 ALBANY, NY 12205-5930 US	PROJECT ASSISTANT SERVICES	S	M	8	N	3	1		45,324	45,324	44,220	0	12/20/2012	C		12/19/2013
4500225958	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	REBUILD SERVOS FOR CRESCENT POWER PROJECT	S	2	8	N	1	1		67,850	0	0	67,850	4/30/2013	O	3/31/2014	
4500225979	CURBELL INC 7 COBHAM DR ORCHARD PARK, NY 14127 US	TURBINE SHAFT RESIN PACKING	E	2	5	N	N	1	*	7,200	8,651	8,651	-1,451	12/18/2012	C		3/6/2013
4500226037	DAVIS ULMER SPRINKLER CO INC 7633 EDGECOMB DR LIVERPOOL, NY 13088 US	DELUGE VALVES	E	2	5	N	N	1		6,450	6,450	6,450	0	12/18/2012	C		1/14/2013
4500226293	KONE INC ONE KONE CT MOLINE, IL 61265 US	ELEVATOR MAINTENANCE	S	B	7	N	N	1		14,000	10,859	8,844	3,141	9/1/2012	O	8/31/2014	
4500226466	APPLIED MEASUREMENT & CONTROLS INC 314 HOGAN RD FAIRPORT, NY 14450-8414 US	HEAT EXCHANGER PARTS	E	M	8	N	1	1	*	21,580	21,753	21,753	-173	1/4/2013	C		3/21/2013
4500227201	ROLYAN BUOYS W68 N158 EVERGREEN BLVD CEDARBURG, WI 53012 US	BUOY HARDWARE	E	C	5	F	N	3		6,504	6,440	6,440	64	1/21/2013	C		2/6/2013
4500227378	ROCTEST INC PO BOX 3568 CHAMPLAIN, NY 12919 US	MODULES FOR SLIDE AREA MONITORING SYSTEM	E	1	5	F	N	1		17,280	15,020	15,020	2,260	1/24/2013	C		12/19/2013
4500227992	MAIN CARE ENERGY PO BOX 11029 ALBANY, NY 12211-1029 US	HEATING FUEL	E	B	7	N	1	1		5,796	5,796	5,796	0	2/7/2013	C		2/7/2013
4500228348	MAIN CARE ENERGY PO BOX 11029 ALBANY, NY 12211-1029 US	UNLEADED GASOLINE	E	B	7	N	1	1		5,170	5,170	5,170	0	2/12/2013	C		2/15/2013
4500228637	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	B5 BIODIESEL	E	B		N	N	1		9,038	9,038	9,038	0	2/25/2013	C		3/1/2013

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4500228660	TRI TOOL INC 3041 SUNRISE BLVD RANCHO CORDOVA, CA 95742-6502 US	CLAMSHELL MACHINING DEVICE	E	B		F	N	2	*	358,004	361,452	361,452	-3,448	2/25/2013	C		8/22/2013
4500228687	REPCO INDUSTRIES INC 970 TOWNLINE RD CAYUGA, NY 13034-9749 US	PVC FLASHBOARDS	E	2	8	N	1	2		27,140	27,140	27,140	0	2/26/2013	C		5/29/2013
4500228859	SCHOHARIE COUNTY HISTORICAL SOCIETY 145 FORT RD SCHOHARIE, NY 12157-4705 US	LANSING MANOR CURATOR SERVICES	S	2	5	N	N	1		10,750	10,750	10,750	0	2/28/2013	C		3/4/2013
4500229536	POWER DOOR PRODUCTS INC 2031 RTE 22 BREWSTER, NY 10509-5914 US	SECURITY GATE OPERATORS AND ARMS	E	2	5	N	1	1	*	8,923	9,136	9,136	-213	3/18/2013	C		3/28/2013
4500229682	PROMETEK INC 1005 NORDIQUE AVE QUEBEC CITY, PQ G1C 0C7 CA	TRANSMISSION TOWER STEEL	E	B		F	N	6		57,100	57,100	57,100	0	3/20/2013	C		6/30/2013
4500229820	T J BELL ENVIRONMENTAL INC 203 SHEER RD AVERILL PARK, NY 12018 US	ASBESTOS ABATEMENT	C	M	5	N	1	1		8,982	8,982	8,982	0	3/20/2013	C		3/20/2013
4500229822	AMERIGAS 313 MACARTHUR AVE STE COBLESKILL, NY 12043-1600 US	PROPANE FOR MAINTENANCE BUILDING FURNACE	E	B	7	N	N	1		13,146	13,146	13,146	0	3/6/2013	C		3/6/2013
4500230111	CRYSTAL ROCK LLC DBA FOR CRYSTAL ROCK HOLDINGS INC 1050 BUCKINGHAM ST WATERTOWN, CT 06795-6602 US	BOTTLED WATER	E	B	7	F	N	2		14,750	10,119	10,119	4,631	3/26/2013	O	3/25/2015	
4500230160	ELECTROSWITCH CORP 180 KING AVE WEYMOUTH, MA 02188-2904 US	TEST SWITCHES	E	2	5	F	N	1		9,765	9,800	9,800	-35	4/2/2013	C		5/1/2013
4500230276	SUNY COBLESKILL FOUNDATION ROOM 201 KNAPP HALL - SUNY COBLESKI COBLESKILL, NY 12043 US	FISH STOCKING	E	2	5	N	N	1		14,000	14,000	14,000	0	4/4/2013	C		6/4/2013
4500230322	MAIN CARE ENERGY PO BOX 11029 ALBANY, NY 12211-1029 US	UNLEADED GASOLINE	E	B	7	N	1	1		5,630	5,630	5,630	0	3/26/2013	C		4/5/2013

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500230408	APPLIED MEASUREMENT & CONTROLS INC 314 HOGAN RD FAIRPORT, NY 14450-8414 US	OEM CHANNEL FOR HEAT EXCHANGER	E	M	8	N	1	1	*	26,775	27,372	27,372	-597	4/9/2013	C		7/8/2013
4500230420	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	ARC FLASH GEAR	E	B	6	N	4	4		6,059	6,059	6,059	0	4/9/2013	C		4/24/2013
4500230508	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	MARCY SOUTH WOOD POLE REPLACEMENT MATERIALS	E	B	5	N	N	2		14,799	14,799	14,799	0	4/11/2013	C		7/12/2013
4500230669	GENERAL ELECTRIC CO 4 STANLEY CIR LATHAM, NY 12110-2615 US	RELAYS	E	1	5	N	N	1		12,740	12,740	12,740	0	4/15/2013	C		5/20/2013
4500230699	SCHWEITZER ENGINEERING LAB INC C/O ROBINSON SALES INC PO BOX 586 SAXTONS RIVER, VT 05154-0586 US	SWITCHYARD RELAYS	E	1	8	F	N	1		49,060	49,134	49,134	-74	4/16/2013	C		5/10/2013
4500230771	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	VISCHER FERRY UPPER GUIDE RAIL EXTENSIONS	E	2	5	N	1	1		8,240	8,240	8,240	0	4/18/2013	C		6/21/2013
4500230772	HELWIG CARBON PRODUCTS INC 8900 W TOWER AVE - BOX 240160 MILWAUKEE, WI 53224-9008 US	GRAPHITE CARBON PACKING RINGS	E	1	5	F	N	1		14,598	14,598	14,598	0	4/18/2013	C		4/26/2013
4500230900	MAIN CARE ENERGY PO BOX 11029 ALBANY, NY 12211-1029 US	UNLEADED GASOLINE	E	B	7	N	1	1		5,199	5,199	5,199	0	4/16/2013	C		4/22/2013
4500231003	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	B20 BIODIESEL	E	C	8	N	N	1		9,159	9,159	9,159	0	4/18/2013	C		4/24/2013
4500231185	RODNEY HUNT CO INC 46 MILL ST ORANGE, MA 01364-1289 US	DRAFT TUB GATE SEALS	E	1		F	N	1		35,140	35,140	35,140	0	4/30/2013	C		6/6/2013
4500231220	WILLIAMS SCOTSMAN INC PO BOX 91975 CHICAGO, IL 60693-1975 US	OFFICE TRAILER RENTAL	E	B	5	N	N	1		12,471	6,412	6,412	6,059	5/1/2013	O	5/31/2015	

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4500231423	ALL TYPE PROFESSIONAL DOOR SERVICE INC 405 N PEARL ST ALBANY, NY 12207-1311 US	REPLACEMENT DOORS	E	M	5	N	N	1		14,490	14,490	14,490	0	5/7/2013	C		12/20/2013
4500231624	CARGILL INC 24950 COUNTRY CLUB BLVD - STE 450 NORTH OLMSTED, OH 44070-5333 US	ROAD SALT	E	B	7	F	N	1		7,841	7,815	7,815	26	5/10/2013	C		5/29/2013
4500231643	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	CISCO SWITCHES AND FIREWALL	E	B	5	N	3	1		14,339	14,339	14,339	0	5/13/2013	C		6/19/2013
4500231670	CURBELL INC 7 COBHAM DR ORCHARD PARK, NY 14127 US	RESIN PACKING RING SEGMENTS	E	2	5	N	N	1		9,840	9,784	9,784	56	5/13/2013	C		7/8/2013
4500232025	DAY AUTOMATION SYSTEMS INC 7931 RAE BLVD VICTOR, NY 14564-8931 US	SECURITY SYSTEM MAINTENANCE AND TESTING	S	B	7	N	1	1		18,342	18,342	18,342	0	4/1/2013	O	3/31/2014	
4500232050	SNC-LAVALIN TRANSPORTATION USA INC 19015 NORTH CREEK PKWY - STE 300 BOTHELL, WA 98011-8029 US	GPS SYSTEM SITE EVALUATION	P	M	5	F	N	1		5,563	5,563	5,563	0	5/22/2013	C		12/2/2013
4500232158	WORLDWIDE WELDING & BRAZING SOLUTIONS DBA FOR CSC NORTHEAST 106 BROOKER HOLLOW RD E WORCESTER, NY 12064-2026 US	DEVELOP WELDING PROCEDURE	S	M	5	N	1	1		9,832	6,763	6,763	3,069	5/24/2013	C		12/19/2013
4500232388	ROBERT GOLDERMAN 33 LANCASTER ST FL 1 COHOES, NY 12047-2924 US	LAND OFFICE WALL AND CEILING REPAIR	S	M	5	N	N	1		7,900	0	0	7,900	6/1/2013	O	4/30/2014	
4500232442	LM PARTS LLC 26 FAYMORE DR AGAWAM, MA 01001-3010 US	TEMPERATURE CONTROL VALVES	E	B		F	N	4	*	18,600	18,737	18,737	-137	6/3/2013	C		6/28/2013
4500232448	ROTHE WELDING INC 1455 RT 212 SAUGERTIES, NY 12477 US	TRANSFORMER BRIDGE	E	M	8	N	3	1		70,450	69,746	69,746	704	6/3/2013	C		7/19/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500232567	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	WERNER LADDERS	E	M	5	N	4	1		6,775	6,775	6,775	0	6/5/2013	C		6/7/2013
4500232677	OMEGA ENGINEERING INC PO BOX 4047 ONE OMEGA DR STAMFORD, CT 06907 US	WATER TURBINE FLOW METERS	E	B		F	N	3	*	19,552	19,684	19,684	-132	6/7/2013	C		7/15/2013
4500232736	TROY BOILER WORKS INC 2800 7TH AVE TROY, NY 12180-1587 US	STAINLESS STEEL SHAFT SLEEVE	E	M	5	N	1	1		13,207	13,207	13,207	0	6/10/2013	C		8/16/2013
4500232981	REPCO INDUSTRIES INC 970 TOWNLINE RD CAYUGA, NY 13034-9749 US	VISCHER FERRY FLASHBOARD PINS	E	2	8	N	1	1		66,208	66,208	66,208	0	6/14/2013	C		9/19/2013
4500233000	CG INDUSTRIAL SAFETY INC 1213 SPARROW LN - PO BOX 2328 PEEKSKILL, NY 10566-4021 US	PIPING, VALVES, AND COUPLINGS	E	M	8	N	3	1		31,046	31,046	31,046	0	6/14/2013	C		8/23/2013
4500233087	NOR'EAST CONTROLS DBA FOR ALLAGASH INTERNATIONAL INC 1 MADISON ST SOUTH PORTLAND, ME 04106-1648 US	HONEYWELL VALVE AND ACTUATOR	E	B	5	F	N	4		12,068	11,903	11,903	165	6/18/2013	C		7/11/2013
4500233134	COLLINS NIAGARA DBA FOR COLLINS PIPE&SUPPLY CO INC 5910 FIRESTONE DR SYRACUSE, NY 13206-1103 US	JAMESBURY BALL VALVES	E	B	5	N	N	2	*	7,077	7,150	7,150	-73	6/19/2013	C		7/30/2013
4500233388	TCE SYSTEMS INC 4819 25TH AVE ASTORIA, NY 11103-1005 US	MICROWAVE SYSTEM	E	M	8	N	3	1		69,950	0	0	69,950	6/26/2013	O	2/28/2014	
4500233634	THREADED FASTERNERS INC 358 SAINT LOUIS ST MOBILE, AL 36602-2825 US	CORTEN BOLTS AND NUTS	E	B	5	F	N	2	*	6,026	6,216	6,216	-190	7/2/2013	C		7/17/2013
4500233717	MAIN CARE ENERGY PO BOX 11029 ALBANY, NY 12211-1029 US	UNLEADED GASOLINE	E	B	7	N	1	1		5,755	5,755	5,755	0	7/3/2013	C		7/3/2013
4500234031	S & O CONSTRUCTION SERVICES INC 11 CHARLES ST PLEASANT VALLEY, NY 12569-7703 US	WEST TRANSITION STATION HVAC REPLACEMENT	C	B		N	N	1		66,596	0	0	66,596	7/15/2013	C		12/20/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500234062	TROY BOILER WORKS INC 2800 7TH AVE TROY, NY 12180-1587 US	GOVERNOR ACCUMULATOR TANKS	E	2		N	1	1		19,160	0	0	19,160	7/16/2013	O	1/31/2014	
4500234234	REPCO INDUSTRIES INC 970 TOWNLINE RD CAYUGA, NY 13034-9749 US	FLASHBOARD PINS FOR VISCHER FERRY DAM "E"	E	2		N	1	1		24,080	24,080	24,080	0	7/18/2013	C		9/19/2013
4500234251	SID HARVEY INDUSTRIES INC 8 JUPITER LN ALBANY, NY 12205 US	REPLACEMENT MCQUAY COMPRESSOR	E	B	5	N	N	2		12,680	11,840	11,840	840	7/19/2013	C		8/12/2013
4500234386	SHRIER-MARTIN PROCESS EQUIPMENT INC PO BOX 368 MENDON, NY 14506 US	DUPLEX STRAINERS AND BASKETS	E	B		N	N	4	*	11,580	11,932	11,932	-352	7/23/2013	C		8/7/2013
4500234530	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	B20 BIODIESEL	E	C		N	N	1		8,881	8,881	8,881	0	7/1/2013	C		7/25/2013
4500234534	MAIN CARE ENERGY PO BOX 11029 ALBANY, NY 12211-1029 US	MID-GRADE GASOLINE	E	B	7	N	1	1		5,945	5,945	5,945	0	7/19/2013	C		7/25/2013
4500234582	FPI MECHANICAL INC 11 GREEN MOUNTAIN DR COHOES, NY 12047 US	SERVICE PLATFORMS	C	M	8	N	1	1		82,300	0	0	82,300	7/26/2013	C		12/30/2013
4500235302	CG INDUSTRIAL SAFETY INC 1213 SPARROW LN - PO BOX 2328 PEEKSKILL, NY 10566-4021 US	MILLER HARNESSES	E	M	5	N	3	3	*	9,192	9,276	9,276	-84	8/15/2013	C		8/28/2013
4500235347	STEELCASE INC BUSINESS ENVIRONMENTS BY RAS 901 44TH ST SE GRAND RAPIDS, MI 49508-7594 US	STEELCASE OFFICE FURNITURE	E	B	7	F	N	1		18,107	12,559	12,559	5,548	8/16/2013	O	1/31/2014	
4500235358	APPLIED MEASUREMENT & CONTROLS INC 314 HOGAN RD FAIRPORT, NY 14450-8414 US	YOKOGAWA RECORDERS	E	M	5	N	1	1	*	12,375	12,573	12,573	-198	8/16/2013	C		9/25/2013
4500235506	CARVER SAND & GRAVEL INC 494 WESTERN TURNPIKE ALTAMONT, NY 12009 US	ITEM #4 STONE	E	B	7	N	N	1		7,500	6,470	6,470	1,030	9/30/2013	C		9/30/2013
4500235612	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	B20 DIESEL	E	B	7	N	N	1		8,264	8,264	8,264	0	8/23/2013	C		8/23/2013

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4500235816	AVTRON LOADBANK INC 6255 HALLE DR CLEVELAND, OH 44125-4615 US	RESISTIVE LOAD BANK	E	B	5	F	N	2	*	18,464	18,826	18,826	-362	8/28/2013	C		10/22/2013
4500236322	TROY BELTING & SUPPLY CO 70 COHOES RD WATERVLIET, NY 12189-1829 US	VISCHER FERRY EXCITER GENERATOR REPAIR	E	M	5	N	1	1		5,436	5,382	5,382	54	9/11/2013	C		10/22/2013
4500236477	BLOOMVILLE DISPOSAL SERVICE DBA FOR G HAYNES HOLDINGS INC 51971 STATE HWY 10 BLOOMVILLE, NY 13739 US	TRASH REMOVAL	E	M	8	N	1	1		50,000	10,820	10,820	39,180	9/1/2013	O	8/31/2014	
4500236549	ALL-LIFTS INC 27-39 THATCHER ST ALBANY, NY 12207-3012 US	INTAKE GATE HOIST ROPE	E	M	5	N	1	1	*	14,955	15,047	15,047	-92	9/7/2013	C		9/24/2013
4500236832	T J BELL ENVIRONMENTAL INC 203 SHEER RD AVERILL PARK, NY 12018 US	ASBESTOS ABATEMENT	C	2	5	N	1	1		11,223	11,223	11,223	0	9/24/2013	C		9/24/2013
4500237140	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	GEAR SET FOR CRESCENT INTAKE GATE	E	M	5	N	1	1		6,800	6,800	6,800	0	10/2/2013	C		11/14/2013
4500237507	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	PACKING BOX BOLTS	E	M	5	N	1	1		7,800	0	0	7,800	10/11/2013	C		12/11/2013
4500237511	MARMON UTILITY LLC C/O METROWEST ELECTRIC SALES INC 53 OLD WILTON RD MILFORD, NH 03055-3119 US	15KV CABLE - REDUNDANT POWER SUPPLY	E	B		N	N	3		121,092	0	0	121,092	10/11/2013	C		12/6/2013
4500237725	TRI TOOL INC 3041 SUNRISE BLVD RANCHO CORDOVA, CA 95742-6502 US	MACHINING ACCESSORIES FOR COOLING WATER HEADER REPLACEMENT	E	1		F	N	1	*	82,441	83,293	83,293	-852	10/18/2013	C		11/20/2013
4500237726	B-LANN EQUIPMENT CO INC 2288 5TH AVE - PO BOX 997 TROY, NY 12180-2201 US	FIRE EXTINGUISHER INSPECTION AND REPAIRS	E	B	7	N	1	1		10,000	0	0	10,000	11/1/2013	O	10/31/2014	
4500237740	S & C ELECTRIC CO INC C/O VJ BROWN CO INC 622 TACOMA AVE - STE 1 BUFFALO, NY 14216-2400 US	MEDIUM VOLTAGE FUSIBLE INTERRUPTER SWITCHGEAR	E	B		N	N	2		67,940	0	0	67,940	10/18/2013	C		12/16/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500238177	THERMAL PRODUCTS INC 964A ROUTE 146 - PO BOX 693 CLIFTON PARK, NY 12065-3666 US	TAINTOR GATE SEAL HEATERS	E	B	5	N	N	2		7,750	0	0	7,750	10/30/2013	C		12/30/2013
4500238191	SEALS UNLIMITED INC 23050 NW JACOBSON RD HILLSBORO, OR 97124-9328 US	GATE SEALS FOR CRESCENT/VISCHER FERRY	E	C	5	F	N	2	*	6,760	7,001	7,001	-241	10/30/2013	C		12/4/2013
4500238192	ADMAR SUPPLY CO INC 1950 BRIGHTON HENRIETTA ROCHESTER, NY 14623 US	GENIE WORK PLATFORM	E	B	5	N	N	3		10,086	0	0	10,086	10/30/2013	O	1/31/2014	
4500238281	MDS POWER INC PO BOX 532 CHAMPLAIN, NY 12919 US	STATION BATTERY CHARGE CONTROLLER	E	M	8	N	1	1		27,449	0	0	27,449	11/1/2013	O	1/31/2014	
4500238292	RFL ELECTRONICS INC 353 POWERVILLE RD BOONTON, NJ 07005-9151 US	PROTECTIVE RELAY	E	1	5	F	N	1		9,690	9,698	9,698	-8	11/1/2013	C		11/21/2013
4500238345	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605 US	ANNUNCIATOR PANEL	E	B	5	N	N	3		11,388	0	0	11,388	11/4/2013	C		12/17/2013
4500238375	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	GASOLINE	E	B	7	N	N	1		5,907	5,907	5,907	0	10/22/2013	C		11/4/2013
4500238378	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	B5 DIESEL	E	B	7	N	N	1		7,102	7,102	7,102	0	10/22/2013	C		11/4/2013
4500238410	ELECTROSWITCH CORP 180 KING AVE WEYMOUTH, MA 02188-2904 US	LOCKOUT RELAYS	E	1	5	F	N	1		9,531	0	0	9,531	11/5/2013	C		12/18/2013
4500238650	NATIONAL GRID 300 ERIE BLVD W SYRACUSE, NY 13202-4250 US	ELECTRIC SERVICE TO STREAM TELEMETRY SITE	C	1	5	N	N	1		9,317	9,317	9,317	0	11/12/2013	C		12/20/2013
4500238672	CORROSION PRODUCTS & EQUIPMENT INC 35 MAPLEWOOD AVE COLONIE, NY 12205-5402 US	VISCHER FERRY DEWATERING PUMP REPAIR	E	2	5	N	N	1		6,890	0	0	6,890	11/13/2013	C		12/16/2013
4500239383	SCHWEITZER ENGINEERING LAB INC C/O ROBINSON SALES INC 1240 ASHBRIDGE RD WEST CHESTER, PA 19380 US	SECONDARY CAP BANK PROTECTION RELAYS	E	B	5	F	N	3		8,685	0	0	8,685	12/4/2013	O	1/10/2014	

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500239611	HAVERFORD SYSTEMS INC 152 ROBBINS RD DOWNINGTOWN, PA 19335-3409 US	SMART BOARD	E	C	5	F	N	3		9,846	0	0	9,846	12/10/2013	O	1/31/2014	
4500239708	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	PRIMARY CAP BANK RELAYS	E	M	8	N	3	1		18,580	0	0	18,580	12/12/2013	C		12/30/2013
4500239771	EATON CORP C/O HASGO POWER EQUIPMENT SALES INC 151 W MAIN ST - PO BOX 400 LE ROY, NY 14482-1317 US	MEDIUM-VOLTAGE METAL-ENCLOSED SWITCHGEAR	E	B		F	N	2		29,508	0	0	29,508	10/11/2013	O	1/31/2014	
4500240108	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	B5 DIESEL	E	B	7	N	N	1		8,940	0	0	8,940	12/11/2013	C		12/23/2013
4500240178	AMERIGAS PO BOX 68 BRASHER FALLS, NY 13613 US	LIQUID PROPANE	E	B	7	N	N	1		14,286	0	0	14,286	12/27/2013	C		12/27/2013
4500240228	SHEBER/WRIGHT INC 6 WINNERS CIR - APT #630 ALBANY, NY 12205-5930 US	PROJECT ASSISTANT SERVICES	S	M	8	N	3	1		55,000	0	0	55,000	12/20/2013	O	12/19/2014	
4600002385	MORRIS TENT RENTALS INC PO BOX 442 MORRIS, NY 13808-2335 US	TENT, TABLE & CHAIR RENTALS FOR B-G VISITORS CENTER	E	B		N	1	2		60,000	38,237	12,579	21,763	1/1/2011	O	3/31/2014	
4600002480	EMERGENCY ONE ANDERSON MEDICAL PC 40 HURLEY AVE KINGSTON, NY 12401-3738 US	ON SITE MEDICAL SERVICES	P	B		N	4	2		75,000	32,500	14,750	42,500	1/1/2012	O	12/31/2014	
4600002564	DA COLLINS CONSTRUCTION CO INC 269 BALLARD RD WILTON, NY 12831-1357 US	CRESCENT & VISCHER FERRY BARGE & CRANE SERVICES	C	B		N	N	2		200,000	84,790	84,790	115,210	9/1/2012	C		8/31/2013
		BG TOTALS								4,042,259	3,010,781	2,592,033	1,031,478				

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
FACILITY: BG REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

TOTAL NUMBER OF CONTRACTS	120
TOTAL CONTRACT VALUE	$4,042,259
TOTAL EXPENDED TO DATE	$3,010,781
TOTAL EXPENDED IN REPORT YEAR	$2,592,033

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	21
TOTAL NUMBER CLOSED CONTRACTS	99

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	55
TOTAL NUMBER SOLE SOURCE	10
TOTAL NUMBER SINGLE SOURCE	17
TOTAL NUMBER COMP SEARCH	5
TOTAL NUMBER MWBE/SBE	33

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	13
TOTAL NUMBER EQUIP/GOODS/COMMODITY	93
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	3
TOTAL NUMBER OTHER NON PERSONAL SERVICES	11

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4300004411	BRONTO SKYLIFT OY AB SUBSIDIARY OF FEDERAL SIGNAL CORP 1123 BAYVIEW AVE BAYVILLE, NJ 08721-3135 US	REPLACEMENT BASKET	E	2	5	F	N	1		12,136	12,136	12,136	0	7/30/2012	C		12/3/2013
4500183481	MAILFINANCE INC D/B/A HASLER FINANCIAL SERVICES 478 WHEELERS FARMS RD MILFORD, CT 06461-9105 US	MAILING SYSTEM	E	B	7	F	N	1		5,483	4,177	1,044	1,306	1/1/2010	O	3/31/2016	
4500212800	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	HARD DRIVE MAINTENANCE AND RETENTION	S	2	5	N	N	1		7,631	7,631	0	0	1/20/2012	C		1/19/2013
4500213196	SIEMENS INDUSTRY INC 10900 WAYZATA BLVD - STE 400 MINNETONKA, MN 55305-5602 US	SIEMENS WARRANTY ECC MAPBOARD	S	1	8	F	N	1		24,329	24,329	0	0	1/30/2012	C		1/22/2013
4500214453	UNITED RENTALS INC 7178 SCHUYLER RD EAST SYRACUSE, NY 13057-9743 US	SCISSOR LIFT RENTAL	E	B	6	N	N	3		5,156	4,469	1,956	687	1/16/2013	C		7/2/2013
4500215717	ACRT INC 1333 HOME AVE AKRON, OH 44310-2512 US	TEMPORARY FORESTERS	S	C		F	N	2		375,000	264,846	24,077	110,154	4/6/2012	C		2/12/2013
4500215731	UNIFIRST CORP 103 LUTHER AVE LIVERPOOL, NY 13088 US	MOPS, MATS, COVERALLS	E	B	5	N	N	2		14,500	9,513	3,160	4,987	4/3/2012	C		3/18/2013
4500216866	ASSETWORKS INC FORMERLY MAXIMUS INC 998 OLD EAGLE SCHOOL RD - STE 1215 WAYNE, PA 19087-1862 US	GPS TELEMATIC SYSTEM	S	B	7	F	N	1		320,000	266,905	79,353	53,095	5/16/2012	C		5/15/2013
4500217739	VAN BORTEL FORD INC 71 MARSH RD EAST ROCHESTER, NY 14445-1915 US	FORD F550 REGULAR CAB & CHASSIS	E	M	8	N	3	1		81,590	81,590	38,910	0	5/17/2012	C		5/22/2013
4500218954	ALTEC INDUSTRIES INC PO BOX 11407 BIRMINGHAM, AL 35246-0414 US	AERIAL LIFT DEVICE	E	B		F	N	4		70,751	70,751	70,751	0	6/19/2012	C		5/17/2013

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4500221993	AUTOMOTIVE RENTALS INC 40001 LEADENHALL RD MOUNT LAUREL, NJ 08054-4611 US	VEHICLE MAINTENANCE	S	B	7	F	N	1		200,000	126,491	108,235	73,509	10/1/2012	C		9/30/2013
4500222371	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	P2000 SERVICE AGREEMENT	S	B	7	N	N	1		18,922	18,922	0	0	9/17/2012	C		9/30/2013
4500222761	SIEMENS ENERGY INC 110 MACALYSON CT CARY, NC 27511-7912 US	GATE DRIVES ASSEMBLIES	E	B		F	N	1		142,752	143,148	143,148	-396	9/26/2012	C		7/26/2013
4500223348	SEIFERT GRAPHICS INC 6133 JUDD RD ORISKANY, NY 13424-4220 US	NYPA LOGO DECALS	E	M	8	N	3	1		17,000	9,770	9,770	7,230	1/1/2013	C		12/30/2013
4500224566	KURTZ TRUCK EQUIPMENT INC 1085 MCGRAW MARATHON RD MARATHON, NY 13803-2806 US	2012 SERVICE BODY SLIDER ROOF RETROFIT	E	B	6	N	N	1		7,914	7,914	7,914	0	11/8/2012	C		4/30/2013
4500224635	DESIGN SPECIALISTS INC 4103 NEW COURT AVE SYRACUSE, NY 13206-1642 US	FURNISH & INSTALL WINDOW BLINDS	E	M	8	N	3	1		22,803	22,803	22,803	0	11/13/2012	C		2/6/2013
4500224950	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	YARD RAMP	E	M	5	N	4	1		11,000	11,000	11,000	0	11/20/2012	C		1/2/2013
4500224967	POWER LINE SYSTEMS INC 610 N WHITNEY WAY - STE 160 MADISON, WI 53705 US	PLS-CADD RENEWAL	E	2	5	F	N	1		11,770	11,770	11,770	0	11/20/2012	C		11/19/2013
4500225080	EGGAN EXCAVATING EQUIPMENT CO INC 7439 TOWNLINE RD ROME, NY 13440-1450 US	ENVIRONMENTAL SERVICES	S	M	8	N	1	1		40,000	31,491	31,491	8,509	12/1/2012	C		11/30/2013
4500225118	JOHN HERBERT CO DBA FOR PSH CORP 142 RT 17K - PO BOX 2758 NEWBURGH, NY 12550 US	ECC CARPET	E	B	7	N	1	1		11,756	11,756	11,756	0	11/27/2012	C		1/7/2013
4500225727	HORIZON SOLUTIONS CORP 1300 COLLEGE AVE ELMIRA, NY 14901-1154 US	ANACONDA, T&B PARTS	E	B	6	N	N	3	*	6,702	6,844	6,844	-142	12/11/2012	C		1/7/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500226218	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	IBM SERVER MAINTENANCE	S	B	7	N	N	1		100,218	95,207	95,207	5,011	1/1/2013	C		12/31/2013
4500226219	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	IBM STORAGE MAINTENANCE	S	B	7	N	N	1		25,518	24,243	24,243	1,275	1/1/2013	C		12/31/2013
4500226246	GSE POWER SYSTEMS INC 1332 LONDONTOWN BLVD SYKESVILLE, MD 21784-6587 US	HYDRO OPERATOR SIMULATOR	S	B		F	N	2		186,000	0	186,000	186,000	12/21/2012	C		1/1/2013
4500226339	HB COMMUNICATIONS INC 60 DODGE AVE NORTH HAVEN, CT 06473-1124 US	BARCO LAMPS	E	B	5	F	N	2		7,150	7,150	7,150	0	1/2/2013	C		1/10/2013
4500226342	WASTE MANAGEMENT OF NY-UTICA 2003 BLEECKER ST UTICA, NY 13501-1711 US	GARBAGE REMOVAL SERVICE	S	B	7	N	N	1		11,500	11,042	11,042	458	1/2/2013	C		12/31/2013
4500226380	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	IBM HARD DRIVE MAINTENANCE AND RETENTION	S	2	5	N	N	1		7,590	7,590	7,590	0	1/20/2013	O	1/19/2014	
4500226423	REDS EQUIPMENT WAREHOUSE INC 910 MONTGOMERY ST SYRACUSE, NY 13202 US	VEHICLE LIFT REPAIRS	E	B	6	N	3	3	*	11,437	11,587	11,587	-150	1/3/2013	C		2/1/2013
4500226665	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		8,085	8,095	8,095	-10	1/9/2013	C		1/9/2013
4500226683	GENERAL PHYSICS SERVICE CORP 8401-J BENJAMIN RD TAMPA, FL 33634 US	MECHANICAL SKILLS TRAINER	E	C	5	F	N	3		12,500	12,500	12,500	0	1/9/2013	C		1/29/2013
4500226931	PROMETEK INC 1005 NORDIQUE AVE QUEBEC CITY, PQ G1C 0C7 CA	STEEL TOWER REINFORCEMENT	E	B		F	N	5		48,821	48,821	48,821	0	1/15/2013	C		3/4/2013
4500226940	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	DIESEL FUEL	E	B	7	N	N	1		8,072	8,103	8,103	-31	1/15/2013	C		1/15/2013

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4500227174	HERTZ EQUIPMENT RENTAL CORP 4578 BUCKLEY RD LIVERPOOL, NY 13088 US	LOADER RENTAL	E	B	7	N	N	1		7,932	6,917	6,917	1,015	1/21/2013	C		3/11/2013
4500227416	SIEMENS INDUSTRY INC 10900 WAYZATA BLVD - STE 400 MINNETONKA, MN 55305-5602 US	EMS WALL BOARD WARRANTY COVERAGE	E	1	8	F	N	1		27,475	27,475	27,475	0	1/25/2013	O	1/31/2014	
4500227583	POWERTEL UTILITIES CONTRACTORS LTD 150 REGIONAL RD 10 WHITEFISH, ON P0M 3E0 CA	RENTAL DIGGER DERRICK	E	B	8	F	N	2		28,850	28,850	28,850	0	1/29/2013	C		2/26/2013
4500227585	IRON HORSE INDUSTRIAL TRAINING EQPT DBA FOR AIT INC PO BOX 436 FARMERSBURG, IN 47850-0436 US	ELECTRO-PNEUMATIC TRAINING APPARATUS	E	B	5	F	N	3		7,446	7,446	7,446	0	1/29/2013	C		2/13/2013
4500227771	WEBSTER FORD INC HENDERSON FORD MV-1 OF ROCHESTER 810 RIDGE RD WEBSTER, NY 14580-2411 US	FORD FOCUS ELECTRIC VEHICLE	E	M	8	N	4	1		38,682	38,682	38,682	0	2/4/2013	C		5/9/2013
4500227923	ASSETWORKS INC FORMERLY MAXIMUS INC 998 OLD EAGLE SCHOOL RD - STE 1215 WAYNE, PA 19087-1862 US	ASSETWORKS SUPPORT	S	B	7	F	N	1		14,882	5,082	5,082	9,800	2/6/2013	O	1/30/2014	
4500227993	MOHAWK LTD 1 NEWELL LANE - PO BOX 340 CHADWICKS, NY 13319-0355 US	PISTEN BULLY	E	M	8	N	3	1		138,744	138,744	138,744	0	2/7/2013	C		5/15/2013
4500228152	HEBER ASSOCIATES INC 420 QUAKER RD - BOX 4744 QUEENSBURY, NY 12804-1535 US	TEMPORARY EMPLOYEE - TECHNICAL TRAINING DEPARTMENT	S	M	8	N	3	1		115,000	56,362	56,362	58,638	2/19/2013	O	2/10/2014	
4500228278	PENTEK INC 1026 FOURTH AVE CORAOPOLIS, PA 15108 US	HEPA VACUUM	E	C	5	F	N	1	*	7,336	7,399	7,399	-63	2/14/2013	C		2/25/2013

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4500228473	LINEMEN'S SUPPLY DIVISION OF BUCKINGHAM MANUFACTURING COMPANY PO BOX 1690 BINGHAMTON, NY 13902-1690 US	LINEMEN EQUIPMENT	E	B	5	N	N	3		10,960	10,850	10,850	110	2/20/2013	C		3/14/2013
4500228504	NESCO SALES & RENTALS 3112 EAST STATE RD 124 BLUFFTON, IN 46714 US	TRACK VEHICLE RENTAL	E	B	8	F	N	2		49,800	49,800	49,800	0	2/21/2013	C		5/30/2013
4500228568	WEBSTER FORD INC HENDERSON FORD MV-1 OF ROCHESTER 810 RIDGE RD WEBSTER, NY 14580-2411 US	FORD CAB & CHASSIS	E	B	8	N	4	1		153,648	153,648	153,648	0	2/22/2013	C		11/7/2013
4500228617	INDUSTRIAL PROTECTION PRODUCTS INC 220 BALLARDVALE ST WILMINGTON, MA 01887-1080 US	SHOE MOBILES	E	B	5	F	N	3		6,410	6,410	6,410	0	2/25/2013	C		3/18/2013
4500228626	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		8,831	8,830	8,830	1	2/25/2013	C		2/26/2013
4500228658	PROMETEK INC 1005 NORDIQUE AVE QUEBEC CITY, PQ G1C 0C7 CA	TOWER STEEL - FE LINE	E	B		F	N	2		83,883	83,883	83,883	0	2/25/2013	C		4/18/2013
4500228881	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	KLEIN-INDUSTRIAL SCIENTIFIC VARIOUS	E	B	7	N	4	1		9,069	9,069	9,069	0	3/1/2013	C		3/12/2013
4500228916	KINEQUIP INC 365 OLD NIAGARA FALLS BLVD BUFFALO, NY 14228-1636 US	STOCK	E	B	5	F	N	1	*	8,195	8,301	8,301	-106	3/1/2013	C		5/1/2013
4500228929	COYNE TEXTILE SERVICES INC 1435 ERIE BLVD SCHENECTADY, NY 12305-1004 US	MOPS, MATS, COVERALLS	E	B	6	N	N	2		7,306	3,890	3,890	3,416	3/4/2013	O	3/18/2014	

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4500228935	NORTRAX INC 1486 US HIGHWAY 11 GOUVERNEUR, NY 13642-3407 US	WHEEL LOADER	E	B	7	N	N	1		153,143	153,143	153,143	0	3/4/2013	C		5/17/2013
4500228955	FIBER INSTRUMENT SALES 161 CLEAR RD ORISKANY, NY 13424-4301 US	OTDR TEST EQUIPMENT	E	B	5	N	N	2		10,310	10,310	10,310	0	3/4/2013	C		3/13/2013
4500228992	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	DIESEL FUEL	E	B	7	N	N	1		10,298	10,292	10,292	6	3/5/2013	C		3/5/2013
4500229044	NORTHLAND MOTORS FRANCIS CANDELLA 9280 RIVER RD MARCY, NY 13403-2069 US	TRAILER	E	M	5	N	1	1		10,950	10,950	10,950	0	3/6/2013	C		6/4/2013
4500229074	KURTZ TRUCK EQUIPMENT INC 1085 MCGRAW MARATHON RD MARATHON, NY 13803-2806 US	WRECKER TRUCK ACCESSORIES	E	B		N	N	1		19,829	19,829	19,829	0	3/6/2013	C		5/7/2013
4500229176	KURTZ TRUCK EQUIPMENT INC 1085 MCGRAW MARATHON RD MARATHON, NY 13803-2806 US	LIGHT DUTY UTILITY BODY TRUCKS	E	B		N	N	5		78,632	78,632	78,632	0	3/8/2013	C		11/7/2013
4500229287	HOSELTON CHEVROLET INC 909 FAIRPORT RD EAST ROCHESTER, NY 14445- 1991 US	CHEVROLET IMPALAS	E	B	7	N	N	1		55,815	55,815	55,815	0	3/12/2013	C		7/16/2013
4500229336	PAUL CONGELOSI SALES INC DBA PAUL CONGELOSI TRAILER SALES 2201 STATE ROUTE 17K MONTGOMERY, NY 12549-1909 US	ENCLOSED TRAILERS	E	M	8	N	1	1		41,700	41,700	41,700	0	3/13/2013	C		7/15/2013
4500229344	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	ANNUNCIATORS	E	B		N	N	2		18,390	18,444	18,444	-54	3/13/2013	C		7/24/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500229633	WARNER SALES & SERVICE INC 6470 GREENWAY NEW LONDON RD ROME, NY 13440-1606 US	COMPACT EXCAVATOR	E	B		N	N	1		54,994	54,994	54,994	0	3/19/2013	C		7/26/2013
4500229635	TRACEY ROAD EQUIPMENT INC 6803 MANLIUS CENTER RD EAST SYRACUSE, NY 13057-3912 US	COMPACT EXCAVATOR	E	B		N	N	4		81,268	81,268	81,268	0	3/19/2013	C		8/9/2013
4500229638	STEPHENSON EQUIPMENT INC 6018 DROTT DR EAST SYRACUSE, NY 13057-2998 US	50 TON CRANE RENTAL	E	B		N	N	3		16,900	12,972	12,972	3,928	3/19/2013	C		5/15/2013
4500229642	VAN BORTEL FORD INC 71 MARSH RD EAST ROCHESTER, NY 14445-1915 US	FORD FUSION HYBRID	E	B	7	N	3	1		151,751	151,751	151,751	0	3/19/2013	C		7/18/2013
4500229773	SKYWORKS LLC 100 THIELMAN DR BUFFALO, NY 14206-2359 US	MANLIFT	E	B		N	N	7		13,425	13,425	13,425	0	3/21/2013	C		5/16/2013
4500229788	VANTAGE EQUIPMENT LLC 5985 COURT STREET RD SYRACUSE, NY 13206-1707 US	LOWBOY TRAILER	E	B		N	N	6		49,087	49,087	49,087	0	3/22/2013	C		9/27/2013
4500229809	HOSELTON CHEVROLET INC 909 FAIRPORT RD EAST ROCHESTER, NY 14445-1991 US	CHEVROLET TAHOES	E	B	7	N	N	1		408,029	408,029	408,029	0	3/22/2013	C		8/9/2013
4500229824	GLOBAL RENTAL CO INC STE 250 33 INVERNESS CENTER PKWY BIRMINGHAM, AL 35242-7648 US	90' AERIAL LIFT TRACK VEHICLE RENTAL	E	B		F	N	1		35,000	26,786	26,786	8,214	3/22/2013	C		5/6/2013
4500229877	VAN BORTEL FORD INC 71 MARSH RD EAST ROCHESTER, NY 14445-1915 US	FORD F150 TRUCKS	E	M	8	N	3	1		90,856	90,856	90,856	0	3/25/2013	C		7/16/2013
4500229909	VAN BORTEL FORD INC 71 MARSH RD EAST ROCHESTER, NY 14445-1915 US	FORD PASSENGER VAN	E	B	7	N	3	1		25,023	25,023	25,023	0	3/26/2013	C		7/26/2013

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4500230076	VAN BORTEL FORD INC 71 MARSH RD EAST ROCHESTER, NY 14445-1915 US	FORD PICKUP TRUCKS	E	B	7	N	3	1		382,519	382,519	382,519	0	4/1/2013	C		8/25/2013
4500230124	SIGNS INC PO BOX 185 MALONE, NY 12953 US	DANGER SIGNS	E	M	5	N	3	1	*	12,800	13,088	13,088	-288	4/2/2013	C		4/17/2013
4500230149	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		8,319	8,314	8,314	5	4/2/2013	C		4/3/2013
4500230156	NYE AUTOMOTIVE GROUP INC 1479 GENESEE ST ONEIDA, NY 13421-2728 US	TOYOTA HIGHLANDER HYBRID	E	B		N	N	1		41,697	41,697	41,697	0	4/2/2013	C		5/14/2013
4500230165	MILTON CAT 294 AINSLEY DR SYRACUSE, NY 13210-4203 US	DOZER AND EXCAVATOR	E	B		N	N	5		325,941	325,941	325,941	0	4/3/2013	C		10/2/2013
4500230675	HOSELTON CHEVROLET INC 909 FAIRPORT RD EAST ROCHESTER, NY 14445-1991 US	2013 TAHOE	E	B	7	N	N	1		30,384	30,384	30,384	0	4/16/2013	C		8/12/2013
4500230707	MOFFETT TURF EQUIPMENT INC 33 THRUWAY PARK DR WEST HENRIETTA, NY 14586-9702 US	MOWERS	E	B	7	N	N	1		127,698	127,698	127,698	0	4/16/2013	C		5/17/2013
4500230818	MAIN MOTORCAR DBA FOR HOWELL & PIERSON INC 224 W MAIN ST JOHNSTOWN, NY 12095-2306 US	DODGE CARAVANS	E	B	7	N	N	1		42,468	42,468	42,468	0	4/18/2013	C		8/20/2013
4500230921	WEBSTER FORD INC HENDERSON FORD MV-1 OF ROCHESTER 810 RIDGE RD WEBSTER, NY 14580-2411 US	FORD F550 CAB & CHASSIS	E	M	8	N	4	1		42,450	42,450	42,450	0	4/23/2013	C		11/7/2013

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4500231013	ROBERT GREEN CHEVROLET OLDSMOBILE INC PO BOX 8002 ROCK HILL, NY 12775-8002 US	2013 DODGE DURANGOS	E	B	7	N	N	1		162,075	162,075	162,075	0	4/25/2013	C		7/9/2013
4500231144	TECH ED SYSTEMS INC 2100 HIGHWAY 35 - SUITE E SEA GIRT, NJ 08750 US	ELECTROMECHANICAL TRAINING SYSTEM	E	B	7	N	N	1		57,910	57,910	57,910	0	4/30/2013	C		6/13/2013
4500231195	MIRABITO FUEL GROUP 44 GRAND ST SIDNEY, NY 13838-1183 US	B20 DIESEL FUEL	E	C	6	N	N	3		10,596	10,599	10,599	-3	5/1/2013	C		5/4/2013
4500231255	U S MATERIALS HANDLING CORP 2231 STATE ROUTE 5 UTICA, NY 13502-7513 US	ELECTRIC CARTS	E	B		N	1	2		54,835	54,835	54,835	0	5/1/2013	C		6/5/2013
4500231546	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		7,832	7,845	7,845	-13	5/9/2013	C		5/10/2013
4500231632	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	345KV BREAKER CONTACTS	E	M	8	N	3	1		16,330	16,330	16,330	0	5/10/2013	C		7/5/2013
4500231656	SUNBELT RENTALS INC 800 HARTFORD TPKE SHREWSBURY, MA 01545-4107 US	RENTAL - BACKUP GENERATOR	E	B		F	N	6		45,600	22,601	22,601	22,999	5/21/2013	O	6/30/2014	
4500231837	CLINTON TRACTOR & IMPLEMENT CO PO BOX 262 CLINTON, NY 13323 US	COMPACT TRACTOR	E	B		N	N	3		22,883	22,883	22,883	0	5/16/2013	C		6/6/2013
4500231869	ASSETWORKS INC FORMERLY MAXIMUS INC 998 OLD EAGLE SCHOOL RD - STE 1215 WAYNE, PA 19087-1862 US	GPS TELEMATIC SERVICE	S	B	7	F	N	1		157,431	62,193	62,193	95,238	5/17/2013	O	5/16/2014	
4500231900	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	HVB ARCHING CONTACTS AND COVERS	E	M	8	N	3	1	*	15,716	15,796	15,796	-80	5/20/2013	C		9/16/2013

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4500231927	KURTZ TRUCK EQUIPMENT INC 1085 MCGRAW MARATHON RD MARATHON, NY 13803-2806 US	STAKE RACK BODY	E	B		N	N	5		14,882	14,882	14,882	0	5/20/2013	C		11/7/2013
4500232243	SKYWORKS LLC 100 THIELMAN DR BUFFALO, NY 14206-2359 US	MANLIFT RENTAL	E	B	6	N	N	3		5,558	5,558	5,558	0	5/29/2013	C		7/8/2013
4500232264	SOUTHERN STATES LLC C/O POWER SALES GROUP INC 175 ANDOVER ST - STE 105 DANVERS, MA 01923-1443 US	FUSE HOLDERS	E	B	6	F	N	1	*	10,110	10,258	10,258	-148	5/29/2013	C		7/18/2013
4500232403	KEPNER EQUIPMENT INC 2365 FIREHALL RD CANANDAIGUA, NY 14424-7515 US	STEAM CLEANER TRAILER	E	B		N	N	1		42,961	42,961	42,961	0	5/31/2013	C		12/23/2013
4500232668	VEHICLE SERVICE GROUP LLC DBA ROTARY LIFT 2700 LANIER DR MADISON, IN 47250-1753 US	LIFT	E	B	6	N	N	1		7,904	7,528	7,528	376	6/7/2013	C		7/10/2013
4500232671	R B U'REN EQUIPMENT INC 1120 CONNECTING RD NIAGARA FALLS, NY 14304-0426 US	SCISSOR LIFT	E	B		N	N	5		30,051	30,051	30,051	0	6/7/2013	C		8/29/2013
4500232690	HOHL INDUSTRIAL SERVICES INC 770 RIVERVIEW BLVD TONAWANDA, NY 14150-7880 US	CRANE RENTAL	E	C	6	N	1	1		12,584	12,584	12,584	0	6/7/2013	C		6/10/2013
4500232716	U S MATERIALS HANDLING CORP 2231 STATE ROUTE 5 UTICA, NY 13502-7513 US	FORKLIFTS	E	B		N	1	2		67,122	67,122	67,122	0	6/10/2013	C		11/4/2013
4500233010	VIEWPOINT SYSTEMS INC 800 WEST METRO PKWY ROCHESTER, NY 14623 US	ECC ATS UPGRADE	E	2	8	N	N	1		38,289	15,309	15,309	22,980	6/14/2013	O	1/27/2014	

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4500233424	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		8,822	8,832	8,832	-10	6/26/2013	C		6/27/2013
4500233524	NESCO SALES & RENTALS 3112 EAST STATE RD 124 BLUFFTON, IN 46714 US	REEL TRAILER RENTAL	E	B	6	F	N	2		9,900	9,750	9,750	150	7/8/2013	C		7/31/2013
4500233530	HERTZ EQUIPMENT RENTAL CORP 125 MILENS AVE TONAWANDA, NY 14150-6701 US	RENTAL JLG	E	2	5	N	N	1		5,824	5,610	5,610	214	11/18/2013	C		11/18/2013
4500233641	MEGGER 4271 BRONZE WAY DALLAS, TX 75237 US	SINGLE PHASE TEST SET	E	B		F	N	1		24,995	24,995	24,995	0	7/2/2013	C		7/21/2013
4500233663	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	STOCK	E	B		N	3	1		27,768	27,562	27,562	206	7/2/2013	C		10/8/2013
4500233841	ASCENT AVIATION GROUP INC 1 MILL ST PARISH, NY 13131-4123 US	DIESEL FUEL	E	B	6	N	N	3		9,504	9,524	9,524	-20	7/10/2013	C		7/10/2013
4500234007	HB COMMUNICATIONS INC 60 DODGE AVE NORTH HAVEN, CT 06473-1124 US	BARCO LAMPS	E	B	6	F	N	3		8,050	8,050	8,050	0	7/15/2013	C		8/13/2013
4500234396	NYE AUTOMOTIVE GROUP INC 1479 GENESEE ST ONEIDA, NY 13421 US	ENGINE REPAIR	E	B	5	N	N	1		9,500	8,974	8,974	526	7/23/2013	C		8/2/2013
4500234566	ABELE TRACTOR & EQUIPMENT CO INC 72 EVERETT RD ALBANY, NY 12205 US	EXCAVATOR	E	B	6	N	N	3		6,080	4,564	4,564	1,516	8/5/2013	C		9/17/2013
4500234636	MOHAWK RESOURCES LTD PO BOX 110 AMSTERDAM, NY 12010 US	WHEEL BALANCER	E	B	6	N	N	2		10,152	10,152	10,152	0	7/29/2013	C		8/28/2013
4500234785	PRINOTH OF NORTH AMERICA INC DBA PRINOTH LLC 2746 SEEBER ST - BLDG B GRAND JUNCTION, CO 81506-7303 US	CAMOPLAST TROOPER TRACK	E	B	5	F	N	3		10,330	10,330	10,330	0	8/1/2013	C		9/12/2013

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4500234871	NORTHLAND MOTORS FRANCIS CANDELLA 9280 RIVER RD MARCY, NY 13403-2069 US	UTILITY DECKOVER TRAILER	E	M	5	N	1	1		10,950	10,950	10,950	0	8/5/2013	C		9/25/2013
4500234920	BUSH CRANE & AERIAL LIFT SERVICES LLC 2218 W LAKE RD SKANEATELES, NY 13152-9615 US	FLEET EQUIPMENT INSPECTION/CERTIFICATION	S	B	8	N	1	1		24,150	22,080	22,080	2,070	8/6/2013	C		12/11/2013
4500235001	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		8,507	8,511	8,511	-4	8/7/2013	C		8/9/2013
4500235108	OSMOSE UTILITIES SERVICES INC 980 ELLICOTT ST BUFFALO, NY 14209 US	LINECREW C-TRUSS EQUIPMENT	E	B	5	N	N	1		9,714	9,746	9,746	-32	8/9/2013	C		8/23/2013
4500235246	AUTOMOTIVE RENTALS INC 40001 LEADENHALL RD MOUNT LAUREL, NJ 08054-4611 US	EQUIPMENT AND VEHICLE MAINTENANCE	E	B	7	F	N	1		200,000	14,312	14,312	185,688	10/1/2013	O	9/30/2014	
4500235444	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	B20 DIESEL FUEL	E	C	5	N	N	3		9,595	9,604	9,604	-9	8/20/2013	C		8/20/2013
4500235507	STEPHENSON EQUIPMENT INC 6018 DROTT DR EAST SYRACUSE, NY 13057-2998 US	CRANE RENTAL	E	B	6	N	N	3		7,175	6,200	6,200	975	8/21/2013	C		9/25/2013
4500235540	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	FIRE / SECURITY SYSTEM SERVICE AGREEMENT	S	B	7	N	N	1		18,922	18,922	18,922	0	8/22/2013	O	9/30/2014	
4500235557	CASSONE LEASING INC 1950 LAKELAND AVE RONKONKOMA, NY 11779-7400 US	RENTAL - CONSTRUCTION TRAILER	E	M	8	N	3	1		23,686	9,597	9,597	14,089	9/1/2013	O	9/1/2017	
4500235585	SIGNS INC PO BOX 185 MALONE, NY 12953 US	STOCK - DANGER SIGNS	E	M	8	N	3	1	*	18,233	18,932	18,932	-699	8/23/2013	C		9/18/2013

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4500235587	STEPHENSON EQUIPMENT INC 6018 DROTT DR EAST SYRACUSE, NY 13057-2998 US	DRUM ROLLER RENTAL	E	B	6	N	N	3		5,550	5,550	5,550	0	8/22/2013	C		9/6/2013
4500235708	UNITED RENTALS INC 7178 SCHUYLER RD EAST SYRACUSE, NY 13057-9743 US	JLG RENTAL	E	B	6	N	N	1		8,395	6,723	6,723	1,672	8/27/2013	C		10/24/2013
4500236175	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	HUBBELL CONDUCTIVE SUITS	E	B	6	N	N	2		7,719	7,719	7,719	0	9/9/2013	C		10/3/2013
4500236199	ROBERT H FINKE & SONS INC PO BOX 127 SELKIRK, NY 12158-0127 US	MINI EXCAVATOR RENTAL	E	B	7	N	N	1		6,668	3,471	3,471	3,197	9/13/2013	C		12/23/2013
4500236284	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		8,386	8,382	8,382	4	9/11/2013	C		9/12/2013
4500236301	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	IRONHORSE 24/7 CHAIRS	E	M	5	N	4	1		6,615	6,615	6,615	0	9/11/2013	C		10/3/2013
4500236615	SOLON MANUFACTURING CO 425 CENTER ST CHARADON, OH 44024 US	SOLON PRESSURE SWITCHES	E	B	6	F	N	3	*	6,203	6,248	6,248	-45	9/19/2013	C		10/9/2013
4500236616	EMPIRE CRANE CO LLC 7021 PERFORMANCE DR NORTH SYRACUSE, NY 13212 US	CRANE PARTS	E	B	6	N	N	1		7,805	0	0	7,805	9/19/2013	O	1/17/2014	
4500237130	CLARK RIGGING & RENTAL CORP 500 OHIO ST LOCKPORT, NY 14094-4222 US	CRANE RENTAL	E	B	6	N	N	4		11,500	10,000	10,000	1,500	10/11/2013	C		10/29/2013
4500237201	IPC INFORMATION SYSTEMS INC 25 KINGSTON ST - FL 4 BOSTON, MA 02111 US	MAINTENANCE AGREEMENT - IPC COMMUNICATIONS SYSTEM	E	1	5	F	N	1		10,124	10,124	10,124	0	11/1/2013	O	10/31/2014	

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4500237471	PROMETEK INC 1005 NORDIQUE AVE QUEBEC CITY, PQ G1C 0C7 CA	TRANSMISSION TOWER STEEL	E	C	5	F	N	1		5,791	5,791	5,791	0	10/10/2013	C		10/29/2013
4500237603	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	DIESEL FUEL - BIO B5	E	B	7	N	N	1		9,564	9,577	9,577	-13	10/16/2013	C		10/17/2013
4500237694	L C WHITFORD EQUIPMENT CO P O BOX 663 WELLSVILLE, NY 14895 US	DOZER RENTAL	E	B	7	N	N	1		5,220	5,220	5,220	0	10/17/2013	C		12/27/2013
4500237758	ABELE TRACTOR & EQUIPMENT CO INC 72 EVERETT RD ALBANY, NY 12205 US	ROLLER AND GRADER RENTAL	E	B	7	N	N	1		15,586	10,948	10,948	4,638	10/18/2013	C		12/2/2013
4500237766	YOKOGAWA CORPORATION OF AMERICA C/O APPLIED MEASUREMENT & CONTROL 314 HOGAN RD FAIRPORT, NY 14450-8414 US	VIDEO RECORDER & DIGITAL OUTPUT MODULE	E	B	5	N	N	3	*	12,240	12,471	12,471	-231	10/21/2013	C		11/27/2013
4500237777	NESCO SALES & RENTALS 3112 EAST STATE RD 124 BLUFFTON, IN 46714 US	TRAILER RENTAL	E	B	6	F	N	1		8,340	8,340	8,340	0	10/21/2013	C		12/4/2013
4500237884	WESCO DISTRIBUTION INC PO BOX 390 SYRACUSE, NY 13201-0390 US	HOFFMAN ENCLOSURES	E	B	6	N	N	4		6,937	6,937	6,937	0	10/23/2013	C		11/4/2013
4500238045	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		7,282	7,285	7,285	-3	10/28/2013	C		10/28/2013
4500238070	FILTREC CORP 5440 LOCKWOOD RD AUBURN, NY 13021-8110 US	VEHICLE LIFT REPAIR	E	B	6	N	N	1		7,341	0	0	7,341	10/28/2013	C		12/30/2013
4500238172	CENTRAL NEW YORK CONSTRUCTION INC 8228 STATE RT 28 BARNEVELD, NY 13304 US	BUILDING FASCIA REPAIRS	S	M	8	N	3	1		24,900	0	0	24,900	10/30/2013	C		12/29/2013

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4500238633	POWERCOMM SOLUTIONS LLC STE 311 15 MINNEAKONING RD FLEMINGTON, NJ 08822-5751 US	POWERCOMM ANALYZER AND CONNECTOR SET	E	B	6	F	N	2		13,190	13,190	13,190	0	11/12/2013	C		11/21/2013
4500238676	BASLER ELECTRIC CORP C/O HASGO POWER EQUIP SALES INC 151 E MAIN ST LE ROY, NY 14482-1237 US	BASLER AUTO SYNCHRONIZER	E	B	5	N	N	1		6,232	6,245	6,245	-13	11/13/2013	C		12/10/2013
4500238847	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	7KVA UPS FOR 345 BLDG.	E	B	5	N	4	3		8,668	8,668	8,668	0	11/18/2013	C		11/25/2013
4500238867	AEBI NEW ENGLAND LLC PO BOX 173 166 RIVER ST - ROUTE 123 ALSTEAD, NH 03602-0173 US	MOWER PARTS	E	B	6	F	N	1	*	10,808	10,953	10,953	-145	11/18/2013	C		12/4/2013
4500238899	GE ENERGY CONTROL SOLUTIONS INC 1800 NELSON RD LONGMONT, CO 80501-6324 US	TRAINING - GE MARK VI	P	1	8	F	N	1		69,600	0	0	69,600	11/19/2013	O	3/31/2014	
4500238948	CHALMERS & KUBECK NORTH INC 24-34 ELISE ST WESTFIELD, MA 01085 US	ACTUATOR FOR JARVIS	E	1	8	F	N	1		49,962	0	0	49,962	11/20/2013	O	1/6/2014	
4500239000	NOCO ENERGY CORP 625 SAWKILL RD KINGSTON, NY 12401-7157 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		7,812	7,722	7,722	90	11/21/2013	C		11/22/2013
4500239249	EKLUND FAMILY FARM MACHINERY INC PO BOX 455 - 27696 ST HWY 23 STAMFORD, NY 12167-0455 US	MINI EXCAVATOR ATTACHMENTS	E	B	6	N	1	1		6,600	0	0	6,600	11/27/2013	C		11/27/2013
4500239250	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	NUMERICAL RELAYS	E	M	8	N	3	1		35,880	0	0	35,880	11/27/2013	C		12/26/2013

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4500239591	AUDIO VISUAL INNOVATIONS INC 6301 BENJAMIN RD - STE 101 TAMPA, FL 33634-5115 US	BARCO LAMPS	E	B	5	F	N	3		6,742	0	0	6,742	12/10/2013	C		12/20/2013
4500239606	VAN BORTEL FORD INC 71 MARSH RD EAST ROCHESTER, NY 14445-1915 US	FORD FUSION HYBRID	E	B	7	N	3	1		25,614	0	0	25,614	12/10/2013	O	5/6/2014	
4500239624	PRINOTH OF NORTH AMERICA INC DBA PRINOTH LLC 2746 SEEBER ST - BLDG B GRAND JUNCTION, CO 81506-7303 US	CAMOPLAST TROOPER TRACKS	E	B	6	F	N	2		11,600	0	0	11,600	12/10/2013	C		12/30/2013
4500239650	FILTREC CORP 5440 LOCKWOOD RD AUBURN, NY 13021-8110 US	VEHICLE LIFT INSTALLATION	E	B		N	N	1		48,336	0	0	48,336	12/11/2013	O	3/28/2014	
4500239656	WILKINS MECHANICAL INC 6923 HERMAN RD SYRACUSE, NY 13209-9718 US	ECC DATA CENTER AIR CONDITIONING SYSTEM	E	M	8	N	3	1		108,000	0	0	108,000	12/11/2013	O	2/20/2014	
4500239676	WESCO DISTRIBUTION INC PO BOX 390 SYRACUSE, NY 13201-0390 US	ANACONDA/SEALTIGHT CONDUIT AND CONNECTIONS	E	B	6	N	N	3		10,093	4,690	4,690	5,403	12/11/2013	C		12/30/2013
4500239731	SPIRIT MILLER N E LLC 15 PINE ST DEPOSIT, NY 13754-1326 US	TRANSPORTATION	E	B	5	N	N	2		7,300	0	0	7,300	12/12/2013	O	1/14/2014	
4500239751	SUPERIOR PLUS ENERGY SERVICES INC 760 BROOKS AVE ROCHESTER, NY 14619-2259 US	B5 DIESEL FUEL WITH KERO MIX	E	B	7	N	N	1		10,188	10,071	10,071	117	12/13/2013	C		12/17/2013
4500239911	WASTE MANAGEMENT OF NY-UTICA 2003 BLEECKER ST UTICA, NY 13501-1711 US	ANNUAL RUBBISH SERVICE	S	B	7	N	N	1		12,000	0	0	12,000	12/17/2013	O	12/31/2014	
4500239912	POWER LINE SYSTEMS INC 610 N WHITNEY WAY - STE 160 MADISON, WI 53705 US	PLS-CADD RENEWAL	E	2	5	F	N	1		11,770	11,770	11,770	0	12/17/2013	C		12/29/2013

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500240102	WEBSTER FORD INC HENDERSON FORD MV-1 OF ROCHESTER 810 RIDGE RD WEBSTER, NY 14580-2411 US	FORD C-MAX ENERGY VEHICLE	E	1	8	N	4	1		31,095	0	0	31,095	12/23/2013	O	3/10/2014	
4600002282	AVIATION SERVICES UNLIMITED LLC PO BOX 629 ORISKANY, NY 13424 US	HELICOPTER SERVICES	S	B		N	N	2		800,000	489,157	134,723	310,843	7/1/2010	O	6/30/2014	
4600002300	GENERAL PHYSICS SERVICE CORP 8401-J BENJAMIN RD TAMPA, FL 33634 US	MECHANICAL APPRENTICE TRAINER SERVICES	S	B		N	N	3		875,000	782,152	227,940	92,848	8/1/2010	O	1/31/2014	
4600002413	ELECTROMARK CO PO BOX 25 WOLCOTT, NY 14590-4070 US	INFORMATION TAGS	E	B		N	N	2		150,000	88,121	27,510	61,879	7/1/2011	O	6/30/2014	
4600002415	PRAXAIR DISTRIBUTION MID-ATLANTIC LLC - DBA GTS-WELCO 39 OLD RIDGEBURY RD DANBURY, CT 06810-5108 US	SF6 STOCK	E	B		F	N	6		250,000	41,970	7,685	208,030	7/1/2011	O	6/30/2014	
4600002418	HAVERFIELD AVIATION INC 1750 EMMITSBURG RD GETTYSBURG, PA 17325 US	HELICOPTER INSPECTIONS & LINE MAINTENANCE	S	B		F	N	4		1,900,000	776,368	382,065	1,123,632	7/6/2011	O	6/30/2016	
4600002469	ACCESS HEALTH SYSTEMS 776A WATERVLIET SHAKER RD LATHAM, NY 12110-2296 US	MEDICAL SERVICES	P	B		N	1	1		150,000	83,618	38,597	66,382	1/1/2012	O	12/31/2015	
4600002697	WEEDS NO MORE INC PO BOX 236 WALTERSBURG, PA 15488-0236 US	BARE GROUND WEED CONTROL	S	B		F	N	2		300,000	60,312	60,312	239,688	6/1/2013	O	5/31/2017	
		CEC TOTALS								11,239,393	7,785,022	5,976,018	3,454,371				

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
FACILITY: CEC REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

TOTAL NUMBER OF CONTRACTS	165
TOTAL CONTRACT VALUE	$11,239,393
TOTAL EXPENDED TO DATE	$7,785,022
TOTAL EXPENDED IN REPORT YEAR	$5,976,018

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	29
TOTAL NUMBER CLOSED CONTRACTS	136

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	123
TOTAL NUMBER SOLE SOURCE	6
TOTAL NUMBER SINGLE SOURCE	7
TOTAL NUMBER COMP SEARCH	7
TOTAL NUMBER MWBE/SBE	22

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	0
TOTAL NUMBER EQUIP/GOODS/COMMODITY	140
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	2
TOTAL NUMBER OTHER NON PERSONAL SERVICES	23

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
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CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500197340	COYNE TEXTILE SERVICES INC 111 JAMES E CASEY DR BUFFALO, NY 14206-2366 US	RUG SERVICE	E	B	5	N	N	1		16,431	15,820	517	611	1/3/2011	C		1/31/2013
4500203458	PITNEY BOWES INC 1 ELMCROFT RD STAMFORD, CT 06926-0700 US	METER RENTAL/MAINTENANCE AGREEMENT - NEW POSTAGE SCALE	E	B	7	N	N	1		5,355	4,128	762	1,227	5/26/2011	O	6/30/2015	
4500203544	CRYSTAL SPRINGS DBA FOR DS WATERS OF AMERICA INC STE 500 5660 NEW NORTHSIDE DR ATLANTA, GA 30328-5826 US	BOTTLED WATER	E	B		N	N	3		31,200	22,053	9,324	9,147	5/31/2011	O	6/30/2015	
4500207642	AMERICAN ROCK SALT CO LLC PO BOX 190 MOUNT MORRIS, NY 14510 US	ROAD SALT	E	B	7	N	N	1		30,396	22,204	9,543	8,192	9/14/2011	C		1/2/2013
4500211526	UNIFIN INTERNATIONAL INC 1030 CLARKE SIDE RD LONDON, ON N6A 4P4 CA	STATOR AIR COOLERS	E	B		F	N	2		435,875	435,875	234,450	0	12/16/2011	C		2/26/2013
4500212092	COMET FLASHER INC 1 BABCOCK ST BUFFALO, NY 14210-2250 US	TRAFFIC CONTROL DEVICES	E	B		N	N	1		64,400	4,950	350	59,450	1/13/2012	C		2/28/2013
4500212861	ACE ELEVATOR INSPECTION CORP PO BOX 1046 N TONAWANDA, NY 14120-2915 US	INDEPENDENT ELEVATOR INSPECTIONS	S	C	5	N	N	1		5,760	4,980	0	780	6/29/2012	C		1/31/2013
4500213094	COYNE TEXTILE SERVICES INC 111 JAMES E CASEY DR BUFFALO, NY 14206-2366 US	REUSABLE INDUSTRIAL WIPERS & OIL ABSORBENT RUGS	E	B		N	N	1		100,000	98,997	3,842	1,003	1/30/2012	C		1/31/2013
4500213796	NORTHEAST METROLOGY CORP 2601 GENESEE ST BUFFALO, NY 14225 US	CALIBRATION RMNPP & LPGP MECHANICAL INSTRUMENTS	S	B	5	N	N	3		13,057	13,057	0	0	2/14/2012	C		2/26/2013
4500214584	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	ACCESS CONTROL SYSTEMS SERVICE AND MAINTENANCE	S	B	7	N	N	1		111,657	111,657	0	0	3/6/2012	C		2/28/2013
4500216064	MILHERST CONSTRUCTION INC 10025 COUNTY RD CLARENCE CENTER, NY 14032-9243 US	EMERGENCY ROCKFALL REMOVAL	S	B		N	N	2		37,422	0	0	37,422	4/10/2012	C		4/10/2013

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4500216152	SCRUFARI CONSTRUCTION CO INC 3925 HYDE PARK BLVD NIAGARA FALLS, NY 14305-1701 US	UNDERGROUND PIPING & CONDUIT REPAIR	S	B		N	N	4		73,656	0	0	73,656	4/12/2012	C		4/8/2013
4500216783	AMERICAN GOVERNOR CO 27 RICHARD RD IVYLAND, PA 18974-1512 US	WOODWARD PARTS	E	B	5	F	N	2	*	8,934	9,042	1,454	-108	4/26/2012	C		1/2/2013
4500217102	GE INTELLIGENT PLATFORMS INC 2500 AUSTIN DR CHARLOTTESVILLE, VA 22911-8319 US	DACS SOFTWARE SUPPORT	E	2		F	N	1		19,702	19,696	0	6	5/3/2012	C		4/17/2013
4500217136	DAVIS ULMER SPRINKLER CORP ONE COMMERCE RD AMHERST, NY 14228 US	SPRINKLER SYSTEM INSPECTION	S	B	5	N	N	3		6,930	6,930	6,930	0	5/4/2012	C		11/6/2013
4500218107	PROTEUS GROUP 124 PARNELL RD ST CATHARINES, ON L2M 1V4 CA	ZEBRA MUSSEL CHLORINATION	S	B		F	N	2		24,469	24,469	24,469	0	5/30/2012	C		1/9/2013
4500218149	BOTA WELDING PO BOX 272 GRAND ISLAND, NY 14072-0272 US	REDESIGNED DRAWINGS	S	B	5	N	N	1		9,475	4,500	9,475	4,975	11/27/2012	C		12/6/2013
4500219113	LIFE SAFETY ENGINEERED SYSTEMS INC 60 SONWIL DR BUFFALO, NY 14225-2425 US	DESIGN ENGINEERING SERVICES FOR NIAGARA ELEVATORS	A	B	7	N	N	1		64,500	58,050	0	6,450	6/25/2012	C		12/6/2013
4500219721	AMERICAN ROCK SALT CO LLC PO BOX 190 MOUNT MORRIS, NY 14510 US	ROCK SALT	E	B	7	N	N	1		12,894	12,655	12,655	239	7/11/2012	C		6/4/2013
4500220395	UNION CONCRETE & CONSTRUCTION CORP 105 CENTER RD WEST SENECA, NY 14224-1915 US	DOZER RENTAL	E	B	5	N	N	1		11,420	11,420	11,420	0	7/27/2012	C		6/13/2013
4500220586	BMD MANUFACTURING INC CURTIN HERBERT MACHINES 11 FOREST ST - PO BOX 511 GLOVERSVILLE, NY 12078-3954 US	DRILL & DRILL BITS	E	B	5	N	N	1		5,525	5,539	5,539	-14	8/1/2012	C		1/7/2013

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4500221565	AMERICAN GOVERNOR CO 27 RICHARD RD IVYLAND, PA 18974-1512 US	WOODWARD GOVERNOR FILTERS	E	B		F	N	4		18,876	18,876	12,584	0	8/27/2012	C		8/6/2013
4500222399	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	ADMINISTRATION BUILDING STAIRCASE REPLACEMENT	C	C	8	N	N	3		87,229	69,137	69,137	18,092	9/18/2012	C		1/1/2013
4500222595	MODERN DISPOSAL SERVICES INC PO BOX 209 MODEL CITY, NY 14107-0209 US	GENERAL REFUSE & RECYCLING	S	B	7	N	N	1		67,500	66,614	55,976	886	9/21/2012	C		10/3/2013
4500222840	VOITH HYDRO INC 760 E BERLIN RD YORK, PA 17408-8701 US	VISCOSITY PUMP SEAL RING SEGMENTS	E	1	8	F	N	1		40,937	40,937	40,937	0	9/27/2012	C		10/3/2013
4500223020	FOX FENCE INC 2637 LOCKPORT RD NIAGARA FALLS, NY 14305 US	RESERVOIR FENCE & GROUNDING	C	B		N	1	3		23,224	23,224	23,224	0	10/2/2012	C		10/21/2013
4500223346	AVIAT US INC 5200 GREAT AMERICA PKWY SANTA CLARA, CA 95054-1108 US	MICROWAVE RADIO	E	B	5	F	N	1		5,190	5,190	5,190	0	10/11/2012	C		5/29/2013
4500223417	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	ABB LOW VOLTAGE SPARE PARTS	E	B		F	3	2		25,110	24,607	24,607	503	10/12/2012	C		6/13/2013
4500223574	WESCHLER INSTRUMENTS DIV OF HUGHES 16900 FOLTZ PKWY STRONGSVILLE, OH 44149-5529 US	INSPECT AND REPAIR NYPA OWNED WESCHLER GAUGES	E	1	5	F	N	1	*	11,751	11,875	11,875	-124	12/6/2012	C		4/3/2013
4500223603	AMERICAN GOVERNOR CO 27 RICHARD RD IVYLAND, PA 18974-1512 US	SELSYN REPLACEMENT KITS	E	B		F	N	2		109,956	109,956	109,956	0	10/17/2012	C		1/11/2013
4500223618	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	AMETEK STATION SERVICE ANNUNCIATOR	E	B		F	N	2		35,575	35,575	35,575	0	10/17/2012	C		1/7/2013
4500223642	JEFFERSON IRON WORK'S INC 2441 WOLCOTT ST FERNDALE, MI 48220-1446 US	GENERATOR ROTOR SPRING COMPONENTS	E	B		F	N	1		664,990	664,990	664,990	0	10/18/2012	C		12/5/2013

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4500224110	ROCHESTER PORTABLE SPECIALTIES DBA CRESCENT MOON 6089 LOOMIS RD FARMINGTON, NY 14425-9526 US	LPGP RESTROOM TRAILER	E	B		N	N	1		31,640	27,955	27,955	3,685	10/30/2012	C		12/18/2013
4500224207	DIVERSIFIED ELECTRIC SERVICES INC 79 MAIN ST SEYMOUR, CT 06483-3122 US	REFURBISH GE BREAKER	S	B	5	F	N	2		14,745	14,760	14,760	-15	11/1/2012	C		2/26/2013
4500224575	SUTRON CORP 22400 DAVIS DR STERLING, VA 20164-7127 US	WATER LEVEL GAUGE REPLACEMENT	E	B	7	F	N	1		34,698	34,603	34,603	95	11/9/2012	C		4/3/2013
4500224617	IRIS POWER LP 3110 AMERICAN DR MISSISSAUGA, ON L4V 1T2 CA	HYDRO TRAC COMMISSIONING	S	1	6	F	N	1		7,648	7,648	7,648	0	11/9/2012	C		2/26/2013
4500224755	RFL ELECTRONICS INC 353 POWERVILLE RD BOONTON, NJ 07005-9151 US	ANALOG DATA CIRCUITS	E	B		F	N	2		27,485	27,501	27,501	-16	11/15/2012	C		1/4/2013
4500224801	EATON OFFICE SUPPLY CO INC 180 JOHN GLENN DR AMHERST, NY 14228-2292 US	OFFICE SUPPLIES FOR 2013 - VARIOUS DEPARTMENTS	E	B	7	N	N	1		23,500	16,889	16,889	6,611	12/20/2012	C		12/31/2013
4500224802	S & B COMPUTER & OFFICE PRODUCTS INC 17 WOOD RD - STE 700 ROUND LAKE, NY 12151 US	OFFICE SUPPLIES FOR 2013 - VARIOUS DEPARTMENTS	E	B	7	N	4	1		25,100	14,022	14,022	11,078	12/20/2012	C		12/31/2013
4500224804	STAPLES ADVANTAGE 25 HAZELWOOD DR AMHERST, NY 14228-2224 US	OFFICE SUPPLIES FOR 2013 FOR VARIOUS DEPARTMENTS	E	B	7	N	N	1		18,500	8,072	8,072	10,428	12/26/2012	C		12/31/2013
4500224833	WESCO DISTRIBUTION INC 120 GALLERIA DR CHEEKTOWAGA, NY 14225-5193 US	LIGHTING POLES & POLE ARMS	E	B	5	N	N	1		5,848	5,848	5,848	0	11/16/2012	C		4/3/2013
4500224923	WESCHLER INSTRUMENTS DIV OF HUGHES CORP 803 WEST AVE - STE 315 ROCHESTER, NY 14611 US	VARIOUS GAUGES	E	1	5	F	N	1	*	14,463	14,671	14,671	-208	11/19/2012	C		2/26/2013
4500225022	NESCO SALES & RENTALS 3112 EAST STATE RD 124 BLUFFTON, IN 46714 US	REEL TRAILER RENTAL	E	B	6	F	N	1		5,660	5,660	5,660	0	11/26/2012	C		1/3/2013

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4500225125	SAMSEL SUPPLY CO DBA FOR SAMSEL ROPE & MARINE SUPPLY 1285 OLD RIVER RD CLEVELAND, OH 44113-1228 US	MUSTANG VESTS & WORK SUITS	E	B	5	F	N	1		5,510	5,510	3,050	0	11/27/2012	C		2/26/2013
4500225211	MAPLE GROVE ENTERPRISES 7075 ROUTE 98N - PO BOX 156 ARCADE, NY 14009 US	PIPE NOZZLE FABRICATION & INSTALLATION	S	M	5	N	N	1		6,187	6,187	6,187	0	11/28/2012	C		2/26/2013
4500225377	SAIA COMMUNICATIONS INC 100 STRADTMAN ST BUFFALO, NY 14206-2666 US	EQUIPMENT FOR LEM COMMUNICATIONS	E	M	8	N	3	1		16,582	16,582	16,582	0	12/3/2012	C		1/2/2013
4500225439	BDL PARTS DALE B BERGER 1674 BROADWAY GRAND ISLAND, NY 14072-2730 US	DRIVE SHAFT GEAR & IDLER GEAR	E	B		N	N	1		14,448	14,448	14,448	0	12/4/2012	C		3/21/2013
4500226077	HAGEMEYER NORTH AMERICA INC VALLEN SAFETY SUPPLY 2916 WALDEN AVE - STE 250 DEPEW, NY 14043-2610 US	INFRARED CAMERA	E	B		F	N	4		26,412	26,412	26,412	0	12/19/2012	C		1/7/2013
4500226223	WILMAC BUSINESS EQUIPMENT CO INC 73 STATE ST ROCHESTER, NY 14614-1369 US	NICE RECORDING SYSTEM SERVICE CONTRACT	S	1	5	N	N	1		8,955	8,955	8,955	0	12/21/2012	C		11/7/2013
4500226560	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	UNLEADED FUEL FOR GENERAL MAINTENANCE TANKS	E	M	5	N	1	1		11,779	11,779	11,779	0	1/16/2013	C		1/16/2013
4500226748	ABB INC 10300 HENRI-BOURASSA WEST ST LAURENT, PQ H4S 1N6 CA	EXCITER EVALUATION	S	B	5	F	N	1		6,781	6,781	6,781	0	1/15/2013	C		2/21/2013
4500226764	GE INDUSTRIAL SYSTEMS INC 4601 PARK RD - STE 600 CHARLOTTE, NC 28209-3799 US	GE SPRING CHARGING MOTOR	E	B	5	F	N	2		10,235	10,235	10,235	0	1/10/2013	C		3/20/2013
4500226869	ACE ELEVATOR INSPECTION CORP PO BOX 1046 N TONAWANDA, NY 14120-2915 US	ELEVATOR INSPECTIONS	S	2	5	N	N	1		5,700	4,380	4,380	1,320	9/24/2013	O	2/28/2014	

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4500227179	ABB INC 10300 HENRI-BOURASSA WEST ST LAURENT, PQ H4S 1N6 CA	RM UNIT 13 EXCITER INSPECTION	S	2	5	F	N	1		6,658	6,658	6,658	0	9/24/2013	C		9/25/2013
4500227243	GE ENERGY SERVICES INC 4601 PARK RD - STE 600 CHARLOTTE, NC 28209-3799 US	GE RELAYS	E	B		F	N	5	*	34,239	34,319	34,319	-80	1/22/2013	C		3/20/2013
4500227313	MOLLENBERG BETZ INC 300 SCOTT ST BUFFALO, NY 14204-2293 US	NIA WAREHOUSE GAS PIPE MODIFICATIONS	S	2	8	N	N	1		24,000	18,000	18,000	6,000	1/23/2013	O	2/28/2014	
4500227381	VOLLAND ELECTRIC EQUIPMENT CORP 75 INNSBRUCK DR CHEEKTOWAGA, NY 14227-2703 US	BREAKER REPAIRS	E	C	5	N	N	1		7,308	7,332	7,332	-24	3/12/2013	C		5/14/2013
4500227448	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	FUEL FOR GENERAL MAINTENANCE TANKS	E	M	5	N	1	1		8,865	8,865	8,865	0	2/4/2013	C		2/4/2013
4500227634	GE ENERGY CONTROL SOLUTIONS INC 1800 NELSON RD LONGMONT, CO 80501-6324 US	WOODWARD GOVERNOR SPARE PARTS	E	B	5	F	N	2		12,702	12,702	12,702	0	1/30/2013	C		3/20/2013
4500227673	COYNE TEXTILE SERVICES INC 111 JAMES E CASEY DR BUFFALO, NY 14206-2366 US	REUSABLE INDUSTRIAL WIPERS & OIL ABSORBENT RUG RENTAL	S	B		N	N	1		102,400	91,840	91,840	10,560	1/31/2013	O	1/31/2014	
4500227698	COYNE TEXTILE SERVICES INC 111 JAMES E CASEY DR BUFFALO, NY 14206-2366 US	FLOOR MAT RENTAL SERVICE AGREEMENT	E	B	5	N	N	1		8,544	7,948	7,948	596	2/1/2013	O	2/13/2014	
4500227734	BUFFALO NIAGARA CONVENTION & VISITORS BUREAU 617 MAIN ST - STE 200 BUFFALO, NY 14203 US	2013 MARKETING AGREEMENT FOR BUFFALO/NIAGARA VISITORS GUIDE	P	M	5	N	N	1		6,190	6,190	6,190	0	2/1/2013	C		12/31/2013
4500228061	HY-SAFE TECHNOLOGY DBA FOR FPA INC 960 COMMERCE DR UNION GROVE, WI 53182-1070 US	LIFELINE FALL PROTECTION SYSTEM	E	B		F	N	4		41,081	34,746	34,746	6,335	2/8/2013	O	2/28/2014	
4500228210	HYTORC DIV UNEX CORP 333 ROUTE 17 MAHWAH, NJ 07430-2129 US	LOW CLEARANCE BOLTING MACHINE- UNIT 13 STANDARDIZATION	E	1	1	F	N	1		26,179	26,179	26,179	0	2/12/2013	C		2/26/2013

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4500228226	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	GAS, UNLEADED	E	M	5	N	1	1		12,649	12,649	12,649	0	2/21/2013	C		2/21/2013
4500228233	THEATER CONNECTION WNY INC 5440 BROADWAY ST STE B LANCASTER, NY 14086-2149 US	DIRECTV INSTALLATION AT NIA ADMIN BUILDING	S	M	8	N	N	1		24,438	12,219	12,219	12,219	2/13/2013	O	2/28/2014	
4500228273	LACHUT ELECTRICAL SALES INC 401 FAIRMONT AVE N TONAWANDA, NY 14120 US	CARDKEY PEDESTAL	E	B	5	N	N	1		8,811	8,811	8,811	0	2/14/2013	C		5/14/2013
4500228342	ANDERSEN ELECTRIC SUPPLY INC 910 FACTORY OUTLET BLVD NIAGARA FALLS, NY 14304-1596 US	BOGUE UPGRADE EQUIPMENT	E	B	5	N	N	3		5,475	5,475	5,475	0	2/15/2013	C		4/3/2013
4500228344	WESCO DISTRIBUTION INC 120 GALLERIA DR CHEEKTOWAGA, NY 14225-5193 US	BOGUE UPGRADE EQUIPMENT	E	B	5	N	N	3		6,330	6,330	6,330	0	2/15/2013	C		5/14/2013
4500228354	NORTHEAST METROLOGY CORP 2601 GENESEE ST BUFFALO, NY 14225 US	ANNUAL INSTRUMENT CALIBRATION	S	B	5	N	N	2		10,436	9,974	9,974	462	2/15/2013	C		7/16/2013
4500228362	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	FIBER OPTIC CABLE REPAIR	S	B	7	N	N	1		9,436	9,436	9,436	0	2/15/2013	C		5/14/2013
4500228469	GEXPRO DBA FOR GENERAL SUPPLY & SERV INC 5500 MAIN ST - STE 301 WILLIAMSVILLE, NY 14221-6737 US	CURRENT RELAYS	E	B	5	N	N	1		14,240	14,240	14,240	0	2/20/2013	C		4/3/2013
4500228529	NIAGARA COATINGS SERVICES INC 8025 QUARRY RD NIAGARA FALLS, NY 14304 US	REFURBISHING PROTECTIVE CAGES	S	B	5	N	N	1		8,123	8,123	8,123	0	2/21/2013	C		6/13/2013
4500228773	TIME WARNER CABLE INC 20 CENTURY HILL DR LATHAM, NY 12110-2116 US	TIME WARNER CABLE INSTALLATION AT NIA ADMIN BUILDING	S	2	8	N	N	1		16,342	11,564	11,564	4,778	2/27/2013	C		9/10/2013

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4500228832	IRIS POWER LP 3110 AMERICAN DR MISSISSAUGA, ON L4V 1T2 CA	TRAC SOFTWARE COMMISSIONING	S	1	5	F	N	1		6,405	2,834	2,834	3,571	2/28/2013	O	2/28/2014	
4500228968	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	ACCESS CONTROL SYSTEMS SERVICE AND MAINTENANCE	S	B	7	N	N	1		192,094	192,094	192,094	0	3/4/2013	O	2/28/2014	
4500228972	AMERICAN GOVERNOR CO 27 RICHARD RD IVYLAND, PA 18974-1512 US	SELSYN REPLACEMENT KITS	E	B		F	N	1		19,992	19,992	19,992	0	3/5/2013	C		5/14/2013
4500229271	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	MOBILE TERRESITIC 68 TURBINE OIL	E	B		N	N	1		143,205	112,199	112,199	31,006	3/12/2013	O	2/28/2014	
4500229479	METROWEST ELECTRIC SALES INC 18 LYMAN ST.-SUITE A, BOX 1082 WESTBOROUGH, MA 01581-6082 US	ELECTRICAL CABLE	E	B		F	N	6	*	60,293	62,308	62,308	-2,015	3/15/2013	C		9/10/2013
4500229484	COMET FLASHER INC 1 BABCOCK ST BUFFALO, NY 14210-2250 US	TRAFFIC CONTROL DEVICE RENTAL	E	B		N	N	1		64,000	8,500	8,500	55,500	3/15/2013	O	3/17/2014	
4500229494	ABB INC 10300 HENRI-BOURASSA WEST ST LAURENT, PQ H4S 1N6 CA	ABB COOLING FAN	E	B	5	F	N	1		5,743	5,743	5,743	0	3/15/2013	C		5/14/2013
4500229501	GENERAL ELECTRIC CO T & D SALES SUPPORT CENTER 4601 PARK RD STE 600 CHARLOTTE, NC 28209 US	GE VOLTAGE TRANSFORMERS	E	B		F	N	13		38,815	38,815	38,815	0	3/15/2013	C		5/14/2013
4500229629	AIRGAS USA LLC DBA FOR AIRGAS INC 2775 BROADWAY ST CHEEKTOWAGA, NY 14227-1004 US	WELDING TABLE	E	B	5	N	N	2		8,822	8,822	8,822	0	3/19/2013	C		4/3/2013
4500229837	EDBAUER CONSTRUCTION 2790 CLINTON ST WEST SENECA, NY 14224 US	RM NORTH WALL UNIT 13 DRAINAGE PROJECT	C	B		N	N	3		128,322	128,322	128,322	0	3/25/2013	C		11/7/2013
4500229839	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	FUEL FOR NYPA VEHICLES - B5	E	M	5	N	1	1		14,134	14,134	14,134	0	4/3/2013	C		4/3/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

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4500229840	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	FUEL FOR NYPA VEHICLES - 87 OCTANE	E	M	5	N	1	1		8,140	8,140	8,140	0	4/2/2013	C		4/2/2013
4500230054	WESCHLER INSTRUMENTS DIV OF HUGHES 16900 FOLTZ PKWY STRONGSVILLE, OH 44149-5529 US	NEXUS MONITORS	E	B	5	F	N	1	*	6,460	6,532	6,532	-72	3/28/2013	C		5/14/2013
4500230199	H & V SALES INC 2310 WILLIAM ST BUFFALO, NY 14206-2598 US	CONTROL DAMPERS	E	B	5	N	N	1		5,250	5,250	5,250	0	4/3/2013	C		5/14/2013
4500230417	HOHL INDUSTRIAL SERVICES INC 770 RIVERVIEW BLVD TONAWANDA, NY 14150-7880 US	SERVOMOTOR SEPARATION	S	B	5	N	1	2		9,620	9,620	9,620	0	4/9/2013	C		8/6/2013
4500230494	CLARKSON UNIVERSITY 8 CLARKSON AVE POTSDAM, NY 13699 US	HYDRAULIC ICE FLOW MODEL OF NIA RIVER GRASS ISLAND POOL	S	1		N	N	1		69,500	10,662	10,662	58,838	4/11/2013	O	2/28/2014	
4500230543	NIAGARA COATINGS SERVICES INC 8025 QUARRY RD NIAGARA FALLS, NY 14304 US	RM DRAFT DOOR REPAIRS	S	2	8	N	N	1		15,800	15,800	15,800	0	4/11/2013	C		5/14/2013
4500230635	QUALITY INSPECTION SERVICES INC DBA FOR APPLUS RTD USA 4400 BROADWAY DEPEW, NY 14043-2915 US	MAG PARTICLE TESTING	S	B	5	N	N	1		8,675	8,675	8,675	0	5/23/2013	C		6/13/2013
4500230647	FIBER INSTRUMENT SALES 161 CLEAR RD ORISKANY, NY 13424-4301 US	FIBER OPTIC TOOLS & EQUIPMENT	E	B		N	N	4		26,512	26,512	26,512	0	4/15/2013	C		5/14/2013
4500230691	METRO CONTRACTING & ENVIRONMENTAL INC 2939 LOCKPORT RD NIAGARA FALLS, NY 14305-2307 US	CAULK REPLACEMENT PROJECT	C	B		N	N	6		206,863	206,863	206,863	0	4/16/2013	C		12/5/2013
4500230732	O'CONNELL ELECTRIC CO 6331 E MOLLOY SYRACUSE, NY 13057 US	FAN BUILDING CHILLER REPAIRS	S	B	5	N	N	3		7,300	7,300	7,300	0	4/17/2013	C		9/10/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

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4500230860	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	FFT ACCESS CONTROL SYSTEMS AND MAINTENANCE	S	B	7	N	N	1		21,674	21,674	21,674	0	4/19/2013	C		8/6/2013
4500231011	GE INTELLIGENT PLATFORMS INC 2500 AUSTIN DR CHARLOTTESVILLE, VA 22911-8319 US	DACS SOFTWARE SUPPORT	E	2		F	N	1		43,903	43,927	43,927	-24	4/24/2013	O	4/27/2014	
4500231067	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	FUEL FOR NYPA FLEET	E	M	5	N	1	1		11,160	11,160	11,160	0	5/3/2013	C		5/3/2013
4500231207	PROTEUS GROUP 124 PARNELL RD ST CATHARINES, ON L2M 1V4 CA	ZEBRA MUSSEL CONTROL SERVICES	S	B		F	N	1		36,968	36,968	36,968	0	5/1/2013	C		11/6/2013
4500231211	NATURE'S WAY ENVIRONMENTAL CONSULTANTS & CONTRACTORS INC 3553 CRITTENDEN RD ALDEN, NY 14004-8551 US	TREMIE BACKFILLING OF ANIMAL BURROWS	S	B	5	N	3	2		12,140	0	0	12,140	5/1/2013	O	3/28/2014	
4500231491	BN SYSTEMS INC 4213 N BUFFALO RD - STE 1 ORCHARD PARK, NY 14127-2421 US	INTEGRATED COMMUNICATIONS SYSTEM 3G/4G	S	M	8	N	3	1		181,818	177,768	177,768	4,050	5/8/2013	C		12/30/2013
4500231642	HAFCO FOUNDRY & MACHINE CO INC 301 GREENWOOD AVE MIDLAND PARK, NJ 07432-1484 US	SHEAR PINS	E	B		F	N	3		24,402	24,402	24,402	0	5/13/2013	C		10/3/2013
4500231654	HANES SUPPLY INC 55 JAMES E CASEY DR BUFFALO, NY 14206-2361 US	CROSBY ANCHOR SKACKLES	E	B	5	N	N	3		5,257	5,257	5,257	0	5/13/2013	C		7/18/2013
4500231698	AEBI NEW ENGLAND LLC PO BOX 173 166 RIVER ST - ROUTE 123 ALSTEAD, NH 03602-0173 US	MOWER ATTACHMENT	E	B	5	F	N	1		8,990	8,990	8,990	0	5/15/2013	C		5/31/2013
4500231711	SICOLI & MASSARO INC 8525 PORTER RD NIAGARA FALLS, NY 14304-1693 US	LPGP EXTERIOR BUILDING REPAIRS	C	B		N	N	3		246,155	243,750	243,750	2,405	5/14/2013	C		12/31/2013

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4500231731	BN SYSTEMS INC 4213 N BUFFALO RD - STE 1 ORCHARD PARK, NY 14127-2421 US	COMMUNICATION SYSTEM SPARE PARTS	E	M	8	N	3	1		25,990	0	0	25,990	5/14/2013	C		12/6/2013
4500231827	CATTRON-THEIMEG INC 58 W SHENANGO ST SHARPSVILLE, PA 16150 US	CRANE CONTROLLER MODIFICATIONS	E	1	5	N	N	1		5,500	5,500	5,500	0	5/16/2013	C		9/10/2013
4500231905	SUTRON CORP 22400 DAVIS DR STERLING, VA 20164-7127 US	SUTRON SPARE PARTS	E	B	7	F	N	1		28,623	28,623	28,623	0	5/20/2013	C		11/6/2013
4500231995	GE ENERGY SERVICES INC 4601 PARK RD - STE 600 CHARLOTTE, NC 28209-3799 US	GE L90 RELAYS	E	B		F	N	7		34,239	34,285	34,285	-46	5/21/2013	C		6/13/2013
4500232006	SUTRON CORP 22400 DAVIS DR STERLING, VA 20164-7127 US	JOINT WORKS - WATER LEVEL GAUGE COMMUNICATIONS PROJECT	E	B	7	F	N	1		78,772	65,804	65,804	12,968	5/21/2013	C		12/31/2013
4500232019	SUTRON CORP 22400 DAVIS DR STERLING, VA 20164-7127 US	JOINT WORKS - WATER LEVEL GAUGE REPLACEMENT	E	B	7	F	N	1		115,508	115,508	115,508	0	5/22/2013	C		12/31/2013
4500232020	SUTRON CORP 22400 DAVIS DR STERLING, VA 20164-7127 US	RMNPP WATER LEVEL GAUGE REPLACEMENT	E	B	7	F	N	1		119,508	113,208	113,208	6,300	5/22/2013	C		12/31/2013
4500232160	JAMES WALKER MFG CO INC PO BOX 467 GLENWOOD, IL 60425 US	NEBAR GASKET MATERIAL	E	B	6	F	N	1	*	8,495	8,641	8,641	-146	5/24/2013	C		7/15/2013
4500232321	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	BREAK TRAILER REPLACEMENT PROJECT	C	B		N	N	1		161,459	90,110	90,110	71,349	5/30/2013	O	2/14/2014	
4500232409	ESTABROOK CORP PO BOX 804 BEREA, OH 44017 US	VERTICAL DRAINAGE PUMP	E	B		F	N	2		28,280	28,280	28,280	0	5/31/2013	C		10/3/2013
4500232466	AMERICAN ROCK SALT CO LLC PO BOX 190 MOUNT MORRIS, NY 14510 US	ROCK SALT	E	B	7	N	N	1		29,638	0	0	29,638	6/3/2013	O	8/1/2014	
4500232650	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	UNLEADED FUEL FOR NYPA VEHICLES	E	M	5	N	1	1		11,330	11,330	11,330	0	6/13/2013	C		6/13/2013
4500232771	UNION CONCRETE & CONSTRUCTION CORP 105 CENTER RD WEST SENECA, NY 14224-1915 US	DEBRIS REMOVAL AT HAMBURG STREET PARK	S	M	5	N	N	1		7,500	7,500	7,500	0	6/11/2013	C		7/18/2013

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4500232846	STEPHENSON EQUIPMENT INC 6018 DROTT DR EAST SYRACUSE, NY 13057-2998 US	BOOM CRANE PARTS	E	B		N	N	2		30,092	30,092	30,092	0	6/12/2013	C		7/18/2013
4500233203	ABB INC 10300 HENRI-BOURASSA WEST ST LAURENT, PQ H4S 1N6 CA	RETUNE ABB EXCITER	S	1	5	F	N	1		10,000	7,167	7,167	2,833	6/20/2013	C		9/10/2013
4500233222	MCQUAY SERVICE INC 9009 RICO RD MONROEVILLE, PA 15146-1494 US	YORK CHILLER MAINTENANCE	S	B	5	F	N	2		11,629	11,629	11,629	0	6/21/2013	C		9/10/2013
4500233410	SCRUFARI CONSTRUCTION CO INC 3925 HYDE PARK BLVD NIAGARA FALLS, NY 14305-1701 US	DELTA BARRIER PARTS & RELOCATION	S	B		N	N	3		18,040	18,040	18,040	0	6/26/2013	C		10/3/2013
4500233475	TP WOODSIDE INC 60 LAWRENCE BELL DR WILLIAMSVILLE, NY 14221-7074 US	ROOFTOP AC UNIT	E	M	8	N	N	1		21,200	21,200	21,200	0	6/27/2013	C		8/6/2013
4500233685	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	BIO-DIESEL B20 FUEL FOR GM TANKS	E	M	5	N	1	1		13,306	13,306	13,306	0	7/15/2013	C		7/15/2013
4500234028	AMETEK SOLIDSTATE CONTROLS INC 875 DEARBORN DR COLUMBUS, OH 43085-1586 US	30 KVA INVERTER	E	B		F	N	2		66,694	66,694	66,694	0	7/15/2013	C		12/31/2013
4500234097	PRECISION PROCESS EQUIPMENT INC 2111 LIBERTY DR NIAGARA FALLS, NY 14304-3744 US	STRAINER SPINDLES	E	B	5	N	N	2		7,800	7,800	7,800	0	7/16/2013	C		11/6/2013
4500234099	TATE ANDALE INC 1941 LANSDOWNE RD BALTIMORE, MD 21227 US	BRASS LOCK DISC RINGS	E	B	5	F	N	2		6,672	6,672	6,672	0	7/16/2013	C		9/10/2013
4500234121	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	UNLEADED FUEL	E	M	5	N	1	1		11,970	11,970	11,970	0	7/23/2013	C		7/23/2013
4500234183	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	SHOEMOBILE	E	C	5	N	N	1		7,030	7,030	7,030	0	10/30/2013	C		10/30/2013

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4500234213	TRENCH FRANCE SAS 16 RUE DU GENERAL CASSAGNOU ST LOUIS CEDEX, 68 68300 FR	TRANSFORMER BUSHINGS	E	B		F	N	3		78,860	0	0	78,860	7/18/2013	O	2/28/2014	
4500234231	WESCHLER INSTRUMENTS DIV OF HUGHES 16900 FOLTZ PKWY STRONGSVILLE, OH 44149-5529 US	WESCHLER GAUGES	E	B		F	N	5	*	35,636	36,098	36,098	-462	7/18/2013	C		10/3/2013
4500234266	GENERAL ELECTRIC CO 175 MILENS RD TONAWANDA, NY 14150-6794 US	G&W POTHEADS	E	B	5	N	N	1		7,850	7,850	7,850	0	7/19/2013	C		11/6/2013
4500234517	HOHL INDUSTRIAL SERVICES INC 770 RIVERVIEW BLVD TONAWANDA, NY 14150-7880 US	SPARE SHAFT LEVELING	S	B	5	N	1	2		9,865	9,865	9,865	0	7/25/2013	C		9/10/2013
4500234632	MID-ISLAND ELECTRICAL SUPPLY DBA FOR MIE-TH HOLDINGS LLC 700 CORPORATE BLVD NEWBURGH, NY 12550-6416 US	DACS EXTENSION EQUIPMENT	E	B		N	N	3		34,664	34,664	34,664	0	7/29/2013	C		10/1/2013
4500234633	APPLIED MEASUREMENT & CONTROLS INC 314 HOGAN RD FAIRPORT, NY 14450-8414 US	HEAT EXCHANGERS	E	B	5	N	1	3		6,975	6,975	6,975	0	7/29/2013	C		9/10/2013
4500234803	ROLFE INDUSTRIES INC 2 PARKFORD DR - PO BOX 673 CLIFTON PARK, NY 12065 US	FAIRBANKS PUMP	E	M	5	F	3	1		5,266	5,266	5,266	0	8/1/2013	C		10/3/2013
4500234833	FALLS TENT INC PO BOX 208 LEWISTON, NY 14092 US	2013 WILDLIFE FESTIVAL TENT RENTAL	E	B	5	N	N	1		8,000	7,880	7,880	120	8/2/2013	C		10/3/2013
4500234888	R & S SHOES LLC DBA RED WING SHOE STORE STE 107B 2680 W RIDGE RD ROCHESTER, NY 14626-3036 US	SHOEMOBILE	E	C	5	N	N	1		9,000	7,616	7,616	1,384	10/30/2013	C		10/30/2013
4500234927	MORPAC INDUSTRIES INC 117 FRONTAGE RD N - STE A PACIFIC, WA 98047-1052 US	LPGP UNIT 3 REVERSAL SWITCH COMPONENTS REPAIR	S	1	5	F	N	1		6,255	6,255	6,255	0	8/6/2013	C		11/6/2013

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4500234948	DOWD BATTERY CO INC 1571 BRONSON RD GRAND ISLAND, NY 14072-2910 US	REPLACE C&D BATTERIES AT POWER VISTA BATTERY RACK	E	M	8	N	1	1		15,884	15,884	15,884	0	8/13/2013	C		12/31/2013
4500235156	E & R INDUSTRIAL SALES INC 1800 BROADWAY ST - STE 4B BUFFALO, NY 14212 US	MOTOR VEHICLE SPILL CONTAINMENT KITS	E	B	5	N	N	3		5,000	4,950	4,950	50	8/12/2013	C		10/1/2013
4500235266	TRENCH LTD 390 MIDWEST RD SCARBOROUGH, ON M1P 3B5 CA	TRENCH BUSHING REPAIR	S	1		F	N	1		17,450	17,450	17,450	0	8/15/2013	C		10/1/2013
4500235607	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	UNLEADED FUEL FOR GM TANKS	E	M	5	N	1	1		12,072	12,072	12,072	0	9/3/2013	C		9/3/2013
4500235646	AMERICAN GOVERNOR CO 27 RICHARD RD IVYLAND, PA 18974-1512 US	WOODWARD GOVERNOR FILTERS	E	B		F	N	2		18,876	4,719	4,719	14,157	8/26/2013	O	8/1/2014	
4500235724	GENERAL DYNAMICS GLOBAL IMAGING TECHNOLOGIES INC 24 SIMON ST NASHUA, NH 03060-3025 US	ICE CAMERA REPAIRS	S	1	8	F	N	1		44,628	39,178	39,178	5,450	8/27/2013	O	2/28/2014	
4500236312	DCB ELEVATOR CO INC PO BOX 426 LEWISTON, NY 14092 US	POWER VISTA ESCALATOR REPAIRS	S	B	7	N	3	1		183,079	158,451	158,451	24,628	9/12/2013	C		10/3/2013
4500236317	BIDCO MARINE GROUP INC 220 KATHERINE ST BUFFALO, NY 14210-2008 US	TRAVEL LIFT RUNWAY & SEAWALL UPGRADES - BUFFALO MARINA	C	C	8	N	N	3		261,335	218,683	218,683	42,652	9/11/2013	O	2/28/2014	
4500236673	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	BIODIESEL B20 FUEL FOR GM TANKS	E	M	5	N	1	1		13,299	13,299	13,299	0	9/26/2013	C		9/26/2013
4500236904	THE GUNLOCKE COMPANY LLC ONE GUNLOCKE DR WAYLAND, NY 14572-9515 US	OFFICE FURNITURE & INSTALLATION - OGS PC63351	E	B	5	N	N	1		7,430	7,430	7,430	0	9/26/2013	C		12/5/2013
4500236941	LIFE SAFETY ENGINEERED SYSTEMS INC 60 SONWIL DR BUFFALO, NY 14225-2425 US	ELEVATOR RECALL COMPONENTS & INSTALLATION	S	B	7	N	N	1		40,088	33,617	33,617	6,471	9/27/2013	C		12/31/2013
4500237112	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	UNLEADED GAS FOR GENERAL MAINTENANCE TANKS	E	M	5	N	1	2		10,880	10,880	10,880	0	10/9/2013	C		10/9/2013

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500237127	MOLLENBERG BETZ INC 300 SCOTT ST BUFFALO, NY 14204-2293 US	PIPE MODIFICATIONS & LEAD ABATEMENT	C	2	5	N	N	1		12,891	12,891	12,891	0	10/2/2013	O	1/13/2014	
4500237319	KINGSBURY INC 3615 DAVISVILLE RD HATBORO, PA 19040 US	THURST BEARING SHOE REFURBISHMENT	S	B		F	N	4		37,000	0	0	37,000	10/8/2013	O	2/28/2014	
4500237427	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	INTERRUPTER REPAIR KITS	E	B		N	3	2		39,900	0	0	39,900	10/10/2013	O	2/28/2014	
4500237454	MODERN DISPOSAL SERVICES INC PO BOX 209 MODEL CITY, NY 14107-0209 US	GENERAL REFUSE & RECYCLING ONE (1) YEAR SERVICE AGREEMENT	S	B	7	N	N	1		65,000	10,951	10,951	54,049	10/10/2013	O	10/9/2014	
4500237510	QUALITY INSPECTION SERVICES INC DBA FOR APPLUS RTD USA 37 FRANKLIN ST - STE 400 BUFFALO, NY 14202-4117 US	INSPECTION SERVICES	S	2	5	N	N	1		5,040	5,040	5,040	0	11/19/2013	C		11/19/2013
4500237515	MOLEY MAGNETICS INC 4922 I D A PARK DR LOCKPORT, NY 14094-1832 US	EMERGENCY COSTS TO REPAIR PEELE DOOR MOTOR	E	2	1	N	N	1		6,838	6,838	6,838	0	10/18/2013	C		10/18/2013
4500237895	VEHICLE SERVICE GROUP LLC DBA ROTARY LIFT 2700 LANIER DR MADISON, IN 47250-1753 US	VEHICLE LIFT	E	B	7	F	N	1		78,723	0	0	78,723	10/23/2013	O	3/28/2014	
4500237914	FOX FENCE INC 2637 LOCKPORT RD NIAGARA FALLS, NY 14305 US	RESERVOIR RIGHT-OF-WAY FENCE REPLACEMENT	C	M	8	N	1	1		91,520	0	0	91,520	10/23/2013	O	2/28/2014	
4500238102	APPLIED INDUSTRIAL TECHNOLOGIES 579 SHERIDAN DR TONAWANDA, NY 14150-7848 US	REDUCER GEARS	E	B	5	N	N	2		9,175	9,175	9,175	0	10/29/2013	C		12/5/2013
4500238225	SEALS UNLIMITED INC 23050 NW JACOBSON RD HILLSBORO, OR 97124-9328 US	RM HEAD GATE SEALS	E	B	5	N	N	1		10,870	0	0	10,870	10/31/2013	C		12/31/2013

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500238404	UNIFIN INTERNATIONAL INC 1030 CLARKE SIDE RD LONDON, ON N6A 4P4 CA	STATOR COOLERS	E	B		F	N	1		228,000	0	0	228,000	11/5/2013	O	2/10/2014	
4500238411	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	CYCLONE HOIST LIFTS	E	M	5	N	4	1		9,120	9,120	9,120	0	11/5/2013	C		12/5/2013
4500238422	DCB ELEVATOR CO INC PO BOX 426 LEWISTON, NY 14092 US	FIRE CONDUIT INSTALLATION	S	M	8	N	3	1		28,500	0	0	28,500	11/5/2013	O	2/28/2014	
4500238504	WILMAC BUSINESS EQUIPMENT CO INC 73 STATE ST ROCHESTER, NY 14614-1369 US	NPX NICE RECORDING SYSTEM ONE YEAR SERVICE/MAINTENANCE CONTRACT	S	1	5	N	N	1		9,225	9,225	9,225	0	11/6/2013	O	12/31/2014	
4500238551	ROSS VALVE MANUFACTURING CO INC PO BOX 595 TROY, NY 12181-0166 US	BUSHING & SUPPORT	E	B	5	N	N	1		7,994	0	0	7,994	11/7/2013	O	1/3/2014	
4500238626	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	MTP TRANSFORMER	E	B		F	N	1		13,126	0	0	13,126	11/12/2013	O	2/28/2014	
4500238732	ENOSERV INC 7780 E 106TH ST TULSA, OK 74133-6843 US	ENOSERV KEYS	E	B	5	N	N	1		10,665	10,665	10,665	0	11/14/2013	C		12/26/2013
4500238858	BARCODES INC DBA FOR BARCODES LLC 200 W MONROE ST - STE 1050 CHICAGO, IL 60606-5122 US	MOTOROLA HANDHELD DEVICES, SERVICE, AND ACCESSORY	E	B	5	F	N	1		13,228	0	0	13,228	11/18/2013	O	1/31/2014	
4500238996	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	MOBIL TERESSTIC 68 TURBINE OIL	E	1	8	N	N	1		18,750	0	0	18,750	11/21/2013	O	2/3/2014	
4500239071	EATON OFFICE SUPPLY CO INC 180 JOHN GLENN DR AMHERST, NY 14228-2292 US	OFFICE SUPPLIES FOR 2014 FOR VARIOUS DEPARTMENTS	E	M	8	N	N	1		28,350	0	0	28,350	12/10/2013	O	12/31/2014	
4500239126	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	HVB INTERRUPTER REPAIR KITS	E	M	5	N	3	1		14,840	0	0	14,840	11/25/2013	O	2/10/2014	

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4500239219	SEALS UNLIMITED INC 23050 NW JACOBSON RD HILLSBORO, OR 97124-9328 US	LPGP HEAD GATE SEALS	E	B	5	F	N	1		8,370	0	0	8,370	11/26/2013	O	1/3/2014	
4500239227	ABB INC 10300 HENRI-BOURASSA WEST ST LAURENT, PQ H4S 1N6 CA	UNITROL PSS TUNING	S	1	8	F	N	1		40,000	0	0	40,000	11/27/2013	O	2/28/2014	
4500239554	ROCHESTER PORTABLE SPECIALTIES DBA CRESCENT MOON 6089 LOOMIS RD FARMINGTON, NY 14425-9526 US	ONE (1) YEAR RESTROOM RENTAL & SERVICE AGREEMENT	E	B		N	N	1		33,280	0	0	33,280	12/9/2013	O	12/18/2014	
4500239644	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	B5 BIO DIESEL FUEL FOR GM TANKS	E	M	5	N	1	1		12,780	0	0	12,780	12/10/2013	C		12/31/2013
4500239745	OMICRON ELECTRONICS CORP USA 3550 WILLOWBEND BLVD HOUSTON, TX 77054-1127 US	OMICRON TEST EQUIPMENT	E	B		F	N	3		49,790	49,790	49,790	0	12/13/2013	C		12/31/2013
4500239959	AMERICAN GOVERNOR CO 27 RICHARD RD IVYLAND, PA 18974-1512 US	WOODWARD PARTS	E	B	5	F	N	2		8,648	0	0	8,648	12/18/2013	O	2/3/2014	
4500239961	ANRITSU CO 490 JARVIS DR MORGAN HILL, CA 95037-2834 US	SPECTRUM & T1 ANALYZER	E	B		F	N	4		21,754	0	0	21,754	12/18/2013	O	2/28/2014	
4600002000	ISLECHEM LLC 2801 LONG RD GRAND ISLAND, NY 14072 US	SPDES SAMPLING & ANALYSIS	S	B		N	N	3		250,000	132,179	12,964	117,821	9/19/2008	C		6/30/2013
4600002003	SIEMENS INDUSTRY INC 85 NORTHPOINTE PKWY - STE 8 AMHERST, NY 14228-1886 US	HVAC MAINTENANCE & SERVICE CONTRACT	S	1		N	N	1		75,000	69,700	0	5,300	9/25/2008	C		9/30/2013
4600002089	FEEDWATER TREATMENT SYSTEMS INC 5167 COLUMBIA AVE HAMBURG, NY 14075-5706 US	COOLING TOWER MONITORING CONTRACT	S	B		N	N	2		20,000	7,947	0	12,053	4/3/2009	C		3/31/2013
4600002198	DCB ELEVATOR CO INC PO BOX 426 LEWISTON, NY 14092 US	ELEVATOR MAINTENANCE CONTRACT	S	B	7	N	3	4		350,000	289,844	59,326	60,156	12/16/2009	C		12/31/2013

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4600002212	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	XBINS FOR NIAGARA PROJECT	E	B		N	N	5		850,000	758,272	191,345	91,728	1/12/2010	C		12/31/2013
4600002229	UNITED UNIFORM CO INC 495 N FRENCH RD BUFFALO, NY 14228-2124 US	CONTRACT TO PROVIDE SECURITY GUARD CLOTHING	E	B		N	N	1		60,000	37,644	10,232	22,356	3/10/2010	O	3/31/2014	
4600002270	ANDERSEN ELECTRIC SUPPLY INC 910 FACTORY OUTLET BLVD NIAGARA FALLS, NY 14304-1596 US	ELECTRICAL CONSUMABLES FOR X-BINS	E	B		N	N	5		200,000	53,110	15,506	146,890	7/1/2010	O	6/30/2014	
4600002272	MONROE EXTINGUISHER CO INC 105 DODGE ST ROCHESTER, NY 14606-1503 US	FIRE EXTINGUISHER SERVICE	S	B		N	N	3		25,000	17,887	3,147	7,113	7/1/2010	O	6/30/2014	
4600002275	SHAW ENVIRONMENTAL INC 250 COOPER AVE TONAWANDA, NY 14150-6633 US	ZEBRA MUSSEL TESTING SERVICE AGREEMENT	S	B		N	N	2		125,000	30,189	6,164	94,811	7/1/2010	O	6/30/2014	
4600002280	UPSTATE METROLOGY INC PO BOX 23194 ROCHESTER, NY 14692 US	CALIBRATION OF I&C INSTRUMENTS	S	B		N	N	2		55,061	55,127	13,763	-66	7/1/2010	O	6/30/2014	
4600002298	STOHL ENVIRONMENTAL LLC 4169 ALLENDALE PKWY - STE 100 BLASDELL, NY 14219 US	ENVIRONMENTAL SERVICES	S	B		N	N	10		800,000	289,659	52,033	510,341	7/16/2010	O	6/30/2014	
4600002302	SIENNA ENVIRONMENTAL TECH LLC 350 ELMWOOD AVE BUFFALO, NY 14222-2204 US	ENVIRONMENTAL SERVICES	S	B		N	4	10		300,000	71,574	16,049	228,426	7/23/2010	O	6/30/2014	
4600002303	LIRO ENGINEERS INC 3 AERIAL WAY SYOSSET, NY 11791-5501 US	ENVIRONMENTAL SERVICES	S	B		N	N	10		400,000	25,466	12,697	374,534	7/23/2010	O	6/30/2014	
4600002320	HANES SUPPLY INC 55 JAMES E CASEY DR BUFFALO, NY 14206-2361 US	CONTRACT FOR ICE BOOM CABLES AND CLAMPS	E	B		F	N	1		649,500	513,630	152,150	135,870	8/23/2010	C		12/31/2013
4600002327	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	SAFETY GLASSES CONTRACT F/NIAGARA EMPLOYEES	E	B		N	N	3		40,000	22,514	5,705	17,486	10/1/2010	O	9/30/2014	

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4600002334	KORRECT OPTICAL 3801 BISHOP LN LOUISVILLE, KY 40218-2905 US	SAFETY GLASSES	E	B		F	N	3		50,000	0	0	50,000	10/31/2010	O	9/30/2014	
4600002337	ALLAN BAKER INC DBA KORRECT OPTICAL 3801 BISHOP LN LOUISVILLE, KY 40218-2905 US	SAFETY GLASS BLANKET ORDER FOR NIAGARA	E	B		F	N	3		40,000	4,379	956	35,621	11/1/2010	O	9/30/2014	
4600002349	FOX FENCE INC 2637 LOCKPORT RD NIAGARA FALLS, NY 14305 US	EMERGENCY FENCE AND GATE REPAIR CONTRACT	S	B		N	1	2		272,180	263,947	227,886	8,233	12/17/2010	C		1/25/2013
4600002388	ALL STATE FIRE EQUIP OF WNY 400 MINERAL SPRINGS RD BUFFALO, NY 14224-1016 US	FIRE PROTECTION SYSTEM INSPECTIONS	S	B		N	N	2		60,000	32,360	13,937	27,640	4/1/2011	O	3/31/2015	
4600002390	BIDCO MARINE GROUP INC 220 KATHERINE ST BUFFALO, NY 14210-2008 US	RM PENSTOCK INSPECTIONS	S	B		N	N	3		100,000	81,949	21,070	18,051	5/2/2011	C		12/31/2013
4600002393	THINKING OUTSIDE THE SQUARE INC 1979 HARLEM RD BUFFALO, NY 14212-2410 US	MAINTAIN POWER VISTA DISPLAYS	S	B		N	N	2		100,000	44,110	23,930	55,890	4/6/2011	O	3/31/2015	
4600002416	COVERCO INC PO BOX 573 GRAND ISLAND, NY 14072 US	PIPE INSULATION SERVICES	S	B		N	3	1		50,000	7,925	0	42,075	7/6/2011	O	6/30/2015	
4600002417	BRISTOL HARBOR GROUP INC 103 POPPASQUASH RD BRISTOL, RI 02809-1018 US	NAVAL ARCHITECT	A	B		F	N	5		375,000	88,468	5,030	286,532	7/6/2011	O	6/30/2015	
4600002473	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	MARINE FUEL	E	B		N	N	1		42,000	41,029	26,344	971	1/6/2012	C		8/6/2013
4600002508	E & R INDUSTRIAL SALES INC 1800 BROADWAY ST - STE 4B BUFFALO, NY 14212 US	OUTLINE AGREEMENT FOR HAND TOOLS	E	B		F	N	3		24,000	13,914	10,023	10,086	5/1/2012	O	4/30/2014	
4600002531	SHRED-IT BUFFALO 440 LAWRENCE BELL DR - STE 2 WILLIAMSVILLE, NY 14221-7057 US	PAPER RECYCLING PROGRAM	S	B		N	N	1		35,500	19,106	13,826	16,394	7/1/2012	O	6/30/2015	

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4600002532	NATIONAL VACUUM CORP 408 47TH ST NIAGARA FALLS, NY 14304-2102 US	INDUSTRIAL VACUUMING SERVICES	S	B		N	N	2		700,000	130,167	58,933	569,833	7/1/2012	O	6/30/2015	
4600002600	WNY OCCUPATIONAL HEALTH CARE 621 10TH ST NIAGARA FALLS, NY 14301-1813 US	ANNUAL & PRE-EMPLOYMENT PHYSICALS	P	B		N	N	2		400,000	64,682	64,682	335,318	1/1/2013	O	12/31/2016	
4600002622	FOX FENCE INC 2637 LOCKPORT RD NIAGARA FALLS, NY 14305 US	EMERGENCY FENCE & GATE REPAIR	S	M	8	N	1	1		100,000	31,326	31,326	68,674	1/24/2013	O	1/23/2014	
4600002673	SCRUFARI CONSTRUCTION CO INC 3925 HYDE PARK BLVD NIAGARA FALLS, NY 14305-1701 US	EMERGENCY UNDERGROUND PIPE & CONDUIT REPAIR SERVICE AGREEMENT	C	B		N	N	4		119,078	0	0	119,078	4/11/2013	O	4/14/2014	
4600002677	SCRUFARI CONSTRUCTION CO INC 3925 HYDE PARK BLVD NIAGARA FALLS, NY 14305-1701 US	EMERGENCY ROCKFALL REMOVAL SERVICE AGREEMENT	S	B		N	N	2		100,000	0	0	100,000	4/11/2013	O	4/14/2014	
4600002709	ISLECHEM LLC 2801 LONG RD GRAND ISLAND, NY 14072 US	SPEDES SAMPLING & ANALYSIS	S	B		N	N	6		300,000	6,920	6,920	293,080	8/1/2013	O	7/31/2017	
4600002738	DCB ELEVATOR CO INC PO BOX 426 LEWISTON, NY 14092 US	FOUR (4) YEAR ELEVATOR/ESCALATOR MAINTENANCE CONTRACT	S	B	7	N	3	3		500,000	0	0	500,000	12/17/2013	O	12/31/2017	
4600002739	HANES SUPPLY INC 55 JAMES E CASEY DR BUFFALO, NY 14206-2361 US	ICE BOOM HARDWARE - THREE (3) YEAR CONTRACT	E	B		N	N	1		599,775	0	0	599,775	12/18/2013	O	12/31/2016	
		NIA TOTALS								15,306,268	8,770,704	6,046,942	6,535,564				

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
FACILITY: NIAGARA REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

TOTAL NUMBER OF CONTRACTS	213
TOTAL CONTRACT VALUE	$15,306,268
TOTAL EXPENDED TO DATE	$8,770,704
TOTAL EXPENDED IN REPORT YEAR	$6,046,942

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	66
TOTAL NUMBER CLOSED CONTRACTS	147

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	151
TOTAL NUMBER SOLE SOURCE	17
TOTAL NUMBER SINGLE SOURCE	10
TOTAL NUMBER COMP SEARCH	6
TOTAL NUMBER MWBE/SBE	29

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	2
TOTAL NUMBER CONSTRUCTION	10
TOTAL NUMBER EQUIP/GOODS/COMMODITY	128
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	2
TOTAL NUMBER OTHER NON PERSONAL SERVICES	71

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500201374	GENERAL ELECTRIC INTERNATIONAL INC 6001 TONNELLE AVE NORTH BERGEN, NJ 07047 US	TECHNICAL ASSISTANCE SERVICES	S	B		F	N	1		375,000	301,091	105,926	73,909	4/1/2011	O	3/31/2014	
4500208305	AVIATION POWER & MARINE INC 3030 SW 13 PL BOYNTON BEACH, FL 33426-9086 US	ACTUATOR	E	B	5	F	N	3		12,889	0	0	12,889	9/28/2011	C		1/12/2013
4500210210	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	CIRCUIT BREAKERS AND DISCONNECT SWITCHING EQUIPMENT	E	1		F	N	1		543,326	543,326	543,326	0	11/15/2011	C		2/19/2013
4500212059	QUINTAL CONTRACTING CORP PO BOX 427 ISLIP, NY 11751-0427 US	SNOW REMOVAL FLYNN/BRENTWOOD	S	M	8	N	1	1		30,000	25,540	18,460	4,460	1/4/2012	C		1/10/2013
4500213403	HVB AE POWER SYSTEMS INC 7250 MCGINNIS FERRY RD SUWANEE, GA 30024 US	345KV CIRCUIT BREAKER (GARDEN CITY SPARE)	E	B		F	N	2		376,500	376,500	376,500	0	2/3/2012	C		2/4/2013
4500214042	PROGRESSIVE WASTE SOLUTIONS OF LI INC 1198 PROSPECT AVE WESTBURY, NY 11590-2723 US	TRASH REMOVAL FOR FLYNN AND BRENTWOOD	S	B	7	N	N	1		20,000	7,126	3,773	12,874	2/21/2012	C		2/25/2013
4500214154	NYS INDUSTRIES FOR THE DISABLED INC 11 COLUMBIA CIR ALBANY, NY 12203-5156 US	CONTRACT LANDSCAPING/GROUNDKEEPER	S	B	4	N	N	1		43,229	43,229	14,172	0	2/23/2012	C		5/1/2013
4500215577	NYS INDUSTRIES FOR THE DISABLED INC 11 COLUMBIA CIR ALBANY, NY 12203-5156 US	CONTRACT LANDSCAPING/GROUNDKEEPER	S	B	4	N	N	1		45,000	35,387	23,055	9,613	6/11/2012	C		6/19/2013
4500215906	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	NUOVO GAS COMPRESSOR PARTS	E	B	5	F	N	3		11,859	11,866	699	-7	4/5/2012	C		1/9/2013
4500216937	PINNACLE PARTS & SERVICE CORP 18 HICKORY LN BAYVILLE, NJ 08721-2111 US	REPAIR POWER SUPPLY	S	B	5	F	N	3		6,630	6,630	6,630	0	5/1/2012	C		1/9/2013
4500216962	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	SUBSTATION SPARE PARTS	E	B		F	N	2		402,414	402,414	357,275	0	5/1/2012	C		1/1/2013
4500217728	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	NUOVO GAS COMPRESSOR PARTS	E	B		F	N	1	*	247,989	248,722	148,339	-733	5/17/2012	C		3/26/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500218680	GEA FES INC FORMERLY FES SYSTEMS 3475 BOARD RD YORK, PA 17402 US	OIL< REFRIGERATION (FES #2)	E	B	5	F	N	3	*	6,618	7,364	7,364	-746	6/12/2012	C		2/14/2013
4500219190	GEA FES INC FORMERLY FES SYSTEMS 3475 BOARD RD YORK, PA 17402 US	TECHNICAL SUPPORT FOR CHILLER MAINTENANCE AND OPERATION	S	B		F	N	1		22,171	20,093	4,922	2,078	6/25/2012	C		6/19/2013
4500219198	GE OIL & GAS INC 17619 ALDINE WESTFIELD HOUSTON, TX 77073 US	PLASMA COATING FOR THE FIRST AND SECOND STAGE DIAPHRAGMS	S	2		F	N	1		28,886	28,886	0	0	6/26/2012	C		1/1/2013
4500219417	WCR INC 4080 BLANCHE RD BENSALEM, PA 19020-4430 US	HEAT EXCHANGER CLEANING AND REPAIRS	S	B		F	N	3		47,663	47,663	47,663	0	7/2/2012	C		7/26/2013
4500219674	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	HIGH SPEED PINION GEAR FOR NUOVO PIGNONE GAS COMPRESSOR	E	1		F	N	1		91,426	91,426	91,426	0	7/10/2012	C		9/5/2013
4500219792	TRC ENVIRONMENTAL CORP 21 GRIFFIN RD N WINDSOR, CT 06095-1512 US	PERMIT TERM STACK TESTING	S	B		F	N	5		37,000	37,000	37,000	0	7/12/2012	C		3/12/2013
4500219837	MOTT TANK INSPECTION INC 120 N ABINGTON RD CLARKS GREEN, PA 18411-2541 US	AMMONIA TANK INSPECTION - SCPP	S	B		F	N	6		21,200	12,200	9,000	9,000	7/13/2012	C		7/26/2013
4500220058	DRESSER-RAND CO 100 E CHEMUNG ST PAINTED POST, NY 14870-1352 US	LM 6000 COMPRESSOR PARTS	E	B		N	N	1	*	56,472	56,783	56,783	-311	7/18/2012	C		3/26/2013
4500220594	PROENERGY SERVICES LLC 2001 PROENERGY BLVD SEDALIA, MO 65301-2470 US	REFURBISHMENT OF 30 FUEL NOZZLES	S	B		F	N	3		26,400	26,400	0	0	8/1/2012	C		1/1/2013
4500220775	GE INFRASTRUCTURE INC WATER & PROCESS TECHNOLOGIES 4636 SOMERTON RD TREVOSE, PA 19053 US	CHEMICAL, WATER TREATMENT	E	B	5	F	N	3		6,956	6,954	6,954	2	8/7/2012	C		1/11/2013
4500221319	AGGREKO INC 2 HAWK CT - PO BOX 490 BRIDGEPORT, NJ 08010 US	GENERATOR RENTAL FOR SCPP - - EMERGENT	E	1	5	F	N	1		9,400	9,400	9,400	0	2/26/2013	C		2/28/2013
4500221495	GENERAL ELECTRIC INTERNATIONAL INC 6001 TONNELLE AVE NORTH BERGEN, NJ 07047 US	STEAM TURBINE VALVE REPAIRS	S	2		F	N	1		450,000	450,000	450,000	0	8/24/2012	C		3/25/2013

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4500221858	TMEC PROCESS FILTRATION LLC THERMAL & MECHANICAL EQUIPMENT LLC 1423 E RICHEY RD HOUSTON, TX 77073-3508 US	WATER FILTER ELEMENT FOR LM 6000 SPRINT SYSTEM (DUPLEX)	E	B	5	F	N	3		9,765	9,765	9,765	0	9/4/2012	C		2/6/2013
4500222007	DRESSER-RAND CO 203 PRECISION RD HORSHAM, PA 19044-1226 US	GAS COMPRESSOR VALVE PARTS	E	B		F	N	1		86,751	86,751	86,751	0	9/7/2012	C		6/26/2013
4500222162	BHA GROUP INC DBA GE ENERGY 8800 E 63RD ST - STE 500 RAYTOWN, MO 64133-4883 US	AIR INLET FILTER CHANGE OUT	E	B		F	N	8		295,988	295,988	295,988	0	9/11/2012	C		1/3/2013
4500222228	OEM PARTS NETWORK LLC 11996 JACK BENNY DR - STE108 RANCHO CUCAMONGA, CA 91739-9238 US	EARTHING BRUSH ASSEMBLY S313925701	E	B	5	F	N	3	*	14,760	14,850	14,850	-90	9/13/2012	C		2/22/2013
4500222245	EMSPEC ELECTROMECHANICAL SYSTEMS INC 904 JACQUES PASCHINI BOIS DES FILION, PQ J6Z 4W4 CA	500 MW DISCONNECT SWITCHES AND INSTALLATION	E	2		F	N	1		54,855	54,192	11,837	663	9/13/2012	C		3/5/2013
4500222339	KELLY & HAYES ELECT SUPPLY INC 66 SOUTHERN BLVD NESCONSET, NY 11767-1092 US	CABLE, ELECTRICAL, 4/0,AWG,STRAND,COPPER CLEAR JACKET STRANDS	E	B	4	N	N	3		6,925	6,925	6,925	0	9/14/2012	C		1/3/2013
4500222356	DRESSER-RAND CO 203 PRECISION RD HORSHAM, PA 19044-1226 US	REBUILD KIT FOR DRESSER GAS COMPRESSOR MFG:HOERBIGER	E	B	5	F	N	3		7,024	7,024	7,024	0	9/17/2012	C		4/10/2013
4500223087	THYSSENKRUPP ELEVATOR CORP 59 OTIS ST WEST BABYLON, NY 11704-1415 US	ELEVATOR MAINTENANCE SERVICES	S	B	7	N	N	1		50,000	36,249	32,368	13,751	10/4/2012	C		10/8/2013
4500223263	FILCO CARTING CORP 111 GARDNER AVE BROOKLYN, NY 11237-1307 US	RUBBISH REMOVAL SERVICES	S	B	7	N	N	2		48,750	33,462	30,109	15,288	10/9/2012	C		12/1/2013
4500223586	DANIEL V JENNINGS CO INC 4046 28TH ST LONG ISLAND CITY, NY 11101-3731 US	SUPPLY AND INSTALLATION OF TRUCK RESTRAINING DEVICE	S	M	5	N	1	1		7,500	7,500	7,500	0	10/17/2012	C		2/22/2013
4500224217	GRUNAU COMPANY INC 8302 SOUTHERN BLVD BOARDMAN, OH 44512 US	FIRE PANEL TROUBLESHOOTING	S	1	5	F	N	1		8,000	8,000	8,000	0	11/1/2012	C		2/7/2013

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4500224398	GENERAL ELECTRIC INTERNATIONAL INC 4200 WILDWOOD PKWY ATLANTA, GA 30339 US	ASSEMBLY, ADAPTER, EX2100 ACLA/ACLA ENGINEERED DWG#151X1207BB0SA01	E	B	5	F	N	3		10,447	0	10,447	10,447	11/6/2012	C		1/1/2013
4500224771	GENERAL ELECTRIC INTERNATIONAL INC 6001 TONNELLE AVE NORTH BERGEN, NJ 07047 US	CARD, VAOC, MARK VI MFG: GE P/N IS200VAOCHIC	E	B	5	F	N	3		8,840	8,855	8,855	-15	11/15/2012	C		2/13/2013
4500225226	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	PUMP, AMMONIA TRANSFER	E	B	5	N	3	3		5,980	5,980	5,980	0	11/29/2012	C		1/28/2013
4500225282	MOTION INDUSTRIES INC 311 FOURTH AVE PELHAM, NY 10803-1255 US	MOTOR, CT LUBE OIL PUMP S/N WTG536991	E	B	5	N	N	3	*	11,456	11,624	11,624	-168	11/30/2012	C		3/18/2013
4500225632	SIHI PUMPS INC C/O VALLEY TECH INC PO BOX 124 WHITEHOUSE STATION, NJ 08889-0124 US	CIRC PUMP FOR HEATEC XX1-1	E	B	5	F	N	3	*	7,566	7,709	7,709	-143	12/10/2012	C		2/28/2013
4500225864	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	NEXUS 1250 METER MDL:NEXUS1250-2MEG-120-D2-60HZ-V1-INP200	E	B	5	N	N	3	*	11,385	11,470	11,470	-85	12/13/2012	C		2/8/2013
4500225998	CLYDE UNION INC 4600 W DICKMAN RD BATTLE CREEK, MI 49037-7325 US	CONDENSATE PUMP IMPELLER SET	E	B		F	N	1		72,629	72,629	72,629	0	12/18/2012	C		8/19/2013
4500226160	TETRA ENGINEERING GROUP INC 110 HOPMEADOW ST - STE 800 WEATOGUE, CT 06089 US	FLOW ACCELERATED CORROSION TESTING	S	1	5	F	N	1		6,750	6,750	6,750	0	12/20/2012	C		2/27/2013
4500226175	CG INDUSTRIAL SAFETY INC 1213 SPARROW LN - PO BOX 2328 PEEKSKILL, NY 10566-4021 US	COMPRESSED GAS CYLINDER LOCKERS	E	M	5	N	3	1		13,746	13,746	13,746	0	12/20/2012	C		1/2/2013
4500226411	ATLAS CONCRETE BATCHING CORP 9511 147TH PL JAMAICA, NY 11435-4507 US	SNOW REMOVAL SERVICES	S	M	8	N	1	1		50,000	14,770	14,770	35,230	1/3/2013	O	1/3/2014	
4500226413	IACONO INC 230 KNICKERBOCKER AVE BOHEMIA, NY 11716 US	AIR COMPRESSOR REPAIR PARTS	E	1	5	N	N	1		11,456	11,456	11,456	0	1/3/2013	C		2/27/2013
4500226616	MOTION INDUSTRIES INC 311 FOURTH AVE PELHAM, NY 10803-1255 US	10HP 3520RPM AC GE MOTOR K5S215STP120B	E	B	5	N	N	3	*	5,867	6,166	6,166	-299	1/8/2013	C		4/11/2013

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4500226729	JONES & AUERBACHER INC 726 FAIRFIELD AVE KENILWORTH, NJ 07033 US	VALVE, SOLENOID MFG:ASCO P/N: EFHC8321G001 125 VDC	E	B	5	F	N	3	*	7,184	7,262	7,262	-78	1/10/2013	C		2/8/2013
4500226849	CG INDUSTRIAL SAFETY INC 1213 SPARROW LN - PO BOX 2328 PEEKSKILL, NY 10566-4021 US	COPPER TUBING AND FITTINGS FOR EMERGENT OUTAGE WORK	E	M	8	N	3	1		18,092	18,092	18,092	0	1/14/2013	C		1/31/2013
4500226909	OEM PARTS NETWORK LLC 11996 JACK BENNY DR - STE108 RANCHO CUCAMONGA, CA 91739-9238 US	GASKETS AND PROFACE/COMPUTER	C	B	5	F	N	3	*	6,030	6,119	6,119	-89	1/15/2013	C		2/22/2013
4500227210	ANCHOR SALES ASSOC INC 9 HUMPHREYS DR WARMINSTER, PA 18974-1428 US	ROTO JET REPAIR	S	B	5	F	N	3		11,619	11,619	11,619	0	1/22/2013	C		3/1/2013
4500227326	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	METER, NEXUS, COMB. CARD MODEM/ETHERNET P/N:1272-S-SWB-20-60HZ- SE-INP202	E	B	5	N	N	3	*	10,602	10,682	10,682	-80	1/24/2013	C		3/13/2013
4500227394	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	ACCELERMETER CRF PN 144-303-000-311	E	B	5	F	N	3		13,800	13,673	13,673	127	1/25/2013	C		2/1/2013
4500227429	ATLAS CONCRETE BATCHING CORP 9511 147TH PL JAMAICA, NY 11435-4507 US	CONCRETE PAD INSTALLATION FOR SCPP SECURITY GUARD BOOTHS	S	M	8	N	1	1		31,000	22,000	22,000	9,000	1/25/2013	O	1/24/2014	
4500227518	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	SM-12500-SUPER MAX 12500 PE, HOSE ASSEMBLY & COUPLERS, WAND & GUN	E	B	5	N	1	3		14,235	14,093	14,093	142	1/29/2013	C		3/7/2013
4500227524	LM PARTS LLC 26 FAYMORE DR AGAWAM, MA 01001-3010 US	VALVE, SOLENOID DEMIN WATER DRAIN GE PN XREF0222 FOR FUEL GAS SYSTEM DWG 260 CIRCLE SEAL CO 31830-1063E	E	B	5	F	N	3		6,380	6,380	6,380	0	1/29/2013	C		3/20/2013
4500227577	PHOTO SPECIALTIES PLUS 21 NORTH MALL PLAINVIEW, NY 11803-4203 US	HEATER MFG DAYTON P/N 2YU76 WITH THERMOSTAT 2YU33	E	B	5	N	3	3		7,772	7,772	7,772	0	1/29/2013	C		1/31/2013
4500227599	CASSONE LEASING INC 1950 LAKELAND AVE RONKONKOMA, NY 11779-7400 US	PORTABLE GUARD SHACKS	E	M	8	N	3	1		27,900	27,900	27,900	0	1/30/2013	C		3/19/2013

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4500227687	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	FILTERS-DEMIN NOX DISCHARGE FILTERS INDUFIL P/N INR-S-620-CC10-V	E	B	5	F	N	3		5,225	5,237	5,237	-12	1/31/2013	C		3/8/2013
4500227813	GEXPRO DBA FOR GENERAL SUPPLY & SERV INC 1776 NEW HWY FARMINGDALE, NY 11735-1513 US	MOTOR, KINAMATIC DC	E	B		N	N	2		17,695	17,695	17,695	0	2/4/2013	C		4/22/2013
4500227847	PINNACLE PARTS & SERVICE CORP 18 HICKORY LN BAYVILLE, NJ 08721-2111 US	BOARD, DTRT RAIL MAINTENANCE, IS210DTRTH1AA FOR GE MARK VI CONTROL SYSTEM	C	B	5	F	N	3		5,695	5,695	5,695	0	2/5/2013	C		2/21/2013
4500227905	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	LIGHT, AUX BUILDING, LITE, SITE, LAMP, TUBE LIGHT	E	B	5	N	3	3		6,808	6,808	6,808	0	2/6/2013	C		4/3/2013
4500228017	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	SENSOR, FOR INDUSTRIAL SCIENTIFIC GAS METER, P/N:17120973	E	B	5	N	1	3		9,646	9,550	9,550	96	2/7/2013	C		2/20/2013
4500228050	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	IQ ANALYZER, SEPARATE CONTROL POWER	E	B	5	N	N	3		5,450	5,450	5,450	0	2/8/2013	C		2/28/2013
4500228331	THE HILLIARD CORP 100 W 4TH ST ELMIRA, NY 14901-2190 US	REPAIR HILLIARD CLUTCH, P/N:6601-01-019-C	E	B	5	N	N	3	*	7,043	7,176	7,176	-133	2/15/2013	C		3/27/2013
4500228401	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	P/N L31967P29 ACCELEROMETER TRF AND PN/L46226G01 BRACKET	E	B	5	F	N	3		14,980	15,000	15,000	-20	2/19/2013	C		4/2/2013
4500228409	TMEC PROCESS FILTRATION LLC THERMAL & MECHANICAL EQUIPMENT LLC 1423 E RICHEY RD HOUSTON, TX 77073-3508 US	P/N INR-S-200-CC110-V WATER FILTER ELEMENT FOR LM 6000 SYSTEM TMR-S-620-CC10-V DISCHARGE FILTERS	E	B	5	F	N	3	*	13,925	14,179	14,179	-254	2/19/2013	C		2/27/2013
4500228464	QUINTAL CONTRACTING CORP PO BOX 427 ISLIP, NY 11751-0427 US	SNOW REMOVAL FOR FLYNN & BRENTWOOD	S	M	8	N	1	1		30,000	16,828	16,828	13,172	2/21/2013	O	2/21/2014	
4500228467	CLYDE UNION INC 4600 W DICKMAN RD BATTLE CREEK, MI 49037-7325 US	LUBE OIL PUMP MFG TUSHACO P/N PUMPS0100156------A	E	B	5	F	N	3		10,472	10,472	10,472	0	2/20/2013	C		8/19/2013

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4500228525	FLEET PUMP & SERVICE GROUP INC 55 CALVERT ST - PO BOX 616 HARRISON, NY 10528 US	FIRETROL CONTACTOR	E	B	5	N	N	3		10,873	10,873	10,873	0	2/21/2013	C		4/4/2013
4500228772	DAIKIN APPLIED AMERICAS INC 13600 INDUSTRIAL PARK BLVD MINNEAPOLIS, MN 55441-3743 US	SCPP CHILLER DRIVELINE COMPRESSOR	E	B		F	N	2		122,400	122,400	122,400	0	2/27/2013	C		6/5/2013
4500229038	GE OIL & GAS INC 17619 ALDINE WESTFIELD HOUSTON, TX 77073 US	GAS COMPRESSOR CONTROLS REPAIRS	S	1		F	N	1		62,760	0	0	62,760	3/6/2013	O	6/30/2014	
4500229060	NOONEY CONTROLS CORP PO BOX 375 N KINGSTOWN, RI 02852-0375 US	VALVE STOP WITH ACTUATOR ASSEMBLY	E	B	5	N	N	3	*	7,287	7,441	7,441	-154	3/6/2013	C		7/17/2013
4500229064	DONALDSON CO INC PO BOX 1299 MINNEAPOLIS, MN 55440-1299 US	AIR INLET FILTERS FOR SCPP	E	B		F	N	1		71,940	71,940	71,940	0	3/6/2013	C		5/13/2013
4500229216	GE INFRASTRUCTURE INC WATER & PROCESS TECHNOLOGIES 4636 SOMERTON RD TREVOSE, PA 19053 US	CHEMICAL WATER TREATMENT INHIBITOR, DISPERSANT, AUX COOLING WATER 530 LB DRUM P/N CORRSHIEDL MD4100	E	B	5	F	N	3	*	5,915	6,015	6,015	-100	3/11/2013	C		5/14/2013
4500229428	MID-ISLAND ELECTRICAL SALES CORP DBA MID-ISLAND ELECTRICAL SUPPLY 59 MALL DR - PO BOX 9027 COMMACK, NY 11725-9027 US	POWER TRANSFORMER	E	B	5	N	3	3		9,124	9,124	9,124	0	3/14/2013	C		3/28/2013
4500229440	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	PLUG, IGNITER 9392M95P04	E	B	5	F	N	3		6,300	6,254	6,254	46	3/14/2013	C		4/10/2013
4500229453	GRUNAU COMPANY INC 8302 SOUTHERN BLVD BOARDMAN, OH 44512 US	FIRE PANEL NETWORK TROUBLESHOOTING AND REPAIRS	S	1		F	N	1		70,000	23,780	23,780	46,220	3/14/2013	O	3/13/2014	
4500229614	NATIONAL GRID CORPORATE SERVICES NATIONAL GRID ENVIRONMNTAL ANALYSIS 175 E OLD COUNTRY RD HICKSVILLE, NY 11801-4257 US	NATURAL GAS SAMPLING SERVICES - SENY	S	B		N	N	4		29,400	10,100	10,100	19,300	4/1/2013	O	3/31/2014	
4500229645	INDUSTRIAL SOLUTIONS OF NY INC 5946 56TH RD MASPETH, NY 11378-2330 US	EVAP CONDENSER FAN BLADE REPLACEMENT	S	B		N	N	6		180,000	144,475	144,475	35,525	3/19/2013	O	3/18/2014	

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4500229740	SUR-SEAL INC 12 EDGEBORO RD - UNIT 6 EAST BRUNSWICK, NJ 08816-1651 US	DUPLEX FILTER HOUSINGS MODEL#S6GLDV09-014-2-2F-VF-150	E	B		F	N	1		175,000	175,000	175,000	0	3/21/2013	C		11/15/2013
4500229760	C G POWERTECH INC 216 NEWARK PROMPTON TPKE PEQUANNOCK, NJ 07440 US	SEAL RING & DISC SEAL MFG: ADAMS/ARMATUREN P/N: POS 4010	E	B	5	F	N	3	*	10,858	10,972	10,972	-114	3/21/2013	C		5/17/2013
4500229887	GENERAL ELECTRIC INTERNATIONAL INC 6001 TONNELLE AVE NORTH BERGEN, NJ 07047 US	RELAY, FEEDER PROTECTION P/N:D60-N00-HKH-F8L-H6P-M6L-PXX-UXX-WXX	E	B	5	F	N	2		9,825	9,825	9,825	0	3/25/2013	C		5/14/2013
4500230064	KELLY & HAYES ELECT SUPPLY INC 66 SOUTHERN BLVD NESCONSET, NY 11767-1092 US	ELECTRICAL CABLE	E	B	5	N	N	3		6,925	6,925	6,925	0	3/28/2013	C		5/16/2013
4500230112	JANSEN'S AIRCRAFT SYSTEM CONTROLS INC 2303 WEST ALAMEDA DR TEMPE, AZ 85282 US	OFF-SITE REPAIR OF 3 WAY FUEL VALVES	S	B		F	N	4	*	25,760	26,073	26,073	-313	4/1/2013	C		5/30/2013
4500230235	ALBERCORP 3103 N ANDREWS AVE EXT POMPANO BEACH, FL 33064-2118 US	CRT-400 CELLCORDER, DIGITAL HYDROMETER W/CELLCORDER INTERFACE, CELL VOLTAGE RECORDER PLUS, CRT EXTENDER VOLTAGE LEADS, BLUETOOTH	E	B	5	F	N	3		12,157	12,165	12,165	-8	4/4/2013	C		4/18/2013
4500230369	GE ENERGY CONTROL SOLUTIONS INC 1800 NELSON RD LONGMONT, CO 80501-6324 US	MARK VI CARDS - UPGRADE	E	B		F	N	2		151,565	151,565	151,565	0	4/8/2013	C		5/14/2013
4500230378	GEA REFRIGERATION NORTH AMERICA INC FORMERLY GEA FES INC 3475 BOARD RD YORK, PA 17406-8414 US	REPAIR LEAK ON CHILLER COMPRESSOR FLANGE	S	B	5	F	N	3		7,597	7,071	7,071	526	4/8/2013	C		9/5/2013
4500230381	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	ROSEMOUNT TRANSMITTER MODEL# CD4A22A1AM5B4K5P2DF	E	B	5	N	1	3		8,775	8,687	8,687	88	4/9/2013	C		5/1/2013
4500230406	DONALDSON CO INC PO BOX 1299 MINNEAPOLIS, MN 55440-1299 US	AIR FILTER HOUSE NETCON UNITS PN P03-0228 24" X 24"X 3.5"	E	B	5	F	N	3	*	11,520	11,845	11,845	-325	4/9/2013	C		5/8/2013
4500230662	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	138 KV BUSHINGS	E	1		F	N	1		15,728	15,728	15,728	0	4/15/2013	C		6/19/2013

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4500230787	CEMTEK SYSTEMS INC 2013 SOUTH WOOD AVE LINDEN, NJ 07036 US	HEATED SAMPLE LINE REPLACEMENT PROJECT	S	B		F	N	3		100,347	100,347	100,347	0	4/18/2013	C		9/5/2013
4500230883	IACONO INC 230 KNICKERBOCKER AVE BOHEMIA, NY 11716 US	ATLAS COPCO GA 30+ AIR COMPRESSOR	E	B		N	N	2	*	16,248	16,631	16,631	-383	4/22/2013	C		7/9/2013
4500230887	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	T48 THERMOCOUPLE 1696M18P13	E	B	5	F	N	3		11,500	11,519	11,519	-19	4/22/2013	C		5/8/2013
4500231044	FLOWSERVE PUMP CORP FORMERLY INGERSOLL-DRESSER PUMP CO P O BOX 3565 SCRANTON, PA 18505-3565 US	BOILER FEED PUMP REPAIR	E	B	5	F	N	3		9,005	9,005	9,005	0	3/20/2013	C		7/8/2013
4500231072	GEA REFRIGERATION NORTH AMERICA INC FORMERLY GEA FES INC 3475 BOARD RD YORK, PA 17406-8414 US	OIL, REFRIGERATION (FES#2)P/N 560-000300-055	E	B	5	F	N	2	*	6,818	7,120	7,120	-302	4/26/2013	C		4/30/2013
4500231173	MAGNETROL INTERNATIONAL INC C/O MILLER ENERGY INC 3200 S CLINTON AVE S PLAINFIELD, NJ 07080 US	TRANSMITTER AND ANTENNA PN# R95-510A-310	E	B	5	F	N	3	*	5,500	5,571	5,571	-71	4/30/2013	C		6/21/2013
4500231254	NYS INDUSTRIES FOR THE DISABLED INC 11 COLUMBIA CIR ALBANY, NY 12203-5156 US	CONTRACT LANDSCAPING/GROUNDKEEPER	S	B	4	N	N	1		44,370	40,988	40,988	3,382	5/1/2013	O	4/30/2014	
4500231564	FLOWSERVE PUMP CORP FORMERLY INGERSOLL-DRESSER PUMP CO P O BOX 3565 SCRANTON, PA 18505-3565 US	CONDENSATE PUMP OVERHAUL	S	B	5	F	N	3		17,524	17,524	17,524	0	5/9/2013	C		8/6/2013
4500231631	GE INFRASTRUCTURE INC WATER & PROCESS TECHNOLOGIES 4636 SOMERTON RD TREVOSE, PA 19053 US	CHEMICAL, WATER TREATMENT P/N CONTROL IS 104 280 GAL TOTE	E	B	5	F	N	3		7,297	7,297	7,297	0	5/10/2013	C		6/21/2013
4500231700	INDUSTRIAL SOLUTIONS OF NY INC 5946 56TH RD MASPETH, NY 11378-2330 US	PADS, SET, THURST, AR -END USE; WEIR BOILER FEED PUP FT 7A 37 SN# A0-115780 12 PADS PER SET MFG-CLYDE UNION	E	B	5	N	N	3		9,316	9,316	9,316	0	5/14/2013	C		5/31/2013

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4500231703	ILLINOIS BLOWER INC 750 INDUSTRIAL DR CARY, IL 60013 US	BEARING, SCR FAN P/N 531-0058 60 MM UNIBLOCK ASSY, SHAFT END USE; SCR FANS	E	B	5	N	N	3	*	9,790	9,949	9,949	-159	5/14/2013	C		7/2/2013
4500231865	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	LP SPEED SENSOR	E	B	5	F	N	3		10,800	10,820	10,820	-20	5/17/2013	C		6/13/2013
4500231880	UE SYSTEMS INC 14 HAYES ST ELMSFORD, NY 10523-8186 US	ULTRA PROBE 9000LRM	E	1	5	N	N	1		7,090	0	0	7,090	5/17/2013	C		6/24/2013
4500232093	GE INDUSTRIAL SYSTEMS INC 4601 PARK RD - STE 600 CHARLOTTE, NC 28209-3799 US	GE JVW-110 VT 60/1 1B 1000VA, GE JVW-5 CT 200/400/5	E	B	5	F	N	3		6,840	6,840	6,840	0	5/23/2013	C		6/14/2013
4500232138	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	ACCELEROMETER	E	B	5	F	N	3		13,800	13,680	13,680	120	5/24/2013	C		6/3/2013
4500232149	PHOTO SPECIALTIES PLUS 21 NORTH MALL PLAINVIEW, NY 11803-4203 US	MILLER: HARNESS, FALL LIMITER, CARRY BAG, RELIEF STEP; MSA RESPIRATORS; ULTRA TWIN FULL FACE RESPIRATORS	E	B	5	N	3	3		8,534	8,534	8,534	0	5/24/2013	C		6/7/2013
4500232156	CLYDE UNION INC 4600 W DICKMAN RD BATTLE CREEK, MI 49037-7325 US	RTD, THRUST BEARING MFG: CLYDE UNION/WEIR S/N:AB00160-001	E	B	5	F	N	2	*	6,540	6,605	6,605	-65	5/24/2013	C		9/12/2013
4500232183	BUFFALO PUMPS INC 874 OLIVER ST NORTH TONAWANDA, NY 14120 US	CT OIL LUBE PUMP REPAIR	E	1	5	N	N	1		6,398	0	0	6,398	10/2/2013	C		12/18/2013
4500232278	ITRON INC ENERGY INFORMATION SYSTEMS 4401 BLAND RD RALEIGH, NC 27609 US	ANNUAL MAINTENANCE	S	1		F	N	1		50,462	50,462	50,462	0	6/1/2013	C		6/24/2013
4500232297	AERO-LINK MARINE & POWER LLC 2295 NW CORPORATE BLVD STE 110 BOCA RATON, FL 33431-7329 US	FUEL NOZZLES	E	B		F	N	5	*	253,500	253,594	253,594	-94	5/30/2013	C		6/12/2013
4500232300	GE PACKAGED POWER INC 16415 JACINTOPORT BLVD BLDG "B" HOUSTON, TX 77015 US	ADAPTER, TRF, OIL SUMP L	E	B	5	F	N	1	*	5,373	5,467	5,467	-94	5/30/2013	C		9/18/2013

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4500232569	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	PROFACE/COMPUTER	E	B	5	F	N	3	*	5,100	5,143	5,143	-43	6/5/2013	C		6/18/2013
4500232685	GEA REFRIGERATION NORTH AMERICA INC FORMERLY GEA FES INC 3475 BOARD RD YORK, PA 17406-8414 US	TECHNICAL SUPPORT FOR CHILLER MAINTENANCE AND OPERATION	S	B		F	N	1		29,840	29,840	29,840	0	6/7/2013	C		10/23/2013
4500232814	EASTCO MFG CORP 323 5TH AVE PELHAM, NY 10803 US	SWING GATE OPERATOR REPLACEMENT (SECURITY GATE)	S	M	5	N	1	1		8,516	8,516	8,516	0	6/12/2013	C		8/5/2013
4500232993	LEISTRITZ CORP 165 CHESTNUT ST ALLENDALE, NJ 07401 US	FUEL INJECTION PUMP	E	B	5	F	N	1		10,335	0	0	10,335	6/14/2013	O	2/1/2014	
4500233023	METRO PUMPS & SYSTEMS INC 30 LIBERTY STREET EDISON, NJ 08837 US	PUMP, ECONOMIZER, HRSG W/MOTOR MFG: GRUNDFOS P/N: CR 45-2-2 H H-GB-A-D-KUBE	E	B	5	F	N	3	*	14,985	15,249	15,249	-264	6/17/2013	C		7/9/2013
4500233034	PRIME LUBE INC 800 ROOSEVELT AVE CARTERET, NJ 07008 US	FRYQUEL EHC PLUS	E	B	5	F	N	3		8,880	8,880	8,880	0	6/17/2013	C		6/24/2013
4500233047	C G POWERTECH INC 216 NEWARK PROMPTON TPKE PEQUANNOCK, NJ 07440 US	ADAMS/ARMATUREN SEALS	E	B		F	N	1	*	37,800	38,081	38,081	-281	6/17/2013	C		8/27/2013
4500233167	NYS INDUSTRIES FOR THE DISABLED INC 11 COLUMBIA CIR ALBANY, NY 12203-5156 US	CONTRACT LANDSCAPING/GROUNDKEEPER	S	B	4	N	N	1		44,854	13,285	13,285	31,569	6/20/2013	O	6/20/2014	
4500233288	ALSTOM POWER INC 2800 WATERFORD LAKE DR MIDLOTHIAN, VA 23112-3981 US	TECHNICAL SERVICES FROM ALSTOM REPRESENT	S	1	5	F	N	1		13,225	12,065	12,065	1,160	6/17/2013	O	6/16/2014	
4500233334	CG INDUSTRIAL SAFETY INC 1213 SPARROW LN - PO BOX 2328 PEEKSKILL, NY 10566-4021 US	AMERICAN LOCKS P/NS: A1106KARED A1106KABLUE A1106KAYLW A1106KAGRN	E	B	5	N	3	3	*	5,284	5,379	5,379	-95	6/25/2013	C		9/17/2013
4500233396	TMEC PROCESS FILTRATION LLC THERMAL & MECHANICAL EQUIPMENT LLC 1423 E RICHEY RD HOUSTON, TX 77073-3508 US	WATER FILTER ELEMENT FOR LM 6000 SPRINT SYSTEM (INDUFIL)	E	C	5	F	N	3	*	9,000	9,083	9,083	-83	6/26/2013	C		7/26/2013
4500233460	POWMAT LTD 321 USHERS RD BALLSTON LAKE, NY 12019 US	THERMOCOUPLE W/ CONNECTOR	E	B	5	N	N	3	*	6,825	6,869	6,869	-44	6/27/2013	C		7/3/2013

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4500233801	C G POWERTECH INC 216 NEWARK PROMPTON TPKE PEQUANNOCK, NJ 07440 US	SHAFT SEAL KIT/ SEAL RING & DISC SEAL	E	B	5	F	N	3	*	12,600	12,813	12,813	-213	7/9/2013	C		10/31/2013
4500233924	PETROSCAN LTD 1990 NEW HWY FARMINGDALE, NY 11735-1102 US	EMERGENCY FUEL DELIVERIES	E	M	8	N	3	1		15,108	15,077	15,077	31	7/11/2013	C		7/24/2013
4500233943	ACME INDUSTRIAL INC 326-328 FRONT ST STATEN ISLAND, NY 10304 US	AMMONIA PIPE REPLACEMENT PROJECT	S	M	8	N	1	1		33,730	32,550	32,550	1,180	7/11/2013	C		9/17/2013
4500233983	HAYES PUMP INC 295 FAIRFIELD AVE FAIRFIELD, NJ 07004-3833 US	GOULDS PUMP MODEL 3196 XLT-X	E	B	5	F	N	3	*	11,896	12,078	12,078	-182	7/12/2013	C		8/27/2013
4500233988	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	HAZMAT CHEMICAL STORAGE BUILDING	E	B	5	N	1	3		11,452	11,357	11,357	95	7/12/2013	C		10/18/2013
4500234152	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	FILTERS, PN#R92804476,P/N HP50L16-3W, P/N V92246, P/N P-42766-23P/N HC9651FDP16Z, P/N ST718-00-03ZK-CO	E	B	5	N	1	3		5,645	5,589	5,589	56	7/17/2013	C		8/28/2013
4500234244	LM PARTS LLC 26 FAYMORE DR AGAWAM, MA 01001-3010 US	CLAM SHELL; VBV DUCT; INLET EXPANSION JOINT.	E	B	5	F	N	3	*	5,652	5,711	5,711	-59	7/19/2013	C		8/7/2013
4500234291	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	DRIVE SHAFT	E	B	5	N	1	3		11,790	11,672	11,672	118	7/19/2013	C		8/27/2013
4500234293	DIVERSIFIED HEAT TRANSFER INC 439 MAIN RD TOWACO, NJ 07082-1369 US	HEAT EXCHANGER	E	B	5	N	N	3		7,950	7,950	7,950	0	7/19/2013	C		8/23/2013
4500234374	GE INFRASTRUCTURE INC WATER & PROCESS TECHNOLOGIES 4636 SOMERTON RD TREVOSE, PA 19053 US	GENGARD/ DEPOSITROL CHEMICAL	E	B	5	N	N	3		9,565	9,565	9,565	0	7/23/2013	C		9/4/2013
4500234463	CONTROL ASSOCIATES INC 20 COMMERCE DR - PO BOX 279 ALLENDALE, NJ 07401-1600 US	SKY VENT VALVE UPGRADE	E	1		F	N	1		209,422	156,298	156,298	53,124	7/24/2013	C		12/31/2013
4500234525	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	ACCELEROMETER	E	B	5	F	N	3		13,800	13,818	13,818	-18	7/25/2013	C		8/27/2013

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4500234616	TMEC PROCESS FILTRATION LLC THERMAL & MECHANICAL EQUIPMENT LLC 1423 E RICHEY RD HOUSTON, TX 77073-3508 US	WATER FILTER ELEMENT FOR LM 6000SPRINT SYSTEM	E	B	5	F	N	3	*	10,200	10,291	10,291	-91	7/29/2013	C		8/23/2013
4500234631	QUINTAL CONTRACTING CORP PO BOX 427 ISLIP, NY 11751-0427 US	REPAIR OF DAMAGED MODULAR WALL	S	1	5	N	1	1		13,575	13,575	13,575	0	7/29/2013	C		10/24/2013
4500234762	ROSEMOUNT INC 8200 MARKET BLVD MAIL STATION PK16 CHANHASSEN, MN 55317-9687 US	ORIFICE FLOW METER ,REMOTE SIGNAL INDICATOR	E	B	5	F	N	3		8,254	7,425	7,425	829	8/2/2013	C		9/18/2013
4500234797	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	ACCELEROMETER CABLES	E	B	5	F	N	3		7,800	7,836	7,836	-36	8/1/2013	C		8/19/2013
4500234852	GE INFRASTRUCTURE INC WATER & PROCESS TECHNOLOGIES 4636 SOMERTON RD TREVOSE, PA 19053 US	CHEMICAL WATER TREATMENT P/N CONTROL TROL IS 104 280 GAL TOTE	E	B	5	F	N	3		7,297	7,297	7,297	0	8/2/2013	C		8/28/2013
4500234872	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	JEMSTAR METER PN\N JS-05R6020	E	B	5	N	N	3	*	6,130	6,181	6,181	-51	8/5/2013	C		9/4/2013
4500234912	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	T48 THERMOCOUPLE 1962M86P02	E	B	5	F	N	3		12,420	12,438	12,438	-18	8/6/2013	C		9/30/2013
4500234986	TMEC PROCESS FILTRATION LLC THERMAL & MECHANICAL EQUIPMENT LLC 1423 E RICHEY RD HOUSTON, TX 77073-3508 US	FILTER ELEMENT	E	B	5	F	N	3	*	7,650	7,777	7,777	-127	8/7/2013	C		8/13/2013
4500235083	PCB PIEZOTRONICS INC 3425 WALDEN AVE DEPEW, NY 14043 US	HI TEMP CABLE, SWITCH BOX P/N 691B44, SHIELDED CAB/PN 059ANO75BZACCELEROMETER P/N 629A3, SENSOR P/N 625B02	E	B	5	N	N	3	*	14,675	14,748	14,748	-73	8/9/2013	C		9/5/2013
4500235104	FRESH MEADOW POWER LLC 6501 FRESH MEADOW LN FRESH MEADOWS, NY 11365-2011 US	RAW WATER PIPING REPLACEMENT PROJECT	S	B		N	N	4		99,000	0	0	99,000	8/9/2013	O	4/1/2014	

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4500235223	GE PACKAGED POWER INC 16415 JACINTOPORT BLVD BLDG "B" HOUSTON, TX 77015 US	WATER INJECTION BLOCK MANIFOLD	E	B	5	F	N	5		7,646	6,846	6,846	800	8/14/2013	C		9/18/2013
4500235313	HAYES PUMP INC 295 FAIRFIELD AVE FAIRFIELD, NJ 07004-3833 US	AUX COOLING PUMP PARTS	E	B	5	F	N	3	*	8,573	8,857	8,857	-284	8/15/2013	C		9/5/2013
4500235486	CLYDE UNION INC 4600 W DICKMAN RD BATTLE CREEK, MI 49037-7325 US	BALANCE DRUM, THRUST COLLAR LOCK, SOCKET CAP SCREW M8 X 20MM LG	E	B	5	F	N	4		11,905	0	0	11,905	8/20/2013	C		12/6/2013
4500235516	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	BULL GEAR ASSEMBLY	E	1		F	N	1		90,000	0	0	90,000	8/21/2013	O	3/1/2014	
4500235963	LM PARTS LLC 26 FAYMORE DR AGAWAM, MA 01001-3010 US	LM 6000 FUEL NOZZLES	E	B		F	N	2		94,545	94,580	94,580	-35	9/3/2013	C		9/10/2013
4500235992	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	CARD ASSEM. DTTC RAIL MTG. IS210DTTCHIAA FOR GE MARK VI CONTROL SYSTEM **ROTATABLE SPARE**	E	B	5	F	N	3		6,655	388	388	6,267	9/4/2013	C		10/3/2013
4500235996	KELLY & HAYES ELECT SUPPLY INC 66 SOUTHERN BLVD NESCONSET, NY 11767-1092 US	PLUG, ELECTRICAL, SET	E	B	5	N	N	3		5,820	5,820	5,820	0	9/4/2013	C		10/31/2013
4500236021	BCS SWITCHGEAR INC 4790 US HIGHWAY 377 S KRUGERVILLE, TX 76227-2813 US	SHALLBETTER BREAKER FOR SCPP	E	B		F	N	1		36,750	35,250	35,250	1,500	9/5/2013	C		9/16/2013
4500236266	SOUTH ATLANTIC MARINE SERVICES INC 342 COLD SPRING RD SYOSSET, NY 11791 US	MOBIL OIL DTE 746	E	B	5	N	3	3		9,367	9,367	9,367	0	9/10/2013	C		11/26/2013
4500236349	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	CONTACT KITS STYLES PN# CR306CX#0**BAT, CR306GX#0**BJH, CR306FX310, CR305C0**BAT	E	B	5	N	N	4		14,575	14,615	14,615	-40	9/12/2013	C		10/9/2013
4500236388	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	AMARILLO GEAR BOX DRIVE	E	1	8	N	3	1		22,000	22,000	22,000	0	9/12/2013	C		12/31/2013
4500236389	MID-ISLAND ELECTRICAL SUPPLY DBA FOR MIE-TH HOLDINGS LLC 59 MALL DR - PO BOX 9027 COMMACK, NY 11725-9027 US	ELECTRIC MOTOR	E	B	5	N	N	3		8,098	8,098	8,098	0	9/12/2013	C		10/1/2013

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4500236390	HAYES PUMP INC 295 FAIRFIELD AVE FAIRFIELD, NJ 07004-3833 US	GOULD PUMP PARTS	E	B		F	N	2		65,441	26,566	26,566	38,875	9/12/2013	O	1/31/2014	
4500236391	OIL FIELD COMPONENTS INC 4127 HOLLISTER RD - STE H HOUSTON, TX 77080-1967 US	GOULD PUMP PARTS	E	B		F	N	2		8,847	0	0	8,847	9/12/2013	C		12/2/2013
4500236467	THE HILLIARD CORP 100 W 4TH ST ELMIRA, NY 14901-2190 US	REPAIR TWO(2) HILLIARD CLUTCH	E	B	5	N	N	3		8,452	0	0	8,452	9/16/2013	C		10/22/2013
4500236494	PHILADELPHIA GEAR CORP 100 ANCHOR MILL RD NEW CASTLE, DE 19720 US	STAINLESS STEEL LUBE OIL COOLER FOR FOAMITE PUMPS	E	B	5	F	N	3		12,972	0	0	12,972	9/17/2013	C		10/21/2013
4500236509	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	AMARILLO GEAR MOBILE OIL FILTRATION CART	E	B	5	N	3	3	*	7,745	8,081	8,081	-336	9/17/2013	C		9/27/2013
4500236537	BABCOCK & WILCOX POWER GENERATION GROUP INC 2849 STERLING DR HATFIELD, PA 19440-1956 US	CONTINUOUS EMISSIONS MONITORING SYSTEMS SOFTWARE OFF SITE SUPPORT	S	B		F	N	1		104,520	104,520	104,520	0	9/17/2013	O	9/16/2014	
4500236635	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	SEC -FUEL HOSE MFG: GE P/N L49724P03	E	B	5	F	N	3		5,930	2,982	2,982	2,948	9/19/2013	C		10/28/2013
4500236735	GE PACKAGED POWER INC 16415 JACINTOPORT BLVD BLDG "B" HOUSTON, TX 77015 US	FLAT WASHER, SEAL SHAFT OUTBOARD, ANTI-ROTATION BEARING	E	B	5	F	N	3	*	8,963	9,120	9,120	-157	9/23/2013	C		10/29/2013
4500236911	SARA L MENDELSOHN MD PLLC 800 WOODBURY RD - STE K WOODBURY, NY 11797-2592 US	2014 FLYNN EMPLOYEE ANNUAL PHYSICALS	P	B	5	N	N	1		14,000	0	0	14,000	9/26/2013	O	12/31/2014	
4500236922	FLOWSERVE PUMP CORP FORMERLY INGERSOLL-DRESSER PUMP CO P O BOX 3565 SCRANTON, PA 18505-3565 US	CTG AC OIL PUMP INSPECTION AND REPAIR	E	1	5	F	N	1		7,646	7,646	7,646	0	11/5/2013	C		12/17/2013
4500237026	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	ACCELEROMETER, CRF MFG: VIBRO-METER MFG P/N 144-030-000-311 GE P/N L31967P27	E	B	5	F	N	3		13,800	13,818	13,818	-18	9/30/2013	C		10/7/2013
4500237206	METRO PUMPS & SYSTEMS INC 30 LIBERTY STREET EDISON, NJ 08837 US	ADAPTER, SHAFT P/N 4602474	E	B	5	F	N	3	*	6,638	6,732	6,732	-94	10/4/2013	C		11/7/2013

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4500237290	ACME INDUSTRIAL INC 326-328 FRONT ST STATEN ISLAND, NY 10304 US	GENERAL WELDING AND MACHINE SHOP SERVICES FOR THE SCPP'S	S	M	8	N	1	1		150,000	59,455	59,455	90,545	10/15/2013	O	10/14/2014	
4500237306	THYSSENKRUPP ELEVATOR CORP 59 OTIS ST WEST BABYLON, NY 11704-1415 US	ELEVATOR MAINTENANCE CONTRACT	S	B	7	N	N	1		50,000	0	0	50,000	10/9/2013	O	10/8/2014	
4500237378	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	PUMP, AMMONIA TRANSFER	E	B	5	N	3	3		6,840	6,840	6,840	0	10/9/2013	C		11/26/2013
4500237381	DIVERSIFIED HEAT TRANSFER INC 439 MAIN RD TOWACO, NJ 07082-1369 US	EXCHANGER, HEAT	E	B	5	F	N	3		8,350	8,350	8,350	0	10/9/2013	C		11/21/2013
4500237390	MAVERICK TECHNOLOGIES INC 7996 N POINT BLVD - STE 105 WINSTON SALEM, NC 27106-3265 US	MARK VI OUTAGE SUPPORT	S	1	5	F	N	1		14,000	9,691	9,691	4,309	10/9/2013	C		12/31/2013
4500237410	NORTH EAST TECHNICAL SALES 171 RUTH RD HARLEYSVILLE, PA 19438 US	4600 GAS PLUS - NO SENSOR, 4600MB WITH NORMALLY OPEN RELAYS	E	B	5	F	N	3		6,140	6,163	6,163	-23	10/4/2013	C		11/13/2013
4500237528	AVOGADRO ENVIRONMENTAL CORP 1350 SULLIVAN TRL - STE A EASTON, PA 18040-1185 US	CEMS SHELTER DOORS	E	B	5	F	N	3		14,492	14,472	14,472	20	10/15/2013	C		11/26/2013
4500237607	SIRINA PROTECTION SYSTEMS CORP 112 W 34TH ST - STE 2102 NEW YORK, NY 10120-2401 US	FIRE PROTECTION SYSTEM REPAIRS	S	M	8	N	N	1		60,000	24,972	24,972	35,028	10/16/2013	O	10/15/2014	
4500237671	GENERATOR & MOTOR SERVICES OF PENNSYLVANIA LLC 601 BRADDOCK AVE TURTLE CREEK, PA 15145-2069 US	SEAL, SHAFT (OUTBOARD), BEARING EXCITER	E	B		F	N	3		52,790	52,790	52,790	0	10/17/2013	C		12/19/2013
4500237672	GE PACKAGED POWER INC 16415 JACINTOPORT BLVD BLDG "B" HOUSTON, TX 77015 US	RING, OUTER SEAL, EXHAUST INTERFACE	E	B		F	N	3	*	19,818	20,164	20,164	-346	10/17/2013	C		11/21/2013
4500237813	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	JEMSTAR METERS	E	B	5	N	N	4		14,006	14,072	14,072	-66	10/22/2013	C		10/31/2013

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4500237814	DAIKIN APPLIED AMERICAS INC 13600 INDUSTRIAL PARK BLVD MINNEAPOLIS, MN 55441-3743 US	REBUILT BOARDS FOR GATEWAY CHILLER MFG: REAL TIME AUTOMATION- P/N 251MX REV A21 FW02 SW VERSION	E	B	5	N	N	3		6,944	0	0	6,944	10/22/2013	O	1/31/2014	
4500238220	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	GAS METER SENSORS	E	C	5	F	1	5		10,972	10,368	10,368	604	10/31/2013	C		11/6/2013
4500238221	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	OMICRON TEST METERS	E	M	8	N	3	1		57,170	57,170	57,170	0	10/31/2013	C		12/13/2013
4500238339	C G POWERTECH INC 216 NEWARK PROMPTON TPKE PEQUANNOCK, NJ 07440 US	SEAL RING AND DISC SEAL	E	C	5	N	N	3		10,858	0	0	10,858	11/4/2013	O	3/24/2014	
4500238486	A & M INDUSTRIAL SUPPLY CO 1414 CAMPBELL ST - PO BOX 1044 RAHWAY, NJ 07065-1044 US	SPACE HEATER TURBINE AND GENERATOR COMPARTMENT	E	C	5	F	N	3		7,312	7,312	7,312	0	11/6/2013	C		11/22/2013
4500238559	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	LS SHAFT LABIRINTH OIL SEAL	E	C	5	N	N	3		11,618	0	0	11,618	11/7/2013	O	5/9/2014	
4500238610	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	SUPPLY AND INSTALLATION OF A WIRELESS MONITORING SYSTEM FOR THE EYEWASH AND SAFETY SHOWERS	S	B		N	N	1		99,970	0	0	99,970	11/8/2013	C		12/31/2013
4500238628	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	HEAT TRACING CABLE (120 VOLTS)/ SPLICE KIT	E	C	5	F	1	3		9,863	9,764	9,764	99	11/12/2013	C		11/14/2013
4500238637	GE INFRASTRUCTURE INC WATER & PROCESS TECHNOLOGIES 4636 SOMERTON RD TREVOSE, PA 19053 US	CHEMICAL, WATER TREATMENT MFG/GE PRODUCT/CONTROL IS 104 3,410 LBS	E	C	5	F	N	3		7,297	0	0	7,297	11/12/2013	C		11/21/2013
4500238639	PRO-QUIP INC 4 MARK RD - STE C KENILWORTH, NJ 07033-1025 US	POSITIONER, DIGITAL W/FEEDBACK 3200MD P/N :255337.999.000	E	C	5	N	N	3		5,358	5,375	5,375	-17	11/12/2013	C		11/15/2013
4500238660	GE PACKAGED POWER INC 16415 JACINTOPORT BLVD BLDG "B" HOUSTON, TX 77015 US	HOSE, PA UPGRADE, GAS FUEL GE P/N 289239	E	C	5	F	N	3		8,113	0	0	8,113	11/12/2013	O	1/21/2014	
4500238694	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	JEMSTAR METERS	E	C	5	N	N	4		14,006	0	0	14,006	11/13/2013	O	2/20/2014	

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4500239023	TMEC PROCESS FILTRATION LLC THERMAL & MECHANICAL EQUIPMENT LLC 1423 E RICHEY RD HOUSTON, TX 77073-3508 US	FILTER ELEMENT	E	C	5	F	N	3	*	10,200	10,290	10,290	-90	11/21/2013	C		12/2/2013
4500239084	GENERAL ELECTRIC INTERNATIONAL INC 6001 TONNELLE AVE NORTH BERGEN, NJ 07047 US	WATER INJECTION PIPING	E	C	5	F	N	4		14,536	0	0	14,536	11/22/2013	O	4/28/2014	
4500239200	SIRIO SISTEMI ELETTRONICI SPA VIA DEL LAZZERETTO 92 PRATO, PO 59100 IT	ON-SITE SERVICE - GAS COMPRESSOR CONTROLS	S	2	8	F	N	1		50,000	0	0	50,000	11/26/2013	O	2/1/2014	
4500239438	GE INFRASTRUCTURE INC WATER & PROCESS TECHNOLOGIES 4636 SOMERTON RD TREVOSE, PA 19053 US	CHEMICAL, WATER TREATMENT P/N CORRSHIELD	E	C	5	F	N	3		11,165	0	0	11,165	12/5/2013	C		12/31/2013
4500239441	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	BEARING, JOURNAL, LS SHAFT SPEED INCREASING GEAR	E	C	5	F	N	3		6,520	0	0	6,520	12/5/2013	O	7/3/2014	
4500239501	ACFM DYNAMICS LLC PO BOX 386 MARION, CT 06444-0386 US	OFF-SITE REPAIR OF HI PRESSURE BLOWERS	S	B	5	F	N	3		11,982	0	0	11,982	12/6/2013	O	2/28/2014	
4500239557	TMEC PROCESS FILTRATION LLC THERMAL & MECHANICAL EQUIPMENT LLC 1423 E RICHEY RD HOUSTON, TX 77073-3508 US	DEMIN NOX DISCHARGE FILTERS	E	B	5	F	N	3		14,875	14,875	14,875	0	12/9/2013	C		12/17/2013
4500239874	JANSEN'S AIRCRAFT SYSTEM CONTROLS INC 2303 WEST ALAMEDA DR TEMPE, AZ 85282 US	REFURBISH FOUR 3 WAY FUEL VALVES	E	B	5	F	N	3		7,360	0	0	7,360	12/17/2013	O	2/22/2014	
4500239898	WORLD WIDE GAS TURBINE PRODUCTS INC 300 COMMERCE DR CLIFTON PARK, NY 12065-1317 US	SPARK PLUG, SPRING POSITION GE PN 188D9489G005 AND FLEXIBLE LEAD IGNITION	E	C	5	N	1	3		5,302	0	0	5,302	12/17/2013	O	2/14/2014	
4500240132	KELLY & HAYES ELECT SUPPLY INC 66 SOUTHERN BLVD NESCONSET, NY 11767-1092 US	CABLE, CONDUIT, CONNECTOR	E	C	5	N	N	3		10,496	10,293	10,293	203	12/24/2013	C		12/31/2013
4500240174	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	DEEP GROOVE COALESCERS FILTER P/N 030-00613C-010R	E	C	5	N	3	3		11,676	0	0	11,676	12/27/2013	C		12/31/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4600002196	G & K SERVICES CO 5995 OPUS PKWY - STE 500 MINNETONKA, MN 55343-9078 US	WORK CLOTHES RENTAL AND CLEANING SERVICES	E	B		N	N	3		50,000	22,080	2,951	27,920	1/1/2010	C		3/19/2013
4600002227	AIR LIQUIDE AMERICA SPECIALTY GASES LLC 6141 EASTON RD - PO BOX 310 PLUMSTEADVILLE, PA 18949 US	THREE YEAR CONTRACT FOR HYDROGEN & NITROGEN GASES	E	B		F	N	2		175,000	93,872	5,871	81,128	3/15/2010	C		3/19/2013
4600002230	WESCO DISTRIBUTION INC PO BOX 390 SYRACUSE, NY 13201-0390 US	THREE YEAR CONTRACT FOR CONSUMABLE STOCK ITEMS	E	B		N	N	8		50,000	6,272	1,008	43,728	3/11/2010	C		3/19/2013
4600002231	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	THREE YEAR CONTRACT FOR CONSUMABLE STOCK ITEMS	E	B		N	N	8		50,000	47,674	3,597	2,326	3/10/2010	C		3/20/2013
4600002232	CHOCTAW-KAUL DISTRIBUTION COMPANY 3540 VINEWOOD AVE DETROIT, MI 48208-2363 US	THREE YEAR CONTRACT FOR CONSUMABLE STOCK ITEMS	E	B		N	N	8		150,000	65,516	1,953	84,484	3/10/2010	C		3/19/2013
4600002233	PHOTO SPECIALTIES PLUS 21 NORTH MALL PLAINVIEW, NY 11803-4203 US	3 YEAR CONTRACT FOR CONSUMABLE ITEMS - STOCK	E	B		N	3	8		55,000	51,734	5,351	3,266	3/12/2010	C		3/19/2013
4600002234	FASTENAL COMPANY 116-118 RAILROAD AVE ALBANY, NY 12205 US	THREE YEAR CONTRACT FOR CONSUMABLE STOCK ITEMS	E	B		N	N	8		50,000	0	0	50,000	3/12/2010	C		3/21/2013
4600002235	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	3 YEAR CONTRACT FOR STOCK CONSUMABLE ITEMS	E	B		N	1	8		57,500	50,233	3,328	7,267	3/12/2010	C		3/19/2013
4600002236	FASTENAL COMPANY 5708 37TH AVE WOODSIDE, NY 11377-2403 US	THREE YEAR CONTRACT FOR CONSUMABLE STOCK ITEMS	E	B		N	N	8		50,000	8,370	493	41,630	3/15/2010	C		3/19/2013
4600002240	CEMTEK SYSTEMS INC 2013 SOUTH WOOD AVE LINDEN, NJ 07036 US	3 YEAR CONTRACT FOR CEMS MAINTENANCE -- 500 MW	S	B		F	N	5		271,875	253,099	31,315	18,776	3/25/2010	C		3/31/2013
4600002241	QUINTAL CONTRACTING CORP PO BOX 427 ISLIP, NY 11751-0427 US	THREE YEAR CONTRACT FOR ALGAE SCRAPE	S	B		N	1	2		110,000	70,652	4,409	39,348	3/25/2010	C		9/30/2013
4600002256	TRIATHLON INDUSTRIES INC DBA TRIDON CHEMICAL 87 N INDUSTRY CT - STE G DEER PARK, NY 11729-4607 US	BULK SODIUM HYDROXIDE DELIVERIES	E	B		N	3	1		100,000	3,555	0	96,445	6/1/2010	C		7/11/2013
4600002276	CK ENVIRONMENTAL INC 1020 TURNPIKE ST - STE 8 CANTON, MA 02021-2814 US	RATA TESTING SERVICES FOR SENY PROJECTS	S	B		F	N	3		453,750	315,290	60,810	138,460	7/1/2010	O	6/30/2015	

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4600002283	NALCO COMPANY 544 HALFWAY HOUSE RD WINDSOR LOCKS, CT 06096-1502 US	COOLING TOWER CHEMICALS AND ENGINEERING SUPPORT FOR THE LM 6000 FLEET	E	B		N	N	2		500,000	240,168	37,219	259,832	7/8/2010	O	7/8/2015	
4600002331	DAIKIN APPLIED AMERICAS INC 13600 INDUSTRIAL PARK BLVD MINNEAPOLIS, MN 55441-3743 US	MC QUAY CHILLER MAINTENANCE CONTRACT FOR THE SCPP FLEET	S	B		F	N	3		745,000	708,199	515,290	36,801	10/6/2010	C		7/11/2013
4600002346	E J ELECTRIC INSTALLATION CO 46-41 VERNON BLVD LONG ISLAND CITY, NY 11101 US	GENERAL ELECTRICAL MAINTENANCE SERVICES	S	B		N	N	4		375,000	290,670	290,670	84,330	1/1/2011	C		12/31/2013
4600002386	HOERBIGER SERVICE INC 12 MCCULLOUGH BLDG. #4 NEW CASTLE, DE 19720 US	GAS COMPRESSOR MAINTENANCE CONTRACT 3 YEARS	S	B		F	N	1		600,000	341,670	55,868	258,330	4/1/2011	O	3/31/2014	
4600002389	MOST HEALTHCARE SYSTEMS INC 501 PRESTON AVE VOORHEES, NJ 08043 US	ON-SITE PHYSICALS	P	B		F	N	2		48,000	22,821	12,255	25,179	4/1/2011	O	3/31/2014	
4600002455	AIRGAS SPECIALTY PRODUCTS INC 2530 SEVER RD - STE 300 LAWRENCEVILLE, GA 30043-4022 US	AQUEOUS AMMONIA FOR THE SENY POWER PROJECTS	E	B		F	N	2		2,250,000	559,664	375,657	1,690,336	1/1/2012	O	12/31/2016	
4600002459	SIEMENS INDUSTRY INC FORMERLY SIEMENS WATER TECH CORP 95 LOWER MORRISVILLE RD FALLSINGTON, PA 19054-1403 US	FLYNN: DEMINERALIZED WATER TRAILERS	E	B		F	N	1		500,000	0	0	500,000	12/13/2011	O	12/31/2014	
4600002460	HUDSON TECHNOLOGIES CO 29 HOLT DR STONY POINT, NY 10980-1919 US	REFRIGERANT RECOVERY & EVACUATION SERVICES	S	B		N	N	1		2,500,000	373,179	166,524	2,126,821	1/17/2012	O	1/16/2017	
4600002490	FRESH MEADOW MECHANICAL CORP 65-01 FRESH MEADOW LN FRESH MEADOWS, NY 11365-2011 US	INSTRUMENTATION AND CONTROLS CALIBRATION	S	B		N	N	5		212,500	142,917	50,508	69,583	2/8/2012	C		2/25/2013
4600002497	NALCO COMPANY 544 HALFWAY HOUSE RD WINDSOR LOCKS, CT 06096-1502 US	CORROSION INHIBITOR	E	B		N	N	3		450,000	100,576	56,960	349,424	4/1/2012	O	3/31/2017	

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4600002511	TWIN COUNTY SWIMMING POOL MAINT & SUPPLY CO INC 797 CONKLIN ST FARMINGDALE, NY 11735-2405 US	BULK SODIUM HYPOCHLORITE DELIVERIES	E	B		N	N	2		85,000	17,930	12,558	67,070	4/9/2012	O	4/8/2017	
4600002512	TRIATHLON INDUSTRIES INC DBA TRIDON CHEMICAL 87 N INDUSTRY CT - STE G DEER PARK, NY 11729-4607 US	SUPPLY AND DELIVERY OF 55 GALLON DRUMS OF METHANOL FOR THE DE NITRIFICATION SYSTEM AT FLYNN	E	B		N	3	1		85,000	12,520	11,600	72,480	5/1/2012	O	4/30/2017	
4600002518	LM PARTS LLC 26 FAYMORE DR AGAWAM, MA 01001-3010 US	ROTATABLE EXCHANGE CONTRACT FOR THE SCPP/500MW	E	B		F	N	6		200,000	56,122	27,332	143,878	5/7/2012	O	5/7/2015	
4600002519	PINNACLE PARTS & SERVICE CORP 18 HICKORY LN BAYVILLE, NJ 08721-2111 US	ROTATABLE SPARES/EXCHANGE CONTRACT FOR SCPP/500MW	E	B		F	N	6		200,000	9,071	5,221	190,929	5/7/2012	O	5/6/2015	
4600002520	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	ROTATABLE SPARES/EXCHANGE CONTRACT FOR SCPP/500MW	E	B		F	N	6		100,000	27,181	4,421	72,819	5/8/2012	O	5/7/2015	
4600002521	ARGO INTERNATIONAL CORP 140 FRANKLIN ST NEW YORK, NY 10013-2976 US	ROTATABLE SPARES/EXCHANGE CONTRACT FOR SCPP/500MW	E	B		N	N	6		200,000	113,114	68,864	86,886	5/9/2012	O	5/8/2015	
4600002570	GTS CORP 1 STEEL ROAD EAST MORRISVILLE, PA 19067 US	3 YEAR CONTRACT FOR CALIBRATED GASES	E	B		F	N	4		300,000	43,975	40,415	256,025	9/24/2012	O	9/23/2015	
4600002571	FRANK D RIGGIO COMPANY INC 797 AVENUE E BAYONNE, NJ 07002 US	FIVE (5) YEAR VALVE REPAIR SERVICE CONTRACT -- SENY PROJECTS	S	B		F	N	5		1,500,000	446,698	405,520	1,053,302	10/1/2012	O	9/30/2017	
4600002587	DRESSER-RAND CO 100 E CHEMUNG ST PAINTED POST, NY 14870-1352 US	GAS COMPRESSOR PARTS	E	B		N	N	1		500,000	68,500	68,500	431,500	12/1/2012	O	11/30/2015	
4600002592	CINTAS CORP 12 HARBOR PARK DR PORT WASHINGTON, NY 11050-4649 US	UNIFORM CLEANING/RENTAL AGREEMENT	E	B		N	N	2		300,000	43,825	43,825	256,175	1/1/2013	O	12/31/2015	
4600002601	ALTAIR FILTER TECHNOLOGY INC 307 N HURSTBOURNE PKWY LOUISVILLE, KY 40222-5141 US	LM 6000 INLET AIR FILTERS	E	B		F	N	2		450,000	105,014	105,014	344,986	12/19/2012	O	12/31/2015	

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4600002605	TRIATHLON INDUSTRIES INC DBA TRIDON CHEMICAL 87 N INDUSTRY CT - STE G DEER PARK, NY 11729-4607 US	BULK SULFURIC ACID DELIVERIES FOR FLYNN	E	B		N	3	1		80,000	9,587	9,587	70,413	1/8/2013	O	1/7/2016	
4600002620	DRESSER-RAND CO 203 PRECISION RD HORSHAM, PA 19044-1226 US	VIP GAS COMPRESSOR SERVICE CONTRACT	S	B		F	N	1		500,000	159,176	159,176	340,824	1/22/2013	O	1/22/2014	
4600002695	AIRGAS USA LLC DBA FOR AIRGAS INC 259 N RADNOR CHESTER RD RADNOR, PA 19087-5240 US	3 YEAR CONTRACT FOR HYDROGEN AND NITROGEN BANKS AND CYLINDERS	E	B		F	N	2		150,000	642	642	149,358	6/3/2013	O	6/2/2015	
4600002711	DAIKIN APPLIED AMERICAS INC 13600 INDUSTRIAL PARK BLVD MINNEAPOLIS, MN 55441-3743 US	MC QUAY CHILLER MAINTENANCE CONTRACT FOR THE SCPP FLEET	S	B		F	N	2		750,000	0	0	750,000	7/29/2013	O	7/28/2016	
4600002712	YABOO FENCE CO INC 95 W NYACK WAY WEST NYACK, NY 10994-2207 US	SECURITY FENCE REPAIRS - SENY	S	B		N	3	4		175,000	0	0	175,000	8/1/2013	O	7/31/2016	
4600002727	QUINTAL CONTRACTING CORP PO BOX 427 ISLIP, NY 11751-0427 US	ALGAE SCRAPE SERVICES	S	B		N	1	2		200,000	5,614	5,614	194,386	10/1/2013	O	9/30/2018	
		SENY TOTALS								23,315,579	11,376,388	8,623,059	11,939,191				

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
FACILITIES: SENY PROJECTS REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

TOTAL NUMBER OF CONTRACTS	244
TOTAL CONTRACT VALUE	$23,315,579
TOTAL EXPENDED TO DATE	$11,376,388
TOTAL EXPENDED IN REPORT YEAR	$8,623,059

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	56
TOTAL NUMBER CLOSED CONTRACTS	188

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	190
TOTAL NUMBER SOLE SOURCE	19
TOTAL NUMBER SINGLE SOURCE	4
TOTAL NUMBER COMP SEARCH	17
TOTAL NUMBER MWBE/SBE	14

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	2
TOTAL NUMBER EQUIP/GOODS/COMMODITY	180
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	2
TOTAL NUMBER OTHER NON PERSONAL SERVICES	60

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500215344	SUNBELT RENTALS INC 800 HARTFORD TPKE SHREWSBURY, MA 01545-4107 US	EMERGENCY GENERATOR RENTAL	E	C	8	F	N	3		46,300	43,500	16,800	2,800	3/23/2012	C		5/23/2013
4500215589	MORRISONVILLE SEPTIC LLC DONALD RABIDEAU JR 123 RABIDEAU ST CADYVILLE, NY 12918-2709 US	SEPTIC SERVICE	S	B	5	N	1	2		12,000	5,340	595	6,660	3/29/2012	C		3/28/2013
4500217069	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	SAFETY SHOES	E	B	5	N	N	3		6,500	5,950	150	550	5/3/2012	C		6/25/2013
4500217073	SAFETY SOLUTIONS INC 222 TEALL AVE SYRACUSE, NY 13210-1219 US	SAFETY SHOES	E	B	5	N	N	3		13,000	10,129	1,691	2,871	5/3/2012	C		6/25/2013
4500217079	LIVERPOOL SHOES INC 306 TULIP ST LIVERPOOL, NY 13088-6649 US	SAFETY SHOES	E	B	5	N	1	3		14,960	14,951	1,676	9	5/3/2012	C		3/19/2013
4500220053	LIFE SCIENCE LABORATORIES INC 5854 BUTTERNUT DR EAST SYRACUSE, NY 13057-9513 US	SPDES ENVIRONMENTAL SAMPLING	S	B		N	1	3		23,000	19,733	14,584	3,267	7/18/2012	C		7/17/2013
4500222442	ADAMS GLASS LLC 6 TRADE RD PLATTSBURGH, NY 12901-6217 US	LABOR AND MATERIALS TO REMOVE AND REPLACE BALANCE DOORS AT RMPD MAIN ENTRANCE.	C	M	5	N	3	1		8,730	8,643	8,643	87	9/18/2012	C		1/28/2013
4500222508	ABB INC 10300 HENRI-BOURASSA WEST ST LAURENT, PQ H4S 1N6 CA	ABB EXCITER SPARE PARTS	E	B		F	N	1		117,244	76,132	790	41,112	9/19/2012	C		1/15/2013
4500223058	RS TECHNOLOGIES INC 233 MAYLAND PL NE CALGARY, AB T2E 7X8 CA	MODULAR POLES	E	B		F	N	2		29,199	29,199	29,199	0	10/3/2012	C		1/23/2013
4500223490	GENERGY CORP 2 EXECUTIVE PARK DR ALBANY, NY 12203-3700 US	PROTECTION RELAY	E	B	5	N	1	2		11,490	11,490	11,490	0	10/15/2012	C		1/10/2013
4500223683	FULMER CO 3004 VENTURE COURT EXPORT, PA 15632 US	BRUSH HOLDERS	E	B	5	F	N	3		8,488	8,488	8,488	0	10/18/2012	C		1/24/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500223915	SUPERIOR OFFICE INTERIORS INC 225 WILKINSON ST SYRACUSE, NY 13204 US	FURNITURE CONTROL ROOM SCADA	E	B	7	N	3	1		14,449	14,449	14,449	0	10/25/2012	C		2/27/2013
4500224111	J & S DRAPERY & DESIGN CENTER DBA FOR J & S DRAPERY INC 131 W SENECA ST MANLIUS, NY 13104-2444 US	DRAPES FOR CONFERENCE, OFFICE AND RECEPTION AREA	E	M	5	N	1	1		14,750	14,750	7,375	0	10/30/2012	C		2/8/2013
4500224139	CARGILL INC 24950 COUNTRY CLUB BLVD - STE 450 NORTH OLMSTED, OH 44070-5333 US	SALT ROAD 700T	E	B	7	F	N	1		38,648	38,762	13,245	-114	10/31/2012	C		1/31/2013
4500224303	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	HEATER UNITS FOR REALY BUILDING BATTERY ROOM	E	B	5	N	N	3	*	7,170	7,407	7,407	-237	11/5/2012	C		1/22/2013
4500224505	BUB'S ENTERPRISES LLC DALE EARL 3893 STATE ROUTE 11 MALONE, NY 12953-3725 US	SNOW REMOVAL WINDFARM	S	M	5	N	1	1		8,000	8,000	8,000	0	3/27/2013	C		5/1/2013
4500224539	TMT CONTRACTORS INC 1484 US HIGHWAY 11 GOUVERNEUR, NY 13642-3407 US	SNOW REMOVAL COOPER HILL	S	M	5	N	1	1		11,900	11,900	11,560	0	11/8/2012	C		5/1/2013
4500224717	ALSTOM POWER INC 7901 SOUTHPARK PLZ - STE 110 LITTLETON, CO 80120-4505 US	ALSTOM SPARE PARTS FOR AC UNITS	E	1	8	F	N	1		20,566	20,566	20,566	0	11/14/2012	C		1/24/2013
4500224999	POWERTECH CONTROLS CO INC 909 MOTOR PKWY HAUPPAUGE, NY 11788-5250 US	ABB BREAKER	E	B	5	N	3	1		8,356	8,356	8,356	0	11/27/2012	C		1/9/2013
4500225172	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	MISC. ELECTRICAL MATERIAL	E	B	7	N	N	1	*	5,464	5,667	3,960	-203	11/28/2012	C		2/21/2013
4500225675	AMETEK PROGRAMMABLE POWER INC 9250 BROWN DEER RD SAN DIEGO, CA 92121-2267 US	POWER SUPPLY 15KW	E	B	5	F	N	1	*	8,914	9,023	9,023	-109	12/10/2012	C		1/16/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500225827	COLLINS NIAGARA DBA FOR COLLINS PIPE&SUPPLY CO INC 5910 FIRESTONE DR SYRACUSE, NY 13206-1103 US	MANIFOLDS	E	B	5	N	N	2	*	5,342	5,418	5,418	-76	12/13/2012	C		1/29/2013
4500225886	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	MISC. TRANSMISSION MATERIAL	E	B	5	N	N	3		5,315	5,315	5,315	0	12/14/2012	C		3/11/2013
4500226089	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	FLUKE MULTIMETER KITS AND MISC.	E	B	7	N	4	1		7,814	7,814	7,814	0	12/19/2012	C		1/10/2013
4500226249	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	DIESEL BIO B5 4000 GALLONS	E	B	7	N	1	1		17,640	16,432	16,432	1,208	12/21/2012	C		1/10/2013
4500226272	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	INSPECTION LIGHTS, CALIBRATION GAS, PHOTO EYES	E	M	5	N	4	1		5,524	5,524	5,524	0	12/26/2012	C		1/7/2013
4500226752	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE/FUEL OIL	E	B	7	N	1	1		8,515	8,527	8,527	-12	1/10/2013	C		1/10/2013
4500226754	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	DIESEL (2000 GAL)	E	B	7	N	1	1		8,220	8,231	8,231	-11	1/10/2013	C		1/10/2013
4500227080	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	ENCLOSURES HOFFMAN	E	B	5	N	N	3		9,780	9,780	9,780	0	1/17/2013	C		1/30/2013
4500227132	EMEDCO INC PO BOX 369 BUFFALO, NY 14240-0369 US	PADLOCKS LOCKOUT (540EA)	E	1	5	N	N	1	*	6,502	6,585	6,585	-83	1/18/2013	C		4/9/2013
4500227134	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	ARMOR RODS	E	B	5	N	N	1		11,722	11,722	11,722	0	1/18/2013	C		2/28/2013

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4500227142	NORTHLAND COMMUNICATIONS 317 COURT ST UTICA, NY 13501 US	EMERGENCY ACTION PLAN EXERCISE PHONE SYSTEM	S	1	5	N	N	1		6,340	6,340	6,340	0	1/18/2013	C		10/1/2013
4500227200	ALSTOM GRID INC 10865 WILLOWS RD NE REDMOND, WA 98052-2502 US	REMOTE SUPPORT FOR STL SCADA	S	1	8	F	N	1		30,310	0	0	30,310	9/21/2013	O	8/31/2014	
4500227460	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	SPAR ARM GAINS	E	B	5	N	N	2		8,890	8,890	8,890	0	1/28/2013	C		4/17/2013
4500227464	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	DIESEL (BIO B5)	E	B	7	N	1	1		16,565	16,551	16,551	14	1/28/2013	C		1/28/2013
4500227475	HI-LINE UTILITY SUPPLY 1695 CAMBRIDGE ELGIN, IL 60123-1144 US	ELECTRICAL GROUNDING BALL STUDS	E	B	5	F	N	2	*	5,158	5,266	5,266	-108	1/28/2013	C		2/13/2013
4500227566	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	MISC. SUPPLIES	E	B	7	N	4	1		5,757	5,757	5,757	0	1/29/2013	C		2/7/2013
4500227606	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	INSULATORS	E	B	5	N	N	2		11,892	11,892	11,892	0	1/30/2013	C		5/14/2013
4500227753	GENERAL ELECTRIC INTERNATIONAL INC 3960 MYSTIC VALLEY PKWY MEDFORD, MA 02155-6920 US	ROTOR POLE KEYS	E	B		F	N	1		46,991	46,991	46,991	0	2/1/2013	C		3/28/2013
4500227947	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	HUGHES BOLTS, GAINS & NUTS FOR TRANSMISSION	E	B	5	N	N	2		8,701	8,701	8,701	0	2/6/2013	C		4/25/2013
4500228090	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	SQUARD D PARTS	E	B	5	N	N	3		6,599	6,599	6,599	0	2/11/2013	C		2/15/2013
4500228177	ROLFE INDUSTRIES INC 2 PARKFORD DR - PO BOX 673 CLIFTON PARK, NY 12065 US	MISC. QUINCY MATERIAL	E	B	5	N	3	2	*	5,921	6,156	6,156	-235	2/12/2013	C		2/28/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500228438	MCFARLAND CASCADE HOLDINGS INC DBA MCFARLAND CASCADE 1400 IRON HORSE PARK NORTH BILLERICA, MA 01862 US	POLES WOOD 95' (2EA)	E	1	5	F	N	1		14,716	14,716	14,716	0	2/19/2013	C		4/17/2013
4500228530	GE ENERGY MANAGEMENT SERVICES INC 175 SCIENCE PKWY ROCHESTER, NY 14620-4260 US	HYDRAN GAS MONITOR	E	B	5	F	N	1	*	8,537	8,602	8,602	-65	2/21/2013	C		3/25/2013
4500228746	THYSSEN ELEVATOR CO 6067 CORPORATE DR EAST SYRACUSE, NY 13057-1082 US	ELEVATOR/ESCALATOR SAFETY TESTS AND INSPECTIONS	S	B	7	N	N	1		14,733	14,733	14,733	0	2/26/2013	C		3/29/2013
4500228784	AGM CONTAINER CONTROLS INC PO BOX 40020 TUCSON, AZ 85717-0020 US	TRANSFORMER BREATHER ASSEMBLY	E	B		F	N	3	*	23,800	24,158	24,158	-358	2/27/2013	C		8/2/2013
4500228868	SAFETY SOLUTIONS INC 222 TEALL AVE SYRACUSE, NY 13210-1219 US	SAFETY SHOES	E	B	5	N	N	3		9,000	7,786	7,786	1,214	3/1/2013	O	6/23/2014	
4500228870	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	SAFETY SHOES	E	B	5	N	N	3		10,000	8,805	8,805	1,195	3/1/2013	O	6/23/2014	
4500228871	LIVERPOOL SHOES INC 306 TULIP ST LIVERPOOL, NY 13088-6649 US	SAFETY SHOES	E	B	5	N	1	3		18,000	15,978	15,978	2,022	3/1/2013	O	6/23/2014	
4500228905	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE/FUEL OIL	E	B	7	N	1	1		12,073	12,096	12,096	-23	3/1/2013	C		3/1/2013
4500228912	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	DIESEL BIO B5 (3675GAL)	E	B	7	N	1	1		15,766	15,752	15,752	14	3/1/2013	C		3/1/2013
4500229229	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	MISC. MATERIAL	E	B	7	N	4	1		5,867	5,867	5,867	0	3/11/2013	C		3/22/2013

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500229281	GENERAL ELECTRIC INTERNATIONAL INC 3960 MYSTIC VALLEY PKWY MEDFORD, MA 02155-6920 US	ROTOR RIM KEYS	E	B	2	F	N	1		19,680	19,680	19,680	0	3/12/2013	C		7/15/2013
4500229318	PREMAX LLC DBA FOR MINNEAPOLIS-FOWLERVILLE 825 TOWER DR MEDINA, MN 55340-9356 US	POLE NUMBER MARKERS	E	B	5	F	N	3	*	5,753	5,851	5,851	-98	3/12/2013	C		3/26/2013
4500229714	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	CABLE	E	B	5	N	N	3		6,388	6,388	6,388	0	3/20/2013	C		4/1/2013
4500229838	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	ARMOR ROD	E	B	5	N	N	2		12,300	12,300	12,300	0	3/25/2013	C		4/29/2013
4500229866	CED BALDWIN HALL CO PO BOX 2552 HARRISBURG, PA 17105 US	PYLE NATIONAL DISCONNECT SWITCH	E	B	5	N	N	3		5,275	5,222	5,222	53	3/25/2013	C		4/1/2013
4500229933	MAGNETEK INC PO BOX 13615 MILWAUKEE, WI 53213-0615 US	THRUSTER SHOE BRAKE (2EA)	E	B	5	F	N	1	*	11,414	11,632	11,632	-218	3/26/2013	C		6/11/2013
4500229974	MEGGER FORMERLY AVO INTERNATL MULTI-AMP 4271 BRONZE WAY DALLAS, TX 75237 US	TEST METER SET	E	B	5	F	N	1		12,491	12,491	12,491	0	3/27/2013	C		4/9/2013
4500229984	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	BIODIESEL (3000GAL)	E	B	7	N	1	1		12,180	12,165	12,165	15	3/27/2013	C		3/27/2013
4500230047	EMPIRE TECH SOLUTIONS INC 1151 PITTSFORD VICTOR RD STE 100 PITTSFORD, NY 14534 US	DELTECH BREATHING SYSTEM ACCESSORIES	E	B	5	N	N	3	*	8,010	8,143	8,143	-133	3/28/2013	C		4/9/2013
4500230148	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	ANNUAL MAINTENANCE FOR ACCESS CONTROL	S	B	7	N	N	1		21,210	21,210	21,210	0	4/2/2013	C		9/30/2013

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4500230194	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	PREFORMED ARMOD RODS	E	B	5	N	N	2		12,300	12,300	12,300	0	4/3/2013	C		4/29/2013
4500230305	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	SCOTT RESPIRATORS AND ACCESS.	E	B	5	N	N	2	*	6,368	6,555	6,555	-187	4/5/2013	C		5/15/2013
4500230330	CED BALDWIN HALL CO PO BOX 2552 HARRISBURG, PA 17105 US	RELAY PRIMARY LINE SIEMENS	E	B	5	N	N	1		6,908	6,839	6,839	69	4/5/2013	C		5/20/2013
4500230557	AMETEK SOLIDSTATE CONTROLS INC 875 DEARBORN DR COLUMBUS, OH 43085-1586 US	AMETEK SPARE PARTS	E	1	8	F	N	1		38,975	39,035	39,035	-60	4/12/2013	C		5/1/2013
4500230579	AQUATIC SCIENCES L.P. 40 CENTRE DR ORCHARD PARK, NY 14127 US	ZEBRA MUSSEL SAMPLING	S	B	5	N	N	1		8,841	8,841	8,841	0	4/12/2013	C		12/1/2013
4500230692	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	MISC. TRANSMISSION MATERIAL	E	B	5	N	N	3	*	11,274	11,550	11,550	-276	4/16/2013	C		7/10/2013
4500230736	MASSENA PLUMBING SUPPLY DBA FOR HOWLAND PUMP & SUPPLY CO 138 SOUTH RAQUETTE ST MASSENA, NY 13662 US	MISC. PLUMBING MATERIAL	E	B	5	N	N	2		12,439	12,439	12,439	0	4/17/2013	C		7/16/2013
4500230740	EAST COAST METALLIC TUBING & HARDWARE SUPPLY CORP 1951 OCEAN AVE STE 4 RONKONKOMA, NY 11779-6564 US	FIBER OPTIC SPLICER	E	M	8	N	3	1		18,758	18,758	18,758	0	4/17/2013	C		4/22/2013
4500230784	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	MISC. ELECTRICAL MATERIAL	E	B	7	N	4	1		5,939	5,894	5,894	45	4/19/2013	C		4/26/2013
4500230884	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE/FUEL OIL	E	B	7	N	1	1		11,117	11,117	11,117	0	4/22/2013	C		4/22/2013

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4500230974	OMICRON ELECTRONICS CORP USA 3550 WILLOWBEND BLVD HOUSTON, TX 77054-1127 US	TEST SET/AMPLIFIER OMICRON	E	B		F	N	2		81,160	81,160	81,160	0	4/24/2013	C		5/20/2013
4500231055	ALSTOM POWER INC 7901 SOUTHPARK PLZ - STE 110 LITTLETON, CO 80120-4505 US	ALSTOM PISTON RINGS	E	1	8	F	N	1		65,020	65,020	65,020	0	4/25/2013	C		7/25/2013
4500231098	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	CABLE	E	B	5	N	3	3		6,550	6,419	6,419	131	4/26/2013	C		5/8/2013
4500231120	ELECTRO RENT CORP 6060 SEPULVEDA BLVD VAN NUYS, CA 91411-2525 US	AGILENT TEST EQUIPMENT	E	B		F	N	4		20,026	20,026	20,026	0	4/29/2013	C		7/10/2013
4500231184	MORRISONVILLE SEPTIC LLC DONALD RABIDEAU JR 123 RABIDEAU ST CADYVILLE, NY 12918-2709 US	SEPTIC SERVICE	S	M	5	N	1	1		8,000	5,500	5,500	2,500	4/30/2013	O	4/29/2014	
4500231456	BOGOSIAN CARPET & FURNITURE 70 WILLOW ST MASSENA, NY 13662 US	CARPET/MAT RENTAL	E	M	5	N	N	1		10,000	3,559	3,559	6,441	5/7/2013	O	5/6/2014	
4500231476	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	BIODIESEL B20 (4000 GAL)	E	B	7	N	1	1		16,360	16,371	16,371	-11	5/8/2013	C		5/8/2013
4500231571	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	MISC. MATERIAL	E	B	7	N	4	1		5,345	5,345	5,345	0	5/9/2013	C		5/16/2013
4500231597	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	WALL HEATERS	E	B	7	N	4	1		5,388	5,388	5,388	0	5/10/2013	C		5/13/2013
4500231636	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	CONDUCTOR 795/954 (SEVERAL FEET)	E	M	8	N	3	1		158,913	158,884	158,884	29	5/10/2013	C		8/13/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500231702	KAMAN INDUSTRIAL TECHNOLOGIES PO BOX 30672 HARTFORD, CT 06150 US	GEARBOX AND MOTOR ASSEMBLY	E	B	5	N	N	2		10,420	10,420	10,420	0	5/14/2013	C		6/12/2013
4500231920	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	BREAKERS CIRCUIT (6EA)	E	B	5	N	N	3		6,427	6,427	6,427	0	5/20/2013	C		5/29/2013
4500231963	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE (1746 GAL)	E	B	7	N	1	1		5,116	5,118	5,118	-2	5/21/2013	C		5/21/2013
4500232001	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	HUBBELL MATERIAL	E	B	7	N	N	1	*	7,325	7,702	7,702	-377	5/21/2013	C		9/12/2013
4500232091	NEW YORK LADDER CORP PO BOX 847 YONKERS, NY 10702 US	SKYCLIMBERS (2EA)	E	B	5	N	N	1		13,788	13,788	13,788	0	5/23/2013	C		6/3/2013
4500232110	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	PREFORMED PRODUCTS ARMOR RODS	E	B	5	N	N	1		5,114	5,114	5,114	0	5/23/2013	C		6/21/2013
4500232345	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	TERMINALS	E	B	5	N	N	2		9,244	9,244	9,244	0	5/30/2013	C		7/24/2013
4500232380	DIESEL EQUIPMENT CO 212 ATWELL AVE - #220 GREENSBORO, NC 27406-4501 US	SOLENOIDS	E	B	5	F	N	1	*	5,831	5,960	5,960	-129	5/31/2013	C		8/20/2013
4500232456	N C C SYSTEMS INC PO BOX 670 POTSDAM, NY 13676 US	DVR SYSTEM FOR STL SECURITY	E	M	8	N	1	1		91,848	74,788	74,788	17,060	6/3/2013	O	1/15/2014	
4500232507	LINEMEN'S SUPPLY DIVISION OF BUCKINGHAM MANUFACTURING COMPANY PO BOX 1690 BINGHAMTON, NY 13902-1690 US	CLIMBING EQUIPMENT	E	B	5	N	N	3		5,302	5,249	5,249	53	6/4/2013	C		6/26/2013
4500232519	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	RELAYS	E	B	5	F	N	2		5,698	5,698	5,698	0	6/4/2013	C		7/10/2013

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4500232537	THYSSEN ELEVATOR CO 6067 CORPORATE DR EAST SYRACUSE, NY 13057-1082 US	ELEVATOR UPGRADES	C	B		N	N	2		79,392	0	0	79,392	6/5/2013	C		11/20/2013
4500232543	AIRGAS USA LLC DBA FOR AIRGAS INC 96 CR8 BRUSHTON, NY 12916 US	WELDING HELMET SYSTEM	E	B	5	N	N	3		14,023	14,023	14,023	0	6/5/2013	C		7/8/2013
4500232654	THYSSEN ELEVATOR CO 6067 CORPORATE DR EAST SYRACUSE, NY 13057-1082 US	ELEVATOR REPAIRS/MAINTENANCE	S	B	7	N	N	1		6,000	6,000	6,000	0	6/21/2013	C		7/8/2013
4500232720	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE UNLEADED (1754 GAL)	E	B	7	N	1	1		5,280	5,277	5,277	3	6/10/2013	C		6/10/2013
4500232821	HANES SUPPLY INC 55 JAMES E CASEY DR BUFFALO, NY 14206-2361 US	PORTABLE CRANES AND HOIST	E	B	5	N	N	3		8,384	8,384	8,384	0	6/12/2013	C		7/29/2013
4500232835	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	MISC. ELECTRICAL MATERIAL	E	M	5	N	3	1		11,861	11,624	11,624	237	6/12/2013	C		7/12/2013
4500232858	FEDERAL MOGUL DEVA GMBH SCHUL STRASSE 20 STADTALLENDORF, 1 35260 DE	OEM SPARE PARTS	E	B	8	N	N	1	*	85,078	90,558	90,558	-5,480	6/12/2013	C		10/28/2013
4500232863	MSC INDUSTRIAL SUPPLY CO INC DEPT CH 0075 PALATINE, IL 60055-0075 US	SHELVING STORAGE UNITS	E	B	7	F	N	3		19,614	19,614	19,614	0	6/12/2013	C		6/26/2013
4500232950	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	CABLE	E	B	5	N	N	3		11,644	11,644	11,644	0	6/13/2013	C		6/26/2013
4500232953	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	THOMAS & BETTS CLAMPS	E	B	5	N	3	1		5,240	5,135	5,135	105	6/13/2013	C		8/7/2013
4500233121	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	DIESEL B20 (2000GAL)	E	B	7	N	1	1		8,340	8,333	8,333	7	6/19/2013	C		6/19/2013
4500233146	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	INSULATORS	E	B		N	3	7		92,317	90,470	90,470	1,847	6/13/2013	C		8/13/2013

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4500233404	REINHAUSEN MANUFACTURING INC 2549 N 9TH AVE HUMBOLDT, TN 38343-1747 US	AUTO TRANSFORMER TAP CHANGER PARTS	E	B	5	F	N	1	*	10,667	10,808	10,808	-141	6/26/2013	C		8/22/2013
4500233519	ARBORCHEM PRODUCTS INC 943 NIXON DR MECHANICSBURG, PA 17055 US	HERBICIDE	E	B	5	F	N	1		6,146	6,146	6,146	0	6/28/2013	C		7/3/2013
4500233713	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	RELAYS GE B90 (2EA)	E	M	8	N	3	1		22,000	21,560	21,560	440	7/3/2013	C		7/24/2013
4500234154	LIFE SCIENCE LABORATORIES INC 5854 BUTTERNUT DR EAST SYRACUSE, NY 13057-9513 US	SPDES TESTING	S	M	8	N	1	1		20,000	5,514	5,514	14,486	7/17/2013	O	7/16/2014	
4500234215	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	BIODIESEL B20 (3000.1GAL)	E	B	7	N	1	1		11,700	11,639	11,639	61	7/18/2013	C		7/18/2013
4500234297	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE (3571GAL)	E	B	7	N	1	1		10,463	10,395	10,395	68	7/19/2013	C		7/19/2013
4500234393	MILTON CAT 294 AINSLEY DR SYRACUSE, NY 13210-4203 US	ASCO POWER SWITCH	E	B	5	N	N	2		5,984	5,984	5,984	0	7/23/2013	C		9/9/2013
4500234827	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	CABLE AND MISC. ELECTRICAL MATERIAL	E	B	5	N	3	3	*	6,741	6,821	6,821	-80	8/2/2013	C		8/14/2013
4500234926	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	CONNECTORS DOSSERT (SEVERAL)	E	B	5	N	N	1		14,307	10,085	10,085	4,222	8/6/2013	O	2/3/2014	
4500235026	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	MX6 SENSORS	E	B	7	N	4	1		5,261	5,261	5,261	0	8/8/2013	C		9/12/2013
4500235058	KELLY SALES CORP 3503 CTY ROUTE 14 MADRID, NY 13660-3105 US	OVERHEAD DOOR REPAIR	S	M	6	N	1	1		6,579	6,579	6,579	0	8/8/2013	C		11/19/2013

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500235208	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	PCORE TEST TERMINALS (4EA)	E	B	7	N	N	1		17,543	0	0	17,543	8/13/2013	C		12/23/2013
4500235361	DOWD BATTERY CO INC 1571 BRONSON RD GRAND ISLAND, NY 14072-2910 US	BATTERY REPLACEMENT	S	M	5	N	1	1		8,923	8,923	8,923	0	8/16/2013	C		11/13/2013
4500235786	INDUSTRIAL PROCESS MEASUREMENT INC 3910 PARK AVE - STE 7 EDISON, NJ 08820-3062 US	CALIBRATOR & ACCESSORIES	E	B	5	F	N	3		12,631	12,631	12,631	0	8/28/2013	C		9/30/2013
4500235843	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	ABB COMPRESSOR	E	B	5	F	N	1		6,419	6,419	6,419	0	8/29/2013	C		9/3/2013
4500235880	WELLS TECHNOLOGY INC 4885 WINDSOR CT NW BEMIDJI, MN 56601-7659 US	MISC. BOLTS, NUTS & WASHERS	E	B	7	N	4	1		6,169	6,169	6,169	0	8/30/2013	C		9/3/2013
4500235886	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	PREFORMED LINE PRODUCTS MATERIAL	E	B	5	N	N	2	*	8,920	9,079	9,079	-159	8/30/2013	C		10/16/2013
4500235929	JAMES WALKER MFG CO INC PO BOX 467 GLENWOOD, IL 60425 US	WICKET GATE PACKING	E	B	5	F	N	3	*	5,786	5,833	5,833	-47	9/3/2013	C		11/5/2013
4500235943	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	HUBBELL LINE MATERIAL	E	B	5	N	N	3	*	13,042	13,218	13,218	-176	9/3/2013	O	1/15/2014	
4500235988	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	MISC. LINE MATERIAL	E	B	5	N	N	3	*	6,660	7,294	7,294	-634	9/4/2013	C		11/13/2013
4500235999	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	ELECTRICAL ENCLOSURE BOXES	E	B	5	N	N	4		11,375	11,375	11,375	0	9/4/2013	C		9/10/2013
4500236138	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	MX6 MONITORS & GAS	E	B	5	N	N	3		7,503	7,531	7,531	-28	9/6/2013	C		9/16/2013
4500236427	REINHAUSEN MANUFACTURING INC 2549 N 9TH AVE HUMBOLDT, TN 38343-1747 US	LOAD TAP CHANGER OVERHAUL	S	1	8	F	N	1		43,090	37,091	37,091	5,999	9/13/2013	C		9/27/2013

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500236499	INDUSTRIAL CONTROLS DISTRIBUTORSLLC 1776 BLOOMSBURY AVE OCEAN, NJ 07712-3941 US	CHART RECORDER	E	B	5	F	N	2	*	6,930	6,996	6,996	-66	9/17/2013	C		9/27/2013
4500236626	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	GUIDE WIRE ANCHOR ASSEMBLIES	E	B	5	N	N	2		12,102	12,102	12,102	0	9/19/2013	C		11/13/2013
4500236701	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	TRANSMISSION HARDWARE	E	B	5	N	N	4		5,035	4,326	4,326	709	9/23/2013	C		11/1/2013
4500236717	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	BIODIESEL B20 (2992 GALS)	E	B	7	N	1	1		13,524	13,532	13,532	-8	9/23/2013	C		9/23/2013
4500236782	WESTERN NEW YORK FLUID SYSTEM TECHNOLOGIES INC 6511 BASILE ROWE EAST SYRACUSE, NY 13057-2928 US	SWAGELOK FITTINGS	E	1	5	N	N	1		7,908	7,829	7,829	79	9/24/2013	C		10/4/2013
4500236840	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	ALUMINUM BAR GRATING	E	B	7	N	1	1		9,600	9,504	9,504	96	9/25/2013	C		10/23/2013
4500236852	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	ALCOA TRANSMISSION HARDWARE	E	M	8	N	3	1		20,831	20,831	20,831	0	9/25/2013	C		12/4/2013
4500236877	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	TRANSMISSION MATERIAL	E	B	5	N	N	4		14,479	14,479	14,479	0	9/26/2013	C		10/25/2013
4500236896	JEFFORDS STEEL & ENGINEERING CO PO BOX 40 PLATTSBURGH, NY 12901-0040 US	STRUCTURAL STEEL	E	M	8	N	1	3		30,910	30,910	30,910	0	9/26/2013	C		10/30/2013

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4500236988	EAST COAST METALLIC TUBING & HARDWARE SUPPLY CORP 1951 OCEAN AVE STE 4 RONKONKOMA, NY 11779-6564 US	FIBER OPTIC EQUIPMENT	E	B	5	N	3	1		8,556	8,556	8,556	0	9/30/2013	C		10/2/2013
4500236989	GENERGY CORP 2 EXECUTIVE PARK DR ALBANY, NY 12203-3700 US	POTENTIAL TRANSFORMER	E	M	8	N	1	1		21,330	0	0	21,330	9/30/2013	O	3/31/2014	
4500237018	HV DIAGNOSTICS INC 271 ROPE MILL PKWY #2 WOODSTOCK, GA 30188 US	OIL TEST EQUIPMENT	E	B	5	F	N	1		13,200	13,200	13,200	0	9/30/2013	C		11/25/2013
4500237039	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	TRANSMISSION MATERIAL	E	M	8	N	3	1	*	18,369	18,776	18,776	-407	9/30/2013	C		10/11/2013
4500237086	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	MISC. MATERIAL	E	B	7	N	4	1		7,017	7,017	7,017	0	10/1/2013	C		10/7/2013
4500237346	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	CABLE	E	M	8	N	3	3		17,131	16,788	16,788	343	10/9/2013	C		10/24/2013
4500237360	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	TRANSMISSION LINE HARDWARE	E	B	5	N	N	3		8,592	899	899	7,693	10/9/2013	C		11/13/2013
4500237442	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	230KV TRANSFORMER	E	M	8	N	3	1		16,940	0	0	16,940	10/10/2013	O	5/31/2014	
4500237452	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	TRANSMISSION MATERIAL	E	M	8	N	3	1		57,615	54,412	54,412	3,203	10/10/2013	C		12/13/2013
4500237472	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	WIRE & CABLE	E	B	5	N	N	3		8,358	8,358	8,358	0	10/10/2013	C		10/30/2013
4500237602	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	ANNUAL MAINTENANCE FOR ACCESS CONTROL	S	B	7	N	N	1		21,210	21,210	21,210	0	10/1/2013	O	9/30/2014	

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

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4500237775	NORTH AMERICAN SALT CO 9900 W 109TH ST STE 600 OVERLAND PARK, KS 66210 US	SALT, ROAD (775T)	E	B	7	F	N	1		32,922	21,329	21,329	11,593	10/21/2013	O	3/1/2014	
4500237782	LUCK BROS INC 73 TRADE RD PLATTSBURGH, NY 12901 US	ROADWAY LINE STRIPING	S	B	5	N	N	3		5,757	5,757	5,757	0	10/28/2013	C		10/31/2013
4500237809	LINITA DESIGN & MANUFACTURING CORP PO BOX 1101 BUFFALO, NY 14201-1101 US	INTAKE GATE LIFTING BEAM	E	M	8	N	4	1		64,297	0	0	64,297	10/22/2013	O	1/20/2014	
4500237817	POWER & CONSTRUCTION GROUP INC 96 W RIVER RD - PO BOX 30 SCOTTSVILLE, NY 14546-9501 US	TRANSMISSION TOWER FOOTER REPAIR	S	C	8	N	N	2		43,096	41,494	41,494	1,602	10/22/2013	O	5/30/2014	
4500237982	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	JOSLYN MATERIAL	E	B	5	N	N	2		8,670	8,670	8,670	0	10/24/2013	O	1/15/2014	
4500238114	EAST COAST METALLIC TUBING & HARDWARE SUPPLY CORP 1951 OCEAN AVE STE 4 RONKONKOMA, NY 11779-6564 US	PORTABLE STANDARD TESTER	E	M	8	N	3	01		21,911	21,911	21,911	0	10/29/2013	C		11/4/2013
4500238222	POWERCOMM SOLUTIONS LLC STE 311 15 MINNEAKONING RD FLEMINGTON, NJ 08822-5751 US	POWER COMMUNICATION ANALYZER	E	B	5	F	N	2		14,040	14,040	14,040	0	10/31/2013	C		11/5/2013
4500238228	FLIR COMMERCIAL SYSTEMS INC FORMERLY FLIR SYSTEMS INC 9 TOWNSEND W NASHUA, NH 03063-1233 US	FLIR CAMERA LENS/MAINTENANCE	E	B	5	F	N	02		12,045	12,045	12,045	0	10/31/2013	C		11/26/2013
4500238283	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605 US	ANNUNCIATOR	E	B	5	N	N	02	*	6,136	6,226	6,226	-90	11/1/2013	C		11/26/2013
4500238407	THYSSEN ELEVATOR CO 6067 CORPORATE DR EAST SYRACUSE, NY 13057-1082 US	ELEVATOR REPAIRS	S	B	5	N	N	01		14,798	0	0	14,798	12/4/2013	O	1/31/2014	

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500238443	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	BIODIESEL B20 3000GAL	E	B	7	N	1	01		12,780	0	0	12,780	11/5/2013	C		11/5/2013
4500238480	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	HUBBELL MATERIAL	E	B	7	N	N	01		5,189	1,783	1,783	3,406	11/6/2013	O	1/31/2014	
4500238515	S & C ELECTRIC CO INC C/O VJ BROWN CO INC 622 TACOMA AVE - STE 1 BUFFALO, NY 14216-2400 US	MARK V UPGRADE PROJECT	S	1	5	N	N	01		5,370	0	0	5,370	11/6/2013	C		11/15/2013
4500238819	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE UNLEADED (2849.9GAL)	E	B	7	N	1	01		8,664	8,652	8,652	12	11/15/2013	C		11/15/2013
4500238875	TRANSWAVE COMMUNICATION SYSTEMS INC 9020 WEHRLE DR CLARENCE, NY 14031 US	RADOME REPLACEMENT AT MASSENA SUBSTATION	S	1	5	N	N	01		7,530	7,530	7,530	0	11/18/2013	C		11/18/2013
4500238877	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	BIO DIESEL (2000 GAL)	E	B	7	N	1	01		7,840	7,829	7,829	11	11/18/2013	C		11/18/2013
4500239108	TMT CONTRACTORS INC 1484 US HIGHWAY 11 GOUVERNEUR, NY 13642-3407 US	SNOW REMOVAL COOPER HILL	S	M	5	N	1	01		14,000	340	340	13,660	11/22/2013	O	5/1/2014	
4500239136	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	LED STREET LIGHTING	E	B	5	N	N	03		8,172	0	0	8,172	11/25/2013	O	1/20/2014	
4500239242	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	GE BALLASTS	E	B	5	N	N	03		10,220	0	0	10,220	11/27/2013	O	1/31/2014	
4500239300	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	STAINLESS STEEL ELECTRICAL ENCLOSURES	E	B	8	N	3	02		23,610	0	0	23,610	12/2/2013	C		12/13/2013

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500239836	SEASONS SPECIALTY GIFTS LLC 27 W ORVIS ST MASSENA, NY 13662-1833 US	OFFICE FURNITURE	E	M	5	N	3	01		8,237	0	0	8,237	12/16/2013	O	1/20/2014	
4500239849	CDW COMPUTER CENTER INC CDW GOV'T SALES 200/230 N MILWAUKEE AVE VERNON HILLS, IL 60061 US	COMPUTER HARDWARE	E	B	7	F	N	01		9,428	0	0	9,428	12/16/2013	O	2/6/2014	
4500239894	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	FIRE STOP PILLOWS AND MISC. ITEMS	E	B	7	N	4	01		14,451	0	0	14,451	12/17/2013	O	1/15/2014	
4500239975	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 1 WOODBURY BLVD ROCHESTER, NY 14604-1811 US	MISC. INDUSTRIAL SUPPLIES	E	B	7	N	4	01		11,624	0	0	11,624	12/18/2013	C		12/23/2013
4500240140	JAMES WALKER MFG CO INC PO BOX 467 GLENWOOD, IL 60425 US	CHEVRON PACKING	E	B	5	F	N	03		6,876	0	0	6,876	12/26/2013	O	2/26/2014	
4600002099	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	OIL TURBINE	E	B		F	N	01		155,000	149,050	34,730	5,950	4/22/2009	C		4/21/2013
4600002248	BOGOSIAN CARPET & FURNITURE 70 WILLOW ST MASSENA, NY 13662 US	CARPET/MAT RENTAL	E	B		N	N	1		35,000	27,181	5,207	7,819	4/12/2010	C		4/11/2013
4600002249	HARMER CONSTRUCTION 1454 COUNTY ROUTE 22 - LOT D GOUVERNEUR, NY 13642-4316 US	GRAVEL, STONE FOR EDWARDS, NY	E	B		N	N	2		250,000	141,451	0	108,549	4/19/2010	C		4/18/2013
4600002318	BARRETT PAVING MATERIALS INC 7971 STATE HIGHWAY 56 NORWOOD, NY 13668-3252 US	GRAVEL/STONE 3YR CONTRACT FOR ALL STL PROJECTS	E	B		N	N	3		350,000	101,087	16,872	248,913	8/18/2010	C		8/18/2013
4600002344	MCFARLAND CASCADE HOLDINGS INC DBA MCFARLAND CASCADE 1400 IRON HORSE PARK NORTH BILLERICA, MA 01862 US	WOOD POLES ALL SIZES FOR STL INVENTORY	E	B		F	N	1		440,000	442,854	54,060	-2,854	11/30/2010	C		11/29/2013

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4600002347	WESTERN NEW YORK FLUID SYSTEM TECHNOLOGIES INC 6511 BASILE ROWE EAST SYRACUSE, NY 13057-2928 US	SWAGELOK FITTINGS	E	B		N	N	2		75,000	70,805	18,281	4,195	12/15/2010	C		12/14/2013
4600002354	BROCKWAY SANITATION SERVICE PO BOX 155 MOIRA, NY 12957-3216 US	SEPTIC TANK SERVICES	S	B		N	N	1		85,000	57,834	23,320	27,166	1/3/2011	O	12/31/2014	
4600002376	CASELLA WASTE SERVICES PO BOX 5195 POTSDAM, NY 13676 US	REFUSE REMOVAL	S	B		N	N	1		400,000	135,569	54,891	264,431	4/1/2011	O	3/31/2014	
4600002380	KORRECT OPTICAL 3801 BISHOP LN LOUISVILLE, KY 40218-2905 US	SAFETY GLASSES	E	B		F	N	3		30,000	12,023	3,949	17,977	3/16/2011	O	3/15/2014	
4600002407	CHOCTAW-KAUL DISTRIBUTION COMPANY 3540 VINEWOOD AVE DETROIT, MI 48208-2363 US	MISC. SAFETY ITEMS	E	B		F	N	3		24,500	23,203	633	1,297	6/15/2011	C		6/14/2013
4600002408	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	MISC. SAFETY ITEMS	E	B		N	N	3		20,000	5,858	2,929	14,142	6/15/2011	C		6/14/2013
4600002466	CRYSTAL SPRINGS DBA FOR DS WATERS OF AMERICA INC STE 500 5660 NEW NORTHSIDE DR ATLANTA, GA 30328-5826 US	WATER AND WATER COOLER RENTAL	E	B		F	N	2		24,000	13,053	6,624	10,947	1/19/2012	O	1/18/2015	
4600002492	FUSION BABBITTING CO INC 4540 W BURNHAM ST MILWAUKEE, WI 53219 US	REBABBITTING THRUST BEARING SHOES	S	B		F	N	4		215,000	102,225	68,150	112,775	4/2/2012	O	3/31/2015	
4600002503	PROFESSIONAL HEALTH SERVICES INC 83 S EAGLE RD HAVERTOWN, PA 19083 US	EMPLOYEE PHYSICALS	P	B		F	N	2		142,000	69,554	69,554	72,446	9/10/2012	O	10/31/2015	
4600002515	CHROMALOX C/O LIBERTY ELECTRIC SALES INC 6602 JOY RD EAST SYRACUSE, NY 13057-1108 US	HEATER CHROMALOX (LSD GATE GUIDE HEATERS)	E	B		N	N	5		90,000	69,209	31,953	20,791	4/12/2012	O	4/11/2014	

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4600002528	ABI CONSULTING INC 1951 OCEAN AVE RONKONKOMA, NY 11779-6564 US	TYVEK COVERALLS	E	B		N	3	12		20,000	1,927	549	18,073	6/11/2012	C		4/16/2013
4600002542	LYM-TECH SCIENTIFIC DIV OF THE JOHN R LYMAN CO 2255 WESTOVER RD CHICOPEE, MA 01022-1060 US	WIPING CLOTHS	E	B		F	N	2		60,000	10,350	10,350	49,650	7/12/2012	O	7/11/2015	
4600002586	MSC INDUSTRIAL SUPPLY CO INC DEPT CH 0075 PALATINE, IL 60055-0075 US	MECHANIC GLOVES	E	B	7	F	N	1		14,900	10,291	10,027	4,609	11/5/2012	C		11/4/2013
4600002675	VICTOR INSULATORS INC 280 MAPLE AVE VICTOR, NY 14564-1385 US	115KV / 23OKV PEDESTAL POST INSULATORS	E	B		N	N	4		300,000	33,540	33,540	266,460	4/11/2013	O	4/10/2016	
4600002681	MCFARLAND CASCADE HOLDINGS INC DBA MCFARLAND CASCADE 1400 IRON HORSE PARK NORTH BILLERICA, MA 01862 US	WOOD POLES	E	B		F	N	2		1,500,000	401,702	401,702	1,098,298	4/26/2013	O	4/25/2016	
4600002694	ENERFIN INC 5125 JA BOMBARDIER SAINT-HUBERT, PQ J3Z 1G4 CA	RE-TUBING STATOR AIR COOLERS	S	B		F	N	2		250,000	156,480	156,480	93,520	4/29/2013	O	4/28/2014	
4600002696	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	TRANSMISSION HARDWARE	E	B		N	N	3		500,000	153,055	153,055	346,945	5/28/2013	O	5/27/2016	
4600002702	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	LUBRICATING OIL	E	B		N	N	2		95,000	12,225	12,225	82,775	6/27/2013	O	6/26/2016	
		STL TOTALS								7,979,395	4,600,283	3,394,450	3,379,112				

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
FACILITY: ST LAWRENCE REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

TOTAL NUMBER OF CONTRACTS	196
TOTAL CONTRACT VALUE	$7,979,395
TOTAL EXPENDED TO DATE	$4,600,283
TOTAL EXPENDED IN REPORT YEAR	$3,394,450

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	39
TOTAL NUMBER CLOSED CONTRACTS	157

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	157
TOTAL NUMBER SOLE SOURCE	11
TOTAL NUMBER SINGLE SOURCE	0
TOTAL NUMBER COMP SEARCH	2
TOTAL NUMBER MWBE/SBE	26

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	2
TOTAL NUMBER EQUIP/GOODS/COMMODITY	167
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	1
TOTAL NUMBER OTHER NON PERSONAL SERVICES	26

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

SITE TOTALS

TOTAL NUMBER OF CONTRACTS	2,228
TOTAL CONTRACT VALUE	$3,694,954,838
TOTAL EXPENDED TO DATE	$1,500,883,496
TOTAL EXPENDED IN REPORT YEAR	$443,911,566

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	946
TOTAL NUMBER CLOSED CONTRACTS	1,282

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	1,684
TOTAL NUMBER SOLE SOURCE	144
TOTAL NUMBER SINGLE SOURCE	126
TOTAL NUMBER COMP SEARCH	115
TOTAL NUMBER MWBE/SBE	159

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	64
TOTAL NUMBER CONSTRUCTION	259
TOTAL NUMBER EQUIP/GOODS/COMMODITY	1,027
TOTAL NUMBER LEGAL SERVICES	29
TOTAL NUMBER PERSONAL SERVICES	251
TOTAL NUMBER OTHER NON PERSONAL SERVICES	598

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
FOSSIL FUELS ADDENDUM

FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-1990-33	NORTHVILLE INDUSTRIES CORP (Formerly TOSCO PIPELINE COMPANY) 25 Melville Park Rd Melville, NY 11747	Oil Storage Agmt (Setauket)	E	1		F			13,010,314	13,010,314	541,087	0	04/01/94	O	03/31/19	
FD-1990-34	NORTHVILLE INDUSTRIES CORP (Formerly TOSCO PIPELINE COMPANY) 25 Melville Park Rd Melville, NY 11747	Oil Storage Agmt. (Holtsville)	E	1		F			4,077,446	4,077,446	136,879	0	10/01/93	O	09/30/18	
FD-2001-23	SEMPRA 58 Commerce Road Stamford, CT 06902	Natural Gas Purchase	E	B		F		38	168,290,671	168,290,671	0	0	12/01/01	O	*	
FD-2002-11	PSEG ENERGY RESOURCES 80 Park Plaza Fl T19 Newark, NJ 07102	Natural Gas Purchase	E	B		F		38	11,017,721	11,017,721	0	0	11/01/02	O	*	
FD-2003-09	J. ARON 85 Broad Street New York, NY 10004	Natural Gas Purchase	E	B		F		38	83,241,402	83,241,402	0	0	04/22/03	O	*	
FD-2003-10	BP ENERGY COMPANY 501 WestLake Park Houston, TX 77253	Natural Gas Purchase	E	B		F		38	205,598,782	205,598,782	0	0	10/01/04	O	*	
FD-2003-12	CONSTELLATION POWER SOURCE 111 MARKET Place BALTIMORE, MD 21202	Natural Gas Purchase	E	B		F		38	55,860,651	55,860,651	0	0	06/09/03	O	*	
FD-2003-15	VIRGINIA POWER ENERGY MARKETING P. O. Box 25773 Richmond, VA 23360	Natural Gas Purchase	E	B		F		38	629,265,426	629,265,426	38,864,956	0	07/11/03	O	*	
FD-2004-08	SHELL ENERGY NA (formerly) CORAL ENERGY 909 Fannin Plaza Houston, TX 77010	Natural Gas Purchase	E	B		F		38	74,341,995	74,341,995	10,024,112	0	04/01/04	O	*	
FD-2004-09	SOUTHWEST ENERGY LP Two Greenway Plaza Houston, TX 77046	Natural Gas Purchase	E	B		F		38	180,117,537	180,117,537	0	0	06/01/04	O	*	
FD-2004-14	ONEOK ENERGY SERVICES CO. 100 West Fifth Street Tulsa, OK 74103	Natural Gas Purchase	E	B		F		38	95,732,355	95,732,355	0	0	11/01/04	C		12/31/13

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
FOSSIL FUELS ADDENDUM

FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2005-02	BOSS ENERGY LTD 20445 State Hwy 249 Houston, TX 77070	Natural Gas Purchase	E	B		F		38	73,839,766	73,839,766	0	0	01/25/05	O	*	
FD-2005-12	NJR ENERGY SERVICES CO. 1415 Wyckoff Road Wall, NJ 07719	Natural Gas Purchase	E	B		F		38	273,280,264	273,280,264	67,287,152	0	09/01/05	O	*	
FD-2006-03	UBS AG / UBS ENERGY LLC 677 Washington Blvd Stamford, CT 06901	Natural Gas Purchase	E	B		F		38	126,500,213	126,500,213	0	0	07/28/06	O	*	
FD-2006-05	PETROCOM VENTURES LTD 1616 S Voss Suite 945 Houston, TX 77057	Natural Gas Purchase	E	B		F		38	44,715,916	44,715,916	0	0	07/21/06	O	*	
FD-2006-07	TEXAS GAS TRANSMISSION 3800 Frederica Street Owensboro, KY 42301	Firm Gas Transport/Demand Agreement	S	1		F			26,257,825	26,257,825	3,170,093	0	07/01/06	O	10/31/17	
FD-2006-08	DOMINION TRANSMISSION 120 Tredeqar Street Richmond, VA 23219	Firm Gas Transport/Demand Agreement	S	1		F			19,475,880	19,475,880	2,396,653	0	07/01/06	O	10/31/17	
FD-2006-09	TRANSCONTINENTAL GAS PIPELINE (TGPL. 5316) 2800 Post Oak Blvd Houston, TX 77056	Firm Gas Transport/Demand Agreement	S	1		F			11,566,938	11,566,938	1,720,459	0	08/04/06	O	03/31/17	
FD-2006-12	TENASKA MARKETING VENTURES 11718 Nicholas Street Omaha, NE 68154	Natural Gas Purchase	E	B		F		38	23,092,518	23,092,518	8,235,595	0	10/01/06	O	*	
FD-2007-08	ASSOCIATED ENERGY SERVICES (Formerly Spark Energy Gas) 3010 Briorpark Dr. Suite 550 Houston, TX 77042	Natural Gas Purchase	E	B		F		38	133,731,591	133,731,591	30,160,670	0	02/14/07	O	*	
FD-2007-09	INUPIAT ENERGY (Formerly ENJET, INC) 5373 West Alabama- Suite 502 Houston, TX 77056	Natural Gas Purchase	E	B		F		38	148,511,468	148,511,468	0	0	3/21/2007	O	*	
FD-2007-10	ASTORIA GENERATING COMPANY, LP 18-01 20th Avenue Astoria,New York 11105	Oil Delivery Labor Fees	S	1		F			41,335	41,335	7,033	0	7/17/2006	O	*	
FD-2008-01	eCORP ENERGY MARKETING TEN THOUSAND MEMORIAL Houston, TX 77024-3410	Natural Gas Purchase	E	B		F		38	72,450	72,450	0	0	02/01/08	O	*	

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
FOSSIL FUELS ADDENDUM

FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2008-02	CIMA-ENERGY LTD. 1221 McKinney Houston, Tx 77010	Natural Gas Purchase	E	B		F		38	14,597,304	14,597,304	0	0	03/01/08	O	*	
FD-2008-05	SEQUENT ENERGY MGT 1200 Smith Street Houston, TX 77010	Natural Gas Purchase	E	B		F		38	36,558,006	36,558,006	490,536	0	03/01/08	O	*	
FD-2008-06	MACQUARIE COOK ENERGY 10100 Santa Monica Blvd los Angeles, CA 90067	Natural Gas Purchase	E	B		F		38	47,096,447	47,096,447	572,075	0	07/01/08	O	*	
FD-2008-09	CON EDISON, INC. (Balancing & Demand) 4 Irving Place New York, NY 10003	Transport & Balancing Service	E	1		F			23,709,311	23,709,311	4,047,848	0	08/01/08	O	04/30/16	
FD-2008-10	UNITED ENERGY TRADING, LLC 215 Union Blvd. Suite 425 Lakewood CO 80228	Natural Gas Purchase	E	B		F		38	91,065,200	91,065,200	35,706,297	0	11/19/2008	O	*	
FD-2008-12	eSERVICES, LLC 4461 Cox Road, Suite 113, Glenn Allen, Virginia 23060	Natural Gas Purchase	E	B		F		38	520,725	520,725	0	0	12/01/08	O	*	
FD-2009-03	nationalgrid -LI (Flynn) 100 E Old County Rd Hicksville, NY 11801	Balancing Service	E	1	O	F			5,500,000	5,500,000	1,100,000	0	01/01/09	O	12/31/14	
FD-2009-04	nationalgrid -LI (Flynn) 100 E Old County Rd Hicksville, NY 11801	Transport Service	E	1	O	F			12,609,772	12,609,772	2,927,437	0	01/01/09	O	12/31/14	
FD-2009-05	nationalgrid-NY(GKP) One Metrotech Center Brooklyn, NY 11201	Transport Service	E	1	O	F			7,040,265	7,040,265	1,384,071	0	03/01/09	O	03/31/14	
FD-2009-06	nationalgrid -LI(Brentwood) 100 E Old County Rd Hicksville, NY 11801	Transport Service	E	1	O	F			899,254	899,254	268,742	0	03/01/09	O	03/31/14	
FD-2009-07	CONOCO PHILLIPS COMPANY 600 North Dairy Ashford Houston, TX 77079	Natural Gas Purchase	E	B		F		38	31,365,498	31,365,498	2,443,028	0	05/01/09	O	*	
FD-2010-01	JLA ENERGY LLC Two Riverway, Suite 730 Houston, Tx 77056	Natural Gas Purchase	E	B		F		38	1,286,927	1,286,927	276,500	0	02/01/10	O	*	
FD-2010-02	IBERDROLA RENEWABLES 1125 N.W. Couch St. Suite 700 Portland, OR 97209	Natural Gas Purchase	E	B		F		38	14,775,294	14,775,294	754,320	0	08/09/10	O	*	

FACILITY: WPO FOSSIL FUELS

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
FOSSIL FUELS ADDENDUM

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2011-01	BG ENERGY MERCHANTS, LLC 5444 Westheimer Suite 1200 Houston, Tx 77056	Natural Gas Purchase	E	B		F		38	60,624,855	60,624,855	28,046,489	0	03/01/11	O	*	
FD-2011-02	YAKA ENERGY, LLC 46411 Timine Way Pendleton, OR 97801	Natural Gas Purchase	E	B		F	4	38	12,266,833	12,266,833	1,782,733	0	03/01/11	O	*	
FD-2011-04	SAYBOLT LP Core Laboratories 6316 Windfern Rd, Houston Texas 77040	Oil Inspectors	S	B		F			53,109	53,109	21,791	0	01/01/11	C		12/31/13
FD-2011-06	EDF Trading N. America, LLC 4700 West Sam Houston Pky North Suite 25 Houston, Tx 77041	Natural Gas Purchase	E	B		F		38	14,389,643	14,389,643	8,636,482	0	06/01/11	O	*	
FD-2011-11	STATOIL NATURAL GAS, LLC 120 Long Ridge Road, Stamford CT 06902	Natural Gas Purchase	E	B		F		38	2,310,255	2,310,255	2,310,255	0	04/18/12	O	*	
FD-2011-12	CHESAPEAKE ENERGY MARKING, INC 900NW 63 Road, Oklahoma City OK 73118	Natural Gas Purchase	E	B		F		39	5,716,958	5,716,958	5,716,958	0	05/28/13	O	*	
FD-2012-02	REPSOL ENERGY NORTH AMERICA 2001 Timberioch Place Suite 3000 The Woodlands, Tx 77380	Natural Gas Purchase	E	B		F		38	17,255,429	17,255,429	15,857,769	0	11/13/12	O	*	
FD-2013-02	PHILLIP 66 CO 600 N. Dairy Ashford Houston, TX 77079	Oil Purchase	S	B		F		11	2,833,242	2,833,242	2,833,242	0	01/25/13	C		02/06/13
FD-2013-03	NORTHVILLE INDUST. CORP NIC 25 Melville Park Road Melville, NY 11747	Oil Purchase	S	B		F		11	985,223	985,223	985,223	0	01/25/13	C		01/31/13
FD-2013-04	NORTHVILLE INDUST. CORP NIC 25 Melville Park Road Melville, NY 11748	Oil Purchase	S	B		F		11	2,565,873	2,565,873	2,565,873	0	02/14/13	C		02/28/13
FD-2013-05	APEX Oil CO INC 8235 Forsyth Blvd Suite 400 Saint Louis, Mo 63105	Oil Purchase	S	B		F		11	4,336,946	4,336,946	4,336,946	0	02/20/13	C		03/04/13

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
FOSSIL FUELS ADDENDUM

FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2013-09	PHILLIP 66 CO 600 N. Dairy Ashford Houston, TX 77079	Oil Purchase	S	B		F		11	3,916,003	3,916,003	3,915,003	0	12/03/13	C		12/08/13
FD-2013-11	GEORGE E WARREN CO 3001 Ocean Drive Vero Beach, FL 32963	Oil Purchase	S	B		F		11	2,608,818	2,608,818	2,608,818	0	01/25/13	C		01/30/13
FS-1994-17	MERRILL LYNCH (Formerly ENTERGY-KOCH TRADING (FORMERLY KOCH)) 20 E Greenway Plaza Houston, TX 77046	Natural Gas Purchase	E	B		F		38	270,942,450	270,942,450	1,312,753	0	07/01/94	O	*	
FS-1997-22	COLONIAL ENERGY 2 Riverway Suite 2000 Houston, TX 77056	Natural Gas Purchase	E	B		F		38	454,160,330	454,160,330	23,798,999	0	05/01/97	O	*	
		FUELS TOTALS							3,543,630,434	3,543,630,434	317,244,877	0				

NOTE:

* Contract continues until terminated by NYPA or Counterparty upon specified notice.

(1) Natural gas purchase transactions are effected, as needed, based on current prices and requirements, using the previously agreeed upon general terms and conditions.

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
FACILITY: WPO FOSSEL FUELS REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
FOSSIL FUELS ADDENDUM

TOTAL NUMBER OF CONTRACTS	51
TOTAL CONTRACT VALUE	$3,543,630,434
TOTAL EXPENDED TO DATE	$3,543,630,434
TOTAL EXPENDED IN REPORT YEAR	$317,244,877

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	43
TOTAL NUMBER CLOSED CONTRACTS	8

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	40
TOTAL NUMBER SOLE SOURCE	11
TOTAL NUMBER SINGLE SOURCE	0
TOTAL NUMBER COMP SEARCH	0
TOTAL NUMBER MWBE/SBE	0

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	0
TOTAL NUMBER EQUIP/GOODS/COMMODITY	40
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	0
TOTAL NUMBER OTHER NON PERSONAL SERVICES	11

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
FOSSIL FUELS ADDENDUM

FACILITY: WPO FOSSIL FUELS

PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
Bank of New York (formely JPMorgan) 101 Barclay Street New York, NY 10286	Trustee/Paying Agent Services on Bonds	S	C	9	N			434,632	434,632	12,830	0	2/24/1998	O	*	
Bank of New York (formely JPMorgan) 101 Barclay Street New York, NY 10286	Trustee- ARTN	S	C	9	N			232,845	232,845	21,247	0	9/2/2003	O	3/1/2020	
Bank of New York (formerly JPMorgan) 101 Barclay Street New York, NY 10286	Trustee- Commercial Paper	S	C	9	N			138,695	138,695	17,104	0	10/2/2006	O	*	
Bank of Nova Scotia One Liberty Plaze, 26th Fl. New York, NY 10006	Revolving Line of Credit for ARTN	S	C	9	N		6	1,300,901	1,093,852	141,515	207,049	9/4/2007	O	9/1/2015	
Barclays Capital (assigned from Lehman Bros.) ** 745 Seventh Avenue New York, NY 10019	Re-marketing Agent CP-1	S	C	9	N			1,415,610	1,415,610	124,791	0	7/14/1994	O	*	
Barclays Capital (assigned from Lehman Bros.) 745 Seventh Avenue New York, NY 10019	Re-marketing Agent CP-3	S	2	9	N			10,775	10,775	0	0	6/26/2008	O	*	
Citigroup 390 Greenwich Street New York, NY 10013	Re-marketing Agent CP-2	S	C	9	N			466,285	466,285	12,502	0	12/4/1997	O	*	
Goldman, Sachs & Co. 85 Broad Street New York, NY 10004-2456	Re-marketing Agent CP-2 and 3	S	C	9	N			970,861	970,861	36,302	0	12/4/1997	O	*	
Goldman, Sachs & Co. 85 Broad Street New York, NY 10004-2456	Re-marketing EMCP	S	C	9	N			792,819	792,819	72,077	0	3/4/2003	O	*	
Goldman, Sachs & Co. ** 85 Broad Street New York, NY 10004-2456	ART Notes Remarketing	S	C	9	N			2,159,135	2,159,135	153,700	0	5/15/1985	O	3/1/2020	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Re-marketing Agent CP-2	S	C	9	N			227,294	227,294	12,268	0	6/28/2001	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Re-marketing Agent CP-3	S	C	9	N			601,129	601,129	12,046	0	12/4/1997	O	*	
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Escrow Agent POCR/CASP & LMEI Funds	S	C	9	N			515,433	515,433	15,064	0	5/30/1996	O	*	

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
FOSSIL FUELS ADDENDUM

FACILITY: WPO FOSSIL FUELS

PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2013	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
JPMorgan Chase 270 Park Avenue New York, NY 10017-2070	Revolving Line of Credit 2011	S	C	9	N		2	12,968,688	10,740,208	3,639,653	2,228,479	1/20/2011	O	1/20/2015	
Morgan Stanley 1221 Avenue of the Americas New York, NY 10020	Re-marketing Agent CP-1	S	C	9	N		7	236,421	236,421	68,492	0	3/31/2009	O	*	
RBC Capital Markets ** 1211 Avenue of the Americas New York, NY 10036	Re-marketing Agent CP-1	S	C	9	N			373,830	373,830	103,772	0	7/14/1994	O	*	
	Corporate Finance Totals							22,845,352	20,409,824	4,443,363	2,435,528				

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
CORPORATE FINANCE: WPO REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

TOTAL NUMBER OF CONTRACTS	16
TOTAL CONTRACT VALUE	$22,845,352
TOTAL EXPENDED TO DATE	$20,409,824
TOTAL EXPENDED IN REPORT YEAR	$4,443,363
TOTAL CONTRACT BALANCE	$2,435,528

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	16
TOTAL NUMBER CLOSED CONTRACTS	0

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	0
TOTAL NUMBER SOLE SOURCE	0
TOTAL NUMBER SINGLE SOURCE	1
TOTAL NUMBER COMP SEARCH	15
TOTAL NUMBER MWBE/SBE	0

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	0
TOTAL NUMBER EQUIP/GOODS/COMMODITY	0
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	0
TOTAL NUMBER OTHER NON PERSONAL SERVICES	16

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

GRAND TOTALS

TOTAL NUMBER OF CONTRACTS	2,295
TOTAL CONTRACT VALUE	$7,261,430,624
TOTAL EXPENDED TO DATE	$5,064,923,754
TOTAL EXPENDED IN REPORT YEAR	$765,599,806

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	1,005
TOTAL NUMBER CLOSED CONTRACTS	1,290

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	1,724
TOTAL NUMBER SOLE SOURCE	155
TOTAL NUMBER SINGLE SOURCE	127
TOTAL NUMBER COMP SEARCH	130
TOTAL NUMBER MWBE/SBE	159

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	64
TOTAL NUMBER CONSTRUCTION	259
TOTAL NUMBER EQUIP/GOODS/COMMODITY	1,067
TOTAL NUMBER LEGAL SERVICES	29
TOTAL NUMBER PERSONAL SERVICES	251
TOTAL NUMBER OTHER NON PERSONAL SERVICES	625

Exhibit “A”
March 25, 2014

GUIDELINES

AND

PROCEDURES

FOR THE

DISPOSAL OF NEW YORK POWER AUTHORITY

PERSONAL PROPERTY

Exhibit "A"
March 25, 2014

INDEX

Article	Description	Page
I.	PURPOSE……..	1
II.	DEFINITIONS……..	1-2
III.	OBJECTIVE……..	2
IV.	TRANSACTIONS NOT COVERED…….....	3
V.	DESIGNATION OF PROPERTY DISPOSAL COORDINATORS…….…….....	3-4
VI.	PROCEDURES FOR DISPOSAL OF AUTHORITY PERSONAL PROPERTY……....	4-12
VII.	CENTRALIZED DISPOSAL…….....	12-13
VIII.	DECENTRALIZED DISPOSAL…….....	13-14
IX.	PARTIES PROHIBITED FROM BIDDING……...	14
X.	EVALUATION OF PROPOSALS; AWARD OF CONTRACT…….....	14-17
XI.	AUTHORIZATION LEVELS AND SIGNING AUTHORITY……	17-18
XII.	OTHER METHODS FOR DISPOSAL OF PERSONAL PROPERTY…….	19-20
XIII.	METHODS OF PAYMENT…….....	20-21
XIV.	REPORTING REQUIREMENTS…….	21-22
	ATTACHMENTS A - C	

Exhibit "A"
March 25, 2014

GUIDELINES AND PROCEDURES FOR THE DISPOSAL OF NEW YORK POWER AUTHORITY PERSONAL PROPERTY

I. PURPOSE

These Guidelines and Procedures for the Disposal of Personal Property (hereinafter "Guidelines"), which comply with Title 5-A, Article 9 of the Public Authorities Law, establish the procedures that detail the Authority's policy and instructions regarding the use, award, monitoring and reporting of the disposal of personal property. In addition, the Guidelines designate a Contracting Officer who is responsible for the Authority's compliance with, and enforcement of, the Guidelines.

II. DEFINITIONS

A. "Contracting Officer" shall mean the officer or employee of the Authority who shall be appointed by resolution of the Authority's Board of Trustees to be responsible for the disposition of personal property. The "Contracting Officer" is hereby designated to be the Vice President – Procurement, or equivalent(s). The Authority's Contracting Officer shall not be responsible for compliance for dispositions of the Authority's personal property conducted by another state entity authorized to dispose of the Authority's personal property under the Public Authorities Accountability Act ("PAAA") or as otherwise authorized by law.

B. "Dispose" or "disposal" shall mean transfer of title or any other beneficial interest in personal property in accordance with these Guidelines.

C. "Property" shall mean personal property owned by the Authority with a value in excess of $5,000, and any other interest in such property, to the extent that such interest may be conveyed to another person for any purpose, excluding an interest securing a loan or other financial obligation of another party. For the purposes of these Guidelines, personal property may include, but is not limited to, materials, tools, equipment, or vehicles.

D. "Fair Market Value" shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for the Property in an arms-length transaction in the appropriate marketplace and under similar circumstances. Fair Market Value may be determined by consulting industry-recognized sources, contacting original suppliers, depreciation analysis, appraisals, fair market valuations by public auction or other methods of valuation generally accepted in the industry in which such Property is utilized, as may be approved by the Contracting Officer or authorized designee.

E. "Relative" is defined in Subsection X.G.1 of these Guidelines.

III. OBJECTIVE

The objective of these Guidelines is to identify those Authority personnel responsible for authorizing the disposal of Property owned by the Authority and to ensure that the Authority receives fair and reasonable value for such Property. The transfer or sale of Property shall be accounted for in accordance with the Authority's Corporate Accounting Policy No. CAP 4.3 dated 7/27/11, Revision 3 -- "Accounting for Materials and Supplies Inventory."

IV. TRANSACTIONS NOT COVERED

These Guidelines do not apply to any of the following transactions:

1. Disposal of real property interests;
2. Exchange of Property with other utilities or power plant owners, where such owners will provide an identical or in-kind replacement;
3. Transfer/re-deployment of Property from one Authority facility to another Authority facility.

V. DESIGNATION OF PROPERTY DISPOSAL COORDINATORS

A. The Contracting Officer shall be responsible for the Authority's compliance with, and enforcement of, the Guidelines.

B. The individual responsible for disposal of all Property at the Authority's facilities will be the Director – Site Purchasing & Materials Management ("DSP&MM"). For purposes of Property disposal, the DSP&MM reports directly to the Contracting Officer or authorized designee.

C. The Manager - Purchasing/Warehouse from each facility will be the local Property Disposal Coordinator for his or her facility or location ("Facility PDC"). The Facility PDC reports to the DSP&MM.

D. The Contracting Officer or authorized designee will designate one or more individuals from the White Plains Office Procurement Division to be the Property Disposal Coordinator(s) for the White Plains, Albany and New York corporate offices ("WPO PDC"). For purposes of Property disposal, the WPO

PDC(s) will confer, interface with and report to the Contracting Officer or authorized designee.

E. The Director of Fleet Operations ("DFO") or equivalent(s) or authorized designee is responsible for the disposal of vehicles and rolling equipment. The DFO reports directly to the Senior Vice President – Enterprise Shared Services or authorized designee.

F. If appropriate, the responsible Facility PDC should confer (by oral or written communication) with the DSP&MM and the WPO PDC(s) should confer (by oral or written communication) with the Contracting Officer or authorized designee to determine if a "centralized" sale of Property, as outlined in Article VII, is appropriate. If agreed, the responsible PDC should arrange for shipment of the Property to be sold from the site to the sale location. If a centralized sale is not appropriate, the responsible PDC should proceed in accordance with the "decentralized" procedures, as outlined in Article VIII.

VI. PROCEDURES FOR DISPOSAL OF AUTHORITY PERSONAL PROPERTY

Except for Disposals pursuant to Article VII, Article XII and Subsection VI.A.4 of these Guidelines, whenever practicable, the responsible PDC shall solicit proposals from qualified bidders, to purchase the Property to be disposed of, and will maintain records of such solicitations. The PDC should use "Attachment A" attached hereto or an appropriate substitute for solicitations under this Article VI.

A. **DISPOSAL METHODS FOR PERSONAL PROPERTY**

1. For the purposes of these Guidelines, Disposal methods may include, but are not limited to: sale (directly to the Buyer, through a third party, negotiation, well-advertised public auction that permits full and free competition consistent with the value and nature of the property or on any other centralized basis that achieves the same level of competition); return to the original equipment manufacturer or to the source; trade-ins or disposals as part of a competitive procurement; or disposal through the New York State Office of General Services ("OGS"). Provided, however, that no disposition of any Property, which because of its unique nature or the unique circumstances of the proposed transaction is not readily valued by reference to an active market for similar Property, shall be made unless an appraisal of the value of such Property has been made by an independent appraiser and included in the record of the transaction. The Authority's Environment, Health and Safety Division should be consulted, on a case-by-case basis, regarding disposal of items that may be considered hazardous waste.

2. Solicitation via telephone, e-mail and/or direct mailings may be used where the estimated Fair Market Value of the Property to be disposed of is greater than $5,000 but does not exceed $15,000. All bids must be submitted in writing on the forms or an appropriate substitute and in the manner prescribed by this procedure and by the date and time (the "Bid

Due Date") included in the solicitation.

3. All Disposals of Authority Property in excess of $15,000 shall be made after publicly advertising for bids except as provided in Subsection 4 below, using the following process:

   a. the appropriate advertisement for bids (Attachment "B" or an appropriate substitute) shall be made at such time prior to the Disposal, through such methods, and on such terms and conditions as shall permit full and free competition consistent with the value and nature of the Property. This may include advertisements in one or more of the following publications, depending on the nature of the Property: local newspapers in the geographic area of the facility where the sale is taking place, trade journals, regional or nationwide publications (if the market for such sale is regional or nationwide), the New York State Contract Reporter, internet services or other communication outlets as appropriate;

   b. all bids must be submitted in writing on the forms or an appropriate substitute and in the manner prescribed by this procedure and by the Bid Due Date included in the solicitation;

   c. all bids shall be publicly disclosed, at the time and place stated in the solicitation or by posting to the Authority's internet website; and

   d. the award shall be made with reasonable promptness by notice to the responsible bidder whose bid, conforms to the bid solicitation and is most advantageous to the Authority, price and other factors

considered; however, any bid may be rejected when in the public interest to do so at the Authority's sole discretion.

4. Disposals of Property may be negotiated or made by public auction without regard to Subsection 3 of this Section, but subject to obtaining such competition as is feasible under the circumstances, if:

   a. the Property involved has qualities separate from the utilitarian purpose of such Property, such as artistic quality, antiquity, historical significance, rarity or other quality of similar effect that would tend to increase its value, or if the Property is to be disposed of in such quantity that, if it were Disposed of under Subsection 3 of this Section, would adversely affect the state or local market for such Property, and the estimated Fair Market Value of such Property and other satisfactory terms of disposal may be obtained by negotiation;

   b. bid prices after advertising therefore are not reasonable, either as to all or part of the Property, or have not been independently arrived at in open competition;

   c. the Disposal will be to the state or any political subdivision, and the estimated Fair Market Value of the Property and other satisfactory terms of Disposal are obtained by negotiation;

   d. under those circumstances permitted by Subsection 6 of this Section;

   e. if the estimated or actual fair market value of the property does not exceed $15,000; or

   f. such action is otherwise authorized by law.

5. An explanatory statement shall be prepared of the circumstances of each Disposal by negotiation of any Property which has an estimated Fair Market Value in excess of fifteen thousand dollars ($15,000). In addition, an explanatory statement shall be prepared of the circumstances of each Disposal by negotiation of any Property disposed of by exchange, regardless of value. Each such statement shall be transmitted to the Commissioner of General Services, the State Legislature, the State Comptroller, the Director of the Division of the Budget and the Authorities Budget Office, not less than ninety days in advance of such Disposal, and a copy thereof shall be preserved in the Authority's files.

6. a. No Property owned or otherwise in the control of the Authority may be disposed or otherwise alienated for less than its Fair Market Value except if:

   (i) the transferee is a government or other public entity, and the terms and conditions of the transfer require that the ownership and use of the Property will remain with the government or any other public entity;

   (ii) the purpose of the transfer is within the purpose, mission or governing statute of the Authority; or

   (iii) in the event the Authority seeks to transfer Property for less than its Fair Market Value to other than a governmental entity, which Disposal would not be consistent with the Authority's mission, purpose or governing statutes, the

Authority shall provide written notification thereof to the Governor, the Speaker of the Assembly, and the Temporary President of the Senate, and such proposed transfer shall be subject to denial by the Governor, the Senate or the Assembly. Denial by the Governor shall take the form of a signed certification by the Governor. Denial by either House of the Legislature shall take the form of a resolution by such House. The Governor and each House of the Legislature shall take any such action within sixty days of receiving notification of such proposed transfer during the months of January through June, provided that if the Legislature receives notification of a proposed transfer during the months of July through December, the Legislature may take any such action within sixty days of January first of the following year. If no such resolution or certification is performed within sixty days of such notification of the proposed transfer to the Governor, Senate and Assembly, the Authority may effectuate such transfer provided, however, that with respect to a below-market transfer by the Authority that is not within the purpose, mission or governing statute of the Authority, if the governing statute provides for the approval of such transfer by the executive and legislative branches of the political subdivision in which the Authority resides, and if the

transfer is of Property obtained by the Authority from that political subdivision, then such approval shall be sufficient to permit the transfer.

b. In the event a below Fair Market Value Property transfer is proposed, the following information must be provided to the Authority's Board of Trustees and the public:

(i) a full description of the Property;

(ii) an appraisal of the Fair Market Value of the Property and any other information establishing the Fair Market Value sought by the Board of Trustees;

(iii) a description of the purpose of the transfer and a reasonable statement of the kind and amount of the benefit to the public resulting from the transfer, including but not limited to the kind, number, location, wages or salaries of jobs created or preserved as required by the transfer, the benefits, if any, to the communities in which the Property is situated as are required by the transfer;

(iv) a statement of the value to be received compared to the Fair Market Value;

(v) the names of any private parties participating in the transfer and, if different than the statement required by subparagraph (iv) of this Subsection, a statement of the value to the private party; and

(vi) the names of other private parties who have made an offer for such Property, the value offered and the purpose for which the Property was sought to be used.

c. Before approving the Disposal of any Property for less than Fair Market Value, the Board of Trustees shall consider the information described in paragraph b of this Subsection and make a written determination that there is no reasonable alternative to the proposed below-market transfer that would achieve the same purpose of such transfer.

7. In cases where a Disposal of Property is made without competitive bidding and where the proposed contract price for the Property disposed of is less than Fair Market Value, a detailed explanation of the justification for making the Disposal without competitive bidding shall be prepared, and a certification shall be signed by the Chief Executive Officer and Chief Financial Officer of the Authority stating that they have reviewed the terms of such Disposal and have determined that it complies with applicable law and these Guidelines.

B. **DISPOSAL OPTIONS IF NO BIDS OR NO ACCEPTABLE BIDS ARE RECEIVED**

The appropriate PDC shall confer with the DSP&MM and the Contracting Officer or authorized designee to decide (i) if re-soliciting is feasible; (ii) if shipment to a third-party contractor for Disposal would result in higher-

priced proposals; (iii) if disposal by other methods would be appropriate; and/or (iv) if the Fair Market Value estimate requires review or adjustment, where:

1. the solicitation pursuant to Section VI.A does not produce any bids to purchase the Property;
2. in the opinion of the Authority, the bids are not arrived at independently;
3. all bids are not reasonable in accordance with Section X.C; or
4. all bids received are less than the Authority's Fair Market Value estimate.

VII. CENTRALIZED DISPOSAL

A. Subject to the approval of the Contracting Officer or authorized designee and in accordance with Article VI of these Guidelines, in either the initial document authorizing the disposal or through a subsequent communication, Property may be disposed of using any of the following methods:

1. Shipment of the material to a third-party vendor(s), selected by competitive bidding, which, pursuant to these Guidelines, will market the material for sale or dispose of such material in accordance with environmental and any other Authority requirements.
2. Consolidation of such Property at one of the Authority's facilities or an offsite warehouse for the purpose of conducting a sale managed by Authority staff, possibly with the assistance of an outside contractor.

3. Participation in public auctions provided the advertisement for bids through such methods permits full and free competition consistent with the value and nature of the property, as may be conducted through an independent auctioneer, online auction service, or another utility.

VIII. DECENTRALIZED DISPOSAL

A. The Regional Manager, Project Manager, or head of a Department or Division requiring disposal of Property which he or she believes to be surplus, will submit to the responsible PDC a written description of the material, with the original price (if known), and estimate of the Property's Fair Market Value (if available). If practical, a photograph of the material or equipment in question should be provided. Such submission shall be made to the responsible PDC for the location where the Property is located.

B. If the responsible PDC, in conference with either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, determines that other Authority facilities may have an interest in the Property, a notice should be sent to the other Authority facilities advising of its availability and requesting a response within a specified time frame. A record of the notice will be maintained by the responsible PDC. In the event that the responsible PDC and either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, determine there is no interest in such material at other Authority facilities, a written explanation should be prepared by the PDC to that effect and maintained in the file for that transaction.

C. If no response to the notice is received, the responsible PDC will solicit bids for the purchase of such Property in accordance with the procedures described in Article VI.

IX. PARTIES PROHIBITED FROM BIDDING

A. All current and former employees of the Authority and relatives of such employees or third parties acting on behalf of such employees shall not be eligible to bid for the purchase of Authority Property and are prohibited from subsequently acquiring it in any manner. Each bidder will be required, as part of his or her bid, to certify, by signing Attachment “A,” that he or she is not a current or former employee of the Authority, is not related to any current or former employee of the Authority and is not acting on behalf of a current or former employee of the Authority or a relative of any such employee. No bid will be accepted unless accompanied by such certification.

B. The term "related to" as used in paragraph A above means the relationship of spouse, child, parent, sister, brother, grandparent, grandchild, aunt, uncle, cousin, niece, nephew, stepchild, stepparent, stepsister, stepbrother, mother-in-law, father-in-law, sister-in-law, brother-in-law, daughter-in-law or son-in-law.

X. EVALUATION OF PROPOSALS; AWARD OF CONTRACT

A. Following the receipt of proposals for the Property, the responsible PDC shall evaluate the proposals submitted and determine whether the highest of such proposals is reasonable, given the estimated Fair Market Value of the Property.

B. If the responsible PDC determines that the highest bid received is reasonable, the responsible PDC shall recommend to the Responsible Officer(s), as hereinafter defined in Article XI, that such bid be accepted, and upon the written approval of the Responsible Officer(s), the sale shall be made to the person offering such proposal. After obtaining all necessary approvals in accordance with Article XI "Authorization Levels," a Sales Agreement appended hereto ("Attachment C") must be executed by the responsible Authority staff member and by the successful bidder prior to completion of the transaction.

C. If either (a) the responsible PDC determines that the highest bid is not reasonable or (b) the Responsible Officer(s) decline(s) to authorize the sale, the Property will, except as provided in paragraph D below, be retained for future disposal in accordance with these Guidelines. Factors to be considered in determining whether a bid is reasonable include, but are not limited to: adequacy of the estimate of the Fair Market Value, anticipated improved future market conditions, potential for other means of disposal or redeployment, financial viability of the bidder, and condition of the Property.

D. Notwithstanding any determination by the responsible PDC, the Responsible Officer(s), with the review and approval of the Contracting Officer, may direct the sale of the Property to the person or firm submitting the highest bid.

E. No Authority employee who is involved in the award of Authority grants or contracts, may ask any officer, director or employee of such current or prospective contractor or grantee to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party, elected official, or candidate for elective office; or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

F. No Authority employee may award or decline to award any grant or contract, or recommend, promise or threaten to do so because of a current or prospective grantee's or contractor's: (a) refusal to answer any inquiry prohibited by Section E above or (b) giving or withholding or neglecting to make any contribution of money, service or any other valuable thing for any political purpose.

G. No Authority employee may take part in any contracting decision involving the payment of $1,000 or more: (i) to a Relative; or (ii) to any entity in which a Relative owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If a contracting matter arises relating to this Section G, then the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

1. For purposes of this Section G, the term "Relative" shall mean any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant.

XI. AUTHORIZATION LEVELS AND SIGNING AUTHORITY

A. For the purposes of these Guidelines, the Responsible Officer(s) will in each case review the appropriateness of the Fair Market Value estimate and the recommendation for contract award for disposal of the Property. Responsible Officers are designated as follows:

1. The Board of Trustees, if the Fair Market Value of the Property is greater than $1,000,000 or if the Disposal is for less than Fair Market Value in accordance with paragraph VI.A.6; or
2. The President or the Chief Operating Officer or equivalent(s), if the Fair Market Value of the Property is up to $1,000,000; or
3. The Executive Vice President and Chief Financial Officer or equivalent(s), if the Fair Market Value of the Property is up to $500,000; or
4. For Fleet-related transactions, the Senior Vice President – Enterprise Shared Services or equivalent(s), if the Fair Market Value of the Property is up to $500,000; or
5. The Vice President – Procurement or equivalent(s), if the Fair Market Value of the Property is up to $250,000; or
6. The DSP&MM, if the Fair Market Value of the Property is up to

$50,000; or

7. The responsible PDC, with the prior written approval of either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, if the Fair Market Value of the Property is $5,000 or less.

B. For public auctions or similar centralized disposals, such authorization should be obtained prior to submitting Property to auction based on the estimated Fair Market Value of the Property.

1. For purposes of these Guidelines, the Director - Fleet Operations ("DFO") or equivalent(s) and DSP&MM are authorized to sign Disposal Sales Agreements based upon the provisions of Section XI.A above whereby the DFO is authorized to sign fleet-related sales agreements and the DSP&MM may sign both fleet and non-fleet sales agreements.

C. For decentralized disposals, such authorization should be obtained prior to signing of Sales Agreement or award of contract, in accordance with the Authorization Levels set forth in Section XI.A. Sales Agreements for individual disposal transactions through a decentralized sale should be signed in accordance with the limits set forth in the Authority's Expenditure Authorization Procedures – Attachment C.

D. The foregoing provisions of this section shall not apply to the sale of energy and fuel. Such authorization shall be governed by the transaction authorization limits for energy and energy-related financial transactions, as adopted by the Authority's Board of Trustees.

XII. OTHER METHODS FOR DISPOSAL OF PERSONAL PROPERTY

A. Disposals as Part of a Competitive Procurement

These Guidelines are not intended to restrict disposals as part of a competitive procurement, including trade-ins, where the procurement is competitively bid and awarded in accordance with the Authority's Guidelines for Procurement Contracts and Fair Market Value can be obtained for the Property. Any such proposed disposal must be included as part of the solicitation of bids for the procurement. The solicitation must also include an estimated Fair Market Value of the Property or minimum bid amount. The disposal or trade-in value must be stated in the proposals from bidders. When disposing of Property as part of a competitive procurement, the Authority may consider the cost difference between the accepted proposal and the next lowest responsive proposal as part of the consideration for the disposal of the Property.

B. Return to the Original Equipment Manufacturer ("OEM") or to the Source

For Property with a Fair Market Value of $15,000 or less, return of materials to the OEM or the source is permissible provided that the Authority receives full value for any materials equal to the price paid by the Authority or the estimated Fair Market Value of the Property. In the event a re-stocking fee is charged by the OEM or the source, the DSP&MM or the Contracting Officer or authorized designee, as appropriate, shall be consulted to

determine if such a re-stocking fee is reasonable and if there are other opportunities for sale of such material. Approval of all such returns to the OEM or the source when a re-stocking fee is charged, must be in accordance with the Authorization Levels delineated in Section XI.A.

C. **Disposal through the New York State Office of General Services (OGS)**

The Authority may utilize OGS for Disposal of Authority-owned Property including on-line disposal methods offered by OGS. In addition, in accordance with New York State law, surplus computers and related accessories, surplus office furniture, and other equipment may, with the approval of the Contracting Officer or authorized designee, be transferred to OGS for disposition, in the case of computers and accessories to school districts located near Authority offices or operating facilities, or in the case of office furniture and office equipment, to other state entities. Disposal of these items in this manner represents the best value to New York State in lieu of attempted re-sale of such materials.

XIII. METHODS OF PAYMENT

The proceeds from the sale of Property in the form of cash or a certified check made payable to the Authority must be forwarded to the Authority's Treasurer by the Facility PDCs and to the Authority's Controller's Office by the DSP&MM and WPO PDC(s). In certain cases involving a transfer of Property to other state agencies or authorities, the performance of documented services to the Authority equal to or

greater in value to the Fair Market Value of the Property, will serve as payment for such Property. The authorization limits of Article XI shall apply to such transactions.

XIV. REPORTING REQUIREMENTS

A. The Authority shall publish, not less frequently than annually, a report of all Property disposed of during the reporting period, including the full description, price (if any) received and the name of the purchaser for all such Property disposed of by the Authority during such period. Such report shall be prepared in conjunction with the report required by the Authority's "Guidelines and Procedures for the Disposal of Real Property."

B. Such report, as approved by the Board of Trustees, shall be submitted to the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the Authorities Budget Office.

C. These Guidelines, as approved by the Trustees, shall be reviewed and approved annually by the Authority's Board of Trustees. On or before the thirty-first day of March in each year, the Authority shall file with the State Comptroller a copy of the Guidelines most recently reviewed and approved by the Board of Trustees, including the name of the Authority's designated Contracting Officer. At the time of filing such Guidelines with the Comptroller, the Authority shall also post such Guidelines on the Authority's internet website and maintain such Guidelines on the website.

D. For disposal by negotiation of Property over $15,000, Property of any value related to the disposal of Real Property by exchange, or Property where part of the consideration received is Real Property, an explanatory statement shall be prepared and submitted to the parties as set forth in Subsection VI.A.5.

E. The Authority's Governance Committee meets at least three times per year. Staff from the Business Services Business Unit, or equivalent(s), prepare and present ongoing reports regarding disposals of personal property and real property.

F. The Authority may be called upon periodically to submit information regarding the Disposal of Personal Property to organizations implementing the PAAA or other statutes regulating the disposal of Property, such as the Authorities Budget Office through the Public Authorities Reporting Information System ("PARIS").

BID SHEET

The following personal property is available for sale "AS IS, WHERE IS" and the Power Authority gives no warranty whatsoever as to its condition.

LUMP SUM BID AMOUNT* $_____

Subject to all terms and conditions set forth on the reverse hereof, the undersigned offers and agrees to purchase the above-described personal property at the bid amount indicated.

Signature	Company Name
Name (Printed)	Street Address
Date	City, State, Zip Code
FAX number	Telephone number

* All sales are subject to New York State Sales Tax and Compensating Use Tax unless the Purchaser furnishes the Authority with an exemption certificate.

PERSONAL PROPERTY SALE
SALE NO. _____
NEW YORK POWER AUTHORITY
(ADDRESS OF PROJECT)
Telephone: ( )_____
FAX: ( )_____

Subject to the terms and conditions stated below, bids will be received on the personal property, either by mail, fax or hand delivery at the (Location) _____ no later than (Date) _____.

The personal property is available for inspection, by appointment, at the (Project) _____. For an appointment, please contact the Property Disposal Coordinator, (Name) _____ at (Telephone no.) _____.

Successful bidders will be required to pay by certified check, on notice from the Authority that the bid has been accepted, and remove the personal property from the Authority's premises within ten (10) calendar days after receipt of notice of award.

Envelopes containing bids submitted by mail should be marked on the outside to indicate that a bid on Sale No. ____ is enclosed.

Current and former employees of the Power Authority or relatives of such employees or third parties acting on behalf of such employees or relatives are ineligible to bid and are prohibited from subsequently acquiring such personal property in any manner.

1. **INSPECTION.** Bidders are invited, urged and cautioned to inspect the personal property being sold prior to submitting a bid. The personal property will be available for inspection at the time and place specified above. In no case will failure to inspect constitute grounds for the withdrawal of a bid after opening.

2. **CONDITION OF PROPERTY**. All personal property listed is offered for sale "AS IS, WHERE IS". The Authority does not in any way warrant the fitness of the personal property for any particular use or its merchantability and disclaims any other representations or warranties, express or implied, including, but not limited to, quality, character, performance or condition of the personal property or any of its component parts, assemblies, or accessories.

3. **CONSIDERATION OF BIDS.** Bids must be submitted in writing on the form provided by the Authority (see reverse side) and shall be submitted on all items listed. The Authority reserves the right to reject any and all bids, to waive technical defects in bids and to award sale of the items as may be in the best interest of the Authority.

4. **PAYMENT.** The Purchaser agrees to pay for the awarded personal property in accordance with the prices quoted in his/her bid. Payment of the full purchase price must be made within the time allowed for removal, and prior to the release of any personal property to the Purchaser.

5. **NEW YORK STATE SALES AND COMPENSATING USE TAX.** All sales will be subject to New York State Sales and Compensating Use Tax unless the Purchaser furnishes the Authority with an exemption certificate.

ADVERTISEMENT FOR PROPOSALS

The following described personal property, shall be sold "AS IS, WHERE IS" by the New York Power Authority ("the Authority").

1. Sealed bids are invited for the above, which will be available for inspection by inquiry at the (Location/Building) at the (Project and Address) between the hours of _____ a.m. to __ p.m. on (Date/s) . Bids must be submitted on the Authority's bid form, which can be obtained by calling (Telephone no.) . No bid will be accepted unless it is on such form. Bids shall be accepted on or before ___ p.m. on (Date) .

2. Current and former employees of the Authority or relatives of such employees or third parties seeking to act on behalf of such employees or relatives shall be ineligible to bid.

3. Successful bidders, on notice from the Authority, shall be required to pay by certified check and shall promptly remove the personal property from the Authority's property.

4. The Authority reserves the right to reject any and all bids.

PERSONAL PROPERTY
SALES AGREEMENT

_____, the Buyer, and the Power Authority of the State of New York ("the Authority"), agree as follows:

1) The personal property identified herein is sold by the Authority and purchased by Buyer "AS IS, WHERE IS" at the price(s) shown, plus any applicable sales tax.

2) **THE AUTHORITY DOES NOT IN ANY WAY WARRANT THE FITNESS OF THE PERSONAL PROPERTY FOR ANY PARTICULAR USE OR ITS MERCHANTABILITY AND DISCLAIMS ANY OTHER REPRESENTATIONS OR WARRANTIES, EXPRESS OR IMPLIED INCLUDING, BUT NOT LIMITED TO, THE QUALITY, CHARACTER, PERFORMANCE, OR CONDITION OF THE PERSONAL PROPERTY OR ANY OF ITS COMPONENT PARTS, ASSEMBLIES, OR ACCESSORIES.**

3) The Bidder warrants that he/she/it is not a current or former Authority employee, is not related to an Authority employee and did not bid on behalf of an Authority employee. Bidder is aware that Authority employees and their family members are precluded from subsequently receiving, or acquiring, in whole or in part, by any manner including gift, sale, loan or lease, the personal property acquired by the Bidder pursuant to this sale. The term "related to" as used in this paragraph means the relationships of spouse, child, parent, sister, brother, grandparent, grandchild, aunt, uncle, cousin, niece, nephew, stepchild, stepparent, stepsister, stepbrother, mother-in-law, father-in-law, sister-in-law, brother-in-law, daughter-in-law, or son-in-law. The Authority reserves the right to invoke any available legal or equitable remedy in the event of a breach by the Bidder of his or her warranty under this paragraph, including but not limited to, rescinding the sale and recovering the property sold and all costs associated with the sale and the rescission of said sale.

4) The Buyer shall indemnify and hold harmless the Authority and all of its officers, agents and employees from any loss, damage, remedial or response cost, liability or expense, on account of damage or contamination to property and injuries, including death, to all persons, including Buyer's employees, or any third parties, arising or in any manner growing out of the sale of any personal property or the performance of any work under this agreement and shall defend at its own expense any suits or other proceedings brought against the Authority and its officers, agents and employees, or any of them, on account thereof, and pay all expenses and satisfy all judgments which may be incurred by or rendered against them or any of them in connection therewith.

5) Except for disposals by public auction, the Buyer shall remove the personal property from the Authority's premises by _____ at Buyer's expense. The Buyer shall make payment upon delivery by certified check payable to the New York Power Authority.

Description of Personal Property:

Selling Price: _____

Executed this _____ day of _____, 20 _____.

Buyer (Print or Type):

_____

_____

_____

Seller:

Power Authority of the State of New York

123 Main Street

White Plains, New York 10601

_____
Authorized Signature

_____
Authorized Signature

_____
Full Name (Printed)

_____
Title

_____
Title

PRIVACY LAW NOTIFICATION

SECTION 94(1)(d) OF THE NEW YORK PUBLIC OFFICERS LAW REQUIRES THIS NOTICE TO BE PROVIDED WHEN COLLECTING PERSONAL INFORMATION FROM POTENTIAL PURCHASERS OF AUTHORITY PROPERTY.

This information is requested pursuant to Article 5, Title I of the Public Authorities Law. The principal purpose for which the information is collected is to assist the Power Authority of the State of New York in the sale of Authority personal property in accordance with Section 96(1) of the Personal Privacy Protection Law, particularly subdivisions (b), (e) and (f).

Failure to provide the requested information may result in ineligibility for participation in a program, sale or benefit provided by the Authority.

This information will be maintained by Fleet Operations, at the Power Authority of the State of New York, Clark Energy Center located at 6520 Glass Factory Road, Marcy, N.Y. 13403, (315) 724-8186 or, when appropriate, by the Procurement Department at the Corporate office or at one of the Authority facilities.

GUIDELINES

AND

PROCEDURES

FOR THE

DISPOSAL OF NEW YORK POWER AUTHORITY

PERSONAL PROPERTY

INDEX

Article	Description	Page
I.	PURPOSE……..1	
II.	DEFINITIONS……..1-2	
III.	OBJECTIVE……..2-3	
IV.	TRANSACTIONS NOT COVERED……....3	
V.	DESIGNATION OF PROPERTY DISPOSAL COORDINATORS…….....3-4	
VI.	PROCEDURES FOR DISPOSAL OF AUTHORITY PERSONAL PROPERTY…….....4-12	
VII.	CENTRALIZED DISPOSAL……...12-13	
VIII.	DECENTRALIZED DISPOSAL…….....13-14	
IX.	PARTIES PROHIBITED FROM BIDDING…….....14	
X.	EVALUATION OF PROPOSALS; AWARD OF CONTRACT……...15-17	
XI.	AUTHORIZATION LEVELS AND SIGNING AUTHORITY……17-1~~8~~9	
XII.	OTHER METHODS FOR DISPOSAL OF PERSONAL PROPERTY……19-20	
XIII.	METHODS OF PAYMENT…….....~~20~~ 21	
XIV.	REPORTING REQUIREMENTS…….21-22	
	ATTACHMENTS A - C	

Exhibit "A"
March 2~~1~~5, 201~~3~~4

GUIDELINES AND PROCEDURES FOR THE DISPOSAL OF NEW YORK POWER AUTHORITY PERSONAL PROPERTY

I. PURPOSE

These Guidelines and Procedures for the Disposal of Personal Property (hereinafter "Guidelines"), which comply with Title 5-A, Article 9 of the Public Authorities Law, establish the procedures that detail the Authority's policy and instructions regarding the use, award, monitoring and reporting of the disposal of personal property. In addition, the Guidelines designate a Contracting Officer who is responsible for the Authority's compliance with, and enforcement of, the Guidelines.

II. DEFINITIONS

A. "Contracting Officer" shall mean the officer or employee of the Authority who shall be appointed by resolution of the Authority's Board of Trustees to be responsible for the disposition of personal property. The "Contracting Officer" is hereby designated to be the ~~Senior~~ Vice President – ~~Corporate Support Services~~Procurement, or equivalent(s)~~, or a designee so stated in writing~~. The Authority's Contracting Officer shall not be responsible for compliance for dispositions of the Authority's personal property conducted by another state entity authorized to dispose of the Authority's personal property under the Public Authorities Accountability Act ("PAAA") or as otherwise authorized by law.

B. "Dispose" or "disposal" shall mean transfer of title or any other beneficial interest in personal property in accordance with these Guidelines.

C. "Property" shall mean personal property owned by the Authority with a value in excess of $5,000, and any other interest in such property, to the extent that such interest may be conveyed to another person for any purpose, excluding an interest securing a loan or other financial obligation of another party. For the purposes of these Guidelines, personal property may include, but is not limited to, materials, tools, equipment, or vehicles.

D. "Fair Market Value" shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for the Property in an arms-length transaction in the appropriate marketplace and under similar circumstances. Fair Market Value may be determined by consulting industry-recognized sources, contacting original suppliers, depreciation analysis, appraisals, fair market valuations by public auction or other methods of valuation generally accepted in the industry in which such Property is utilized, as may be approved by the Contracting Officer or authorized designee.

E. "Relative" is defined in Subsection X.G.1 of these Guidelines.

III. OBJECTIVE

The objective of these Guidelines is to identify those Authority personnel responsible for authorizing the disposal of Property owned by the Authority and to ensure that the Authority receives fair and reasonable value for such Property. The transfer or sale of Property shall be accounted for in accordance with the Authority's

Corporate Accounting Policy No. CAP 4.3 dated 7/27/11, Revision 3 -- "Accounting for Materials and Supplies Inventory."

IV. TRANSACTIONS NOT COVERED

These Guidelines do not apply to any of the following transactions:

1. Disposal of real property interests;
2. Exchange of Property with other utilities or power plant owners, where such owners will provide an identical or in-kind replacement;
3. Transfer/re-deployment of Property from one Authority facility to another Authority facility.

V. DESIGNATION OF PROPERTY DISPOSAL COORDINATORS

A. The Contracting Officer shall be responsible for the Authority's compliance with, and enforcement of, the Guidelines.

B. The individual responsible for disposal of all Property at the Authority's facilities will be the Director – Site Purchasing & Materials Management ("DSP&MM"). For purposes of Property disposal, the DSP&MM reports directly to the Contracting Officer or authorized designee.

C. The Manager - Purchasing ~~and /~~Warehouse ~~Manager~~ from each facility will be the local Property Disposal Coordinator for his or her facility or location ("Facility PDC"). The Facility PDC reports to the DSP&MM.

D. The Contracting Officer or authorized designee will designate one or more individuals from the White Plains Office Procurement Division to be the

Property Disposal Coordinator(s) for the White Plains, Albany and New York corporate offices ("WPO PDC"). For purposes of Property disposal, the WPO PDC(s) will confer, interface with and report to the Contracting Officer or authorized designee.

E. The Director of Fleet Operations ("DFO") or equivalent(s) or authorized designee is responsible for the disposal of vehicles and rolling equipment. The DFO reports directly to the Senior Vice President – ~~Corporate Support~~Enterprise Shared Services or authorized designee.

F. If appropriate, the responsible Facility PDC should confer (by oral or written communication) with the DSP&MM and the WPO PDC(s) should confer (by oral or written communication) with the Contracting Officer or authorized designee to determine if a "centralized" sale of Property, as outlined in Article VII, is appropriate. If agreed, the responsible PDC should arrange for shipment of the Property to be sold from the site to the sale location. If a centralized sale is not appropriate, the responsible PDC should proceed in accordance with the "decentralized" procedures, as outlined in Article VIII.

VI. PROCEDURES FOR DISPOSAL OF AUTHORITY PERSONAL PROPERTY

Except for Disposals pursuant to Article VII, Article XII and Subsection VI.A.4 of these Guidelines, whenever practicable, the responsible PDC shall solicit proposals from qualified bidders, to purchase the Property to be disposed of, and will maintain records of such solicitations. The PDC should use "Attachment A" attached hereto

or an appropriate substitute for solicitations under this Article VI.

A. **DISPOSAL METHODS FOR PERSONAL PROPERTY**

1. For the purposes of these Guidelines, Disposal methods may include, but are not limited to: sale (directly to the Buyer, through a third party, negotiation, well-advertised public auction that permits full and free competition consistent with the value and nature of the property or on any other centralized basis that achieves the same level of competition); return to the original equipment manufacturer or to the source; trade-ins or disposals as part of a competitive procurement; or disposal through the New York State Office of General Services ("OGS"). Provided, however, that no disposition of any Property, which because of its unique nature or the unique circumstances of the proposed transaction is not readily valued by reference to an active market for similar Property, shall be made unless an appraisal of the value of such Property has been made by an independent appraiser and included in the record of the transaction. The Authority's Environment, Health and Safety Division should be consulted, on a case-by-case basis, regarding disposal of items that may be considered hazardous waste.

2. Solicitation via telephone, e-mail and/or direct mailings may be used where the estimated Fair Market Value of the Property to be disposed of is greater than $5,000 but does not exceed $15,000. All bids must be submitted in writing on the forms or an appropriate substitute and in the

manner prescribed by this procedure and by the date and time (the "Bid Due Date") included in the solicitation.

3. All Disposals of Authority Property in excess of $15,000 shall be made after publicly advertising for bids except as provided in Subsection 4 below, using the following process:

   a. the appropriate advertisement for bids (Attachment "B" or an appropriate substitute) shall be made at such time prior to the Disposal, through such methods, and on such terms and conditions as shall permit full and free competition consistent with the value and nature of the Property. This may include advertisements in one or more of the following publications, depending on the nature of the Property: local newspapers in the geographic area of the facility where the sale is taking place, trade journals, regional or nationwide publications (if the market for such sale is regional or nationwide), the New York State Contract Reporter, internet services or other communication outlets as appropriate;

   b. all bids must be submitted in writing on the forms or an appropriate substitute and in the manner prescribed by this procedure and by the Bid Due Date included in the solicitation;

   c. all bids shall be publicly disclosed, at the time and place stated in the solicitation or by posting to the Authority's internet website; and

   d. the award shall be made with reasonable promptness by notice to the responsible bidder whose bid, conforms to the bid solicitation and is

most advantageous to the Authority, price and other factors considered; however, any bid may be rejected when in the public interest to do so at the Authority's sole discretion.

4. Disposals of Property may be negotiated or made by public auction without regard to Subsection 3 of this Section, but subject to obtaining such competition as is feasible under the circumstances, if:

   a. the Property involved has qualities separate from the utilitarian purpose of such Property, such as artistic quality, antiquity, historical significance, rarity or other quality of similar effect that would tend to increase its value, or if the Property is to be disposed of in such quantity that, if it were Disposed of under Subsection 3 of this Section, would adversely affect the state or local market for such Property, and the estimated Fair Market Value of such Property and other satisfactory terms of disposal may be obtained by negotiation;

   b. bid prices after advertising therefore are not reasonable, either as to all or part of the Property, or have not been independently arrived at in open competition;

   c. the Disposal will be to the state or any political subdivision, and the estimated Fair Market Value of the Property and other satisfactory terms of Disposal are obtained by negotiation;

   d. under those circumstances permitted by Subsection 6 of this Section;

   e. if the estimated or actual fair market value of the property does not exceed $15,000; or

f. such action is otherwise authorized by law.

5. An explanatory statement shall be prepared of the circumstances of each Disposal by negotiation of any Property which has an estimated Fair Market Value in excess of fifteen thousand dollars ($15,000). In addition, an explanatory statement shall be prepared of the circumstances of each Disposal by negotiation of any Property disposed of by exchange, regardless of value. Each such statement shall be transmitted to the Commissioner of General Services, the State Legislature, the State Comptroller, the Director of the Division of the Budget and the Authorities Budget Office, not less than ninety days in advance of such Disposal, and a copy thereof shall be preserved in the Authority's files.

6. a. No Property owned or otherwise in the control of the Authority may be disposed or otherwise alienated for less than its Fair Market Value except if:

(i) the transferee is a government or other public entity, and the terms and conditions of the transfer require that the ownership and use of the Property will remain with the government or any other public entity;

(ii) the purpose of the transfer is within the purpose, mission or governing statute of the Authority; or

(iii) in the event the Authority seeks to transfer Property for less than its Fair Market Value to other than a governmental entity, which Disposal would not be consistent with the

Authority's mission, purpose or governing statutes, the Authority shall provide written notification thereof to the Governor, the Speaker of the Assembly, and the Temporary President of the Senate, and such proposed transfer shall be subject to denial by the Governor, the Senate or the Assembly. Denial by the Governor shall take the form of a signed certification by the Governor. Denial by either House of the Legislature shall take the form of a resolution by such House. The Governor and each House of the Legislature shall take any such action within sixty days of receiving notification of such proposed transfer during the months of January through June, provided that if the Legislature receives notification of a proposed transfer during the months of July through December, the Legislature may take any such action within sixty days of January first of the following year. If no such resolution or certification is performed within sixty days of such notification of the proposed transfer to the Governor, Senate and Assembly, the Authority may effectuate such transfer provided, however, that with respect to a below-market transfer by the Authority that is not within the purpose, mission or governing statute of the Authority, if the governing statute provides for the approval of such transfer by the executive and legislative branches of the

political subdivision in which the Authority resides, and if the transfer is of Property obtained by the Authority from that political subdivision, then such approval shall be sufficient to permit the transfer.

b. In the event a below Fair Market Value Property transfer is proposed, the following information must be provided to the Authority's Board of Trustees and the public:

(i) a full description of the Property;

(ii) an appraisal of the Fair Market Value of the Property and any other information establishing the Fair Market Value sought by the Board of Trustees;

(iii) a description of the purpose of the transfer and a reasonable statement of the kind and amount of the benefit to the public resulting from the transfer, including but not limited to the kind, number, location, wages or salaries of jobs created or preserved as required by the transfer, the benefits, if any, to the communities in which the Property is situated as are required by the transfer;

(iv) a statement of the value to be received compared to the Fair Market Value;

(v) the names of any private parties participating in the transfer and, if different than the statement required by subparagraph

(iv) of this Subsection, a statement of the value to the private party; and

(vi) the names of other private parties who have made an offer for such Property, the value offered and the purpose for which the Property was sought to be used.

c. Before approving the Disposal of any Property for less than Fair Market Value, the Board of Trustees shall consider the information described in paragraph b of this Subsection and make a written determination that there is no reasonable alternative to the proposed below-market transfer that would achieve the same purpose of such transfer.

7. In cases where a Disposal of Property is made without competitive bidding and where the proposed contract price for the Property disposed of is less than Fair Market Value, a detailed explanation of the justification for making the Disposal without competitive bidding shall be prepared, and a certification shall be signed by the Chief Executive Officer and Chief Financial Officer of the Authority stating that they have reviewed the terms of such Disposal and have determined that it complies with applicable law and these Guidelines.

B. **DISPOSAL OPTIONS IF NO BIDS OR NO ACCEPTABLE BIDS ARE RECEIVED**

The appropriate PDC shall confer with the DSP&MM and the Contracting Officer or authorized designee to decide (i) if re-soliciting is feasible; (ii) if shipment to a third-party contractor for Disposal would result in higher-priced proposals; (iii) if disposal by other methods would be appropriate; and/or (iv) if the Fair Market Value estimate requires review or adjustment, where:

1. the solicitation pursuant to Section VI.A does not produce any bids to purchase the Property;
2. in the opinion of the Authority, the bids are not arrived at independently;
3. all bids are not reasonable in accordance with Section X.C; or
4. all bids received are less than the Authority's Fair Market Value estimate.

VII. CENTRALIZED DISPOSAL

A. Subject to the approval of the Contracting Officer or authorized designee and in accordance with Article VI of these Guidelines, in either the initial document authorizing the disposal or through a subsequent communication, Property may be disposed of using any of the following methods:

1. Shipment of the material to a third-party vendor(s), selected by competitive bidding, which, pursuant to these Guidelines, will market the

material for sale or dispose of such material in accordance with environmental and any other Authority requirements.

2. Consolidation of such Property at one of the Authority's facilities or an offsite warehouse for the purpose of conducting a sale managed by Authority staff, possibly with the assistance of an outside contractor.

3. Participation in public auctions provided the advertisement for bids through such methods permits full and free competition consistent with the value and nature of the property, as may be conducted through an independent auctioneer, online auction service, or another utility.

VIII. DECENTRALIZED DISPOSAL

A. The Regional Manager, Project Manager, or head of a Department or Division requiring disposal of Property which he or she believes to be surplus, will submit to the responsible PDC a written description of the material, with the original price (if known), and estimate of the Property's Fair Market Value (if available). If practical, a photograph of the material or equipment in question should be provided. Such submission shall be made to the responsible PDC for the location where the Property is located.

B. If the responsible PDC, in conference with either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, determines that other Authority facilities may have an interest in the Property, a notice should be sent to the other Authority facilities advising of its availability and requesting a response within a specified time frame. A record of the notice

will be maintained by the responsible PDC. In the event that the responsible PDC and either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, determine there is no interest in such material at other Authority facilities, a written explanation should be prepared by the PDC to that effect and maintained in the file for that transaction.

C. If no response to the notice is received, the responsible PDC will solicit bids for the purchase of such Property in accordance with the procedures described in Article VI.

IX. PARTIES PROHIBITED FROM BIDDING

A. All current and former employees of the Authority and relatives of such employees or third parties acting on behalf of such employees shall not be eligible to bid for the purchase of Authority Property and are prohibited from subsequently acquiring it in any manner. Each bidder will be required, as part of his or her bid, to certify, by signing Attachment "A," that he or she is not a current or former employee of the Authority, is not related to any current or former employee of the Authority and is not acting on behalf of a current or former employee of the Authority or a relative of any such employee. No bid will be accepted unless accompanied by such certification.

B. The term "related to" as used in paragraph A above means the relationship of spouse, child, parent, sister, brother, grandparent, grandchild, aunt, uncle, cousin, niece, nephew, stepchild, stepparent, stepsister, stepbrother, mother-in-law, father-in-law, sister-in-law, brother-in-law, daughter-in-law or son-in-law.

X. EVALUATION OF PROPOSALS; AWARD OF CONTRACT

A. Following the receipt of proposals for the Property, the responsible PDC shall evaluate the proposals submitted and determine whether the highest of such proposals is reasonable, given the estimated Fair Market Value of the Property.

B. If the responsible PDC determines that the highest bid received is reasonable, the responsible PDC shall recommend to the Responsible Officer(s), as hereinafter defined in Article XI, that such bid be accepted, and upon the written approval of the Responsible Officer(s), the sale shall be made to the person offering such proposal. After obtaining all necessary approvals in accordance with Article XI "Authorization Levels," a Sales Agreement appended hereto ("Attachment C") must be executed by the responsible Authority staff member and by the successful bidder prior to completion of the transaction.

C. If either (a) the responsible PDC determines that the highest bid is not reasonable or (b) the Responsible Officer(s) decline(s) to authorize the sale, the Property will, except as provided in paragraph D below, be retained for future disposal in accordance with these Guidelines. Factors to be considered in determining whether a bid is reasonable include, but are not limited to: adequacy of the estimate of the Fair Market Value, anticipated improved future market conditions, potential for other means of disposal or redeployment, financial viability of the bidder, and condition of the Property.

D. Notwithstanding any determination by the responsible PDC, the Responsible Officer(s), with the review and approval of the Contracting Officer, may direct the sale of the Property to the person or firm submitting the highest bid.

E. No Authority employee who is involved in the award of Authority grants or contracts, may ask any officer, director or employee of such current or prospective contractor or grantee to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party, elected official, or candidate for elective office; or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

F. No Authority employee may award or decline to award any grant or contract, or recommend, promise or threaten to do so because of a current or prospective grantee's or contractor's: (a) refusal to answer any inquiry prohibited by Section E above or (b) giving or withholding or neglecting to make any contribution of money, service or any other valuable thing for any political purpose.

G. No Authority employee may take part in any contracting decision involving the payment of $1,000 or more: (i) to a Relative; or (ii) to any entity in which a Relative owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If a contracting matter arises relating to

this Section G, then the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

1. For purposes of this Section G, the term "Relative" shall mean any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant.

XI. AUTHORIZATION LEVELS AND SIGNING AUTHORITY

A. For the purposes of these Guidelines, the Responsible Officer(s) will in each case review the appropriateness of the Fair Market Value estimate and the recommendation for contract award for disposal of the Property. Responsible Officers are designated as follows:

1. The Board of Trustees, if the Fair Market Value of the Property is greater than $1,000,000 or if the Disposal is for less than Fair Market Value in accordance with paragraph VI.A.6; or

2. The President or the Chief Operating Officer or equivalent(s), if the Fair Market Value of the Property is up to $1,000,000; or

~~2.~~3. The Executive Vice President and Chief Financial Officer or equivalent(s), if the Fair Market Value of the Property is up to $500,000; or

~~3.~~4. For Fleet-related transactions, ~~T~~the Senior Vice President – ~~Corporate Support~~Enterprise Shared Services or equivalent(s), if the Fair Market Value of the Property is up to $500,000; or

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~~4.~~5. The Vice President – Procurement or equivalent(s), if the Fair Market Value of the Property is up to $250,000; or

~~5.~~6. The DSP&MM, if the Fair Market Value of the Property is up to $50,000; or

~~6.~~7. The responsible PDC, with the prior written approval of either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, if the Fair Market Value of the Property is $5,000 or less.

B. For public auctions or similar centralized disposals, such authorization should be obtained prior to submitting Property to auction based on the estimated Fair Market Value of the Property.

1. For purposes of these Guidelines, the Director - Fleet Operations ("DFO") or equivalent(s) and DSP&MM are authorized to sign Disposal Sales Agreements based upon the provisions of Section XI.A above whereby the DFO is authorized to sign fleet-related sales agreements and the DSP&MM may sign both fleet and non-fleet sales agreements.

C. For decentralized disposals, such authorization should be obtained prior to signing of Sales Agreement or award of contract, in accordance with the Authorization Levels set forth in Section XI.A. Sales Agreements for individual disposal transactions through a decentralized sale should be signed in accordance with the limits set forth in the Authority's Expenditure Authorization Procedures – Attachment C.

D. The foregoing provisions of this section shall not apply to the sale of energy and fuel. Such authorization shall be governed by the transaction authorization limits for energy and energy-related financial transactions, as adopted by the Authority's Board of Trustees.

XII. OTHER METHODS FOR DISPOSAL OF PERSONAL PROPERTY

A. Disposals as Part of a Competitive Procurement

These Guidelines are not intended to restrict disposals as part of a competitive procurement, including trade-ins, where the procurement is competitively bid and awarded in accordance with the Authority's Guidelines for Procurement Contracts and Fair Market Value can be obtained for the Property. Any such proposed disposal must be included as part of the solicitation of bids for the procurement. The solicitation must also include an estimated Fair Market Value of the Property or minimum bid amount. The disposal or trade-in value must be stated in the proposals from bidders. When disposing of Property as part of a competitive procurement, the Authority may consider the cost difference between the accepted proposal and the next lowest responsive proposal as part of the consideration for the disposal of the Property.

B. Return to the Original Equipment Manufacturer ("OEM") or to the Source

For Property with a Fair Market Value of $15,000 or less, return of materials to the OEM or the source is permissible provided that the Authority receives

full value for any materials equal to the price paid by the Authority or the estimated Fair Market Value of the Property. In the event a re-stocking fee is charged by the OEM or the source, the DSP&MM or the Contracting Officer or authorized designee, as appropriate, shall be consulted to determine if such a re-stocking fee is reasonable and if there are other opportunities for sale of such material. Approval of all such returns to the OEM or the source when a re-stocking fee is charged, must be in accordance with the Authorization Levels delineated in Section XI.A.

C. **Disposal through the New York State Office of General Services (OGS)**

The Authority may utilize OGS for Disposal of Authority-owned Property including on-line disposal methods offered by OGS. In addition, in accordance with New York State law, surplus computers and related accessories, surplus office furniture, and other equipment may, with the approval of the Contracting Officer or authorized designee, be transferred to OGS for disposition, in the case of computers and accessories to school districts located near Authority offices or operating facilities, or in the case of office furniture and office equipment, to other state entities. Disposal of these items in this manner represents the best value to New York State in lieu of attempted re-sale of such materials.

XIII. METHODS OF PAYMENT

The proceeds from the sale of Property in the form of cash or a certified check made payable to the Authority must be forwarded to the Authority's Treasurer by the Facility PDCs and to the Authority's Controller's Office by the DSP&MM and WPO PDC(s). In certain cases involving a transfer of Property to other state agencies or authorities, the performance of documented services to the Authority equal to or greater in value to the Fair Market Value of the Property, will serve as payment for such Property. The authorization limits of Article XI shall apply to such transactions.

XIV. REPORTING REQUIREMENTS

A. The Authority shall publish, not less frequently than annually, a report of all Property disposed of during the reporting period, including the full description, price (if any) received and the name of the purchaser for all such Property disposed of by the Authority during such period. Such report shall be prepared in conjunction with the report required by the Authority's "Guidelines and Procedures for the Disposal of Real Property."

B. Such report, as approved by the Board of Trustees, shall be submitted to the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the Authorities Budget Office.

C. These Guidelines, as approved by the Trustees, shall be reviewed and approved annually by the Authority's Board of Trustees. On or before the thirty-first day of March in each year, the Authority shall file with the State

Comptroller a copy of the Guidelines most recently reviewed and approved by the Board of Trustees, including the name of the Authority's designated Contracting Officer. At the time of filing such Guidelines with the Comptroller, the Authority shall also post such Guidelines on the Authority's internet website and maintain such Guidelines on the website.

D. For disposal by negotiation of Property over $15,000, Property of any value related to the disposal of Real Property by exchange, or Property where part of the consideration received is Real Property, an explanatory statement shall be prepared and submitted to the parties as set forth in Subsection VI.A.5.

E. The Authority's Governance Committee meets at least three times per year. Staff from the ~~Corporate Support~~Business Services Business Unit, or equivalent(s), prepare and present ongoing reports regarding disposals of personal property and real property.

F. The Authority may be called upon periodically to submit information regarding the Disposal of Personal Property to organizations implementing the PAAA or other statutes regulating the disposal of Property, such as the Authorities Budget Office through the Public Authorities Reporting Information System ("PARIS").

BID SHEET

The following personal property is available for sale "AS IS, WHERE IS" and the Power Authority gives no warranty whatsoever as to its condition.

LUMP SUM BID AMOUNT* $_____

Subject to all terms and conditions set forth on the reverse hereof, the undersigned offers and agrees to purchase the above-described personal property at the bid amount indicated.

_____	_____
Signature	Company Name
_____	_____
Name (Printed)	Street Address
_____	_____
Date	City, State, Zip Code
_____	_____
FAX number	Telephone number

* All sales are subject to New York State Sales Tax and Compensating Use Tax unless the Purchaser furnishes the Authority with an exemption certificate.

PERSONAL PROPERTY SALE
SALE NO. _____
NEW YORK POWER AUTHORITY
(ADDRESS OF PROJECT)
Telephone: ( )_____
FAX: ( )_____

Subject to the terms and conditions stated below, bids will be received on the personal property, either by mail, fax or hand delivery at the (Location)_____ no later than (Date)_____.

The personal property is available for inspection, by appointment, at the (Project)_____. For an appointment, please contact the Property Disposal Coordinator, (Name)_____ at (Telephone no.)_____.

Successful bidders will be required to pay by certified check, on notice from the Authority that the bid has been accepted, and remove the personal property from the Authority's premises within ten (10) calendar days after receipt of notice of award.

Envelopes containing bids submitted by mail should be marked on the outside to indicate that a bid on Sale No. ____ is enclosed.

Current and former employees of the Power Authority or relatives of such employees or third parties acting on behalf of such employees or relatives are ineligible to bid and are prohibited from subsequently acquiring such personal property in any manner.

1. **INSPECTION.** Bidders are invited, urged and cautioned to inspect the personal property being sold prior to submitting a bid. The personal property will be available for inspection at the time and place specified above. In no case will failure to inspect constitute grounds for the withdrawal of a bid after opening.

2. **CONDITION OF PROPERTY**. All personal property listed is offered for sale "AS IS, WHERE IS". The Authority does not in any way warrant the fitness of the personal property for any particular use or its merchantability and disclaims any other representations or warranties, express or implied, including, but not limited to, quality, character, performance or condition of the personal property or any of its component parts, assemblies, or accessories.

3. **CONSIDERATION OF BIDS.** Bids must be submitted in writing on the form provided by the Authority (see reverse side) and shall be submitted on all items listed. The Authority reserves the right to reject any and all bids, to waive technical defects in bids and to award sale of the items as may be in the best interest of the Authority.

4. **PAYMENT.** The Purchaser agrees to pay for the awarded personal property in accordance with the prices quoted in his/her bid. Payment of the full purchase price must be made within the time allowed for removal, and prior to the release of any personal property to the Purchaser.

5. **NEW YORK STATE SALES AND COMPENSATING USE TAX.** All sales will be subject to New York State Sales and Compensating Use Tax unless the Purchaser furnishes the Authority with an exemption certificate.

ADVERTISEMENT FOR PROPOSALS

The following described personal property, shall be sold "AS IS, WHERE IS" by the New York Power Authority ("the Authority").

1. Sealed bids are invited for the above, which will be available for inspection by inquiry at the (Location/Building) at the (Project and Address) between the hours of _____ a.m. to __ p.m. on (Date/s) . Bids must be submitted on the Authority's bid form, which can be obtained by calling (Telephone no.) . No bid will be accepted unless it is on such form. Bids shall be accepted on or before ___ p.m. on (Date) .

2. Current and former employees of the Authority or relatives of such employees or third parties seeking to act on behalf of such employees or relatives shall be ineligible to bid.

3. Successful bidders, on notice from the Authority, shall be required to pay by certified check and shall promptly remove the personal property from the Authority's property.

4. The Authority reserves the right to reject any and all bids.

PERSONAL PROPERTY
SALES AGREEMENT

_____, the Buyer, and the Power Authority of the State of New York ("the Authority"), agree as follows:

1) The personal property identified herein is sold by the Authority and purchased by Buyer "AS IS, WHERE IS" at the price(s) shown, plus any applicable sales tax.

2) **THE AUTHORITY DOES NOT IN ANY WAY WARRANT THE FITNESS OF THE PERSONAL PROPERTY FOR ANY PARTICULAR USE OR ITS MERCHANTABILITY AND DISCLAIMS ANY OTHER REPRESENTATIONS OR WARRANTIES, EXPRESS OR IMPLIED INCLUDING, BUT NOT LIMITED TO, THE QUALITY, CHARACTER, PERFORMANCE, OR CONDITION OF THE PERSONAL PROPERTY OR ANY OF ITS COMPONENT PARTS, ASSEMBLIES, OR ACCESSORIES.**

3) The Bidder warrants that he/she/it is not a current or former Authority employee, is not related to an Authority employee and did not bid on behalf of an Authority employee. Bidder is aware that Authority employees and their family members are precluded from subsequently receiving, or acquiring, in whole or in part, by any manner including gift, sale, loan or lease, the personal property acquired by the Bidder pursuant to this sale. The term "related to" as used in this paragraph means the relationships of spouse, child, parent, sister, brother, grandparent, grandchild, aunt, uncle, cousin, niece, nephew, stepchild, stepparent, stepsister, stepbrother, mother-in-law, father-in-law, sister-in-law, brother-in-law, daughter-in-law, or son-in-law. The Authority reserves the right to invoke any available legal or equitable remedy in the event of a breach by the Bidder of his or her warranty under this paragraph, including but not limited to, rescinding the sale and recovering the property sold and all costs associated with the sale and the rescission of said sale.

4) The Buyer shall indemnify and hold harmless the Authority and all of its officers, agents and employees from any loss, damage, remedial or response cost, liability or expense, on account of damage or contamination to property and injuries, including death, to all persons, including Buyer's employees, or any third parties, arising or in any manner growing out of the sale of any personal property or the performance of any work under this agreement and shall defend at its own expense any suits or other proceedings brought against the Authority and its officers, agents and employees, or any of them, on account thereof, and pay all expenses and satisfy all judgments which may be incurred by or rendered against them or any of them in connection therewith.

5) Except for disposals by public auction, the Buyer shall remove the personal property from the Authority's premises by _____ at Buyer's expense. The Buyer shall make payment upon delivery by certified check payable to the New York Power Authority.

Description of Personal Property:

Selling Price: _____

Executed this _____ day of _____, 20 _____.

Buyer (Print or Type):	Seller:
_____	Power Authority of the State of New York
_____	123 Main Street
_____	White Plains, New York 10601
_____	_____
Authorized Signature	Authorized Signature
_____	
Full Name (Printed)	
_____	_____
Title	Title

PRIVACY LAW NOTIFICATION

SECTION 94(1)(d) OF THE NEW YORK PUBLIC OFFICERS LAW REQUIRES THIS NOTICE TO BE PROVIDED WHEN COLLECTING PERSONAL INFORMATION FROM POTENTIAL PURCHASERS OF AUTHORITY PROPERTY.

This information is requested pursuant to Article 5, Title I of the Public Authorities Law. The principal purpose for which the information is collected is to assist the Power Authority of the State of New York in the sale of Authority personal property in accordance with Section 96(1) of the Personal Privacy Protection Law, particularly subdivisions (b), (e) and (f).

Failure to provide the requested information may result in ineligibility for participation in a program, sale or benefit provided by the Authority.

This information will be maintained by Fleet Operations, at the Power Authority of the State of New York, Clark Energy Center located at 6520 Glass Factory Road, Marcy, N.Y. 13403, (315) 724-8186 or, when appropriate, by the Procurement Department at the Corporate office or at one of the Authority facilities.

Exhibit "A-1"
March 25, 2014

POWER AUTHORITY OF THE STATE OF NEW YORK

2013 ANNUAL REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER $5,000

DESCRIPTION	PURCHASER	PRICE RECEIVED by the Authority
GENERATOR STEP-UP TRANSFORMER AT B-G PROJECT	TCI OF NY LLC	$ 106,106.68
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 10,956.00
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 46,581.85
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 26,628.68
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 7,441.87
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 5,005.48
SURPLUS GENERATOR TURBINE BLADES AND MIXING ELBOWS AT FLYNN PROJECT	GERSHOW RECYCLING	$ 34,336.80

POWER AUTHORITY OF THE STATE OF NEW YORK

2013 ANNUAL REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER $5,000

DESCRIPTION	PURCHASER	PRICE RECEIVED by the Authority
SCRAP METALS AT ST. LAWRENCE PROJECT	CASELLA WASTE SERVICES	$ 15,498.50
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 6,889.29
	SUBTOTAL:	$ 259,445.15

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER $5,000 *
FLEET OPERATIONS

DESCRIPTION	PURCHASER	PRICE RECEIVED by the Authority	
1994 YALE FORK FORKLIFT	WILLIAM WEAVER	$	8,350.00
1999 CAT D4CXL DOZER	JEFFREY C IRWIN	$	24,860.00
2000 FONTAINE TRAILER	CHRIST RECYCLING LLC	$	6,300.00
2000 FONTAINE TRAILER	AP PROPERTY SERVICES LLC	$	5,740.00
2000 JOHN DEERE BACKHOE 410E	LAYO'S AUTO PARTS & SERVICE	$	29,910.00
2000 KOBELCO ED180 EXCAVATOR	NORTHERN SKY FARMS	$	28,860.00
2001 JOHN DEERE 200LC EXCAVATOR	BUCK CONSTRUCTION LLC	$	57,360.00
2001 JOHN DEERE 624 LOADER	AP PROPERTY SERVICES LLC	$	36,860.00
2001 TRAILKING LOWBOY TRAILER	SCOTT SMITH 24 HR TOWING	$	24,300.00
2002 FORD F350 PICKUP	WILLIAM JUNE	$	7,600.00
2002 FORD F550 BUCKET TRUCK	VILLAGE TRUCK SALES INC	$	11,860.00
2003 FORD F550 STAKE RACK TRUCK	VILLAGE TRUCK SALES INC	$	10,400.00
2003 JACOBSEN MOWER HR5111	JOHN S KOMACEK	$	6,410.00
2004 FORD F550 TOW TRUCK	DAVE'S SERVICE CENTER	$	19,650.00
2004 PISTEN BULLY CANYON	PANELLA'S COLLISION INC	$	16,360.00
2005 CHEVY K1500 PICKUP	L & L SURPLUS OF UTICA INC	$	5,560.00
2005 CHEVY K250 PICKUP W/ PLOW	BJ MOTORS & DETAILING	$	9,000.00
2005 CHEVY K2500 PICKUP	SENECALS	$	10,060.00
2005 CHEVY SUBURBAN	WILLIAM WEAVER	$	8,300.00
2005 CHEVY TAHOE	JIMENEZ & SON CONSTRUCTION CO INC	$	10,110.00
2005 FORD ESCAPE-HYBRID	ANTHONY J BURNETT	$	6,360.00
2005 FORD ESCAPE-HYBRID	SDE EQUIPMENT SALES	$	5,760.00

* Includes items where the Sale Price and/or the estimated Fair Market Value exceeded $5,000.

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER $5,000 *
FLEET OPERATIONS

DESCRIPTION	PURCHASER	PRICE RECEIVED by the Authority	
2006 FORD E350 VAN	BUCK CONSTRUCTION LLC	$	5,860.00
2006 FORD ESCAPE-HYBRID	AP PROPERTY SERVICES LLC	$	5,860.00
2006 FORD ESCAPE-HYBRID	PRONTO TRANSPORT INC	$	5,160.00
2006 FORD ESCAPE-HYBRID	JOHN M MCGUIRE	$	6,160.00
2006 HONDA ACCORD-HYBRID	NORTHLAND MOTORS	$	6,660.00
2007 CHEVY TAHOE	ERIC CHAPMAN	$	11,150.00
2007 CHEVY TAHOE	AP PROPERTY SERVICES LLC	$	13,960.00
2007 FORD ESCAPE-HYBRID	PRONTO TRANSPORT INC	$	5,260.00
2007 FORD F350 PICKUP	BJ MOTORS & DETAILING	$	18,400.00
2007 TOYOTA HIGHLANDER-HYBRID	M & M BROS CAR SALES INC	$	9,160.00
2007 TOYOTA PRIUS-HYBRID	BRAVO AUTO SALES INC	$	5,460.00
2007 TOYOTA PRIUS-HYBRID	BRAVO AUTO SALES INC	$	5,760.00
2008 CHEVY IMPALA	DANIEL MUNTER	$	5,160.00
2008 FORD ESCAPE-HYBRID	SENECALS	$	8,860.00
2008 FORD F250 PICKUP	BJ MOTORS & DETAILING	$	16,700.00
2008 FORD F250 PICKUP	BJ MOTORS & DETAILING	$	15,600.00
2008 FORD F250 PICKUP	BJ MOTORS & DETAILING	$	14,650.00
2008 JACOBSEN MOWER HR9016	MOHAWK EQUIPMENT CORP	$	12,910.00
2009 DODGE DURANGO	SENECALS	$	5,860.00
2009 DODGE DURANGO	BRAVO AUTO SALES INC	$	6,660.00
2011 CHEVY VOLT	TONI PANEK	$	8,300.00
	FLEET SUBTOTAL:	$	543,520.00

* Includes items where the Sale Price and/or the estimated Fair Market Value exceeded $5,000.

POWER AUTHORITY OF THE STATE OF NEW YORK
2013 ANNUAL REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER $5,000 *
FLEET OPERATIONS

DESCRIPTION	PURCHASER	PRICE RECEIVED by the Authority
TRANSFERRED TO NYS OGS w/o charge:		
2005 FORD ESCAPE	**NYS OGS - FLEET MANAGEMENT**	**N/A**

FLEET SUBTOTAL Page 4:	**$ 543,520.00**
+ SUBTOTAL Page 2:	**$ 259,445.15**
GRAND TOTAL:	**$ 802,965.15**

* Includes items where the Sale Price and/or the estimated Fair Market Value exceeded $5,000.

Exhibit “A”
March 25, 2014

GUIDELINES

AND

PROCEDURES

FOR THE

DISPOSAL OF NEW YORK POWER AUTHORITY

REAL PROPERTY

Exhibit "A"
March 25, 2014

INDEX

Article	Description	Page
I.	PURPOSE…….....……...1	
II.	DEFINITIONS…….....1-2	
III.	COMPLIANCE OVERVIEW…….……2	
IV.	DUTIES OF THE DIRECTOR OF REAL ESTATE……..3	
V.	PROCEDURES FOR THE DISPOSITION OF AUTHORITY REAL PROPERTY……..3-8	
VI.	AUTHORITY REAL PROPERTY REPORTS…….....8-9	
VII.	APPROVAL OF GUIDELINES BY THE AUTHORITY'S BOARD…….....9	

Exhibit "A"
March 25, 2014

GUIDELINES AND PROCEDURES FOR THE DISPOSAL OF NEW YORK POWER AUTHORITY REAL PROPERTY

I. PURPOSE

The purpose of these Guidelines and Procedures for the Disposal of Real Property ("Guidelines"), which comply with Title 5-A, Article 9 of the Public Authorities Law, is to establish the procedures that detail the Authority's policy and instructions regarding the disposal of real property. In addition, the Guidelines designate a Contracting Officer who is responsible for the Authority's compliance with, and enforcement of, the Guidelines.

II. DEFINITIONS

2.1 "Contracting Officer" shall mean the officer or employee of the Authority who shall be appointed by resolution of the Authority's Trustees to be responsible for enforcement of the Guidelines for the Disposal of Real Property. The "Contracting Officer" is hereby designated to be the Vice President – Procurement, or equivalent(s) or designee.

2.2 "Dispose" or "Disposal" shall mean transfer of title or any other beneficial interest in real property in accordance with these Guidelines. Disposal does not include a release of an easement, Permit or Transfer of Jurisdiction.

2.3 "Fair Market Value" shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for the Real Property in an arms-length transaction in the appropriate marketplace and under similar circumstances.

2.4 "Permits" shall mean permits issued by the Authority that grant revocable privileges to use or access real property under the jurisdiction of the Authority. Permits may be issued where the real property is not presently required for Authority purposes, but held for future use in carrying out its corporate purposes. Permits do not transfer a beneficial interest in real property. Permits are revocable, in part to assure availability upon demand of the real property for Authority purposes.

2.5 "Real Property" shall mean real property, including land, tenements and hereditaments owned by the Authority, and any other interest in such real property, to the extent that such interest may be conveyed to another person or entity for any purpose, excluding an interest securing a loan or other financial obligation of another party.

2.6 "Relative" shall mean any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant.

2.7 "Transfers of Jurisdiction" shall mean transactions pursuant to Public Lands Law §3(4) and which are between the Authority and other State governmental entities where jurisdiction over Real Property is transferred and reassigned on such terms and conditions as the Authority and the New York State Office of General Services deem proper, but the title to the Real Property remains in the name of the People of the State of New York.

III. COMPLIANCE OVERVIEW

The Public Authorities Accountability Act ("PAAA") requires the Authority to establish policy guidelines to accomplish the following:

3.1 Maintain inventory controls and accountability systems for all Real Property under the Authority's control.

3.2 Periodically inventory Authority Real Property to determine which Real Property shall be disposed of.

3.3 Dispose of Authority Real Property interests in accordance with the PAAA.

3.4 Prepare annual reports of Real Property Disposal transactions.

IV. DUTIES OF THE DIRECTOR OF REAL ESTATE

4.1 The Director of Real Estate or equivalent(s) or designee shall maintain adequate inventory controls and accountability systems for all Real Property under the Authority's control.

4.2 The Director of Real Estate or equivalent(s) or designee shall periodically inventory Authority Real Property to determine which Authority Real Property shall be Disposed of and shall prepare a report identifying such Real Property for Disposal.

4.3 The Director of Real Estate or equivalent(s) or designee shall produce for publishing written reports of such Real Property as set forth in Article VI of these Guidelines.

4.4 The Director of Real Estate or equivalent(s) or designee shall arrange for the Disposal of any Real Property identified for Disposal by the Authority in accordance with these Guidelines and the Authority's Expenditure Authorization Procedures and as soon as reasonably practical under the circumstances.

V. PROCEDURES FOR THE DISPOSITION OF AUTHORITY REAL PROPERTY

5.1 The Authority may Dispose of Real Property for not less than the Fair Market Value of such Real Property by sale, exchange, or transfer, for cash, credit or other property, without warranty, and upon such other terms and conditions as the Contracting Officer deems proper under the provisions of the PAAA and as implemented by these Guidelines. Fair Market Value of the Authority Real Property subject to Disposal shall be established by independent appraisal as appropriate and consistent with the intent of the PAAA. Such appraisal documents shall be included in the record of the Real Property Disposal transaction.

5.2 Except as set forth in Section 5.3 of the Guidelines, any Disposal of Real Property shall only be made after publicly advertising for bids in accordance with the following:

5.2.1 the advertisement for bids shall be made at such time prior to the Disposal or contract, through such methods, and on such terms and conditions as shall permit full and free competition consistent with the value and nature of the Real Property;

5.2.2 all bids shall be publicly disclosed at the time and place stated in the advertisement; and

5.2.3 the award shall be made with reasonable promptness by notice to the responsible bidder whose bid, conforming to the invitation for bids, will be most advantageous to the Authority, price and other factors considered; provided, that all bids may be rejected when it is in the public interest to do so.

5.3.a The Disposal of Authority Real Property may be negotiated or made by public auction without regard to Section 5.2 but subject to obtaining such competition as is feasible under the circumstances, if:

1. the Fair Market Value of the Real Property does not exceed fifteen thousand dollars ($15,000.00); or

2. bid prices after advertising therefore are not reasonable, either as to all or some part of the Real Property, or have not been independently arrived at in open competition; or

3. the Disposal will be to the state or any political subdivision, and the estimated Fair Market Value of the Real Property and other satisfactory terms of Disposal are obtained by negotiation; or

4. under those circumstances permitted by Section 5.3; or

5. such action is otherwise authorized by law.

5.3.b.1 No Real Property owned, leased or otherwise in the control of the Authority may be sold, leased, or otherwise alienated for less than its Fair Market Value except if:

a. the transferee is a government or other public entity, and the terms and conditions of the transfer require that the ownership and use of the Real Property will remain with the government or any other public entity;

b. the purpose of the transfer is within the purpose, mission or governing statute of the Authority; or

c. in the event the Authority seeks to transfer Real Property for less than its Fair Market Value to other than a governmental entity, which Disposal would not be consistent with the Authority's mission, purpose or governing statutes, the Authority shall provide written notification thereof to the Governor, the Speaker of the Assembly, and the Temporary President of the Senate, and such proposed transfer shall be subject to denial by the Governor, the Senate, or the Assembly. Denial by the Governor shall take the form of a signed certification by the Governor. Denial by either House of the Legislature shall take the form of a resolution by such House. The Governor and each House of the Legislature shall take any such action within sixty days of receiving notification of such proposed transfer during the months of January through June, provided that if the Legislature receives notification of a proposed transfer during the months of July through December, the Legislature may take any such action within sixty days of January first of the following year. If no such resolution or certification is performed within sixty days of such notification of the proposed transfer to the Governor, Senate, and Assembly, the Authority may effectuate such transfer.

5.3.b.2. In the event a below Fair Market Value Real Property transfer is proposed, the following information must be provided to the Authority’s Board of Trustees and the public:

a. a full description of the Real Property;

b. an appraisal of the Fair Market Value of the Real Property and any other information establishing the Fair Market Value sought by the Authority's Board of Trustees;

c. a description of the purpose of the transfer, and a reasonable statement of the kind and amount of the benefit to the public resulting from the transfer, including but not limited to the kind, number, location, wages or salaries of jobs created or preserved as required by the transfer, the benefits, if any, to the communities in which the Real Property is situated as are required by the transfer;

d. a statement of the value to be received compared to the Fair Market Value;

e. the names of any private parties participating in the transfer, and if different than the statement required by subparagraph (iv) of this paragraph, a statement of the value to the private party; and

f. the names of other private parties who have made an offer for such Real Property, the value offered, and the purpose for which the Real Property was sought to be used.

5.3.b.3. Before approving the Disposal of any Real Property for less than Fair Market Value, the Authority's Board of Trustees shall consider the information described in Paragraph 5.3.b.2 and make a written determination that there is no reasonable alternative to the proposed below-market transfer that would achieve the same purpose of such transfer.

5.4 An explanatory statement detailing the Disposal by negotiation of Authority Real Property subject to the PAAA as set forth in Section 5.3 shall be made for any Disposal of:

5.4.1 Real Property with a Fair Market Value in excess of one hundred thousand dollars ($100,000.00) except that Real Property Disposed of by lease or exchange shall only be subject to 5.4.2 of this Section 5.4;

5.4.2 Real Property Disposed of by lease if the fair annual rent over the term of the lease is in excess of fifteen thousand dollars ($15,000.00); and

5.4.3 Any Real Property or real and related personal property Disposed of by exchange, regardless of value, or any property any part of the consideration is for Real Property:

5.5 Each explanatory statement prepared in accordance with Section 5.4 above shall be transmitted to the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the State Authorities Budget Office not less than 90 days in advance of such Disposal, and a copy shall be kept by the Authority.

5.6 In the Authority's discretion, when it shall be deemed advantageous to the Authority and the State, the Authority may enter into an agreement with the Office of the Commissioner of General Services (“OGS”) under which OGS may Dispose of the Authority’s Real Property under terms and conditions agreed to by the Authority and the OGS. In Disposing of any such Real Property of the Authority, the OGS shall be bound by the relevant provisions of the PAAA.

5.7 The Director of Real Estate or equivalent(s) or designee shall provide all relevant documentation to the Environmental Division for the purposes of determining, if applicable, whether the Disposal of Real Property is in compliance with the State Environmental Quality Review Act, and for whether it adheres to the American Society of Testing and Material’s guidelines for Environmental Site Assessments, if applicable.

5.8 No Authority employee who is involved in the award of Authority grants or contracts, may ask any purchaser(s), grantor(s), lessor(s) or officer(s), director(s) or employee(s) of such current or prospective purchaser(s), contractor(s) or grantee(s) to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party,

elected official, or candidate for elective office; or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

5.9 No Authority employee may award or decline to award any grant or contract, or recommend, promise or threaten to do so because of a current or prospective grantee's or contractor's: (a) refusal to answer any inquiry prohibited by Section 5.8 above or (b) giving or withholding or neglecting to make any contribution of money, service or any other valuable thing for any political purpose.

5.10 No Authority employee may take part in any contracting decision involving the payment of more than $1,000: (i) to a Relative; or (ii) to any entity in which a Relative owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If such situation arises, the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

VI. AUTHORITY REAL PROPERTY REPORTS

6.1 The Director of Real Estate or equivalent(s) or designee shall publish the following reports in accordance with these Guidelines:

6.1.1 Pursuant to Section 2800 of the Public Authorities Law, the Director of Real Estate shall furnish a report for incorporation in the Authority's annual report which is distributed to the Governor, the Chairman and Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the

State Comptroller and the State Authorities Budget Office. This report shall include (a) a listing of all Real Property having an estimated Fair Market Value greater than fifteen thousand dollars ($15,000.00) that the Authority Disposed of during such reporting period and the name of the purchaser of the Real Property and the price paid by the purchaser for the Real Property, and (b) a description of the total amounts of Real Property sold without competitive bidding, including (i) the nature of the Real Property, (ii) the names of the counterparties, and (iii) where the price for the Real Property sold is less than Fair Market Value, a detailed explanation of the justification for making the sale without competitive bidding, and a certification by the Authority's Chief Executive Officer and Chief Financial Officer that they have reviewed the terms of such sale and determined that it complies with applicable law.

6.1.2 Pursuant to Public Authorities Law § 2896(3)(a), the Director of Real Estate or equivalent(s) or designee shall prepare for distribution to the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the State Authorities Budget Office, an annual report listing all real property of the Authority, which report shall include a list and description of all Real Property Disposed of during the fiscal reporting period. Regarding Disposals, this annual report shall include the price received by the Authority and the name of the purchaser of the Real Property.

6.2 The Authority may be called upon periodically to submit information regarding the Disposal of Real Property to organizations implementing the PAAA or other statutes regulating the Disposal of Real Property.

6.3 The Authority's Governance Committee meets at least three times per year and staff from Business Services – Real Estate or the equivalent(s) may prepare and present ongoing reports regarding the Disposal of Real Property.

VII. APPROVAL OF GUIDELINES BY THE AUTHORITY'S BOARD

7.1 The Guidelines shall be annually reviewed and approved by the Authority's Trustees. On or before the thirty-first day of March in each year, the Authority shall file with the State Comptroller a copy of the most recently reviewed and approved Guidelines, including the name of the Authority's designated

Contracting Officer. At the time of filing such Guidelines with the State Comptroller, the Authority shall also post such Guidelines on its internet website.

GUIDELINES

AND

PROCEDURES

FOR THE

DISPOSAL OF NEW YORK POWER AUTHORITY

REAL PROPERTY

INDEX

Article	Description	Page
I.	PURPOSE…….....……...1	
II.	DEFINITIONS…….....1-2	
III.	COMPLIANCE OVERVIEW…….……2	
IV.	DUTIES OF THE DIRECTOR OF REAL ESTATE……..3	
V.	PROCEDURES FOR THE DISPOSITION OF AUTHORITY REAL PROPERTY……..3-8	
VI.	AUTHORITY REAL PROPERTY REPORTS…….....8-9	
VII.	APPROVAL OF GUIDELINES BY THE AUTHORITY'S BOARD…….....9	

GUIDELINES AND PROCEDURES FOR THE DISPOSAL OF NEW YORK POWER AUTHORITY REAL PROPERTY

I. PURPOSE

The purpose of these Guidelines and Procedures for the Disposal of Real Property ("Guidelines"), which comply with Title 5-A, Article 9 of the Public Authorities Law, is to establish the procedures that detail the Authority's policy and instructions regarding the disposal of real property. In addition, the Guidelines designate a Contracting Officer who is responsible for the Authority's compliance with, and enforcement of, the Guidelines.

II. DEFINITIONS

2.1 "Contracting Officer" shall mean the officer or employee of the Authority who shall be appointed by resolution of the Authority's Trustees to be responsible for enforcement of the Guidelines for the Disposal of Real Property. The "Contracting Officer" is hereby designated to be the ~~Senior~~ Vice President – ~~Corporate Support Services~~Procurement, or equivalent(s) or designee.

2.2 "Dispose" or "Disposal" shall mean transfer of title or any other beneficial interest in real property in accordance with these Guidelines. Disposal does not include a release of an easement, Permit or Transfer of Jurisdiction.

2.3 "Fair Market Value" shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for the Real Property in an arms-length transaction in the appropriate marketplace and under similar circumstances.

2.4 "Permits" shall mean permits issued by the Authority that grant revocable privileges to use or access real property under the jurisdiction of the Authority. Permits may be issued where the real property is not presently required for Authority purposes, but held for future use in carrying out its corporate purposes. Permits do not transfer a beneficial interest in real property. Permits are revocable, in part to assure availability upon demand of the real property for Authority purposes.

2.5 "Real Property" shall mean real property, including land, tenements and hereditaments owned by the Authority, and any other interest in such real property, to the extent that such interest may be conveyed to another person or entity for any purpose, excluding an interest securing a loan or other financial obligation of another party.

2.6 ~~The term~~ "Relative" shall mean any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant.

2.7 "Transfers of Jurisdiction" ~~are~~ shall mean transactions pursuant to Public Lands Law §3(4) and which are between the Authority and other State governmental entities where jurisdiction over Real Property is transferred and reassigned on such terms and conditions as the Authority and the New York State Office of General Services deem proper, but the title to the ~~real~~ Real ~~property~~ Property remains in the name of the ~~people~~ People of the State of New York.

III. COMPLIANCE OVERVIEW

The Public Authorities Accountability Act ("PAAA") requires the Authority to establish policy guidelines to accomplish the following:

3.1 Maintain inventory controls and accountability systems for all Real Property under the Authority's control.

3.2 Periodically inventory Authority Real Property to determine which Real Property shall be Disposed of.

3.3 Dispose of Authority Real Property interests in accordance with the PAAA.

3.4 Prepare annual reports of Real Property Disposal transactions.

IV. DUTIES OF THE DIRECTOR OF REAL ESTATE

4.1 The Director of Real Estate or equivalent(s) or designee shall maintain adequate inventory controls and accountability systems for all Real Property under the Authority's control.

4.2 The Director of Real Estate or equivalent(s) or designee shall ~~annually~~periodically inventory Authority Real Property to determine which Authority Real Property shall be Disposed of and shall prepare a report identifying such Real Property for Disposal.

4.3 The Director of Real Estate or equivalent(s) or designee shall produce for publishing written reports of such Real Property as set forth in Article VI of these Guidelines.

4.4 The Director of Real Estate or equivalent(s) or designee shall arrange for the Disposal of any Real Property identified for Disposal by the Authority in accordance with these Guidelines and the Authority's Expenditure Authorization Procedures and as soon as reasonably practical under the circumstances.

V. PROCEDURES FOR THE DISPOSITION OF AUTHORITY REAL PROPERTY

5.1 The Authority may Dispose of Real Property for not less than the Fair Market Value of such Real Property by sale, exchange, or transfer, for cash, credit or other property, without warranty, and upon such other terms and conditions as the Contracting Officer deems proper under the provisions of the PAAA and as implemented by these Guidelines. Fair Market Value of the Authority Real Property subject to Disposal shall be established by independent appraisal as appropriate and consistent with the intent of the PAAA. Such appraisal documents shall be included in the record of the Real Property Disposal transaction.

5.2 Except as set forth in Section 5.3 of the Guidelines, any Disposal of Real Property shall only be made after publicly advertising for bids in accordance with the following:

5.2.1 the advertisement for bids shall be made at such time prior to the Disposal or contract, through such methods, and on such terms and conditions as shall permit full and free competition consistent with the value and nature of the Real Property;

5.2.2 all bids shall be publicly disclosed at the time and place stated in the advertisement; and

5.2.3 the award shall be made with reasonable promptness by notice to the responsible bidder whose bid, conforming to the invitation for bids, will be most advantageous to the Authority, price and other factors considered; provided, that all bids may be rejected when it is in the public interest to do so.

5.3.a The Disposal of Authority Real Property may be negotiated or made by public auction without regard to Section 5.2 but subject to obtaining such competition as is feasible under the circumstances, if:

1. the Fair Market Value of the Real Property does not exceed fifteen thousand dollars ($15,000.00); or

2. bid prices after advertising therefore are not reasonable, either as to all or some part of the Real Property, or have not been independently arrived at in open competition; or

3. the Disposal will be to the state or any political subdivision, and the estimated Fair Market Value of the Real Property and other satisfactory terms of Disposal are obtained by negotiation; or

4. under those circumstances permitted by Section 5.3; or

5. such action is otherwise authorized by law.

5.3.b.1 No Real Property owned, leased or otherwise in the control of the Authority may be sold, leased, or otherwise alienated for less than its Fair Market Value except if:

a. the transferee is a government or other public entity, and the terms and conditions of the transfer require that the ownership and use of the Real Property will remain with the government or any other public entity;

b. the purpose of the transfer is within the purpose, mission or governing statute of the Authority; or

c. in the event the Authority seeks to transfer Real Property for less than its Fair Market Value to other than a governmental entity, which Disposal would not be consistent with the Authority's mission, purpose or governing statutes, the Authority shall provide written notification thereof to the Governor, the Speaker of the Assembly, and the Temporary President of the Senate, and such proposed transfer shall be subject to denial by the Governor, the Senate, or the Assembly. Denial by the Governor shall take the form of a signed certification by the Governor. Denial by either House of the Legislature shall take the form of a resolution by such House. The Governor and each House of the Legislature shall take any such action within sixty days of receiving notification of such proposed transfer during the months of January through June, provided that if the Legislature receives notification of a proposed transfer during the months of July through December, the Legislature may take any such action within sixty days of January first of the following year. If no such resolution or certification is performed within sixty days of such notification of the proposed transfer to the Governor, Senate, and Assembly, the Authority may effectuate such transfer.

5.3.b.2. In the event a below Fair Market Value Real Property transfer is proposed, the following information must be provided to the Authority's Board of Trustees and the public:

a. a full description of the Real Property;

b. an appraisal of the Fair Market Value of the Real Property and any other information establishing the Fair Market Value sought by the Authority's Board of Trustees;

c. a description of the purpose of the transfer, and a reasonable statement of the kind and amount of the benefit to the public resulting from the transfer, including but not limited to the kind, number, location, wages or salaries of jobs created or preserved as required by the transfer, the benefits, if any, to the communities in which the Real Property is situated as are required by the transfer;

d. a statement of the value to be received compared to the Fair Market Value;

e. the names of any private parties participating in the transfer, and if different than the statement required by subparagraph (iv) of this paragraph, a statement of the value to the private party; and

f. the names of other private parties who have made an offer for such Real Property, the value offered, and the purpose for which the Real Property was sought to be used.

5.3.b.3. Before approving the Disposal of any Real Property for less than Fair Market Value, the Authority's Board of Trustees shall consider the information described in Paragraph 5.3.b.2 and make a written determination that there is no reasonable alternative to the proposed below-market transfer that would achieve the same purpose of such transfer.

5.4 An explanatory statement detailing the Disposal by negotiation of Authority Real Property subject to the PAAA as set forth in Section 5.3 shall be made for any Disposal of:

5.4.1 Real Property with a Fair Market Value in excess of one hundred thousand dollars ($100,000.00) except that Real Property Disposed of by lease or exchange shall only be subject to 5.4.2 of this Section 5.4;

5.4.2 Real Property Disposed of by lease if the fair annual rent over the term of the lease is in excess of fifteen thousand dollars ($15,000.00); and

5.4.3 Any Real Property or real and related personal property Disposed of by exchange, regardless of value, or any property any part of the consideration is for Real Property:

5.5 Each explanatory statement prepared in accordance with Section 5.4 above shall be transmitted to the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the State Authorities Budget Office not less than 90 days in advance of such Disposal, and a copy shall be kept by the Authority.

5.6 In the Authority's discretion, when it shall be deemed advantageous to the Authority and the State, the Authority may enter into an agreement with the Office of the Commissioner of General Services ("OGS") under which OGS may Dispose of the Authority's Real Property under terms and conditions agreed to by the Authority and the OGS. In Disposing of any such Real Property of the Authority, the OGS shall be bound by the relevant provisions of the PAAA.

5.7 The Director of Real Estate or equivalent(s) or designee shall provide all relevant documentation to the Environmental Division for the purposes of determining, if applicable, whether the Disposal of Real Property is in compliance with the State Environmental Quality Review Act, and for whether it adheres to the American Society of Testing and Material's guidelines for Environmental Site Assessments, if applicable.

5.8 No Authority employee who is involved in the award of Authority grants or contracts, may ask any purchaser(s), grantor(s), lessor(s) or officer(s), director(s) or employee(s) of such current or prospective purchaser(s), contractor(s) or grantee(s) to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party,

elected official, or candidate for elective office; or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

5.9 No Authority employee may award or decline to award any grant or contract, or recommend, promise or threaten to do so because of a current or prospective grantee's or contractor's: (a) refusal to answer any inquiry prohibited by Section 5.8 above or (b) giving or withholding or neglecting to make any contribution of money, service or any other valuable thing for any political purpose.

5.10 No Authority employee may take part in any contracting decision involving the payment of more than $1,000: (i) to a Relative; or (ii) to any entity in which a Relative owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If such situation arises, the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

VI. AUTHORITY REAL PROPERTY REPORTS

6.1 The Director of Real Estate or equivalent(s) or designee shall publish the following reports in accordance with these Guidelines:

6.1.1 Pursuant to Section 2800 of the Public Authorities Law, the Director of Real Estate shall furnish a report for incorporation in the Authority's annual report which is distributed to the Governor, the Chairman and Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the

State Comptroller and the State Authorities Budget Office. This report shall include (a) a listing of all Real Property having an estimated Fair Market Value greater than fifteen thousand dollars ($15,000.00) that the Authority Disposed of during such reporting period and the name of the purchaser of the Real Property and the price paid by the purchaser for the Real Property, and (b) a description of the total amounts of Real Property sold without competitive bidding, including (i) the nature of the Real Property, (ii) the names of the counterparties, and (iii) where the price for the Real Property sold is less than Fair Market Value, a detailed explanation of the justification for making the sale without competitive bidding, and a certification by the Authority's Chief Executive Officer and Chief Financial Officer that they have reviewed the terms of such sale and determined that it complies with applicable law.

6.1.2 Pursuant to Public Authorities Law § 2896(3)(a), the Director of Real Estate or equivalent(s) or designee shall prepare for distribution to the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the State Authorities Budget Office, an annual report listing all real property of the Authority, which report shall include a list and description of all Real Property ~~including such Real Property~~ Disposed of during the fiscal reporting period. Regarding Disposals, this annual report shall include the price received by the Authority and the name of the purchaser of the Real Property.

6.2 The Authority may be called upon periodically to submit information regarding the Disposal of Real Property to organizations implementing the PAAA or other statutes regulating the Disposal of Real Property.

6.3 The Authority's Governance Committee meets at least three times per year and staff from Business~~Corporate Support Services/Enterprise Shared~~ Services – Real Estate or the equivalent(s) may prepare and present ongoing reports regarding the Disposal of Real Property.

VII. APPROVAL OF GUIDELINES BY THE AUTHORITY'S BOARD

7.1 The Guidelines shall be annually reviewed and approved by the Authority's Trustees. On or before the thirty-first day of March in each year, the Authority shall file with the State Comptroller a copy of the most recently reviewed and

approved Guidelines, including the name of the Authority's designated Contracting Officer. At the time of filing such Guidelines with the State Comptroller, the Authority shall also post such Guidelines on its internet website.

Exhibit “B”
March 25, 2014

GUIDELINES

AND

PROCEDURES

FOR THE

ACQUISITION OF REAL PROPERTY

BY THE NEW YORK POWER AUTHORITY

Exhibit “B”
March 25, 2014

INDEX

Article	Description	Page
I.	PURPOSE…….....1	
II.	DEFINITIONS…….....1-2	
III.	COMPLIANCE OVERVIEW…….....2	
IV.	DUTIES OF THE DIRECTOR OF REAL ESTATE ….....2-3	
V.	ETHICAL CONSIDERATIONS……..……..3-4	
VI.	ACQUISITION REPORTS BY AUTHORITY…….....4-5	

GUIDELINES AND PROCEDURES FOR THE ACQUISITION OF REAL PROPERTY BY THE NEW YORK POWER AUTHORITY

I. PURPOSE

1.1 These Guidelines and Procedures for the Acquisition of Real Property (“Guidelines”), which comply with Title 2, Article 9 of the Public Authorities Law, establish the procedures that detail the Authority’s policy and instructions regarding the acquisition of real property. In addition, the Guidelines designate a contracting officer who is responsible for the Authority’s compliance with, and enforcement of, the Guidelines.

II. DEFINITIONS

2.1 “Contracting Officer” shall mean the officer or employee of the Authority who shall be responsible for enforcement of the Guidelines for the acquisition of real property. The “Contracting Officer” is hereby designated to be the Vice President - Procurement, or the equivalent(s), or designee.

2.2 “Acquisition” or “Acquire” shall mean to obtain title to or any other beneficial interest in real property in accordance with applicable statutes and these Guidelines.

2.3 “Fair Market Value” shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for the Real Property in an arms-length transaction in

the appropriate marketplace and under similar circumstances.

2.4 “Real Property” shall mean real property, including land, tenements and hereditaments owned by the Authority, and any other interest in such real property, to the extent that such interest may be conveyed to another person or entity for any purpose, excluding an interest securing a loan or other financial obligation of another party.

2.5 “Relative” is any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee’s grandparents or the spouse of such descendant, as referred to in Article 5 of these Guidelines.

III. COMPLIANCE OVERVIEW

3.1 These Guidelines are being adopted consistent with the Public Authorities Accountability Act (“PAAA”).

3.2. The Authority may Acquire Real Property through purchase, eminent domain, state transfers of jurisdiction, lease and by other legal means.

3.3 The Authority’s New York statutory authority for land acquisition includes, without limitation, the Public Authorities Law, the Real Property Law, the Public Lands Law, the Eminent Domain Procedure Law and the Highway Law, as amended.

IV. DUTIES OF THE DIRECTOR OF REAL ESTATE

4.1 The Director of Real Estate or the equivalent(s) or designee will maintain adequate inventory controls and accountability systems for all Real Property under the Authority’s control.

4.2 Real Property to be Acquired by the Authority will be in support of existing operating and transmission facilities or in support of new initiatives being pursued by the Authority. The Director of Real Estate or the equivalent(s) or designee will, in consultation with the other appropriate Authority staff (by oral or written communication), determine what Lands are necessary or convenient for Acquisition by the Authority.

4.3 The compensation for and the procedure for such Acquisition must be consistent with these Guidelines and the Authority’s Real Estate Expenditure Authorization Procedures as amended.

4.4 The Director of Real Estate or the equivalent(s) or designee will arrange for the transfer or Acquisition of any Real Property identified for Acquisition by the Authority in accordance with these Guidelines and the Authority’s Real Estate Expenditure Authorization Procedures and as soon as reasonably practical under the circumstances.

4.5 The Director of Real Estate or the equivalent(s) or designee will provide all relevant

documentation to the Authority’s Environmental Division to determine whether the Acquisition of Real Property is in compliance with the State Environmental Quality Review Act, and whether it adheres to the American Society of Testing and Material’s Guidelines for Environmental Site Assessments, if applicable.

V. ETHICAL CONSIDERATIONS

5.1 No Authority employee who is involved in the Acquisition of Real Property, may ask any purchaser, grantor, lessor or officers, directors or employees of such current or prospective purchaser, grantor or lessor to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party, elected official, or candidate for elective office; or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

5.2 No Authority employee may take part in any Acquisition decision involving the payment of more than $1,000: (i) to a Relative; or (ii) to any entity in which a Relative owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If such situation arises, the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

VI. ACQUISITION REPORTS BY THE AUTHORITY

6.1 The Director of Real Estate or equivalent(s) or designee shall publish the following reports in accordance with these Guidelines:

6.1.1 Pursuant to Section 2800 of the Public Authorities Law, the Director of Real Estate shall furnish a report for incorporation in the Authority's annual report which is distributed to the Governor, the Chairman and Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the State Comptroller and the State Authorities Budget Office. This report shall include (a) a listing of all Real Property having an estimated Fair Market Value greater than fifteen thousand dollars ($15,000.00) that the Authority Acquired during such reporting period and the name of the seller of the Real Property and the price paid by the Authority for the Real Property, and (b) a description of the total amounts of Real Property purchased without competitive bidding, including (i) the nature of the Real Property, (ii) the names of the counterparties, and (iii) where the price for the Real Property purchased exceeds Fair Market Value, a detailed explanation of the justification for making the purchase without competitive bidding, and a certification by the Authority's Chief Executive Officer and Chief Financial Officer that they have reviewed the terms of such purchase and determined that it complies with applicable law.

6.1.2 The Authority may be called upon periodically to submit information regarding the Acquisition of Real Property to organizations implementing the PAAA or other statutes regulating the Acquisition of Real Property, such as the Authority Budget Office through the Public Authorities Reporting System ("PARIS").

6.1.3 The Authority's Governance Committee meets at least three times per year and staff from Business Services – Real Estate or the equivalent may, upon request, prepare and present ongoing reports regarding the Acquisition of Real Property.

Exhibit "B"
March 25, 2014

~~Exhibit "B"~~
~~March 21, 2013~~

GUIDELINES

AND

PROCEDURES

FOR THE

ACQUISITION OF REAL PROPERTY

BY THE NEW YORK POWER AUTHORITY

INDEX

Article	Description	Page
I.	PURPOSE…….....1	
II.	DEFINITIONS…….....1-2	
III.	COMPLIANCE OVERVIEW…….....2	
IV.	DUTIES OF THE DIRECTOR OF REAL ESTATE …....2-3	
V.	ETHICAL CONSIDERATIONS……..……..3-4	
VI.	ACQUISITION REPORTS BY AUTHORITY….....4-5	

GUIDELINES AND PROCEDURES FOR THE ACQUISITION OF REAL PROPERTY BY THE NEW YORK POWER AUTHORITY

I. PURPOSE

1.1 These Guidelines and Procedures for the Acquisition of Real Property ("Guidelines"), which comply with Title 2, Article 9 of the Public Authorities Law, establish the procedures that detail the Authority's policy and instructions regarding the acquisition of real property. In addition, the Guidelines designate a contracting officer who is responsible for the Authority's compliance with, and enforcement of, the Guidelines.

II. DEFINITIONS

2.1 "Contracting Officer" shall mean the officer or employee of the Authority who shall be responsible for enforcement of the Guidelines for the acquisition of real property. The "Contracting Officer" is hereby designated to be the ~~Senior~~ Vice President ~~–~~ ~~Corporate Support~~Procurement, or the equivalent(s), or designee.

2.2 "Acquisition" or "Acquire" shall mean to obtain title to or any other beneficial interest in real property in accordance with applicable statutes and these Guidelines.

2.3 "Fair Market Value" shall mean the estimated dollar amount that a willing buyer

would pay to a willing seller for the Real Property in an arms-length transaction in the appropriate marketplace and under similar circumstances.

2.4 "Real Property" shall mean real property, including land, tenements and hereditaments owned by the Authority, and any other interest in such real property, to the extent that such interest may be conveyed to another person or entity for any purpose, excluding an interest securing a loan or other financial obligation of another party.

2.5 "Relative" is any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant, as referred to in Article 5 of these Guidelines.

III. COMPLIANCE OVERVIEW

3.1 These Guidelines are being adopted consistent with the Public Authorities Accountability Act ("PAAA").

3.2. The Authority may Acquire Real Property through purchase, eminent domain, state transfers of jurisdiction, lease and by other legal means.

3.3 The Authority's New York statutory authority for land acquisition includes, without limitation, the Public Authorities Law, the Real Property Law, the Public Lands Law, the Eminent Domain Procedure Law and the Highway Law, as amended.

IV. DUTIES OF THE DIRECTOR OF REAL ESTATE

4.1 The Director of Real Estate or the equivalent(s) or designee will maintain adequate inventory controls and accountability systems for all Real Property under the Authority's control.

4.2 Real Property to be Acquired by the Authority will be in support of existing operating and transmission facilities or in support of new initiatives being pursued by the Authority. The Director of Real Estate or the equivalent(s) or designee will, in consultation with the other appropriate Authority staff (by oral or written communication), determine what Lands are necessary or convenient for Acquisition by the Authority.

4.3 The compensation for and the procedure for such Acquisition must be consistent with these Guidelines and the Authority's Real Estate Expenditure Authorization Procedures as amended.

4.4 The Director of Real Estate or the equivalent(s) or designee will arrange for the transfer or Acquisition of any Real Property identified for Acquisition by the Authority in accordance with these Guidelines and the Authority's Real Estate Expenditure Authorization Procedures and as soon as reasonably practical under the circumstances.

4.5 The Director of Real Estate or the equivalent(s) or designee will provide all relevant documentation to the Authority's Environmental Division to determine whether the

Acquisition of Real Property is in compliance with the State Environmental Quality Review Act, and whether it adheres to the American Society of Testing and Material's Guidelines for Environmental Site Assessments, if applicable.

V. ETHICAL CONSIDERATIONS

5.1 No Authority employee who is involved in the Acquisition of Real Property, may ask any purchaser, grantor, lessor or officers, directors or employees of such current or prospective purchaser, grantor or lessor to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party, elected official, or candidate for elective office; or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

5.2 No Authority employee may take part in any Acquisition decision involving the payment of more than $1,000: (i) to a Relative; or (ii) to any entity in which a Relative owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If such situation arises, the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

VI. ACQUISITION REPORTS BY THE AUTHORITY

6.1 The Director of Real Estate or equivalent(s) or designee shall publish the following reports in accordance with these Guidelines:

6.1.1 Pursuant to Section 2800 of the Public Authorities Law, the Director of Real Estate shall furnish a report for incorporation in the Authority's annual report which is distributed to the Governor, the Chairman and Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the State Comptroller and the State Authorities Budget Office. This report shall include (a) a listing of all Real Property having an estimated Fair Market Value greater than fifteen thousand dollars ($15,000.00) that the Authority Acquired during such reporting period and the name of the seller of the Real Property and the price paid by the Authority for the Real Property, and (b) a description of the total amounts of Real Property purchased without competitive bidding, including (i) the nature of the Real Property, (ii) the names of the counterparties, and (iii) where the price for the Real Property purchased exceeds Fair Market Value, a detailed explanation of the justification for making the purchase without competitive bidding, and a certification by the Authority's Chief Executive Officer and Chief Financial Officer that they have reviewed the terms of such purchase and determined that it complies with applicable law.

6.1.2 The Authority may be called upon periodically to submit information regarding the Acquisition of Real Property to organizations implementing the PAAA or other statutes regulating the Acquisition of Real Property, such as the Authority Budget Office through the Public Authorities Reporting System ("PARIS").

6.1.3 The Authority's Governance Committee meets at least three times per year and staff from Business~~Corporate Support Services/Enterprise Shared~~ Services – Real Estate or the equivalent may, upon request, prepare and present ongoing reports regarding the Acquisition of Real Property.

POWER AUTHORITY OF THE STATE OF NEW YORK

Exhibit "C"

2013 ANNUAL REPORT OF DISPOSALS (CONVEYANCES) OF REAL PROPERTY OVER $15,000 IN VALUE

March 25, 2014

Purchaser's Name	Price Received by the Authority	Acres	Transfer Date
NONE			

POWER AUTHORITY OF THE STATE OF NEW YORK

2013 ANNUAL REPORT OF ACQUISITIONS OF REAL PROPERTY OVER $15,000 IN VALUE

Exhibit "C-1"
March 25, 2014

Seller's Name	Price Paid by the Authority	Acres	Transfer Date
Marble River, LLC	$1.00	4.46	10/31/2013
Keith Avery*	$35,000.00	7.46	7/26/2013

*This property was acquired for greater than fair market value to fulfill an operational need and complies with applicable law and Authority guidelines.

Procurement (Services) Contracts – Awards
(For Description of Contracts See "Discussion")

EXHIBIT "A"
March 25, 2014

Plant Site	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis[1] Contract Type[2]	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
ECONOMIC DEVELOPMENT & ENERGY EFFICIENCY - ENERGY EFFICIENCY	**MID-ISLAND ELECTRICAL SUPPLY** Newburgh, NY (Q14-5567; PO# TBA)	04/01/14 (on or about)	Provide for LED bank lighting equipment for NYCT subway tunnels	03/31/17 (1-yr award with option to extend for up to 2 addt'l yrs)	B/E	*Note: represents total for up to 3-year term		**$3,147,414***
ENTERPRISE SHARED SERVICES - HUMAN RESOURCES	**Q14-5572; 2 awards:** **1. A-1 FIRST CLASS - VIKING MOVING & STORAGE, INC.** Brooklyn, NY **2. GREATER SYRACUSE MOVING & STORAGE** Syracuse, NY (PO#s TBA)	07/01/14 (on or about)	Provide for corporate relocation moving services	06/30/19	B/S	*Note: represents aggregate total for up to 5-year term		**$350,000***
LAW	**MANATT, PHELPS & PHILLIPS, LLP** Albany, NY (PO# TBA)	04/01/14 (on or about)	Provide for continuation of legal services in connection with the ongoing St. Regis Mohawk Indian land claim	03/31/19	Si/L	*Note: represents total for up to 5-year term		**$250,000***

♦ M / WBE: **New York State-certified Minority / Women-owned Business Enterprise (indicated by the ♦ symbol after the Company Name)**
1 Award Basis: **B= Competitive Bid; S= Sole Source; Si= Single Source; C= Competitive Search**
2 Contract Type: **P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; A= Architectural & Engineering Service; L= Legal Service**

Procurement (Services) Contracts – Awards
(For Description of Contracts See "Discussion")

EXHIBIT "A"
March 25, 2014

Plant Site	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis[1] Contract Type[2]	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
OPERATIONS - POWER GEN - 500 MW POWER PROJECT	**ANDERSON MEDICAL, PC dba EMERGENCY ONE ♦ URGENT CARE & DIAGNOSTIC CENTER** Kingston, NY (6000144301; PO# TBA)	04/01/14 (on or about)	Provide for onsite annual physical exam-inations for 500 MW Power Project employees	03/31/17	B/P	*Note: represents total for up to 3-year term		**$150,000***
OPERATIONS - POWER GEN - NIAGARA	**BIDCO MARINE GROUP, INC.** Buffalo, NY (6000146383; PO# TBA)	03/26/14 (on or about)	Provide for penstock inspection services at the Niagara Project	03/25/17	B/S	*Note: represents total for up to 3-year term		**$112,500***
OPERATIONS - POWER GEN - NIAGARA	**UPSTATE METRO-LOGY, INC.** Rochester, NY (6000146202; PO# TBA)	03/26/14 (on or about)	Provide for calibration services for I&C test equipment at the Niagara Project	03/25/18	B/S	*Note: represents total for up to 4-year term		**$60,000***

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Procurement (Services) Contracts – Extensions and/or Additional Funding
(For Description of Contracts See "Discussion")

EXHIBIT "B"
March 25, 2014

Plant Site/ Bus. Unit	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis[1] Contract Type[2]	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
ECONOMIC DEVELOPMENT & ENERGY EFFICIENCY - ENERGY EFFICIENCY	**AIRMATIC COMPRESSOR SYSTEMS, INC.** Carlstadt, NJ **4500228250**	02/13/13	Provide for furnishing, installing and making fully operational gas-fired infrared heating systems for NYCT	12/31/14	B/C	$1,931,228 *Note: represents originally approved amount; **NO ADDITIONAL FUNDING REQUESTED**	$216,988	**$1,931,228***
ECONOMIC DEVELOPMENT & ENERGY EFFICIENCY - ENERGY EFFICIENCY	**PARSONS BRINCKERHOFF, INC.** (formerly PB Americas) New York, NY **4600002135**	07/01/09	Provide for program management and implementation services for the Authority's Governmental Customers Energy Services Program	06/30/17	B/C	$170,000,000 (aggregate "Target Value" allocated to 5 firms) *Note: represents previously approved aggregate amount for contracts awarded to 5 firms (AEM, Fulcrum Group, PB Americas, RCM Tech and Wendel); **NO ADDITIONAL FUNDING REQUESTED** (funding will be allocated from the remaining balance of the previously approved aggregate total, as needed)		**$300,000,000***
EXECUTIVE OFFICES	**SUSAN P. TOLCHIN** White Plains, NY **4500228343**	02/15/13	Provide for strategic communications consulting services	03/31/15	B/P	$92,000 *Note: includes originally approved amount of $80,000 + additional funding authorized per the EAPs; + **CURRENT INCREASE of $80,000**	$87,450	**$172,000***
OPERATIONS SUPPORT SERVICES - PROJECT MGMT + NIAGARA	**LINITA DESIGN & MANUFACTURING CORP.** ♦ Buffalo, NY **4500232204**	05/28/13	Provide for upgrade of two existing 7.5-ton overhead cranes at RMNPP	09/27/14	B/C	$933,562 *Note: includes originally approved amount of $671,607 + additional funding authorized per the EAPs; **NO ADDITIONAL FUNDING REQUESTED**	$573,062	**$933,562***

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2 Contract Type: P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; L= Legal Service

Procurement (Services) Contracts – Extensions and/or Additional Funding
(For Description of Contracts See "Discussion")

EXHIBIT "B"
March 25, 2014

Plant Site/ Bus. Unit	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis[1] Contract Type[2]	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
OPERATIONS SUPPORT SERVICES - PROJECT DEVELOPMENT & LICENSING	**11 related contracts:**		Provide for consulting services to support Authority initiatives in connection with generation, transmission, fuel supplies, energy policy and regulatory activities, on an "as needed" basis	06/30/17	B/P	$4,670,340 (Aggregate total of Purchase Orders Released to date) *Note: includes previously approved aggregate total of $5 million **+ CURRENT INCREASE of aggregate $2 million.**		**$7,000,000***
	1. CAI SERVICES, P.C. New York, NY **4600002541**	07/11/12						
	2. CH2M HILL ENGINEERING, P.A. Englewood, CO **4600002558**	08/15/12						
	3. CHA CONSULTING, INC. Albany, NY **4600002566**	09/04/12						
	4. CRA INTERNATIONAL, INC. Boston, MA **4600002560**	08/22/12						
	5. NAVIGANT CONSULTING, INC. Chicago, IL (HQ) Westbury, NY (Branch Office) **4600002540**	07/10/12						

[continued on next page]

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2 Contract Type: **P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; L= Legal Service**

Procurement (Services) Contracts – Extensions and/or Additional Funding
(For Description of Contracts See "Discussion")

EXHIBIT "B"
March 25, 2014

Plant Site/ Bus. Unit	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis[1] Contract Type[2]	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
	6. NORTHEAST PROFESSIONAL ENGINEERING CONSULTANTS, LLC N. Franklin, CT **4600002561**	08/22/12						
	7. POWER GEM, LLC Clifton Park, NY **4600002567**	09/05/12						
	8. QUANTA TECHNOLOGY, LLC Raleigh, NC **4600002563**		08/29/12					
	9. SHAW CONSULTANTS INTERNATIONAL, INC. Cambridge, MA **4600002568**	09/05/12						
	10. SIEMENS INDUSTRY, INC. Schenectady, NY **4600002546**	07/17/12						
	11. TETRA TECH, INC. Morris Plains, NJ (Branch Office) **4600002556**	08/16/12						

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2 Contract Type: P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; L= Legal Service

Procurement (Services) Contracts – Extensions and/or Additional Funding
(For Description of Contracts See "Discussion")

EXHIBIT "B"
March 25, 2014

Plant Site/ Bus. Unit	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis[1] Contract Type[2]	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
STRATEGIC PLANNING - RENEWABLE ENERGY / CLEAN ENERGY TECHNOLOGY	**ANTARES GROUP, INC.** Landover, MD **4600002652**	03/01/13	Provide for biomass consulting services	12/31/14	B/P	$500,000 (aggregate "Target Value" for 2 firms) *Note: represents originally approved aggregate amount for contracts awarded to 2 firms (Antares and M/E Engineering); **NO ADDITIONAL FUNDING REQUESTED** (funding may be reallocated within the aggregate total, as needed)		**$500,000***

♦ M / WBE: New York State-certified Minority / Women-owned Business Enterprise (indicated by the ♦ symbol after the Company Name)
1 Award Basis: B= Competitive Bid; C= Competitive Search; S= Sole Source; Si = Single Source
2 Contract Type: P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; L= Legal Service

Exhibit "A"
March 25, 2014

Table of
Expenditure Authorization Limits for Non-Hedge
Physical Fuel-Related Operational Transactions [1,2,3]

TITLE[4]	TRANSACTION VALUE ($MM)[5,6]			TRANSACTION PERIOD [8,9] (Months)
	NATURAL GAS	FUEL OIL	NATURAL GAS TRANSPORTATION [7]	
President and Chief Executive Officer	$30	$30	$30	48
Chief Operating Officer	$30	$30	$30	48
Executive Vice President and Chief Financial Officer	$30	$30	$30	48
Senior Vice President Energy Resource Management	$20	$20	$20	36
Others: Trading authorization limits may be delegated to subordinate level managers and staff by respective department executives at their discretion within the limits established herein. [10]				

Exhibit "A"
March 25, 2014

Footnotes to Table:

[1] All limits apply only to transactions with external counterparties and each limit operates independently of every other limit. Transactions in excess of $30 million and/or 48 months shall require approval of the Authority's Board of Trustees, except in cases where the President and Chief Executive Officer is of the view, based on the recommendation of the Senior Vice President – Energy Resource Management and/or other Authority officers, that a proposed transaction exceeding the limits must be entered into on an expedited basis to protect the Authority from adverse financial or operational consequences.

[2] These limits apply to physical, short term ("spot") and longer term forward ("term") transactions (purchases, sales and transportation) as required for the operation of the Authority's fossil-fueled generating facilities. In contrast, financial and physical hedge related transactions are utilized exclusively for risk management purposes. For clarity, the physical procurement of volumes beyond the spot horizon can include securing indexed, market-based pricing, but precludes fixing the prices for such volumes for risk management (hedging) purposes.

[3] A "spot" transaction is defined as having a period, duration or term less than or equal to 40 days in contrast to a "term" transaction which is defined as having a period, duration or term greater than 40 days up to 48 months.

[4] Titles are representative of personnel under current Authority organizational structure. Where future organizational changes revise specific position titles, the designated transaction authorization levels are applicable to the individuals within those new titles subject to the President and Chief Executive Officer's concurrence.

[5] The value of a specific transaction is calculated as the volume of the commodity times the contracted per-volume price. Multiple transactions entered into with the same counterparty ("vendor") in a single day for the same delivery date or transaction period are considered a single transaction for purposes of these limits which are "per transaction per day" which is equivalent to "per vendor per day".

[6] The cumulative volume represented by physical agreements (transactions) for an Authority generating facility or group of facilities for a particular period cannot exceed the maximum volume of fuel that could be used at the facility or facilities during that period.

[7] Gas transportation is necessary for effectuating delivery of natural gas purchases via interstate and/or intrastate pipelines to the Authority's generating facilities. Natural gas transportation may also include "balancing services" which are accounts established to handle the differences in the amount of gas scheduled for pipeline delivery versus the amount of gas actually consumed by the Authority at its generating facilities ("imbalances").

[8] Transaction period (or term) is measured as the period from the date a transaction is entered into through the last day of the delivery or transaction period.

[9] Interruptible natural gas transportation contracts, in contrast to firm transportation contracts, are exempt from transaction period (or term) limits since they are “evergreen” in nature and do not obligate or commit the Authority to transport or pay for any minimum volumes of natural gas.

[10] Trading authorization limits may be delegated for a short or extended term. All delegations, whether in whole or in part, must be in written form. Extended term delegations must be signed by the individual receiving the delegation and co-signed by the immediate supervisor, accompanied by the staff member’s written certification of his or her understanding of all applicable Authority policies and procedures and re-certified annually.

NEW YORK POWER AUTHORITY	EP: 1.2 REVISION: 11
EMPLOYEE POLICY	DATE: 07/12/2013

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

RECRUITMENT AND JOB POSTING

1.1 The New York Power Authority considers all internal (with the exception of current members of the Board of Trustees) and external applicants for employment, in accordance with all applicable Federal, State and local laws governing non-discrimination in employment in every location in which it maintains offices or facilities. The Authority also provides reasonable accommodation to individuals with a disability in accordance with applicable law.

1.2 All members of the Board of Trustees are prohibited from seeking paid employment at NYPA while serving on the Board of Trustees. All trustees shall sign a certification acknowledging their understanding and commitment to follow this requirement.

1.3 Offers of employment are contingent upon successful completion of pre-employment requirements, which include a comprehensive background investigation for all potential new hires and possible other candidate screening (i.e., aptitude test, drug screening, etc.) as the Authority deems appropriate.

1.4 Within three business days of employment, new employees must prove identity and eligibility to work in the United States by providing original documents established as criteria by the Immigration and Reform Control Act to their HR representative. If an employee is unable to present the required documents within the 3 business days, they may not work or continue rendering services to the Authority.

Job Postings

2.1 The goal of the job posting policy is to ensure that employees are made aware of and have the opportunity to apply for open Full-time, Part-time and Provisional salaried positions either before or concurrent with the Authority's consideration of external candidates for employment. However, there may be exceptions to this process, for example, if a qualified internal candidate or temporary employee from the hiring department or Business Unit has been identified. Under such circumstances, authorization must be obtained in writing from the Senior Vice President of Corporate Support Services or his/her designee, and the President and CEO.

2.2 The job posting program makes information available to Authority employees, regarding Full-time, Part-time and Provisional salaried openings throughout the organization, including the requirements for each job.

2.3 To be eligible to apply for a posted position an applicant must:

a) Meet the position requirements and qualifications stated in the posting;

b) Be capable of performing the essential functions of the job, with or without reasonable accommodation;

c) Have a job performance that is acceptable within the guidelines of the Authority's prevailing performance management system;

d) Have been in their current position for at least 12 months.

2.4 Salaried vacancies below the senior management level are generally posted on the *Powernet* and the Authority's website (www.nypa.gov). If a qualified internal candidate or temporary employee from the hiring department or Business Unit has been identified, an exception may be made with the approval of the Senior Vice President of Corporate Support Services, or his/her designee, and the President and CEO.

2.5 Salaried positions covered under this policy, which are not expected to be filled within the work group, department or Business Unit, will be posted on the *Powernet* and the Authority's website for at least ten working days, however, external recruitment efforts may commence simultaneous with the posting.

Internal Job Application Process

3.1 During the posting period, interested employees can apply via the *Powernet*.

3.2 If an employee interviews for a position at another NYPA location, he/she may be eligible for reimbursement for travel related expenses. If offered, and the employee accepts the position, he/she may be eligible for reimbursement of certain relocation costs. Employees should discuss these issues and options with their HR representative during the interview process.

3.3 Employees are encouraged to notify their supervisor when they are selected for an interview. However, employees are not expected to disclose that they are applying for a position if they are not selected for an interview. Employees being considered for a position should expect hiring managers to request and review performance information and evaluations while making a hiring decision and consult with an internal applicant's current supervisor.

3.4 Applying for an internal position does not guarantee an interview. Hiring managers will strive to interview those with the experience and qualifications that most closely match the requirements of the position. Internal candidates who are not selected will be notified.

3.5 Position transfer dates are determined in consultation with current supervisors. The business unit heads along with the managers of both the vacating and receiving departments must agree on a transfer date to ensure that such transfer occurs within four weeks after an offer has been accepted. The status of current work assignments and available back-up resources will be taken into consideration when determining the transfer date.

3.6 All changes in pay will be consistent with the guidelines established by the Compensation Program. The salary offered for the new position will be determined primarily based on the employee's qualifications for the new position and internal equity

within the department or work group. Employees may choose to accept or decline offers without negative repercussions in their current position.

3.7 Special conditions may arise when an exception to this policy is appropriate. Under such circumstances, authorization must be obtained from the Senior Vice President of Corporate Support Services or his/her designee.

Employment of Employee Relatives

4.1 Disclosure of relationships required as follows:

a) Individuals seeking employment with the Authority must inform the Authority's HR Representative, in writing, if they have a relative (as that term is defined below in 4.3) currently working at the Authority when applying for employment. The applicant must disclose the name of the relative, the familial relationship, title or position held by the employee, as well as the site or location at which the relative works.

b) Employees must inform their supervisor and the Vice President and Chief Ethics & Compliance Officer when a relative (as that term is defined below in 4.3) is transferred, demoted or promoted to a position where either the relative or the employee will have personnel decision related responsibilities, or supervisory responsibilities over their relative. The employee must disclose the name of the relative, the familial relationship, title and position held by the employee, as well as the site or location at which the relative works.

c) Employees must inform their supervisor and the Vice President and Chief Ethics & Compliance Officer when another employee becomes their relative (as that term is defined below in 4.3) and where either person will have personnel decision related responsibilities, or supervisory responsibilities over the other person. In such instances, both employees must disclose the name of the relative, the familial relationship, title and position, as well as the site or location at which they work.

4.2 Employees and trustees may not take part in any hiring or employment decision relating to their relatives. Relatives of active employees or trustees will not be employed on a permanent or temporary basis unless authorization is approved by the Senior Vice President of Corporate Support Services, or his/her designee, and the President and CEO. Such authorization may be granted under circumstances where the candidate is the most qualified for the position. The circumstances for which an authorization is sought must be in writing.

4.3 For purposes of this Policy, the term "relatives" refers to spouse, domestic partner, parents, children, sisters, brothers, sisters-in-law, brothers-in-law, parents-in-law, sons/daughters-in-law, aunts, uncles, nieces, nephews, cousins, and grandparents by blood relationship or by marriage or family members residing in the same household.

4.4 The Authority will not consider employment applications, promotions or transfers for individuals whose employment, promotion or transfer would result in a supervisory

relationship between them and a relative (as defined in 4.3) or one where a conflict of interest may exist or later arise. Exceptions to these guidelines require authorization of the Senior Vice President of Corporate Support Services, or his/her designee, as well as the Vice President and Chief Ethics & Compliance Officer. Any such request must be in writing.

4.5 If current employees become relatives after starting employment with the Authority, then such relatives must not be in a direct line of supervision or have any personnel related responsibilities that could affect each other. In the event this occurs, the Authority may transfer the employee or take any other employment action necessary to eliminate the conflict of interest. Any questions concerning such change in status should be directed to the Authority's Vice President and Chief Ethics & Compliance Officer.

Prohibition Against Consideration of Politics in Employment

5.1 The Authority expressly prohibits employees involved in recruiting, interviewing or hiring or making promotional, disciplinary or other employment decisions relating to NYPA employees, from asking any such applicant or employee to reveal: (a) the political party affiliation of the applicant; (b) whether the applicant has made campaign contributions to any political party, elected official, or candidate for elective office; or (c) whether the candidate voted for any elected official or candidate for elective office.

5.2 The provisions of paragraph 5.1 shall not apply to circumstances where such inquiry is necessary for the proper application of State law or approved state authority or New York State public authority rules, policies or practices (e.g., inquiring about party affiliation where State law limits the number of members of a State board who can be from the same party).

Penalties

6.1 Any employee who knowingly violates the provisions of this Policy will be subject to appropriate disciplinary action up to and including termination.

Vice President, Human Resources

7/19/13
Date

NEW YORK POWER AUTHORITY	EP: 1.9
	REVISION: 5
EMPLOYEE POLICY	DATE:

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

TRANSFER OR RE-EMPLOYMENT IN PUBLIC SERVICE

Employees Leaving Employment with the Authority

1.1 Employees of the Power Authority of the State of New York ("Authority") who leave the Authority, or within one year of termination of employment with the Authority, are employed with the State of New York, (Executive, Legislative, or Judicial branch), a civil division thereof, New York State public benefit corporation or another New York State Authority, may, if they so elect, transfer accruals and retain the anniversary date of their employment with the Authority for all purposes including employee eligibility for benefits in their new employment with the State of New York, a civil division thereof, New York State public benefit corporation or another New York State Authority consistent with agreements made between the Authority and state or municipal civil service commissions or other New York State public authorities.

1.2 "Civil division": - for the purpose of administering this policy a civil division shall be a city, county, town or village that participates in the New York State and Local Retirement Systems. A civil division shall not include a school district.

New Employees

2.1 Without regard to their date of first employment with the Authority, employees who leave the employment of the State of New York (Executive, Legislative, or Judicial branch), a civil division thereof, New York State public benefit corporation or another New York State Authority and who are employed or rehired by the Authority within one year of termination, shall be entitled to transfer accruals and retain the anniversary date of their employment with the State of New York, a civil division thereof, New York State public benefit corporation or another New York State Authority as their anniversary date with this Authority for all purposes including the employees' eligibility for all benefits in their new employment with this Authority.

2.2 "Civil division" – see 1.2 above.

Transition

3.1 Employees of the Authority who have prior employment that may qualify as Authority employment under this policy shall be given a reasonable period to make application for such an adjustment in their date of employment with the Authority and retrospective adjustment of benefits shall be made where applications are approved.

3.2 The terms of this policy shall not be implemented with respect to bargaining unit personnel in the absence of collective negotiations.

Benefits in Retirement

4.1 In order to continue benefits in retirement, both of the following conditions must be met:

a) You must have 10 years of combined service with the Authority and New York State. At least five years of service must be with the Authority unless you are covered under this policy (refer to section 2.1), in which case, a minimum of three years of NYPA service is required. Employees with a Section 211 or 212 exemption must have 10 years of NYPA service

Employees hired on or after April 1, 2014 must have 20 years of combined service with the Authority and New York State. At least 10 years of service must be with the Authority; and

b) You terminate employment with the Authority and immediately collect a pension from the New York State and Local Retirement Systems.

_____
Vice President, Human Resources

_____
Date

NEW YORK POWER AUTHORITY	EP: 1.9
	REVISION: 45
EMPLOYEE POLICY	DATE: ~~3/29/2012~~

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

TRANSFER OR RE-EMPLOYMENT IN PUBLIC SERVICE

Employees Leaving Employment with the Authority

1.1 Employees of the Power Authority of the State of New York ("Authority") who leave the Authority, or within one year of termination of employment with the Authority, are employed with the State of New York, (Executive, Legislative, or Judicial branch), a civil division thereof, ~~or another~~ New York State public benefit corporation or another New York State Authority, may, if they so elect, transfer accruals and retain the anniversary date of their employment with the Authority for all purposes including employee eligibility for benefits in their new employment with the State of New York, a civil division thereof, ~~or another~~ New York State public benefit corporation or another New York State Authority consistent with agreements made between the Authority and state or municipal civil service commissions or other New York State public authorities.

1.2 "Civil division": - for the purpose of administering this policy a civil division shall be a city, county, town or village that participates in the New York State and Local Retirement Systems. A civil division shall not include a school district.

New Employees

2.1 Without regard to their date of first employment with the Authority, employees who leave the employment of the State of New York (Executive, Legislative, or Judicial branch), a civil division thereof, ~~or another~~ New York State public benefit corporation or another New York State Authority and who are employed or rehired by the Authority within one year of termination, shall be entitled to transfer accruals and retain the anniversary date of their employment with the State of New York, a civil division thereof, ~~or another~~ New York State public benefit corporation or another New York State Authority as their anniversary date with this Authority for all purposes including the employees' eligibility for all benefits in their new employment with this Authority.

2.2 "Civil division" – see 1.2 above.

Transition

3.1 Employees of the Authority who have prior employment that may qualify as Authority employment under this policy shall be given a reasonable period to make application for such an adjustment in their date of employment with the Authority and retrospective adjustment of benefits shall be made where applications are approved.

3.2 The terms of this policy shall not be implemented with respect to bargaining unit personnel in the absence of collective negotiations.

Benefits in Retirement

4.1 In order to continue benefits in retirement, both of the following conditions must be met:

a) You must have 10 years of combined service with the Authority and New York State. At least five years of service must be with the Authority unless you are covered under this policy (refer to section 2.1), in which case, a minimum of three years of NYPA service is required. Employees with a Section 211 or 212 exemption must have 10 years of NYPA service

~~a)~~ Employees hired on or after April 1, 2014 must have 20 years of combined service with the Authority and New York State. At least 10 years of service must be with the Authority; and

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b) You terminate employment with the Authority and immediately collect a pension from the New York State and Local Retirement Systems.

Vice President, Human Resources

Date

NEW YORK POWER AUTHORITY EMPLOYEE POLICY	EP: 2.1 REVISION: 17 DATE: 03/1/2014

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

SALARY ADMINISTRATION POLICY

1.1 This policy governs the administration of salary for all full-time, part-time and provisional exempt and non-exempt Authority employees, as defined in the Salaried Employees' Eligibility for Benefits policy (EP: 3.1).

1.2 This policy describes the New York Power Authority's competitive pay program and provides guidelines in which to recognize and reward different degrees of performance through salary changes within budget limits.

1.3 All types of actions described in this policy, including the effective date of the salary action, require the approval of the Business Unit Head, the Vice President, Human Resources, the SVP Enterprise Shared Services, and the President and CEO or his/her designee. All requests require a summary of the reason for selection, change in role and responsibilities, succession planning actions and/or relevant information justifying the action. Any action affecting the hiring or promotion of Vice Presidents or higher also requires notification to the Governance Committee.

1.4 In addition to section 1.3, any salary action varying from the guidelines of this policy is considered an exception and requires documentation, justification and majority approval from the Compensation Committee and the President and CEO. The justification must be a detailed explanation for the exception request (e.g., to bring the employee to the minimum of the grade range). Requests that are insufficient will be returned for further justification.

a) The Compensation Committee requires a minimum of three participants. It is made up of the Business Unit Heads that report directly to the President and CEO, excluding the Business Unit Head directly involved in the request and the Senior Vice President Enterprise Shared Services.

1.5 The Trustees and the Governance Committee will receive a quarterly report of all salary actions implemented in the prior quarter.

1.6 Under the Fair Labor Standards Act, the Authority pays exempt employees on a salary basis and does not intend to make any deductions from these salaries which are prohibited. Any employee who believes an improper deduction may have occurred should raise the issue and bring supporting documentation, if any, to the attention of the Payroll department as soon as practicable after the deduction occurs. Payroll will then work with the Human Resources Compensation group and should it be determined that an improper deduction in fact occurred, the employee will be reimbursed for that amount. Complaints may also be communicated via the Employee Concerns Line [1-877-TEL-NYPA]

Annual Merit Increase

2.1 Annual Merit increases are intended to provide recognition and reward for performance within the parameters of the Authority's annual salary budget. However, neither an annual salary budget nor an annual Performance Plus assessment is a guarantee that a salary adjustment will occur.

2.2 An employee's Performance Plus rating summarizing his/her annual performance will be taken into consideration for Annual Merit purposes and ensures that an employee is considered for a salary adjustment.

2.3 Eligibility:

a) Only employees who receive a Performance Plus rating of Partially Achieved Expectations or better (see Performance Plus Instructions on the PowerNet for ratings definitions), are eligible for an Annual Merit increase.

b) No Annual Merit increase will be given to employees who earn a Performance Plus rating of Did Not Meet Expectations.

c) New employees hired within six months prior to the Annual Merit increase effective date will not be eligible for the increase.

2.4 Timing:

a) Annual Merit increases will generally be effective in the third quarter.. In the case of employees on leaves of absence, the effective date of increases may be adjusted (see section 2.6).

2.5 General Guidelines:

a) Base salaries of employees who earn a Performance Plus rating of Achieved Expectations or Partially Achieved Expectations can reach the maximum of the grade range for their job but cannot exceed it. Should an employee's base salary reach the maximum of the grade range or beyond, recommendations for an exception must have appropriate approvals as specified in section 1.4. If the maximum of the grade range is exceeded by the recommended salary increase, then the portion in excess of the maximum will be granted in the form of a lump sum payment which does not become part of base pay and must be re-earned each year.

b) Base salaries of employees who earn a Performance Plus rating of Exceeds Expectations may exceed the maximum of the grade range by 4%. If the recommended salary increase exceeds 4% above maximum, then the portion in excess of the maximum will be granted in the form of a lump sum payment which does not become part of base pay and must be re-earned each year.

If an employee is under the minimum of the grade range for his/her job and has a performance plus rating of Achieved Expectations or better, an adjustment to minimum will be given prior to the Merit increase.

2.6 Approved Leaves of Absence:

a) Performance Assessments — Annual performance assessments should be based on performance in the previous assessment year, regardless of the length of time the employee was on approved leave.

b) Annual Salary Increase, if

1) leave is less than 3 months — If the Merit increase falls during an approved **paid leave** of less than three months, the Merit increase will be effective as of the common Merit Increase date. If on approved **leave with no pay** as of the common Merit Increase date, the Merit Increase will become effective on the day the employee returns to work or returns to paid status. The amount of the increase will not be affected by the leave.

2) leave is 3 months or longer - If the Merit Increase falls during an approved leave of three months or more, the Merit Increase will be effective on the date the employee returns to work, unless proscribed by any other policy, state or federal law. The amount of the increase will not be affected by the leave.

Promotional Increases

3.1 A promotion is defined as the assignment of an employee to a job of one or more grades higher than the employee's current job and may be accompanied by an increase in salary.

3.2 Types of Promotions:

a) Competency Based: Competencies are essential knowledge, skills, and abilities required by the Authority and which equip an individual to perform a certain level of work. Competency-based promotions are permitted for employees for which specific

competency definitions have been developed and achieved.

b) Re-evaluated position: when there is a change in work or reorganization resulting in a job having expanded responsibilities and increased level of competency, a market analysis should be requested by the Business Unit Head and forwarded to the Human Resources Compensation Group in order to assess supporting a higher grade range. (see section 5, Re-evaluation of Job Content).

c) Organizational Promotion (backfill or new role): acknowledges that an employee has been assigned a different job, in order to fill an open or newly created position with expanded responsibilities at a grade higher than the employee's current position.

3.3 A promotion may include an increase in salary of up to 10%. This increase should place the employee's salary in the grade range of the new position. The new salary, however, is limited to a compa-ratio of 112% of the new range for individual contributor and supervisor categories For jobs classified as Manager/Director, VP or above, the promotional salary is limited to the midpoint (100% compa-ratio) of the range.

3.4 When a 10% maximum promotional increase does not bring the employee's salary to the minimum of the new grade range, the employee may receive an additional salary increase with approval of the Compensation Committee (see section 1.4)

Market / Equity Adjustments

4.1 Upon the recommendation of the Human Resources Compensation group, an adjustment to base salary may be permitted to achieve internal and/or market equity.

4.2 Only employees who earn a Performance Plus rating of Achieved Expectations or better are eligible for a market adjustment.

Re-evaluation of Job Content

5.1 Each Business Unit head, or their designee, is responsible for ensuring that salaried jobs in their organization have accurate and up-to-date descriptions. Substantive changes in the primary responsibilities, scope and/or minimum qualifications must be communicated to the Human Resources Compensation group. Based on the revised description, the Compensation group, with the input of management, reviews and evaluates the job against both the marketplace and internal comparable positions.

5.2 Recommendation for changes to any job based on the evaluation are coordinated with and approved by the respective Business Unit Head(s) for departments in which the job exists.

5.3 An evaluation may result in a change in grade. If a job is re-evaluated upward due to a significant change in job content, consideration may be given to granting a promotional increase as previously defined and outlined in Section 3.2 (b).

5.4 Employees whose positions are re-evaluated and downgraded and whose salaries are greater than 4% above the maximum of the new grade range may have their salaries reduced to 4% above the maximum of the new grade range.

Lateral Transfer

6.1 A lateral transfer occurs when an employee moves from one job to another which has the same grade range.

6.2 Generally, additional compensation will not be granted in the case of lateral transfers.

6.3 Moves that are temporary or part of a development plan or program, or job changes that are part of a specific work project may be considered for additional compensation.

Demotions

7.1 A demotion may occur as the result of inadequate employee performance, which leads to the employee being assigned to a new job that is one or more grades below the current grade.

7.2 Employees demoted as a result of inadequate performance and whose salaries are above the maximum of the new grade range may have their salaries reduced to no more than the maximum of the new grade range.

First Line Supervisor Differential

8.1 To prevent inequities between bargaining unit employees, or equivalent jobs, and their supervisors, First Line Supervisors (FLS), jobs with the category of Supervisor, shall generally be paid a minimum five percent (5%) above the annualized **base rate** of pay of the highest paid supervised bargaining unit employee (or equivalent job). This differential applies only to those FLS who achieve an annual Performance Plus rating of Achieved Expectations or better, for their prior year's performance.

Note: **Base Rate** of pay is defined as the negotiated hourly rate for the job, excluding any other payments granted to an employee such as premiums and overtime.

Timing of Salary Actions

9.1 The Human Resources Compensation group will accept requests to review competency based promotion, market or equity adjustments, and grade re-evaluations during the 8-month period of August through March, only.

9.2 Organizational promotion requests will be acted upon at any time during the year.

9.3 With the exception of the annual merit increase, at least six months must elapse between any salary actions, inclusive of date of hire.

Sign-On & Retention

10.1 Special incentives for the purpose of attracting or retaining staff may be recommended as an exception with appropriate approvals as specified in 1.4.

Incentive Pay

11.1 An incentive is a lump sum award paid in recognition of the extraordinary achievement of an individual or a group, on special projects or in unique situations that are critical to the Authority.

11.2 An incentive plan may be developed upon the recommendation of the Business Group Head accountable for the results, the Vice President, Human Resources, the Senior Vice President, Enterprise Shared Services and the President and CEO or his/her designee.

11.3 An incentive plan may be developed:

a) Before the start of a project, based on a pre-determined set of measures associated with the project goals and announced to those employees who are eligible, or

b) After exemplary completion of an extraordinary project that evolved during a given year.

11.4 Criteria for such an award must include extraordinary tangible benefits to the Authority such as reduced costs or increased revenue.

_____
Vice President, Human Resources

_____
Date

NEW YORK POWER AUTHORITY EMPLOYEE POLICY	EP: 2.1 REVISION: 17 DATE: 03/1/2014

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

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SALARY ADMINISTRATION POLICY

1.1 This policy governs the administration of salary for all full-time, part-time and provisional exempt and non-exempt Authority employees, as defined in the Salaried Employees' Eligibility for Benefits policy ~~.~~ (EP: 3.1).

1.2 This policy describes the New York Power Authority's competitive pay program and provides guidelines in which to recognize and reward different degrees of performance through salary changes within budget limits.

1.3 All types of actions described in this policy, including the effective date of the salary action, require the approval of the Business Unit Head, the Vice President, Human Resources, the SVP ~~Corporate Support~~Enterprise Shared Services, and the President and CEO or his/her designee. All requests require a summary of the reason for selection, change in role and responsibilities, succession planning actions and/or relevant information justifying the action. Any action affecting the hiring or promotion ~~annual base salaries~~ of Vice Presidents or higher~~, must~~ also requires notification to ~~have approval of~~ the Governance Committee.

1.4 In addition to section 1.3, any salary action varying from the guidelines of this policy is considered an exception and requires documentation, justification and majority approval from the Compensation Committee and the President and CEO. The justification must be a detailed explanation for the exception request (e.g., to bring the employee to the minimum of the grade range). Requests that are insufficient~~,~~ will be returned for further justification.

a) The Compensation Committee requires a minimum of three participants. It is made up of the Business Unit Heads that report directly to the President and CEO, excluding the Business Unit Head directly involved in the request and the Senior Vice President Enterprise Shared Services. ~~, and requires a minimum of three participants.~~

1.5 The Trustees and the Governance Committee will receive a quarterly ~~monthly~~ report of all salary actions implemented in the prior ~~month~~quarter.

1.6 Under the Fair Labor Standards Act, the Authority pays exempt employees on a salary basis and does not intend to make any deductions from these salaries which are prohibited. Any employee who believes an improper deduction may have occurred should raise the issue and bring supporting documentation, if any, to the attention of the Payroll department as soon as practicable after the deduction occurs. Payroll will then work with the Human Resources Compensation group and should it be determined that an improper deduction in fact occurred, the employee will be reimbursed for that amount. Complaints may also be communicated via the Employee Concerns Line [1-877-TEL-NYPA]

Annual ~~Salary~~ Merit Increase~~Adjustment~~

~~2~~.1 Annual ~~Salary Adjustments~~ Merit ~~I~~increases are intended to provide recognition and reward for performance within the parameters of the Authority's annual salary budget. However, neither an annual salary budget nor an annual Performance Plus assessment is a guarantee that a salary adjustment will occur.

2.2 An employee's Performance Plus rating summarizing his/her annual performance will b~~e~~E taken into consideration for Annual ~~Salary~~ Merit ~~Adjustment~~ purposes and ensures that an employee is considered for a salary adjustment.

2.3 Eligibility:

a) Only employees who receive a Performance Plus rating of Partially Achieved Expectations or better (see Performance Plus Instructions on the PowerNet for ratings definitions), are eligible for an Annual ~~Salary~~ Merit ~~Adjustment~~increase.

b) No Annual ~~Salary~~ Merit ~~Adjustment~~ increase will be given to employees who earn a Performance Plus rating of Did Not Meet Expectations.

c) New employees hired within six months prior to the Annual Merit increase effective date ~~between September 1 and December 31 of the prior January 1st or later in the program calendar year~~ will not be eligible ~~to be considered~~ for ~~an Annual Salary Merit increase~~the increase. ~~Adjustment until on or about September 1 in the year following their hire date~~the next program year.~~.~~

2.4 Timing:

a) ~~Annual performance assessments will normally be completed during November, May,June. This is referred to as the Annual Performance Plus Assessment Period.~~ Annual ~~Salary Adjustments~~ Merit ~~I~~increases will ~~are~~ generally be effective in the third quarter,~~July 1 mid-year, on or about ???? January 1~~. In the case of employees on leaves of absence, the effective date of increases may be adjusted (see section 2.6).

~~The Annual Salary Merit Inerase Adjustment is calculated on the employee's base salary as of June 30th of the program year,December 31 of the prior calendar year. When????.~~

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2.5 General Guidelines:

a) Base salaries of employees who earn a Performance Plus rating of Achieved Expectations or Partially Achieved Expectations can reach the maximum of the ~~grade~~ grade range for their job ~~grade~~ but cannot exceed it. Should an employee's base salary reach the maximum of the grade range or beyond, recommendations for an exception must have appropriate approvals as specified in section 1.4. If the maximum of the grade range is exceeded by the recommended salary increase, then the portion in excess of the maximum will be granted in the form of a lump sum payment which does not become part of base pay and must be re-earned each year.

b)

~~b) in the form of a lump sum payment which does not become part of base pay and must be re-earned each year.~~

~~c)~~ Base salaries of employees who earn a Performance Plus rating of Exceeds Expectations may exceed the maximum of the ~~grade~~grade range by 4%. If the recommended salary increase exceeds 4% above maximum, then the portion in excess of the maximum will be granted in the form of a lump sum payment which does not become part of base pay and must be re-earned each year.

If an employee is ~~still~~ under the minimum of the grade range for ~~their~~ his/her job and has a performance plus rating of Achieved Expectations or better, an adjustment to minimum will be given prior to the Merit increase. ~~after receiving an Annual Salary Adjustment, the manager should contact the Human Resources Compensation group for guidance in moving the employee to the minimum of the grade range. This applies only to the employees who earn a Performance Plus Rating of Achieved Expectations or better.~~

2.6 Approved Leaves of Absence:

a) Performance Assessments — Annual performance assessments should be based on performance in the previous ~~calendar~~ assessment year, regardless of the length of time the employee was on approved leave.

b) Annual Salary Increase, if

1) leave is less than 3 months — If the ~~annual salary~~ Merit increase ~~adjustment~~ falls during an approved **~~paid~~ leave** of less than three months, the ~~the annual salary adjustment~~ Merit increase ~~for employees on such paid leave~~ will be effective as of the common ~~annual salary~~ Merit Increase ~~adjustment~~ date. If on approved **leave with no pay** as of the common ~~annual salary adjustment~~ Merit Increase date, the ~~annual salary adjustment~~ Merit Increase will become effective on the day the employee returns to work or returns to paid status. The amount of the increase will not be affected by the leave.

2) leave is 3 months or longer - If the ~~annual salary adjustment~~ Merit Increase falls during an approved leave of three months or more, the ~~annual salary~~ Merit Increase ~~adjustment~~ will be effective on the date the employee returns to work, unless proscribed by any other policy, state or federal law. The amount of the increase will not be affected by the leave.

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Promotional Increases

3.1 A promotion is defined as the assignment of an employee to a job of one or more grades higher than the employee's current job and may be accompanied by an increase in salary.

3.2 Types of Promotions:

a) Competency Based: Competencies are essential knowledge, skills, and abilities required by the Authority and which equip an individual to perform a certain level of work. Competency-based promotions are permitted for employees ~~in limited and specific job families~~ for which specific competency definitions have been developed and achieved.

~~a)~~

b) Re-evaluated position: when there is a change in work or reorganization resulting in a job having expanded responsibilities and increased level of competency, a market analysis should be requested by the Business Unit Head and forwarded to the Human Resources Compensation Group in order to assess supporting a higher grade range. (see section 5, Re-evaluation of Job Content).

c) Organizational Promotion (backfill or new role): acknowledges that an employee has been assigned a different job, in order to fill an open or newly created position with expanded responsibilities at a grade ~~range~~ higher than the employee's current position.

3.3 A promotion may include an increase in salary of up to 10%. This increase should place the employee's salary in the grade range of the new position. The new salary, however, is limited to a compa-ratio of 112% of the new range for individual contributor and supervisor categories. For jobs classified as Manager/Director, VP or above, the promotional salary is limited to the midpoint (100% compa-ratio) of the range.. ~~For an Executive/Management Band (grade 27 and above) position the new salary is limited to the midpoint (100% compa-ratio).~~

3.4 When a 10% maximum promotional increase does not bring the employee's salary to the minimum of the new grade range, the employee may receive an additional salary increase with approval of the Compensation Committee (see section 1.4)

Market / Equity Adjustments

4.1 Upon the recommendation of the Human Resources Compensation group, an adjustment to base salary may be permitted to achieve internal and/or market equity.

4.2 Only employees who earn a Performance Plus rating of Achieved Expectations or better are

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eligible for a market adjustment.

Re-evaluation of Job Content

5.1 Each Business Unit head, or their designee, is responsible for ensuring that salaried jobs in their organization have accurate and up-to-date descriptions. Substantive changes in the primary responsibilities, scope and/or minimum qualifications must be communicated to the Human Resources Compensation group. Based on the revised description, the Compensation group, with the input of management, reviews and evaluates the job against both the marketplace and internal comparable positions.

5.2 Recommendation for changes to any job based on the evaluation are coordinated with and approved by the respective Business Unit Head(s) ~~who have the job in their organization~~ for departments in which the job exists.

5.3 An evaluation may result in a change in grade ~~after a re-organization of the work unit or review of the job~~. If a job is re-evaluated upward due to a significant change in job content, consideration may be given to granting a promotional increase as previously defined and outlined in Section 3.2 (b).

5.4 Employees whose positions are re-evaluated and downgraded and whose salaries are ~~more~~greater than 4% above the maximum of the new grade range may have their salaries reduced to 4% above the maximum of the new grade range.

Lateral Transfer

6.1 A lateral transfer occurs when an employee moves from one job to another which has the same grade range.

6.2 Generally, additional compensation will not be granted in the case of lateral transfers.

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Demotions

-7.1 A demotion may occur as the result of inadequate employee performance, which leads to the employee being assigned to a new job that is one or more grades below the current grade.

-7.2 Employees demoted as a result of inadequate performance and whose salaries are above the maximum of the new grade range may have their salaries reduced to no more than the maximum of the new grade range.

First Line Supervisor Differential

-8.1 To prevent inequities between bargaining unit employees, or equivalent jobs, and their supervisors, First Line Supervisors (FLS), jobs with the category of Supervisor, -shall generally be paid a minimum five percent (5%) above the annualized **base rate** of pay of the highest paid supervised bargaining unit employee (or equivalent job). This differential applies only to those FLS who achieve an annual Performance Plus rating of Achieved Expectations or better, for their prior year's performance. ~~First Line Supervisor jobs are categorized as Supervisors.~~

Note: **Base Rate** of pay is defined as the negotiated hourly rate for the job, excluding any other payments granted to an employee such as premiums and overtime.

Timing of Salary Actions

9.1 The Human Resources Compensation group will accept requests to review competency based promotion, market or equity adjustments, and grade re-evaluations during the 8-month period of ~~February 1 through September 30~~ August through March~~,~~ only.

9.2 Organizational promotion requests will be acted upon at any time during the year.

9.3 With the exception of the annual merit increase, a~~A~~t least six months must elapse between any -salary actions, inclusive of date of hire, ~~and .exclusive of the annual merit increase.~~

Sign-On & Retention

10.1 Special incentives for the purpose of attracting or retaining staff may be recommended as an exception with appropriate approvals as specified in 1.4.

Incentive Pay

11.1 An incentive is a lump sum award paid in recognition of the extraordinary achievement of an individual or a group, on special projects or in unique situations that are critical to the ~~A~~Authority.

11.2 An incentive plan may be developed upon the recommendation of the Business Group Head accountable for the results, the Vice President, Human Resources, the Senior Vice President, Enterprise Shared Services -and the President and CEO or his/her designee.

11.3 An incentive plan may be developed:

a) Before the start of a project, based on a pre-determined set of measures associated with the project goals and announced to those employees who are eligible, or

b) After exemplary completion of an extraordinary project that evolved during a given year.

11.4 Criteria for such an award must include extraordinary tangible benefits to the Authority such as reduced costs or increased revenue.

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Vice President, Human Resources

Date

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NEW YORK POWER AUTHORITY

EMPLOYEE POLICY

EP: 2.4
REVISION: 10
DATE: 03/01/2014

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

SALARIED NON-EXEMPT AND FACILITY-BASED EXEMPT OVERTIME

1.1 This policy provides for the payment of overtime to eligible Authority employees (see *Eligible Employees* below). It permits the Authority to:

a) comply with the Fair Labor Standards Act;

b) provide additional compensation to certain exempt positions for ensuring the safe and efficient generation and transmission of electricity during specified overtime events;

c) provide some relief for pay compression between bargaining unit employees and first line supervisors; and

d) manage overtime costs.

1.2 Eligible overtime is scheduled, authorized and approved time worked in excess of the normal workweek, under predetermined conditions related to specific Authority or facility requirements, priorities, special projects, or to maintain safe operating conditions.

1.3 Responsibilities:

a) A Business Unit Head, Regional or General Manager, or their designee will establish the event (Section 1.2) for which overtime will be paid, and will be accountable for the direction, authorization and administration of overtime as covered by this policy.

b) The Controller or designee will provide periodic reports of overtime paid including year-to-date totals. The Business Unit Head, Regional or General Manager, or their designee will reconcile these reports against authorizations required by this policy and promptly notify the Controller or designee in writing of any discrepancies that require correction.

c) The Business Unit Head is responsible for the prudent use of overtime within the parameters established by the approved O&M Budget.

1.4 Eligible Employees:

a) All salaried non-exempt employees are eligible for non-exempt overtime as required by the wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor

b) Facility-based salaried exempt employees are those employees whose work location of record in SAP is not White Plains or Albany. Headquarters salaried exempt employees are those whose work location of record in SAP is White Plains or Albany.

c) First Line Supervisors — salaried exempt employees with a job category of Supervisor at the facilities whose job is to supervise union employees or the equivalent at non-union facilities, may be eligible for exempt overtime compensation.

d) Salaried exempt individual contributor employees at the facilities in grades up through 09 (I/U/X) may be eligible for exempt overtime compensation. Salaried exempt individual contributor employees working in the Trading room on a 24/7 shift and salaried exempt individual contributor Fuel Buyer positions supporting the Traders may be eligible for exempt overtime compensation despite location or grade.

e) Headquarters salaried exempt employees, physically working at a facility as part of a specified temporary work project, and who are in grades 01 through 09 (I/U/X) may be eligible for exempt overtime compensation.

f) f) Salaried exempt employees in grades 10 (I/U/X) and above are not eligible for overtime compensation under any circumstances other than the Buyers and those in the Trading room as noted in 1.4 (d).

Non-Exempt Overtime

2.1 A non-exempt employee is one whose job duties do not meet the established tests for exemption under certain wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor.

2.2 On those occasions when it is necessary for non-exempt employees to work in excess of their basic workweek [see Attendance and Flexible Hours policy (EP: 4.6)], all additional time worked must be directed and authorized in advance in accordance with Sections 1.2 and 1.3(a).

2.3 Notwithstanding the requirements of Section 2.2 above, under the Fair Labor Standards Act, all non-exempt employees who work in excess of their basic workweek must record and be paid for all time worked.

2.4 The rate of pay for non-exempt employees is as follows:

a) Straight time for up to 40 hours in a workweek.

b) 1 ½ times the straight time equivalent hourly rate of the annualized salary for those hours worked beyond 40 hours in a workweek. Payment for time worked on a scheduled holiday will be paid at 1 ½ times the equivalent hourly rate.

2.5 Generally, time spent traveling on company business will not be counted as hours worked for overtime computation purposes.

2.6 For non-exempt employees, work time spent as a required or assigned participant in any training program, lecture, meeting or workshop, will be paid at the applicable rate of pay (Section 2.4) for that time period.

Additional detail on non-exempt overtime reporting is available on the PowerNet under Human Resources - Compensation

Exempt Overtime

3.1 An exempt employee is one whose job duties meet the established tests for exemption under certain wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor.

3.2 Time worked in excess of the normal workweek at an employee's discretion will not be compensated. For eligible salaried exempt employees, all paid overtime must be approved in advance in accordance with Sections 1.2 and 1.3(a).

a) For exempt employees on 12 hour shifts, overtime is any time outside the regularly scheduled shift, regardless of the number of hours in the shift. If the scheduled rotation results in greater than 40 hours per week over the course of the year (e.g. 3 day on/3 day off continuous rotation), then the additional hours over the regular 2080 will be built in overtime.

b) Trading hours with another employee should result in an equal number of hours and the new hours become part of the regular schedule. For schedule rotations that include an "E-week" of four 8 hour shifts, the employee's schedule can be modified to backfill for shifts as needed, and all hours greater than 32 for the week would be paid as overtime.

3.3 For salaried exempt employees in, or temporarily acting in, First Line Supervisor positions, approved overtime will be paid at 1 ½ times the hourly equivalent of the exempt employee's salary for hours of ***actual supervision*** of NYPA bargaining unit employees, or the equivalent. Similarly, Transmission System Operators and Day Ahead Traders approved overtime will be paid at 1 ½ times the hourly equivalent of their exempt salary for hours performing their primary duty. Any other overtime hours, if approved, will be paid at a straight time rate.

3.4 Eligible individual contributor employees may be paid for all hours worked, in accordance with Section 1.2. Approved overtime will be paid at the straight time hourly equivalent of the exempt employee's salary.

3.5 Exempt employees who are preapproved to work during a scheduled holiday will be paid at 1 ½ times the hourly rate for First Line Supervisors, and 1 times the hourly rate for non-supervisory employees for actual hours worked in addition to their normal straight time pay for the holiday.

3.6 Eligible employees temporarily assigned to work at a facility will assume the basic workweek of the facility and their rate of pay for overtime purposes will be determined on that basis.

Approval and Time Records

4.1 For eligible salaried exempt employees, an Overtime Approval form must be completed stating the employee's name, period of time for which the overtime has been requested and approved, a brief description of the task, and the employee's basic workweek hours . These must be reviewed and approved (signed) by a Business Unit Head and/or Regional or General Manager, or designee, as appropriate. These records are retained by the applicable department or facility.

4.2 When a salaried exempt employee is temporarily assigned to an operating facility the time record should be approved by either the Business Unit Head or Regional or General Manager, or designee, as appropriate.

4.3 Payment for authorized overtime under the guidelines of this policy, where practicable, will be charged to the operating budget where the work is performed. The approved time report must clearly indicate the appropriate facility program code number to charge.

4.4 Payment to a salaried exempt employee for overtime that has not been specifically directed or authorized by those delegated the responsibility by this policy, will not be permitted under any circumstances. Issues with unauthorized non-exempt overtime will be addressed through the performance management process. [See Section 2 regarding treatment of non-exempt employees.]

4.5 This policy supersedes all prior policies and procedures regarding overtime for exempt and non-exempt personnel.

DRAFT

Vice President, Human Resources

Date

NEW YORK POWER AUTHORITY

EMPLOYEE POLICY

EP: 2.41
REVISION:
DATE: 3/29/2012

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

SALARIED NON-EXEMPT AND FACILITY-BASED EXEMPT OVERTIME

1.1 This policy provides for the payment of overtime to eligible Authority employees (see *Eligible Employees* below). It permits the Authority to:

a) comply with the Fair Labor Standards Act;

b) provide additional compensation to certain exempt positions for ensuring the safe and efficient generation and transmission of electricity during specified overtime events;

c) provide some relief for pay compression between bargaining unit employees and first line supervisors; and

d) manage overtime costs.

1.2 Eligible overtime is scheduled, authorized and approved time worked in excess of the normal workweek, under predetermined conditions related to specific Authority or facility requirements, priorities, special projects, or to maintain safe operating conditions.

1.3 Responsibilities:

a) A Business Unit Head, Regional or General Manager, or their designee will establish the event (Section 1.2) for which overtime will be paid, and will be accountable for the direction, authorization and administration of overtime as covered by this policy.

b) The Controller or designee will provide periodic reports of overtime paid including year-to-date totals. The Business Unit Head, Regional or General Manager, or their designee will reconcile these reports against authorizations required by this policy and promptly notify the Controller or designee in writing of any discrepancies that require correction.

c) The Business Unit Head is responsible for the prudent use of overtime within the parameters established by the approved O&M Budget.

1.4 - Eligible Employees:

~~a)~~ a) All salaried non-exempt employees are eligible for non-exempt overtime as

a) required by the wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor

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b) ~~F~~acility-based salaried exempt employees are those employees whose work location of record in SAP is not White Plains or ~~,~~ Albany ~~or New York City~~. Headquarters salaried exempt employees are those whose work location of record in SAP is White Plains or ~~,~~ Albany ~~or New York City~~.

c) First Line Supervisors — salaried exempt employees with a job category of Supervisor at the facilities whose job is to supervise union employees or the equivalent at non-union facilities, may be eligible for exempt overtime compensation~~.~~.

d) Salaried exempt individual contributor employees at the facilities ~~who are~~ in grades ~~04~~ up through ~~10~~ 09 (I/U/X) may be eligible for exempt overtime compensation. Salaried exempt individual contributor employees working in the Trading room on a 24/7 shift and salaried exempt individual contributor Fuel Buyer positions supporting the Traders may be eligible for exempt overtime compensation despite location or grade.

~~a)~~e) Headquarters salaried exempt employees, physically working at a facility as part of a specified temporary work project, and who are in grades 014 through ~~09 10~~09 (I/U/X) may be eligible for exempt overtime compensation.

~~b)~~f) f) Salaried exempt employees in grades 10 (I/U/X) and above ~~11A through 20A~~ are not eligible for overtime compensation under any circumstances other than the Buyers and those in the Trading room as noted in 1.4 (d).

Non-Exempt Overtime

2.1 A non-exempt employee is one whose job duties do not meet the established tests for exemption under certain wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor.

2.2 On those occasions when it is necessary for non-exempt employees to work in excess of their basic workweek [see Attendance and Flexible Hours policy (EP: 4.6)], all additional time worked must be directed and authorized in advance in accordance with Sections 1.2 and 1.3(a).

2.3 Notwithstanding the requirements of Section 2.2 above, under the Fair Labor Standards Act, all non-exempt employees who work in excess of their basic workweek must record and be paid for all time worked.

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2.4 The rate of pay for non-exempt employees is as follows:

~~a)~~ Straight time for up to 40 hours in a workweek.

a)

b) 1 ½ times the straight time equivalent hourly rate of the annualized salary for those hours worked beyond 40 hours in a workweek. Payment for time worked on a scheduled holiday will be paid at 1 ½ times the equivalent hourly rate.

~~1 1/2 times the straight time equivalent hourly rate of the annualized salary for those hours worked beyond 40 hours in a workweek.~~

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~~Payment for time worked on a scheduled holiday will be paid at 11/2 1/2 times the equivalent hourly rate.~~

2.5 Generally, time spent traveling on company business will not be counted as hours worked for overtime computation purposes.

2.6 For non-exempt employees, work time spent as a required or assigned participant in any training program, lecture, meeting or workshop, will be paid at the applicable rate of pay (Section 2.4) for that time period.

Additional detail on non-exempt overtime reporting is available on the PowerNet under Human Resources - Compensation

Exempt Overtime

3~~.~~.1 An exempt employee is one whose job duties meet the established tests for exemption under certain wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor.

3.2 Time worked in excess of the normal workweek at an employee's discretion will not be compensated. For eligible salaried exempt employees, all paid overtime must be approved in advance in accordance with Sections 1.2 and 1.3(a).

a) For exempt employees on 12 hour shifts, overtime is any time outside the regularly scheduled shift, regardless of the number of hours in the shift. If the scheduled rotation results in greater than 40 hours per week over the course of the year (e.g. 3 day on/3 day off continuous rotation), then the additional hours over the regular 2080 will be built in overtime.

b) Trading hours with another employee should result in an equal number of hours and the new hours become part of the regular schedule. For schedule rotations that include an "E-week" of four 8 hour shifts, the employee's schedule can be modified to backfill for shifts as needed, and all hours greater than 32 for the week would be paid as overtime.

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3.3 For salaried exempt employees in, or temporarily acting in, First Line Supervisor positions, approved overtime will be paid at 1 ½ ~~1/2~~ times the hourly equivalent of the exempt employee's salary for hours of ***actual supervision*** of NYPA bargaining unit employees, or the equivalent. Similarly, Transmission System Operators and Day Ahead Traders approved overtime will be paid at 1 ½ times the hourly equivalent of their exempt salary for hours performing their primary duty. Any o~~O~~ther overtime hours, if approved, will be paid at a straight time rate.

3.4 Eligible ~~non-supervisory~~individual contributor employees may be paid for all hours worked, in accordance with Section 1.2. Approved overtime will be paid at the straight time hourly equivalent of the exempt employee's salary.

3.5 Exempt employees who are preapproved to work during a scheduled holiday will be paid at 1 ½ ~~1 1/2~~ times the hourly rate for First Line Supervisors, and 1 times the hourly rate for non-supervisory employees for actual hours worked in addition to their normal straight time pay for the holiday.

3.6 Eligible employees temporarily assigned to work at a facility will assume the basic workweek of the facility and their rate of pay for overtime purposes will be determined on that basis.

Approval and Time Records

4.1 For eligible salaried exempt employees, an Overtime Approval form must be completed stating the employee's name, period of time for which the overtime has been requested and approved, a brief description of the task, and the employee's basic workweek hours ~~(40)~~. These must be reviewed and approved (signed) by a Business Unit Head and/or

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Regional or General Manager, or designee, as appropriate. These records are retained by the applicable department or facility.

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4.242 When a salaried exempt employee is temporarily assigned to an operating facility the time record should be approved by either the Business Unit Head or Regional or General Manager, or designee, as appropriate.

4.3 Payment for authorized overtime under the guidelines of this policy, where practicable, will be charged to the operating budget where the work is performed. The approved time report must clearly indicate the appropriate facility program code number to charge.

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4.4 Payment to a salaried exempt employee for overtime that has not been specifically directed or authorized by those delegated the responsibility by this policy, will not be permitted under any circumstances. Issues with unauthorized non-exempt overtime will be addressed through the performance management process. [See Section 2 regarding treatment of non-exempt employees.]

4.5 This policy supersedes all prior policies and procedures regarding overtime for exempt and non-exempt personnel.

Vice President, Human Resources

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Date

Vice President, Human Resources

3/09/12
Date

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NEW YORK POWER AUTHORITY	EP: 3.1 REVISION: 7
EMPLOYEE POLICY	DATE: 3/29/2012

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

SALARIED EMPLOYEE CATEGORIES AND ELIGIBILITY FOR BENEFITS

1.1 This policy defines the various categories of salaried Authority employees, and the benefits available to each category. The category of an employee will determine the applicable benefits.

Definitions

2.1 A salaried Authority employee, whose status is full-time or part-time, transitional, provisional, temporary, or intern, is classified as either an exempt or non-exempt salaried employee depending on the job he/she performs or the position he/she fills. A cooperative employee is classified as non-exempt.

a) Full-time Authority Employee – An employee who works at least 37½ or 40 hours per week (as determined by Business Unit or Department), if assigned to the Albany or White Plains Office, or 40 hours per week, if assigned to an operating facility.

b) Transitional Employee – A full-time Authority employee with a written development plan designed to prepare that employee to fill an anticipated vacancy in another Authority position within a designated time-frame.

c) Part-time Authority Employee – An employee who has worked full-time for a minimum of one year, and whose status has changed from full-time to part-time without a break in service (employment with the Authority has not been terminated); and who works a minimum of 20 hours per week but less than 37½ or 40 hours (whatever is applicable) if assigned to the Albany or White Plains Office, or at least 20 but less than 40 hours if assigned to an operating facility.

d) Provisional Employee – Employed on a full-time basis for a specific project or assignment for a period that is expected to last at least one year but not more than three years, and who is paid directly by the Authority.

e) Temporary Employees – Employed for a period of not more than one year (from date of hire) **unless approval to extend employment for an additional period is received from headquarters Human Resources Department Head and the Budget Department.**

1) Full-time Temporary Employee – employed on a full-time basis for a specific position or assignment, and who is paid directly by the Authority.

2) Part-time Temporary Employee – employed on a part-time basis for a specific position or assignment, and who is paid directly by the Authority.
3) Temporary Intern – employed on a full-time or part-time basis for a specific position or assignment, not to exceed six months in a given calendar year, and who is paid by the Authority.
4) Cooperative – ("Co-op") – employed on a full-time basis while enrolled full-time at an accredited college or university in a work/study or cooperative education curriculum and who receives credits towards his/her course of study. Cooperative employees may be paid or unpaid by the Authority.
5) Developmental Intern – employed on a full-time or part-time basis while enrolled in an accredited college or university in a curriculum related to the temporary assignment at the Authority. Additional guidelines apply to Developmental Interns under the Human Resources Developmental Intern Program.

Benefits

3.1 Full-time Authority Employees or Transitional Employees (as defined in 2.1 a and b) are eligible to receive the Authority benefits as listed in the FlexAbility Guidebook and Benefits Handbook (see NYPA intranet page for this information).

3.2 Part-time Authority Employees (as defined in 2.1 c) are eligible to receive Authority benefits with the following qualifications:

a) Retirement System - New York State and Local Retirement System service credit for part-time Authority employees is pro-rated based on the number of hours worked per week.

b) Educational Assistance Program - The Educational Assistance Program benefit is pro-rated based on the number of hours worked per week.

c) Vacation and Sick Leave - Vacation and sick leave credits are based on the number of hours worked per week.

d) Holidays - Part-time Authority employees who work less than a full day (as applicable to their respective job location) are eligible for compensation for holidays based on the number of hours worked per day. However, if an employee works less than a full week, and a holiday falls on a day an employee is not scheduled to work, he/she will not be paid for that holiday.

e) Flex Credits – Flex Credits are based on a reduced flat amount.

f) Insurance - Life insurance and long-term disability are adjusted based on the employee's pro-rated pay.

3.3 Provisional Employees (as defined in 2.1 (d) are eligible for some Authority benefits, as follows:

a) Flex Credits based on a reduced flat amount
b) Mandatory participation in the New York State and Local Retirement System
c) Vacation time will be credited as stated in the Vacation Policy (EP 3.2)
d) Sick leave will be accrued as stated in the Sick Leave Policy (EP 3.9)

A provisional employee does not receive the following Authority benefits:

a) Life Insurance
b) Long-Term Disability
c) Educational Assistance
d) Payment for holidays that fall on a day the employee is not scheduled to work (refer to 3.2 (d) for more details about holiday pay)

3.4 Temporary Employees (this includes Full-time and Part-time Temporary Employees, Co-ops and all Interns) are not eligible to receive Authority benefits with the following exceptions:

a) Participation in the New York State and Local Retirement System is optional for a temporary part-time employee or for a full-time temporary employee whose period of employment is less than one year. Membership in the New York State and Local Retirement System is mandatory for a full-time temporary employee whose employment exceeds one year (prior approval must be obtained from headquarters HR and the Budget Department).

b) Temporary Employees are not paid for holidays unless they work on a holiday.

c) Any requests to hire a NYPA retiree (including NYPA retirees who have suspended their pensions) into temporary or provisional positions must be approved by the President & Chief Executive Officer. The request for approval must contain documentation of the need to continue services from that individual.

d) New York Power Authority retired employees who return to Authority employment and have suspended their New York State Retirement System pension because they have exceeded the annual earnings limitation as specified under Section 212 of the New York Retirement and Social Security Law shall retain their Authority-provided retiree benefits for a period of up to six months.

3.5 For more detailed information about the applicable employee benefits as listed above, please refer to the FlexAbility Guidebook and Benefits Handbook.

Vice President, Human Resources

3/29/12
Date

NEW YORK POWER AUTHORITY	EP:	3.2
	REVISION:	11
EMPLOYEE POLICY	DATE:	03/29/2012

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

VACATION

1.1 Because NYPA recognizes the importance of vacation time in providing the opportunity for rest, recreation, and personal activities, the Authority grants annual paid vacations to its full-time, part-time, and provisional salaried employees (see EP 3.1, Salaried Employee Categories and Eligibility for Benefits Policy, for employee category definitions).

Eligibility for Vacation

2.1 Full-time salaried employees are credited with vacation days as follows:

a) Employees are credited with 20 vacation days on January 1 of each year.

b) In an employee's first year of Authority employment, vacation days are credited on a pro-rated basis (rounded up to the nearest half day), based on the employee's date of employment ($1/12^{th}$ of 20 vacation days, or 1 2/3 days for each month of service). For example, if employment began in April, the employee receives 15 vacation days for the year. If employment began in December, the employee receives two vacation days.

c) Employees who have 11 or more years of eligible NYPA service will be credited with an additional ½ vacation day on January 1 immediately after they attain 11 years of eligible service. (See EP 1.9, Transfer or Re-Employment in Public Service, for transferred time eligibility criteria.) For each succeeding year of service, another ½ day will be credited on the following January 1^{st} of each year until a maximum of 25 days per year is credited upon the January 1^{st} after reaching 20 years of service.

d) Employees who are on a medical leave and receiving full pay will receive full vacation credits on January 1. Employees on sick leave at half-pay or a leave of absence without pay or long term disability on January 1, will not receive vacation days until they return to work, at which point the days will be credited on a pro-rated basis for the full months worked during the year. Employees who go out on a medical leave or a leave of absence without pay after receiving their vacation days on January 1, and remain employees, will keep the vacation days that were granted on January 1.

3.2 Vacation days for part-time salaried Authority employees are credited on a pro-rated basis based on the assigned number of hours worked per week. If part-time employees become full-time during the year, their vacation days for the year will be adjusted on a pro-rated basis.

3.3 Provisional salaried employees, employed on January 1, are credited with 10 vacation days per year for their first two full years of employment, and 15 vacation days in their third year. In the first calendar year of provisional employment, vacation will be pro-rated for each month of service.

Vacation Usage

4.1 New employees may use vacation time immediately with the approval of their supervisor (see Section 6.1 (d).*

4.2 Employees may use vacation days in full or half-day increments. However, supervisors may allow employees to offset partial vacation days with flexible scheduling, allowing them to make up time by working extra hours on other days. Supervisors may also grant very limited time off without using vacation time if the absence is so brief that it does not affect getting assigned work completed.

4.3 Excessive requests for time off, or taking vacation time without prior approval, should be handled by supervisors as performance problems in accordance with EP 4.2, Performance Improvement Policy.

4.4 Once employees have submitted their resignation, vacation usage is subject to their supervisor's approval. However, employees must physically be at work on their last day of employment.

*(Some Business Units, Sites or Departments may require the vacation request in writing.)

Vacation Carryover

5.1 Regardless of how many vacation days employees are credited with at the beginning of the year, no more than 40 vacation days may be carried over from year to year. For example, on December 31 an employee has the maximum vacation accumulation (40 days) and is credited with an additional 20 days on January 1, the employee's total balance would be 60 days on January 1. If by December 31 of that year the employee still has more than 40 days of accumulated vacation time, the vacation balance will be reduced to 40 days. However, due to extenuating circumstances, individual exceptions to this limitation on carryover of vacation days may be approved by the respective Business Group and Business Unit/Department Heads with concurrence from the Human Resources Department Head or their designee. Any such request must be forwarded to the Human Resources Department Head no later than January 10th of the year in which the vacation accumulation exceeds the 40-day maximum.

Pay in Lieu of Vacation

6.1 Payment in lieu of accumulated vacation, not exceeding a maximum of 40 days, may be made for employees who have completed at least six months of service under the following conditions:

a) When employees resign, provided they give the Authority at least two weeks' written notice.

b) When employees' services are terminated by the Authority.

c) When employees resign, are terminated or retire, they will receive payment for the current year's accumulated vacation on a pro-rated basis ($1/12^{th}$ of yearly vacation days for each month of service). This will be added to any days that were carried over from the prior year, up to a maximum vacation payment of 40 days. If employees have already used more than the equivalent of $1/12^{th}$ of their yearly vacation days for each month of service, payments for those days must be repaid to the Authority (to the extent possible, payment will be withheld from the employee's final paycheck).

d) When employees resign or are terminated prior to six months of service, they are not eligible for payment of vacation days, and payment for any vacation time taken within the first six months of employment must be repaid to the Authority (to the extent possible, payment will be withheld from the employee's final paycheck).

e) In the event of death, payment for unused vacation will be made to the beneficiary as named in the employee's group life insurance policy.

Vacation Buy-Back Program

7.1 If a vacation buy-back is offered and announced by Human Resources, employees will be advised of the amount of vacation they are allowed to "buy-back" at that time.

7.2 When the announcement is made by Human Resources, request forms must be sent to Payroll with the specified deadline dates. Requests received after the announcement deadline date will not be honored or processed.

7.3 The vacation "buy-back" check will be issued separately from the regular bi-weekly paychecks. In addition to taxes, Employees' Savings Plan (401(k) plan) and Deferred Compensation Plan (457 plan) deductions, if applicable, will be withheld from the check. Employees' Savings Plan or Retirement System loan repayments will not be deducted from the check.

The vacation "buy-back" payment does not constitute salary as defined by the Retirement and Social Security Law. Therefore, Tier 3 or Tier 4 contributions, if applicable, will not be deducted from the vacation buy-back check, nor will the buy-back be reported to the Retirement System as wages. Therefore, the value of the "buy-back" will not be included in the calculation of an employee's Final Average Salary.

Vice President, Human Resources

3/29/12
Date

NEW YORK POWER AUTHORITY	EP:	3.3
	REVISION:	13
EMPLOYEE POLICY	DATE:	1/10/2014

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

FAMILY & MEDICAL LEAVE ACT (FMLA)

1.1 This policy applies to all employees at all NYPA sites and offices and provides guidelines for qualifying leaves under the Family and Medical Leave Act ("FMLA"). The Notice to Employees of Rights under the FMLA (WHD Publication 1420) ("Notice") is fully incorporated into this policy. The policies and guidelines stated in this FMLA policy shall be subject to such other terms and conditions as are provided in the FMLA and its regulations.

ELIGIBILITY FOR FAMILY MEDICAL LEAVE ACT ("FMLA")

2.1 To be eligible for FMLA leave, an employee must have been employed with the Authority for at least 12 months and have worked at least 1,250 hours during the previous 12 months.

2.2 Type of Leaves Covered Under the FMLA:

1) Employee Medical Leave ("EML") (see Section 4) - for an employee's "serious health condition" that makes the employee unable to perform the functions of his or her position, including "serious health conditions" also eligible for workers' compensation;

2) Family Medical Leave ("FML") (see Section 4) - for the care of an employee's spouse, child, or parent (not parent-in-law) who has a "serious health condition";

3) Newborn Leave ("NL") (see Section 5) - for the care of a healthy newborn child;

4) Adoption Leave ("AL") (see Section 5) - for the care of a newly adopted or newly placed foster care child;

5) Military Family Leave ("MFL") (see Section 6) - for spouse, child, parent or next of kin of a covered servicemember to care for that servicemember; and

6) Qualifying Exigency Military Family Leave ("QEL") (see Section 7) - to take care of certain qualifying exigencies arising when a spouse, parent, or child has been called to, or is on, active duty in the National Guard or Reserves.

2.3 Eligible employees may receive up to a total of 12 weeks of FMLA leave on a rolling 12 month period starting with the first day that an employee commences any approved FMLA leave. However, Military Family Leave qualifies eligible employees to receive up to a combined total (with any other FMLA leave) of 26 weeks in a single rolling 12 month period.

NOTIFICATION/CERTIFICATION

3.1 When the need for FMLA leave is foreseeable, an employee should notify his/her supervisor and Human Resources at least 30 days in advance of the start date of the intended leave, or as soon as it is foreseeable. When the approximate timing of the need for leave is not foreseeable, an employee must provide notice to his/her supervisor and Human Resources as soon as practicable under the facts and circumstances of the particular case. Absent unusual circumstances, an employee will be expected to comply with his/her site/department's normal procedures for requesting leave and calling in absences. Failure to follow such procedures may result in a delay or denial of FMLA protection.

3.2 FMLA leaves can only be approved by the Vice President Human Resources, or designee(s), at the headquarters office, or the Facility Manager of Human Resources, or designee(s), at the sites. In situations when there is no Facility Manager of Human Resources at a site, FMLA leaves will be approved by the Vice President Human Resources, or designee(s). Once approved, FMLA leave is applied retroactively to the first day of absence.

3.3 The applicable fully completed Certification of Health Care Provider form or Certification of Serious Injury or Illness of Covered Servicemember ("Certifications"), signed by a health care provider, is required for all types of FMLA leave other than Qualifying Exigency Military Family Leave, which has its own separate form and requirements. Newborn leave may require documentation (see Section 5.1).

3.4 It is the employee's responsibility to see that the applicable Certification is completed, and returned to the Authority as soon as possible after the leave is requested, but no later than 15 calendar days from the date that the employee receives the Certification form from Human Resources.

3.5 If the Certification is incomplete or insufficient, the Authority will notify the employee and provide him/her up to seven calendar days to remedy any deficiencies. After this opportunity to remedy deficiencies has passed, a health care provider, human resources professional, leave administrator, or management official may contact the health care provider for purposes of limited clarification or authentication of the Certification. Under no circumstances may the employee's direct supervisor contact the employee's health care provider. In addition, in those situations where NYPA seeks to speak to the health care provider directly to obtain or discuss medical information related to the Certification, the employee may need to provide his/her doctor a HIPAA authorization allowing NYPA to do so.

3.6 The employee's request for FMLA leave may be denied in the event that an employee fails to: a) provide a Certification, b) timely remedy deficiencies in a Certification, or c) to provide a HIPAA authorization when requested as necessary for his/her health care provider to provide medical information directly to the Authority.

3.7 Employees attempting to obtain, or obtaining, FMLA leave by fraudulent means, shall be denied the restoration of their job or any other job at the Authority and utilization of paid time for time out of work.

3.8 Recertification of the need for FMLA leave may be required at various intervals, but generally not more than every 30 days, unless the Certification indicates that the minimum duration of the condition is more than 30 days, there is a change in circumstances or return to work date, an extension of leave is requested, or other circumstances cast doubt on the continuing validity of the leave.

EMPLOYEE MEDICAL LEAVE ("EML") AND FAMILY MEDICAL LEAVE ("FML")

4.1 When an employee has been absent from work for five (5) consecutive or closely occurring intermittent business days due to a "serious health condition," or when it appears that an employee will not be returning to work for a lengthy or undetermined amount of time due to a "serious health condition," he/she may be eligible for an Employee Medical Leave ("EML") under the FMLA.

4.2 If more than five (5) business days (consecutive or intermittent) are needed to care for the "serious health condition" of a spouse, child, or parent (not parent-in-law), an employee may be eligible for Family Medical Leave ("FML") under the FMLA.

4.3 When the absences exceed this five day period for a "serious health condition," the employee should provide notification to Human Resources, at which point the FMLA process will be initiated for eligible employees.

4.4 EML and FML may be taken on a consecutive, intermittent or reduced work schedule basis as provided by the health care provider on the Certification.

4.5 FMLA leave on an intermittent basis is leave which can be taken in fifteen (15) minute increments, on a non-regular basis, because of a single qualifying reason. FMLA leave on a reduced schedule is leave which reduces the number of working hours in a basic work week or workday for a period of time. If an employee needs leave intermittently or on a reduced leave schedule for planned medical treatment, then the employee must make a reasonable effort to schedule the treatment so as not to disrupt unduly the Authority's operations.

4.6 Employees who qualify for EML and FML must first utilize all accrued sick time. Employees may then request and utilize any approved accrued vacation or accrued floating holidays (see Sections 10.6 and 10.8 below). Vacation time may only be used prior to sick time for salaried employees EML's for service credit purposes related to sick leave at half-pay (see Sick Leave Policy EP: 3.9). In all cases, qualifying time absent, whether paid or not, will be applied toward the maximum 12-week FMLA time period. Use of vacation time does not alter the status of the leave as FMLA leave, nor does it extend any Authority provided job security periods. (For EMLs related to Workers Compensation also see Sections 9.1-9.3).

4.7 Once accrued sick time is exhausted (and where vacation or floating holiday time is not being used, or has been exhausted), eligible salaried (non-bargaining unit) employees on EML will be placed on sick leave at half-pay (see Sick Leave Policy EP: 3.9), or on no-pay status if not eligible. In certain circumstances, salaried employees may also be eligible to receive donated vacation leave (see Attachments 1 and 2). Sick leave at half-pay is not available for any other type of FMLA Leave. If an employee on FML has no sick or vacation credits, or elects not to apply vacation credits, non-worked hours will be unpaid.

4.8 After three consecutive months of EML, and after all accrued sick leave and sick leave at half pay has been exhausted, a salaried employee may be eligible for benefit payments under the Long-Term Disability ("LTD") benefits. (See Sick Leave Policy EP: 3.9). Employees covered under a Collective Bargaining Agreement ("CBA") may be entitled to short and/or long term disability benefits. Such employees should contact their Human Resources Facility Manager and refer to their applicable Collective Bargaining Agreement and Benefit book for information on their benefits.

4.9 Employees returning to work from an EML must provide Human Resources with written medical documentation verifying their ability to return to work and fitness for duty. This should be provided at the earliest practicable time **before** returning to work. An employee will not be allowed back to work without sufficient written medical documentation.

NEWBORN ("NL")/ADOPTION ("AL") LEAVE

5.1 An employee may take a Newborn Leave ("NL") to care for a healthy newborn under the FMLA within one year after birth (i.e., bonding). Any time taken to recover from child birth, to assist a mother recovering from child birth, or to care for a newborn with a "serious health condition" should be taken as Employee Medical Leave or Family Medical Leave respectively.

5.2 An employee may take an Adoption Leave ("AL") in connection with travel for and placement of a child for adoption or foster care within one year of initial placement in the home, or adoption, whichever comes first. Documentation from an agency or attorney verifying such placement of a child must be submitted to Human Resources within 15 business days or as soon as practicable.

5.3 Newborn and Adoption Leave may only be taken as consecutive leave and will be without pay unless the employee chooses, and receives approval, to use accrued vacation time. In all cases, time absent, whether paid or not, will be applied toward the maximum yearly 12-week FMLA period.

5.4 If both parents of a healthy newborn or a newly placed foster or adopted child work for the Authority, only one parent is entitled to these types of FMLA leave for each qualifying occurrence. However, both parents will be allowed leave for travel necessary for placement of a child for adoption or foster care.

5.5 In all cases, time absent, whether paid or not, will be applied toward the applicable 12-week FMLA time period.

MILITARY FAMILY LEAVE ("MFL")

6.1 Military Family Leave ("MFL") provides eligible employees unpaid leave to care for a covered family member who has incurred a serious injury or illness in the line of duty as a covered servicemember while on covered active duty in the Armed Forces.

6.2 A covered family member is a spouse, son, daughter, parent or next of kin. A covered servicemember is a person either in the military or a veteran for up to five years after he or she leaves military service, even if the injury did not manifest itself until the servicemember became a veteran.

6.3 An employee who is a covered family member may take up to 26 weeks of leave during a single 12-month period to care for the covered servicemember. This 26-week period is the maximum amount of leave that may be taken in combination with any other FMLA-qualifying leaves in a single 12-month period beginning with the employee's first day out.

6.4 Such leaves may be on a consecutive basis, intermittent or a reduced schedule basis, as detailed by the health care provider on the Certification.

6.5 Employees must utilize accrued sick time first until sick leave accruals are exhausted. Employees may then request and utilize any accrued floating holidays (salaried only), vacation or other accrued paid time off (see Section 10.6 below) or be placed on no-pay status.

6.6 In all cases, time absent, whether paid or not, will be applied toward the applicable 26-week FMLA time period.

QUALIFYING EXIGENCY MILITARY FAMILY LEAVE ("QEL")

7.1 An employee who is a spouse, son, daughter, or parent of a covered servicemember called to, or on, covered active duty in regular or reserve components of the Armed Forces during a deployment of the servicemember to a foreign country may take up to 12 workweeks of leave during any 12 month period for a "qualifying exigency."

7.2 Reasonable documentation of family relationship may be required.

7.3 A "qualifying exigency" is limited to the following: a) short notice deployment; b) attending certain military events and related activities; c) arranging for alternative childcare and school activities; d) addressing financial and legal arrangements; e) counseling; f) rest and recuperation; g) attending post-deployment activities; and h) additional activities that arise out of active duty, provided that the employer and employee agree, including agreement on time and duration of the leave.

7.4 Leaves for Qualifying Exigencies shall be unpaid, unless the employee requests and is given permission to use accrued vacation time, floating holidays (salaried only) or other accrued time off (excluding sick time).

7.5 In all cases, time absent, whether paid or not, will be applied toward the applicable 12-week FMLA time period.

JOB SECURITY FOR SALARIED EMPLOYEES WHILE ON FMLA OR EXTENDED MEDICAL LEAVE

8.1 When a salaried employee is absent from work on any qualified consecutive FMLA leave, other than Military Family Leave, their position will only be held open for a period of three months beginning on the first day of absence for the first FMLA leave within a 12-month period, even if the employee has accumulated sick and/or vacation leave that extends beyond that three month period.

8.2 If a department intends to fill a salaried position held by someone on FMLA leave (other than qualifying Military Family Leave) at any time after the expiration of the three month job security period, the employee's supervisor must notify site/headquarters Human Resources and the employee of this intent.

8.3 For all FMLA leaves other than Military Family Leave, any extended leave beyond the three months FMLA and job security period up to, but not exceeding, six months must be approved by the employee's Business Unit head or Regional Manager in conjunction with Human Resources prior to informing the employee of the approval of the extension. By approving the extension, the Authority will attempt to maintain the availability of a position, but not necessarily the same position, for the agreed upon leave period. In these circumstances, there is no guarantee that the employee's current position will remain open. The Authority will attempt to place the employee in a similar (or lesser) position for which they are qualified at the time of return. A position will not be created. At the conclusion of six months, the employee will be advised that his/her continued employment has been terminated.

8.4 When an employee is absent from work on a qualifying Military Family Leave, their position will be held open for a period of up to six months beginning on the first day of absence for the first FMLA leave within a 12-month period, even if the employee has accumulated sick and/or vacation leave that extends beyond that six month period. At the conclusion of six months, the employee will be advised that his/her employment has been terminated.

8.5 Extensions of leave beyond the six month period will be considered on an individual basis. Such a request **must** be made in writing and can only be approved by the Human Resources Department Head. Such requests should be made as soon as practicable before the end of the six-month period and must include information from the health care provider about the employee's current medical status, expected return to work dates as well as any return to work conditions or limitations. Any remaining accrued sick leave will also be taken into consideration in reviewing the request for an extension of the leave. For extensions of Military Family Leave beyond the six month period, the military member's current medical status and the employee's expected return to work date must be provided.

WORKERS' COMPENSATION

9.1 If an employee is out on a Workers' Compensation leave for a health condition which also qualifies as an FMLA leave, it will be treated concurrently as an FMLA leave.

9.2 In such cases, employees have the option of applying unused accrued sick and/or vacation time to their first three months of absence from work. The employee must complete a "Use of Vacation/Sick Accruals" form (provided by their Human Resources representative) to make their election, which is irrevocable. If the employee chooses to apply sick/vacation time towards a Workers' Compensation/FMLA leave and the Workers' Compensation claim is found to be compensable, sick/vacation time will be credited back to the employee on a prorated basis in a proportionate manner based on the amount of the award and the amount of sick/vacation time used or other Collective Bargaining Agreement contractual language.

9.3 Bargaining Unit employees are directed to their Human Resources Facilities Manager and the applicable Collective Bargaining Agreement or Benefit book.

PAYROLL STATUS WHILE ON FMLA LEAVE

10.1 Depending on the particular type of leave and an employee's individual circumstances (including whether they are salaried or members of a bargaining unit), an employee may be eligible for income while on a FMLA leave through sick leave, sick leave at half-pay for salaried employees, vacation leave, short term disability for bargaining unit employees, and/or long term disability, or all or part of a FMLA leave may be unpaid.

10.2 Applicable benefits and associated payroll deductions (taxes, flexible benefit credits and deductions, NYS Retirement System contributions, PowerFlex, Employees' Savings Plan, loans, Liberty Mutual insurance, other insurance, etc.) will continue while an employee is out on any qualified FMLA leave and is still receiving compensation regardless of whether it is full- or half-pay (using sick or vacation accruals) or sick leave at half-pay, if applicable.

10.3 Deductions are prioritized by the Payroll Department. Any questions concerning the priority of deductions should be addressed to the Payroll Department and/or the applicable Human Resources representative.

10.4 For salaried employees, if an employee works on a part-time schedule prior to going out on FMLA leave, and is receiving compensation while on that leave, they will be paid based on the days they work on their part-time schedule.

10.5 For bargaining unit employees, holidays will be fully paid if during the calendar week in which such holiday occurs the employee has been on paid status at least two full days and all absences from work on the employee's remaining regularly scheduled work days during that week are approved in writing by the supervisor in accordance with the applicable Collective Bargaining Agreement. Employees on unpaid status prior to the calendar week of the holiday will not be paid for the holiday.

10.6 An employee on an FMLA leave who wants to use their accrued vacation time to cover any part of that leave must make a request to their local Human Resources Department. Without notification, accrued vacation time will not be automatically applied.

10.7 Holidays will be fully paid only if an employee is on a full-pay status (using sick or vacation leave or floating holiday) on the business day prior to the holiday. Holidays will be paid at half-pay if the employee is on sick leave at half-pay on the business day prior to the holiday. Employees on unpaid status on the day prior to a holiday will not be paid for the holiday.

10.8 Floating holidays, if accrued but not used before FMLA leave commences, must be used before any unpaid leave begins. If an employee on half or full pay status will be on leave at the end of the calendar year, and has not yet used their floating holidays, they will automatically be applied toward the leave prior to year's end.

10.9 Deductions and Credits:

1) FlexAbility Deductions

a) Where applicable, FlexAbility credits for Salaried employees, or payments for Waiving Coverage for IBEW employees and/or any other applicable benefit costs, will continue to be paid/deducted while an employee is out on FMLA leave and is still receiving pay from NYPA.

b) Once on a no pay status, in order for the benefit costs to remain a pre-tax deduction, where applicable, Human Resources and Payroll must receive enough advance notice (30 days) before a leave begins, to be able to arrange for the pre-tax deductions to be taken from the paycheck.

c) Otherwise, an employee must pay their applicable contributions to the Authority monthly during their leave on an after-tax basis. (Bargaining unit employees contact your HR Department for appropriate no pay status form)

d) The Authority's obligation to maintain the above coverages ceases if the contribution is more than 30 days late. The Authority must give 15 days' notice to the employee prior to the termination of benefits.

2) If a portion of a salaried employee's FMLA leave will be unpaid, flex credits will be used to determine the premium they must pay to the Authority. The cost will be based on the flex credits they were entitled to while being paid, minus the cost of deductions.

Bargaining Unit employees' payments will be based on their benefit elections and applicable contractual contribution.

3) All other deductions (i.e., PowerFlex accounts) will be doubled per pay-period when an employee returns to work until they are back on the appropriate annual deduction schedule or have made up the appropriate percentage amount.

4) Benefits Coverage Period:

a) Salaried employee's benefits will continue for 6 months (from first day of absence) as long as an employee pays the required employee contributions while they are out on leave.

b) A salaried employee's benefits will cease once the employee has been absent for six months from their first day of absence, even if their leave is approved to be extended beyond six months under the provisions of Section 8.5. If a salaried employee has 10 or more years of service with the Authority and is collecting long-term disability payments (see the Employee Benefits Handbook for Salaried Employees for LTD eligibility criteria), their health care coverage will be continued at a cost to them. The cost will be based on flex credits, minus the cost of the medical plan.

c) Bargaining unit employees should contact their Human Resources Facility Manager and refer to their applicable Collective Bargaining Agreement and Benefit book.

Vice President Human Resources

DONATION OF VACATION LEAVE

This attachment allows eligible salaried employees who are on medical leave to receive vacation leave that has been donated by other salaried employees.

Eligibility
An employee *absent for his/her own personal illness or injury* who has been out for at least 20 ***consecutive business*** days due to a ***serious health*** condition and who has exhausted ALL accumulated sick and vacation leave credits will be eligible to receive donated vacation leave. The 20-day waiting period has been established in order to limit eligibility to long-term serious ***health*** conditions rather than short-term sick leave.

An employee receiving any form of pay (i.e., workers' compensation, sick leave at half-pay, long-term disability) is not eligible to receive donated vacation leave.

Who May Donate
An employee who has accumulated vacation leave credits and who is on the active payroll may donate vacation leave.

Donated Vacation Information
An employee may donate vacation leave in increments of 1 day.

A donating employee must be left with a remaining vacation leave balance of 5 days, in the event he/she needs the time for unforeseen reasons.

If an employee returns to work and all of the donated vacation leave is not fully utilized by the recipient, ***up to 10 days of donated vacation may be kept by the employee. The remainder will be credited back proportionately to the donating employees based on the number of days donated by each employee compared to the total days donated.***

Procedures
When an eligible employee exhausts his or her accumulated sick and vacation leave credits, Payroll will notify the employee and his or her business group, unit/department head. The business group, unit/department head may, at his or her discretion, ask employees if they wish to donate vacation leave days. When determining whether an employee is eligible to receive donated vacation leave, the business group, unit/department head should consider factors such as the employee's attendance record. The business group, unit/department head, or his/her designee, should try to determine how much time is needed until the employee is eligible for long-term disability benefits.

When a department cannot provide an employee with a sufficient number of days at full pay until the employee is eligible for long-term disability benefits, the business group, unit/department head may request the Employee Relations Manager at headquarters, or the Facility Manager of Human Resources at the sites, to solicit other departments to participate.

Donated vacation leave cannot exceed three months from commencement of the leave (the time at which the employee is eligible for long-term disability benefits).

An employee who wishes to donate vacation leave must complete a Vacation Donation Form (see Attachment 2) and submit the form to the employee's business group, unit/department head or designee, who in turn should forward the form to Payroll.

Payroll will credit the donated vacation leave days to the recipient employee's vacation leave balance (not calculated by rate of pay). Donated vacation leave will be paid to the employee at his or her regular rate of pay. Applicable payroll taxes and deductions will be withheld from such payments.

When an employee donates vacation leave, his or her vacation leave balance will be reduced by the number of days donated (not calculated by rate of pay).

VACATION DONATION FORM FOR SALARIED EMPLOYEES

TO: WPO Payroll

Donor's Name _____ **Date** _____

Department _____ **Location** _____ **Extension** _____

Number of Vacation Days to be donated _____ **Donated To** _____

Signature _____ **Date** _____

Cc: Recipient

NEW YORK POWER AUTHORITY	EP: 3.3 REVISION: 1~~3~~2
EMPLOYEE POLICY	DATE: ~~5/19/10~~ 1/10/2014

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

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ELIGIBILITY FOR FAMILY MEDICAL LEAVE ACT ("FMLA")

2.1 To be eligible for FMLA leave, an employee must have been employed with the Authority for at least 12 months and have worked at least 1,250 hours during the previous 12 months.

2.2 Type of Leaves Covered Under the FMLA:

1) Employee Medical Leave ("EML") (see Section 4) - for an employee's "serious health condition" (see attached Notice) that makes the employee unable to perform the functions of his or her position, including "serious health conditions" also eligible for workers' compensation;

2) Family Medical Leave ("FML") (see Section 4) - for the care of an employee's spouse, child, or parent (not parent-in-law) who has a "serious health condition";

3) Newborn Leave ("NL") (see Section 5) - for the care of a healthy newborn child;

4) Adoption Leave ("AL") (see Section 5) - for the care of a newly adopted or newly placed foster care child;

5) Military Family Leave ("MFL") (see Section 6) - for spouse, child, parent or next of kin of a covered servicemember to care for that servicemember; and

6) Qualifying Exigency Military Family Leave ("QEL") (see Section 7) - to take care of certain qualifying exigencies arising when a spouse, parent, or child has been called to, or is on, active duty in the National Guard or Reserves.

2.3 Eligible employees may receive up to a total of 12 weeks of FMLA leave on a rolling 12 month period starting with the first day that an employee commences any approved FMLA leave. However, Military Family Leave qualifies eligible employees to receive up to a combined total (with any other FMLA leave) of 26 weeks in a single rolling 12 month period.

NOTIFICATION/CERTIFICATION

3.1 When the need for FMLA leave is foreseeable, an employee should notify his/her supervisor and Human Resources at least 30 days in advance of the start date of the intended leave, or as soon as it is foreseeable. When the approximate timing of the need for leave is not foreseeable, an employee must provide notice to his/her supervisor and Human Resources as soon as practicable under the facts and circumstances of the particular case. Absent unusual circumstances, an employee will be expected to comply with his/her site/department's normal procedures for requesting leave and calling in absences. Failure to follow such procedures may result in a delay or denial of FMLA. protection.

3.2 FMLA leaves can only be approved by the Vice President Human Resources, or designee(s), at the headquarters office, or the Facility Manager of Human Resources, or designee(s), at the sites. In situations when there is no Facility Manager of Human Resources at a site, FMLA leaves will be approved by the Vice President Human Resources, or designee(s). Once approved, FMLA leave is applied retroactively to the first day of absence.

3.3 The applicable fully completed Certification of Health Care Provider form or Certification of Serious Injury or Illness of Covered Servicemember ("Certifications"), signed by a health care provider, is required for all types of FMLA leave other than Qualifying Exigency Military Family Leave, which has its own separate form and requirements. Newborn leave may require documentation (see Section 5.1). Copies of the Certifications are attached in Attachments 2-5.

3.4 It is the employee's responsibility to see that the applicable Certification is completed, and returned to the Authority as soon as possible after the leave is requested, but no later than 15 calendar days from the date that the employee receives the Certification form from Human Resources.

3.5 If the Certification is incomplete or insufficient, the Authority will notify the employee and provide him/her up to seven calendar days to remedy any deficiencies. After this opportunity to remedy deficiencies has passed, a health care provider, human resources professional, leave administrator, or management official may contact the health care provider for purposes of limited clarification or authentication of the Certification. Under no circumstances may the employee's direct supervisor contact the employee's health care provider. In addition, in those situations where NYPA seeks to speak to the health care provider directly to obtain or discuss medical information related to the Certification, the employee may need to provide his/her doctor a HIPAA authorization allowing NYPA to do so.

3.6 The employee's request for FMLA leave may be denied in the event that an employee fails to: a) provide a Certification, b) timely remedy deficiencies in a Certification, or c) to provide a HIPAA authorization when requested as necessary for his/her health care

provider to provide medical information directly to the Authority.

3.7 Employees attempting to obtain, or obtaining, FMLA leave by fraudulent means, shall be denied the restoration of their job or any other job at the Authority and utilization of paid time for time out of work.

3.8 Recertification of the need for FMLA leave may be required at various intervals, but generally not more than every 30 days, unless the Certification indicates that the minimum duration of the condition is more than 30 days, there is a change in circumstances or return to work date, an extension of leave is requested, or other circumstances cast doubt on the continuing validity of the leave.

EMPLOYEE MEDICAL LEAVE ("EML") AND FAMILY MEDICAL LEAVE ("FML")

4.1 When an employee has been absent from work for five (5) ~~three (3)~~ consecutive or closely occurring intermittent business days due to a "serious health condition," or when it appears that an employee will not be returning to work for a lengthy or undetermined amount of time due to a "serious health condition," he/she may be eligible for an Employee Medical Leave ("EML") under the FMLA.

4.2 If more than ~~three (3~~five (5)) business days (consecutive or intermittent) are needed to care for the "serious health condition" of a spouse, child, or parent (not parent-in-law), an employee may be eligible for Family Medical Leave ("FML") under the FMLA.

4.3 When the absences exceed this ~~three~~ five day period for a "serious health condition," the employee should provide notification to Human Resources, at which point the FMLA process will be initiated for eligible employees.

4.4 EML and FML may be taken on a consecutive, intermittent or reduced work schedule basis as provided by the health care provider on the Certification.

4.5 FMLA leave on an intermittent basis is leave which ~~is~~ can be taken in fifteen (15) minute ~~separate blocks of full or half day~~ increments, on a non-regular basis, because of a single qualifying reason. FMLA leave on a reduced schedule is leave which reduces the number of working hours in a basic work week or workday for a period of time. If an employee needs leave intermittently or on a reduced leave schedule for planned medical treatment, then the employee must make a reasonable effort to schedule the treatment so as not to disrupt unduly the Authority's operations.

4.6 Employees who qualify for EML and FML must first utilize all accrued sick time. Employees may then request and utilize any approved accrued vacation or accrued floating holidays (see Sections 10.6 and 10.8 below). Vacation time may only be used prior to sick time for salaried employees EML's for service credit purposes related to sick leave at half-pay (see Sick Leave Policy EP: 3.9). In all cases, qualifying time absent, whether paid or not, will be applied toward the maximum 12-week FMLA time period. Use of vacation time does not alter the status of the leave as FMLA leave, nor does it extend any Authority provided job security periods. (For EMLs related to Workers

Compensation also see Sections 9.1-9.3).

4.7 Once accrued sick time is exhausted (and where vacation or floating holiday time is not being used, or has been exhausted), eligible salaried (non-bargaining unit) employees on EML will be placed on sick leave at half-pay (see Sick Leave Policy EP: 3.9), or on no-pay status if not eligible. In certain circumstances, salaried employees may also be eligible to receive donated vacation leave (see Attachments 6 and 7). Sick leave at half-pay is not available for any other type of FMLA Leave. If an employee on FML has no sick or vacation credits, or elects not to apply vacation credits, non-worked hours will be unpaid.

4.8 After three consecutive months of EML, and after all accrued sick leave and sick leave at half pay has been exhausted, a salaried employee may be eligible for benefit payments under the Long-Term Disability ("LTD") benefits. (See Sick Leave Policy EP: 3.9). Employees covered under a Collective Bargaining Agreement ("CBA") may be entitled to short and/or long term disability benefits. Such employees should contact their Human Resources Facility Manager and refer to their applicable Collective Bargaining Agreement and Benefit book for information on their benefits.

4.9 Employees returning to work from an EML must provide Human Resources with written medical documentation verifying their ability to return to work and fitness for duty. This should be provided at the earliest practicable time **before** returning to work. An employee will not be allowed back to work without sufficient written medical documentation.

NEWBORN ("NL")/ADOPTION ("AL") LEAVE

5.1 An employee may take a Newborn Leave ("NL") to care for a healthy newborn under the FMLA within one year after birth (i.e., bonding). Anytime taken to recover from child birth, to assist a mother recovering from child birth, or to care for a newborn with a "serious health condition" should be taken as Employee Medical Leave or Family Medical Leave respectively.

5.2 An employee may take an Adoption Leave ("AL") in connection with travel for and placement of a child for adoption or foster care within one year of initial placement in the home, or adoption, whichever comes first. Documentation from an agency or attorney verifying such placement of a child must be submitted to Human Resources within 15 business days or as soon as practicable.

5.3 Newborn and Adoption Leave may only be taken as consecutive leave and will be without pay unless the employee chooses, and receives approval, to use accrued vacation time. In all cases, time absent, whether paid or not, will be applied toward the maximum yearly 12-week FMLA period.

5.4 If both parents of a healthy newborn or a newly placed foster or adopted child work for the Authority, only one parent is entitled to these types of FMLA leave for each qualifying occurrence. However, both parents will be allowed leave for travel necessary

for placement of a child for adoption or foster care.

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5.5 In all cases, time absent, whether paid or not, will be applied toward the applicable 12-week FMLA time period.

MILITARY FAMILY LEAVE ("MFL")

6.1 Military Family Leave ("MFL") provides eligible employees unpaid leave to care for a covered family member who has incurred a serious injury or illness in the line of duty as a covered service member while on covered active duty in the Armed Forces.

6.2 A covered family member is a spouse, son, daughter, parent or next of kin. A covered service member is a person either in the military or a veteran for up to five years after he or she leaves military service, even if the injury did not manifest itself until the service member became a veteran.

6.3 An employee who is a covered family member may take up to 26 weeks of leave during a single 12-month period to care for the covered service member. This 26-week period is the maximum amount of leave that may be taken in combination with any other FMLA-qualifying leaves in a single 12-month period beginning with the employee's first day out.

6.4 Such leaves may be on a consecutive basis, intermittent or a reduced schedule basis, as detailed by the health care provider on the Certification.

6.5 Employees must utilize accrued sick time first until sick leave accruals are exhausted. Employees may then request and utilize any accrued floating holidays (salaried only), vacation or other accrued paid time off (see Section 10.6 below) or be placed on no-pay status.

6.6 In all cases, time absent, whether paid or not, will be applied toward the applicable 26-week FMLA time period.

QUALIFYING EXIGENCY MILITARY FAMILY LEAVE ("QEL")

7.1 An employee who is a spouse, son, daughter, or parent of a covered service member called to, or on, covered active duty in regular or reserve components of the Armed forces during a deployment of the service member to a foreign country may take up to 12 workweeks of leave during any 12 month period for a "qualifying exigency."

7.2 Reasonable documentation of family relationship may be required.

7.3 A "qualifying exigency" is limited to the following: a) short notice deployment; b) attending certain military events and related activities; c) arranging for alternative childcare and school activities; d) addressing financial and legal arrangements;

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e), counseling ; f) rest and recuperation; g)attending post-deployment activities; and h) additional activities that arise out of active duty, provided that the employer and employee agree, including agreement on time and duration of the leave.

7.4 Leaves for Qualifying Exigencies shall be unpaid, unless the employee requests and is given permission to use accrued vacation time, floating holidays (salaried only) or other accrued time off (excluding sick time).

7.5 In all cases, time absent, whether paid or not, will be applied toward the applicable 12-week FMLA time period.

JOB SECURITY FOR SALARIED EMPLOYEES WHILE ON FMLA OR EXTENDED MEDICAL LEAVE

8.1 When a salaried employee is absent from work on any qualified consecutive FMLA leave, other than Military Family Leave, their position will only be held open for a period of three months beginning on the first day of absence for the first FMLA leave within a 12-month period, even if the employee has accumulated sick and/or vacation leave that extends beyond that three month period.

8.2 If a department intends to fill a salaried position held by someone on FMLA leave (other than qualifying Military Family Leave) at any time after the expiration of the three month job security period, the employee's supervisor must notify site/headquarters Human Resources and the employee of this intent.

8.3 For all FMLA leaves other than Military Family Leave, any extended leave beyond the three months FMLA and job security period up to, but not exceeding, six months must be approved by the employee's Business Unit head or Regional Manager in conjunction with Human Resources prior to informing the employee of the approval of the extension. By approving the extension, the Authority will attempt to maintain the availability of a position, but not necessarily the same position, for the agreed upon leave period. In these circumstances, there is no guarantee that the employee's current position will remain open. The Authority will attempt to place the employee in a similar (or lesser) position for which they are qualified at the time of return. A position will not be created. At the conclusion of six months, the employee will be advised that his/her continued employment has been terminated.

8.4 When an employee is absent from work on a qualifying Military Family Leave, their position will be held open for a period of up to six months beginning on the first day of absence for the first FMLA leave within a 12-month period, even if the employee has accumulated sick and/or vacation leave that extends beyond that six month period. At the conclusion of six months, the employee will be advised that his/her employment has been terminated.

8.5 Extensions of leave beyond the six month period will be considered on an individual basis. Such a request **must** be made in writing and can only be approved by the Human

Resources Department Head. Such requests should be made as soon as practicable before the end of the six-month period and must include information from the health care provider about the employee's current medical status, expected return to work dates as well as any return to work conditions or limitations. Any remaining accrued sick leave will also be taken into consideration in reviewing the request for an extension of the leave. For extensions of Military Family Leave beyond the six month period, the military member's current medical status and the employee's expected return to work date must be provided.

WORKERS' COMPENSATION

9.1 If an employee is out on a Workers' Compensation leave for a health condition which also qualifies as an FMLA leave, it will be treated concurrently as an FMLA leave.

9.2 In such cases, employees have the option of applying unused accrued sick and/or vacation time to their first three months of absence from work. The employee must complete a "Use of Vacation/Sick Accruals" form (provided by their Human Resources representative) to make their election, which is irrevocable. If the employee chooses to apply sick/vacation time towards a Workers' Compensation/FMLA leave and the Workers' Compensation claim is found to be compensable, sick/vacation time will be credited back to the employee on a prorated basis in a proportionate manner based on the amount of the award and the amount of sick/vacation time used or other Collective Bargaining Agreement contractual language.

9.3 Bargaining Unit employees are directed to their Human Resources Facilities Manager and the applicable Collective Bargaining Agreement or Benefit book.

PAYROLL STATUS WHILE ON FMLA LEAVE

10.1 Depending on the particular type of leave and an employee's individual circumstances (including whether they are salaried or members of a bargaining unit), an employee may be eligible for income while on a FMLA leave through sick leave, sick leave at half-pay for salaried employees, vacation leave, short term disability for bargaining unit employees, and/or long term disability, or all or part of a FMLA leave may be unpaid.

10.2 Applicable benefits and associated payroll deductions (taxes, flexible benefit credits and deductions, NYS Retirement System contributions, PowerFlex, Employees' Savings Plan, loans, Liberty Mutual insurance, other insurance, etc.) will continue while an employee is out on any qualified FMLA leave and is still receiving compensation regardless of whether it is full- or half-pay (using sick or vacation accruals) or sick leave at half-pay, if applicable.

10.3 Deductions are prioritized by the Payroll Department. Any questions concerning the priority of deductions should be addressed to the Payroll Department and/or the applicable Human Resources representative.

10.4 For salaried employees, if an employee works on a part-time schedule prior to going out on FMLA leave, and is receiving compensation while on that leave, they will be paid based on the days they work on their part-time schedule.

10.5 For bargaining unit employees, holidays will be fully paid if during the calendar week in which such holiday occurs the employee has been on paid status at least two full days and all absences from work on the employee's remaining regularly scheduled work days during that week are approved in writing by the supervisor in accordance with the applicable Collective Bargaining Agreement. Employees on unpaid status prior to the calendar week of the holiday will not be paid for the holiday.

10.6 An employee on an FMLA leave who wants to use their accrued vacation time to cover any part of that leave must make a request to their local Human Resources Department. Without notification, accrued vacation time will not be automatically applied.

10.7 Holidays will be fully paid only if an employee is on a full-pay status (using sick or vacation leave or floating holiday) on the business day prior to the holiday. Holidays will be paid at half-pay if the employee is on sick leave at half-pay on the business day prior to the holiday. Employees on unpaid status on the day prior to a holiday will not be paid for the holiday.

10.8 Floating holidays, if accrued but not used before FMLA leave commences, must be used before any unpaid leave begins. If an employee on half or full pay status will be on leave at the end of the calendar year, and has not yet used their floating holidays, they will automatically be applied toward the leave prior to year's end.

10.9 Deductions and Credits:

1) FlexAbility Deductions

a) Where applicable, FlexAbility credits for Salaried employees, or payments for Waiving Coverage for IBEW employees and/or any other applicable benefit costs, will continue to be paid/deducted while an employee is out on FMLA leave and is still receiving pay from NYPA.

b) Once on a no pay status, in order for the benefit costs to remain a pre-tax deduction, where applicable, Human Resources and Payroll must receive enough advance notice (30 days) before a leave begins, to be able to arrange for the pre-tax deductions to be taken from the paycheck.

c) Otherwise, an employee must pay their applicable contributions to the Authority monthly during their leave on an after-tax basis. (Bargaining unit employees contact your HR Department for appropriate no pay status form)

d) The Authority's obligation to maintain the above coverages ceases if the contribution is more than 30 days late. The Authority must give 15 days' notice to

the employee prior to the termination of benefits.

2) If a portion of a salaried employee's FMLA leave will be unpaid, flex credits will be used to determine the premium they must pay to the Authority. The cost will be based on the flex credits they were entitled to while being paid, minus the cost of deductions.

Bargaining Unit employees' payments will be based on their benefit elections and applicable contractual contribution.

3) All other deductions (i.e., PowerFlex accounts) will be doubled per pay-period when an employee returns to work until they are back on the appropriate annual deduction schedule or have made up the appropriate percentage amount.

4) Benefits Coverage Period:

a) Salaried employee's benefits will continue for 6 months (from first day of absence) as long as an employee pays the required employee contributions while they are out on leave.

b) A salaried employee's benefits will cease once the employee has been absent for six months from their first day of absence, even if their leave is approved to be extended beyond six months under the provisions of Section 8.5. If a salaried employee has 10 or more years of service with the Authority and is collecting long-term disability payments (see the Employee Benefits Handbook for Salaried Employees for LTD eligibility criteria), their health care coverage will be continued at a cost to them. The cost will be based on flex credits, minus the cost of the medical plan.

c) Bargaining unit employees should contact their Human Resources Facility Manager and refer to their applicable Collective Bargaining Agreement and Benefit book.

Vice President Human Resources

DONATION OF VACATION LEAVE

This attachment allows eligible salaried employees who are on medical leave to receive vacation leave that has been donated by other salaried employees.

Eligibility
An employee *absent for his/her own personal illness or injury* who has been out for at least 20 ***consecutive business*** days due to a ***serious health*** condition and who has exhausted ALL accumulated sick and vacation leave credits will be eligible to receive donated vacation leave. The 20-day waiting period has been established in order to limit eligibility to long-term serious ***health*** conditions rather than short-term sick leave.

An employee receiving any form of pay (i.e., workers' compensation, sick leave at half-pay, long-term disability) is not eligible to receive donated vacation leave.

Who May Donate
An employee who has accumulated vacation leave credits and who is on the active payroll may donate vacation leave.

Donated Vacation Information
An employee may donate vacation leave in increments of 1 day.

A donating employee must be left with a remaining vacation leave balance of 5 days, in the event he/she needs the time for unforeseen reasons.

If an employee returns to work and all of the donated vacation leave is not fully utilized by the recipient, ***up to 10 days of donated vacation may be kept by the employee. The remainder will be credited back proportionately to the donating employees based on the number of days donated by each employee compared to the total days donated.***

Procedures
When an eligible employee exhausts his or her accumulated sick and vacation leave credits, Payroll will notify the employee and his or her business group, unit/department head. The business group, unit/department head may, at his or her discretion, ask employees if they wish to donate vacation leave days. When determining whether an employee is eligible to receive donated vacation leave, the business group, unit/department head should consider factors such as the employee's attendance record. The business group, unit/department head, or his/her designee, should try to determine how much time is needed until the employee is eligible for long-term disability benefits.

When a department cannot provide an employee with a sufficient number of days at full pay until the employee is eligible for long-term disability benefits, the business group, unit/department head may request the Vice President of Ethics & Employee Resources at headquarters, or the Facility Manager of Human Resources at the sites, to solicit other departments to participate.

Donated vacation leave cannot exceed three months from commencement of the leave (the time at which the employee is eligible for long-term disability benefits).

An employee who wishes to donate vacation leave must complete a Vacation Donation Form (see Attachment 7) and submit the form to the employee's business group, unit/department head or designee, who in turn should forward the form to Payroll.

Payroll will credit the donated vacation leave days to the recipient employee's vacation leave balance (not calculated by rate of pay). Donated vacation leave will be paid to the employee at his or her regular rate of pay. Applicable payroll taxes and deductions will be withheld from such payments.

When an employee donates vacation leave, his or her vacation leave balance will be reduced by the number of days donated (not calculated by rate of pay).

VACATION DONATION FORM FOR SALARIED EMPLOYEES

TO: WPO Payroll

Donor's Name	**Date**

Department	**Location**	**Extension**

Number of Vacation Days to be Donated	**Donated To**

Signature	**Date**

Cc: Recipient

NEW YORK POWER AUTHORITY	EP:	3.4
	REVISION:	7
EMPLOYEE POLICY	DATE:	7/29/2013

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

LEAVES OF ABSENCE

1.1 This policy provides the requirements for a salaried Authority employee to take one of the following leaves of absence: leave due to death in the family, military leave, leave for jury duty, or personal leave without pay. (Information about leaves of absence covered by the Family and Medical Leave Act is found in EP: 3.3.)

Leaves Due To Death In The Family

2.1 The Authority provides three days of paid leave when there is a death in an employee's immediate family. Temporary Employees (as defined in Section 2.1 (e) of EP: 3.1) are not eligible for such paid leave.

2.2 Immediate family is defined as an employee's spouse, mother, father, stepmother, stepfather, brother, brother's spouse, sister, sister's spouse, child, child's spouse, stepchild, grandparents, grandchildren; or an employee's spouse's mother, father, brother, sister, children, or grandparents. For these purposes "spouse" will include domestic partners who have satisfied NYPA's Domestic Partner Benefits eligibility (even if not subscribed to NYPA Domestic Partner medical benefits). Refer to the Flexability Plan Overview of Employee Handbook or the HR intranet site.

2.3 If an employee will be absent due to the death of an immediate family member he/she must notify his/her supervisor as soon as possible.

2.4 The employee's time, indicating the reason for the absence must be entered in the time entry system and the appropriate documentation must be submitted to the employee's supervisor. Vacation leave or a floating holiday is to be used when the deceased is someone other than immediate family (as listed in Section 2.2), or if an employee intends to take more than the three days provided for in this policy.

2.5 Leave due to death in the immediate family is paid at an employee's base salary for the number of days absent (up to three days).

Military Leave

3.1 If an employee (as defined in Section 2.1 (a), (b), (c) and (d) of EP: 3.1) is a member of the National Guard or the Organized Reserve Forces of the United States he/she will be allowed up to 30 workdays leave of absence per calendar year with full pay for military service.

3.2 Documentation of appropriate military orders must be provided prior to the commencement of the leave, with as much advance notice as possible.

3.3 Upon return from leave, the Payroll Department must receive a copy of the appropriate military orders.

3.4 Authorized military leave is paid at an employee's base salary.

3.5 Special circumstances may apply to employees who are activated in connection with serving in the military under a U.S. Declaration of War or Congressional Authorization of Force or for employees who are Emergency Service Volunteers who are certified by the American Red Cross as disaster volunteers. For more details contact your local Human Resources office.

Leave For Jury Duty

4.1 The Authority provides a full-time, part-time or provisional salaried employee (as defined in EP: 3.1) with a paid leave of absence for the time needed to fulfill jury duty service. Temporary salaried employees, cooperatives and interns are entitled to minimum benefits for a leave of absence due to jury duty as required by law.

4.2 If an employee is summoned and required to serve on jury duty, he/she must advise his/her supervisor of the jury duty summons as soon as possible.

4.3 The employee is expected to report to work to the extent reasonably practicable when jury duty requires only part of the day.

4.4 Upon return from jury duty the employee must furnish his/her supervisor with a copy of the jury duty summons, or similar documentation.

4.5 Employees are paid for all days served on jury duty at their base salary in effect upon commencement of leave.

Pay Status Of Authority Employee Subpoenaed As A Witness

5.1 If an employee is subpoenaed to testify in a judicial or administrative proceeding as a witness on a matter arising out of his/her responsibilities at the Authority, or if an employee appears in a judicial or administrative proceeding at the request of the Authority, he/she will be paid at his/her base salary.

5.2 In all other circumstances, e.g., when an employee appears as a witness in a matter unrelated to the Authority or for activities outside the scope of his/her Authority

responsibilities, vacation time must be charged for any absence in connection with that appearance.

Personal Leave Without Pay

6.1 A leave of absence, other than a medical leave or a Family and Medical Leave Act ("FMLA") leave, (see EP: 3.3), to pursue personal interests will be considered on an individual basis as long as the absence will not adversely affect his/her department's work goals and needs.

6.2 If an employee is seeking to take a personal leave without pay he/she must submit a written request to his/her supervisor indicating the reason for the leave, the date the leave is expected to commence and the expected length of the leave. If the supervisor and the Business Group, Unit/Department Head, or Regional/Plant Manager (approval level based on Business Group Head discretion), approves a request, approval from the Human Resources Department Head must be received before the employee is notified that the request has been granted. Requests for such leave should be forwarded to Employee Relations at headquarters or the Facility Manager of Human Resources for site staff so approval can be obtained from the Human Resources Department Head.

6.3 Before an employee is placed on personal leave without pay, he/she must exhaust all accumulated vacation leave credits, unless special exemption has been granted by the Human Resources Department Head. Accumulated sick leave credits may not be used for personal leave purposes.

6.4 Job security while on personal leave without pay will be considered by the respective department, in consultation with Employee Relations based on the individual circumstances (i.e., length of leave, reasons, etc.).

6.5 A member of the Employee Relations staff at headquarters or the Facility Manager - Human Resources at the sites will provide direction about the personal leave without pay. All benefits, with the exception of long-term disability and New York State Retirement System service credit (which require active payroll status), will continue for a personal leave without pay of 30 days or less. The employee will be required to pay his/her employee contributions during a personal leave without pay of 30 days or less. If a personal leave without pay is granted for a period of more than 30 days, all benefits, with the exception of long-term disability and New York State Retirement System service credit, can be continued if the employee pays the full cost of the benefits. Arrangements can be made to continue benefits by making contributions on a post-tax basis.

6.6 Long-term disability insurance coverage will cease at the commencement of an approved personal leave without pay, but will resume upon the employee's return from leave. New York State Retirement System participation will continue; but the employee will not earn

service credit during the period of the leave. Service credit will resume upon an employee's return from leave.

6.7 If an employee is on personal leave without pay on January 1, he/she will receive sick and vacation leave credits on a pro-rated basis upon his/her return to work. If an employee receives sick and vacation leave credits on January 1, and commences a Personal Leave without pay after that date, he/she keeps the sick and vacation leave that was credited on January 1.

6.8 When an employee is on personal leave without pay, he/she will not receive holiday pay if a holiday occurs during the leave.

6.9 Before an employee's return from an approved personal leave without pay, the supervisor must notify the Employee Relations Unit of Human Resources at headquarters or the Facility Manager - Human Resources, so that the necessary payroll and benefits transactions can be initiated to return the employee to active status (the employee cannot return to work at his/her discretion).

Paid Volunteer Time (PVT)

7.1 In order to support the efforts of full-time, salaried employees (as defined in Section 2.1 (a) of EP: 3.1) who give their time to communities and charitable organizations, the Authority provides two days of paid volunteer time (PVT) annually.

7.2 Employees must be actively at work to participate.

7.3 One day may be used for an individual volunteer activity and one day may be used for a team (of Authority employees) volunteer project. Or, both days may be used for team volunteer projects.

Volunteer activities/projects include, but are not limited to, park cleanup, fundraising walks, food drives, blood drives, disaster recovery assistance, and building/repairing affordable housing. The activities/projects can support local, national or international charities.

7.4 Employees may request to use PVT in full days or half days. PVT hours must be used during normal business hours. PVT will be paid at an employee's normal rate of pay, and is not subject to overtime rates or any other expenses that are associated with such leave. Unused PVT may not be carried over to the next calendar year.

7.5 If an employee is seeking to take a PVT day, he/she must submit a request form to his/her supervisor and Human Resources, indicating the organization he/she will be volunteering

for, the type of activity, and the date of the PVT. Human Resources will confer with the Chief Ethics and Compliance Officer to conduct a conflict of interest analysis consistent with the Authority's Ethics Code of Conduct. If the analysis results in a finding of compliance with the Code of Ethics, the request will be approved. Supervisors/Managers will approve requests on an individual basis as long as the absence will not adversely affect his/her department's work goals and needs. An employee is not authorized to take a PVT day unless he/she has obtained approvals from Supervisor/Manager, Human Resources and the Chief Ethics and Compliance Officer.

7.6 The organization must have an IRS designation of a 501(c) (3) or be recognized as tax-deductible under Section 170(c) of the Internal Revenue Code. All charities must also be vetted in accordance with the U.S. Patriot Act.

7.7 The Authority cannot approve requests for certain activities/projects, including but not limited to:

- pose a potential conflict of interest for the Authority;
- are not aligned with the Code of Conduct or our corporate values;
- may involve the Authority in controversial issues;
- pose safety or security risks to employees (e.g. clean-up work at a disaster site; construction or remodeling work not under the supervision of a trained professional; or any type of volunteer activity not under the supervision of an eligible charity);
- consist of fundraisers for individuals rather than registered charities;
- benefit charities with the sole purpose of providing support to a named individual or a named family;
- influence legislation or involve electing candidates to public office;
- involve religious programs of churches, temples, mosques, or other sectarian organizations;
- are programs offered by clubs, fraternities/sororities, or membership associations; or
- involvement in schools for attendance at social or sporting events.

Vice President, Human Resources

7/30/13
Date

NEW YORK POWER AUTHORITY	EP:	3.6
	REVISION:	10
EMPLOYEE POLICY	DATE:	12/18/2012

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

EDUCATIONAL ASSISTANCE PROGRAM

Purpose

1.1 The Authority is committed to helping its salaried employees pursue professional growth and development and encourages the use of the Educational Assistance Program for courses leading to an undergraduate or graduate degree or a certificate program that is of mutual benefit to employees and the Authority.

Eligibility

2.1 The Educational Assistance Program is available to full-time or part-time salaried Authority employees and transitional employees, who maintain a performance rating of "achieved expectations" or better. Generally, employees who receive a performance rating of "partially achieved" or "did not meet expectations" are not eligible to receive benefits under this program. (However, there may be instances when supervisors determine that the overall performance of employees with a rating of "partially achieved expectations" may have sufficiently improved to allow them to receive benefits under this program (see section 8.4 for additional details). Provisional and temporary employees, including cooperative employees and developmental interns, are not eligible for the Educational Assistance Program. (See EP: 3.1, Salaried Employees' Eligibility for Benefits, for definitions of employee categories.)

2.2 Eligibility for the Educational Assistance Program ends upon termination or separation from employment as a NYPA employee. Courses started prior to an employee's employment date or while on a leave, or courses that are completed after an employee's termination or separation from employment, are not eligible for reimbursement (see section 3.1 and 8.5 for additional details). Courses started prior to an approved FMLA medical leave are eligible for reimbursement at the discretion of an employee's immediate supervisor (see section 8.5 for additional details).

2.3 School attendance will be at times other than an employee's normal working hours.

Reimbursement to the Authority

3.1 All benefits under this Policy are contingent upon the employee's continued employment with the Authority for a continuous period of at least one year for undergraduate degree programs and two years for graduate programs, such period to begin from the employee's last payment towards a degree or certificate program either in process or earned. If the employee leaves NYPA's employment before the end of the commitment period (for a reason

other than a reduction in force), the employee agrees to repay in full all monies expended by NYPA on behalf of the employee in connection with the applicable degree program.

Eligible Institutions and Programs

4.1 In order to be covered by the Educational Assistance Program, the degree or certificate program must be obtained from a regionally accredited educational institution. On-line or distance educational course work (course work taken via the internet) from a regionally accredited educational institution may be reimbursable pending review and approval by an employee's immediate supervisor or Department Head/Business Unit Head or Regional Managers at the facilities, Business Group Head and Corporate Human Resources. Fees for proficiency examinations (CLEP for example) are reimbursable only if employees pass the examination and college credit is granted. Fees for review courses are reimbursable only if the course is completed in full and employees obtain a completion certificate. (Employees will be reimbursed for the same or similar review course only once.) A certificate program means an advanced program sponsored by a college or university leading to the award of a specialized certificate. It does not include stand-alone courses such as Dale Carnegie and others. Courses that are not part of a degree or certificate program are not covered by the Educational Assistance Program. The costs for approved courses are covered by individual Business Unit budgets.

Approval

5.1 At Headquarters (WPO, Albany Office), the degree or certificate program must first be approved by an employee's immediate supervisor, the Business Unit/Department Head and the Business Group head in accordance with this policy. Approved requests should be forwarded to Human Resources for final determination of eligibility for the Educational Assistance Program. At all other facilities, the degree or certificate program must be approved by an employee's Department Head and Regional Manager in accordance with this policy. Approved requests should be forwarded to site Human Resources for final determination of eligibility for the Educational Assistance Program.

5.2 Approval for educational assistance is contingent upon a careful review that the degree or certificate program supports or improves skills required for (1) the employee's current position or (2) a potential future assignment with the Authority. The degree or certificate program should also be in line with the employee's NYPA career development objectives. Supervisors who approve an educational assistance program application should include the skills the employee will learn in the degree or certificate program in the Developmental Plan section of the employee's Performance Plus Document ("PPD"), and measure the application of those skills on the job in the Performance Assessment Summary section of the employee's PPD.

5.3 If the degree or certificate program is aimed at a new career field, there must be a reasonable expectation that job opportunities will exist in the new field within the Authority, and that

the employee will qualify for those opportunities. A reasonable expectation, however, is not a guarantee that a position will be available at the time the degree or certificate program is completed. Therefore, reimbursement for tuition and fees will be at half the financial level of reimbursement for undergraduate degrees. At Headquarters, the Business Group Head where this expected opportunity will exist is also required to approve the proposed degree or certificate program. At all other sites, the Regional Manager where this expected opportunity will exist and Human Resources will be required to approve the proposed degree or certificate program.

Reimbursement for Expenses

6.1 Employees are responsible for payment of tuition and eligible required fees when registering for courses at eligible institutions. Tuition and eligible fees will be reimbursed only after successful completion of the course(s) at a minimum grade level of "C" or its equivalent or a "P" in a pass/fail course (limited to two courses per degree curriculum) and provided employees are employed by NYPA at the time the course is completed. If requested, Human Resources will provide a school with verification of an employee's eligibility for the program. For employees that are approved for Educational Assistance after September 1, 2012, reimbursements are limited to one reimbursement per season. Seasons are defined as Spring, Summer I, Summer II, Fall and Winter.

6.2 For undergraduate courses, the Authority will reimburse 100% of tuition, books, lab and computer fees, and mandatory course registration fees up to a maximum reimbursement of $2,000 per season (as defined above). For undergraduate or graduate courses aimed at a new career field, the Authority will reimburse 100% of tuition, books, lab and computer fees, and mandatory course registration fees up to a maximum reimbursement of $1,000 per season.

6.3 For graduate courses related to an employee's current career field, the Authority will reimburse 100% of tuition, books, lab and computer fees, mandatory course registration fees, and thesis/dissertation supplies up to a maximum benefit of $3,000 per season.

6.4 Ancillary fees that may be required by the educational institution (either mandatory or optional), including but not limited to fees for application, late registration, student activities, health care services, student licensing, matriculation, graduation or diploma are not reimbursable. Parking fees are not reimbursable. Applications for non-routine items not mentioned in this paragraph must be submitted to Human Resources for consideration.

Initial Application Process

7.1 Employees must submit an initial Application for Educational Assistance to their immediate supervisor at least 30 days before the registration period. The application must include a copy of the degree or certificate program curriculum. Applications for review courses must be accompanied by a description of the course. Late applications, if accompanied by a written

explanation, will be considered on a case-by-case basis. Updated course curriculum may be requested on occasion.

7.2 At Headquarters, an employee's immediate supervisor, Business Unit/Department Head and Business Group Head must approve a request for educational assistance. Approved requests should be forwarded to Human Resources for final determination of an employee's eligibility for the program at least two weeks prior to registration. At the sites, Department Heads and Regional Managers must approve an employee's educational assistance requests. Approved requests should be forwarded to the local Human Resources Department for final determination of an employee's eligibility for the program at least two weeks prior to registration. Rejected requests will be returned with the reason for disapproval.

7.3 If an employee transfers to a new degree or certificate program or school, or transfers to a new Business Unit/Department within the Authority, a new Application for Educational Assistance must be approved.

Reimbursement Process

8.1 If the degree or certificate program is approved, in order to receive reimbursement, a completed Educational Assistance Program Request for Reimbursement Form must be submitted to Human Resources, along with the following items, no later than thirty (30) working days following receipt of evidence of satisfactory course completion:

- an itemized bursar's receipt for tuition and eligible fees paid;
- a grade report, official transcript or certification of completion of the course(s) documenting a grade of "C" or better, or a "P" in a pass/fail course(s);
- a sales receipt with book titles and prices itemized by the bookstore;
- original documentation indicating completion of a review course, if applicable;
- original documentation from school itemizing necessary supplies for a graduate level thesis/dissertation and an original sales receipt for the supplies, if applicable;
- copy of degree after completion of course curriculum.

8.2 Employees who receive financial aid, such as a scholarship, grant or reimbursement from any alternate source must report it and the amount on the Educational Assistance Program Request for Reimbursement Form. This amount will be deducted before computing the allowable reimbursement. Employees who fail to report amounts of financial aid or any other type of reimbursement on the reimbursement form, will be liable for return of the reimbursement award, and face possible exclusion from future Educational Assistance Program eligibility. Any falsification or misrepresentation of information will result in the denial of educational assistance.

8.3 If approved, employees will receive their reimbursement in a subsequent paycheck. Original documents will not be returned.

8.4 Generally, employees are not eligible for reimbursement for courses started after they receive a performance rating of "partially achieved expectations" or "did not meet expectations". Courses started prior to receiving a rating of "partially achieved expectations" or "did not meet expectations" will be reimbursed. Employees will be eligible for reimbursement once again for courses started after they achieve and maintain a performance rating of at least "achieved expectations" or in instances when supervisors determine that the performance of employees with a rating of "partially achieved expectations" has sufficiently improved.

8.5 Courses started prior to an employee's employment date, or while on a non-FMLA medical leave or a personal leave without pay, are not eligible for reimbursement. Courses that are completed after an employee's termination, or while on a non-FMLA medical leave or personal leave without pay, are not eligible for reimbursement.

8.6 Courses started prior to an approved FMLA medical leave are eligible for reimbursement at the discretion of an employee's immediate supervisor. Courses started during an approved FMLA medical leave are not eligible for reimbursement.

Tax Consequences

9.1 For undergraduate and graduate level courses, the IRS allows the first $5,250 in employer-provided educational assistance in a calendar year to be considered as non-taxable income. Educational assistance above $5,250 per year may qualify for "favorable tax treatment" as a working condition fringe benefit, as defined in IRS Publication 15-B Employer's Tax Guide to Fringe Benefits. Requests for favorable tax treatment will be forwarded to the Law Department and when necessary, will confer with the Accounting Department to determine if the educational assistance qualifies for exclusion as a working condition benefit.

9.2 While the Authority may initially determine that an employee's educational assistance reimbursement is not taxable income, the Internal Revenue Service's decision is controlling in such circumstances and the Authority disclaims any responsibility for additional taxes, assessments, fines, or penalties imposed by the IRS. Employees are advised to consult with their own qualified tax professional, if they have tax questions.

Vice President, Human Resources

1/24/13
Date

NEW YORK POWER AUTHORITY EMPLOYEE POLICY	EP: REVISION: DATE:	3.8 5 01/01/2010

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

RELOCATION BENEFITS FOR NEW AND TRANSFERRED EMPLOYEES

1.1 This policy applies to those employees who have been offered relocation benefits by the Director of Compensation and Benefits or his/her designee or the Facility Manager of Human Resources (for site employees). Relocation benefits may be provided to eligible employees based on the Recruiting Location Guidelines (Attachment 1) utilized by Human Resources. Relocation benefits may be offered to an employee who: (1) meets the IRS distance test described below and other criteria as specified in IRS Publication 521- Moving Expenses, (2) meets all other criteria within EP 3.8, and (3) is either:

(a) a full-time, salaried employee or union employee (other than a temporary or provisional employee) who meets all other criteria and is transferring to an exempt salaried position at the request of the Authority (no minimum grade required), or who applies for a posted exempt salaried position and is hired from one Authority facility to another, for a period that is expected to last one year or longer;

(b) newly hired from outside the Authority as an exempt, full-time, salaried employee (other than a temporary or provisional employee) at any Authority location for a period that is expected to last one year or longer;

(c) a provisional employee who is offered full-time exempt salaried employment with the Authority, with benefits subject to certain limitations (see 6.1).

Please contact the Corporate Controller's group regarding assignments of less than one year. The Corporate Controller's group provides the most up-to-date information regarding per diem rates and associated issues.

1.2 This policy shall be applicable only if relocation benefits are extended by the Director of Compensation and Benefits or his/her designee or the Facility Manager of Human Resources at the facilities to the transferred employee at the time the transfer is formally approved or authorized by the Human Resources Department, or to the new employee at the time an official offer of employment is extended by an Employment Administrator in the White Plains Office or the Facility Manager of Human Resources at the facilities.

1.3 The Director of Compensation and Benefits or his/her designee shall have sole discretion in determining whether an employee or new hire otherwise meeting the requirements specified herein may be offered relocation benefits.

1.4 If relocation benefits are offered to a newly hired employee, the policy shall be distributed and explained to the employee at the time the offer of employment is officially extended by an Employment Administrator or the Facility Manager of Human Resources at the facilities.

1.5 If relocation benefits are offered to a transferring employee, the policy shall be distributed to and fully explained to the employee by the respective Site Human Resources Representative or the Director of Compensation and Benefits or his/her designee in the White Plains Office. This should be done for employees who are contemplating a transfer from one site to another **before** the offer is accepted. A transferred employee has the option of choosing either the Relocation Benefits as outlined in this policy or a $12,000.00 stipend, grossed up for tax purposes. If Relocation Benefits are being offered, the staffing authorization for a transferee or a new hire must include this information. **If a transferred employee chooses the Relocation Benefits outlined in this policy and owns a house, appraisals must be conducted prior to the transfer being accepted.** The average of two appraisals will be used to determine the fair market value of the property. In the event that the two appraisals vary by more than 10%, a third appraisal may be conducted at the request of the transferring employee and the three appraisals will then be used to determine the average anticipated sale price of the property. These appraisals will be coordinated by the Director of Compensation and Benefits or his/her designee.

1.6 A new employee has the option of choosing either the Relocation Benefits outlined in this policy or a $12,000.00 stipend. If the new hire chooses the stipend option, the above mentioned gross-up is **not** applicable, and the lump-sum will be taxed.

IRS Distance Test

2.1 According to the IRS Distance Test, the new main job location must be at least 50 miles further from the employee's former home than his/her prior job location. For example, if the prior work or job assignment was three miles from the employee's former home, the new work or job assignment must be at least 53 miles from the former home. If the IRS should change this distance test, the one in effect at the time of relocation shall apply.

a) In determining whether or not a relocation candidate meets the IRS distance requirement, the Rand McNally Standard Highway Mileage Guide, and/or the American Automobile Association, and/or reputable online mapping resources will be consulted.

b) The distance between two points is the shortest of the more commonly traveled routes between those points. The distance test does not apply to the location of the new home.

c) In determining whether a provisional employee who is offered employment on a full-time basis meets the distance requirement for the purposes of this policy, NYPA will treat prior job location for purposes of the distance test as the job prior to the NYPA provisional assignment.

2.2 In determining if an employee is in compliance with the distance test NYPA does not determine and is not responsible for tax implications. The relocating employee is advised to consult with his/her own tax advisor.

Financial Controls & Tax Implications

3.1 This policy must be applied with attention to the most cost-effective and economic means. Therefore, only those expenses incurred in accordance with the Authority's guidelines and procedures which are in the opinion of the Corporate Human Resources Department to be reasonable and cost effective, will be reimbursed under this policy.

Note:

a) The Authority does not reimburse any New York State sales tax. To avoid being charged New York State sales tax the relocating employee should submit a State of New York Exemption Certificate to the vendor. Exemption Certificates are available from the WPO Benefits Department, Facility Manager of Human Resources, or the Corporate Travel Department. (It is the responsibility of the relocating employee to obtain and utilize the Exemption Certificate).

b) The employee is eligible for up to two days off with pay to conduct the move of his/her household goods. Paid time off must receive prior approval of the employee's immediate supervisor.

c) Reimbursement for covered expenses is limited to the first **six months** of employment or transfer from one Authority facility to another.

3.2 Expenses reimbursed under this policy are includible in an employee's gross income. An employee may be able to offset some or all of the expenses reimbursed under this policy by itemizing his/her moving expense deductions. **The employee is advised to consult his/her own tax advisor.**

3.3 If the employee is responsible for any tax liability associated with the relocation, the tax liability is outlined in IRS Publication 521 - Moving Expenses, which is provided to the eligible employee along with the Policy. The Authority will withhold taxes on all amounts reimbursed under this policy in accordance with the Internal Revenue Code and IRS regulations and Authority Accounting Procedure AP 85-01, Employee Relocation Expense Reimbursements.

3.4 If any reimbursements for transferred employees under this policy are considered taxable income, such reimbursements shall be subject to an appropriate gross-up to provide compensation for the employees' additional local, state and federal tax obligations.

3.5 The newly hired employee shall be solely responsible for all taxes payable on the amounts received pursuant to this policy and the Authority shall not provide additional compensation for any such tax liability in the form of a gross up, indemnity, or otherwise. **The employee is advised to consult his/her own tax advisor.**

Exceptions

4.1 The Authority recognizes that extenuating circumstances may necessitate a deviation from this policy. A deviation will be deemed an exception to the policy. For an exception to be considered, detailed written justification as to the need, as well as an indication of which comparably valued reimbursable expense(s) the employee is willing to surrender to offset the cost of the exception, is required. If the employee is not willing to surrender a reimbursable expense(s) of comparable value, the exception will be denied.

4.2 The justification/expense-offset indication must be sent to the Director of Compensation and Benefits or his/her designee in the White Plains Office for review; it will then be determined whether the request for exception is approved or denied. **Exceptions above and beyond the provided benefits under policy 3.8 will be charged to the department to which the employee is being hired/transferred.**

4.3 Any exception to this policy, other than outlined in sections 4.1 and 4.2, will require detailed written justification as to the business need. The justification must be sent to the Director of Compensation and Benefits in the White Plains Office for review and recommendation to the Human Resources Department Head or his/her designee who determines whether the request for exception is approved or denied.

The following items as described below may be reimbursed under this policy:

House Hunting

5.1 Reimbursable house hunting trips must not exceed three trips or a maximum of six days of house hunting (three trips maximum, i.e., three two-day trips or two three-day trips).

5.2 Transferred employees must conduct house-hunting trips within the first three months of the employees' transfer date to the new position. The transferred employee will be granted up to three days off with pay for house hunting to locate a new residence. Scheduling of these paid days off is subject to prior approval of the employee's supervisor.

5.3 New employees must conduct house-hunting trips within the first three months of employment with the Authority. House hunting trips must be conducted on the new employee's own time.

5.4 Air or train travel reimbursement for house hunting must be approved by the Director of Compensation and Benefits or his/her designee at headquarters or the Facility Manager of Human Resources with concurrence from the Director of Compensation and Benefits prior to incurring the expense.

5.5 **To obtain the best possible lodging and transportation rates for house hunting trips, the employee must make <u>all</u> travel arrangements through the Authority's Travel Department. If the Travel Department is not used, the employee will not be reimbursed.**

5.6 Arrangements with the Travel Department for lodging and transportation during the house hunting trips are the responsibility of the employee unless other arrangements are made with the Benefits Representative in the White Plains Office or the Facility Manager of Human Resources. During house hunting, occupation of more than one hotel room must be approved by the Benefits Representative prior to incurring expenses.

5.7 An employee will be reimbursed through the use of a Relocation Expense Statement with itemized receipts for the following reasonable travel and living expenses which may be incurred by the employee and spouse during the search for a new residence near the facility:

a) Travel by personal car at the Authority established rate per mile which includes the mileage traveled to and from the area of the new work site, or in connection with authorized air or train travel to and from the airport/station; **use of a rental vehicle for house hunting will be applied towards the employee's miscellaneous expense allowance.**

b) Fees for tolls and parking expenses incurred in connection with house hunting and travel by personal car, or in connection with authorized air or train travel to and from the airport/station;

c) Travel by air or train with prior approval provided that reservations are made through the Authority's Travel Department to obtain the best possible fare.

d) Reasonable meal expenses for breakfast, lunch and dinner, for the employee and his/her spouse may be reimbursed (providing house hunting does not take place during the interim living period); and must not exceed the daily maximum allowance as specified in the Corporate Accounting Policy (1.5).

e) Reasonable lodging expenses. The Authority issued AMEX charge card should be used for this expense. If the employee does not have an Authority issued AMEX charge card, a personal credit card may be used.

Interim Living Expenses

6.1 Reimbursement for interim living expenses will be provided for the employee who has been transferred or begun new employment and must live in temporary lodging at the new location. Interim living expenses should begin as close to the employees start date as possible. **Provisional employees who are hired on a full-time basis are not entitled to interim living expenses as detailed in 6.1 – 6.7.**

6.2 Interim living expenses will be reimbursed to the employee for a maximum of three months, which should be taken during the first six months of employment or transfer from one Authority facility to another. Any day in which the employee submits living expenses during their move to the new residence or when moving their household goods, will be included in the three month maximum if the move is conducted within the Interim Living period. Any expenses incurred for moving to the new residence and/or movement of household goods beyond the six-month maximum time period will not be reimbursed.

6.3 A lump sum gross amount of $3,250 per month downstate (WPO and POL) and $2,500 per month upstate (B-G, CEC, NIA, and STL) will be provided for employee's interim living expenses. An employee will be reimbursed this lump sum amount by submitting a Relocation Expense Statement at the end of each month. No receipts are required; however, in the Explanation section on the Relocation Expense Statement the period of time for which reimbursement is being requested must be indicated. Expenses for a partial month will be pro-rated. Interim living expenses will not be paid for time beyond the earlier date of delivery of household goods or occupying the new home. Under no circumstances will interim living expenses exceed three months.

6.4 It is the responsibility of the employee to make any interim living and transportation arrangements.

6.5 Travel, tolls, and parking expenses incurred during the commute to and from work during the interim living period are at the employee's own expense.

6.6 The following will be covered by the appropriate monthly lump sum:

a) Charge for a hotel or rental fees;
b) Charge for laundry;
c) Charges for local and long distance telephone calls;
d) Expenses for meals.

6.7 The employee may be reimbursed for trips home every other weekend or holidays when the family still resides at the former residence. If the employee elects to make a trip home by personal car, mileage will be reimbursed in accordance with the Authority's Travel Policy, CP: 2.1. If the employee elects to travel home by air or train, the fare, which must be arranged by the Authority's Travel Department, and parking and tolls connected with the travel will be reimbursed. Reimbursement will not be made beyond the three-month interim living period.

Moving to New Residence

7.1 The employee will be reimbursed when itemized receipts are furnished through the use of a Relocation Expense Statement for the following covered expenses for the employee and his/her family which may be incurred during the actual move from the time the old residence is vacated until the arrival at the new residence. Actual dates of vacating the old residence and arrival at the new residence, should be clearly indicated on the Relocation Expense Statement:

a) The reasonable charge for meals. There is a daily maximum allowance specified in the Corporate Accounting Policy (1.5). Meals submitted under the relocation policy as a qualified expense, or if a lump-sum or stipend is provided to the employee, may not be reimbursed as a business expense under any other Authority policy.

b) The reasonable charge for a hotel room. Hotel rates **must** be discussed with the Benefits Representative in the White Plains Office or the Facility Manager of Human Resources for approval prior to incurring expenses. While moving to the new location, occupancy of more than one room per family **must** be approved by the Benefits Representative in the White Plains Office prior to incurring expenses;

c) Travel for up to two personal vehicles at the Authority established rate per mile while traveling from the old residence to the new residence. In no instance will mileage for travel of more than two personal vehicles be paid by the Authority; The Authority does not reimburse for transport of vehicles by commercial van line.

d) Tolls for a maximum of two personal vehicles;

e) Any day used for moving to the new residence will be included in the three months allocated for interim living.

Movement of Household Goods

8.1 The employee may elect to have his or her household goods moved from the old residence to the new residence either by a commercial van line or through a self-move using a rental vehicle.

8.2 If a commercial van line is used, the employee must use the services of one of the current moving companies with whom the Authority has contracted. A moving company will be assigned on a rotational basis.

8.3 Shipment of household goods by a commercial van line will be limited to the move from the location of the former primary residence to the location of the new primary residence.

8.4 The following items will be covered expenses which may be incurred in a commercial van line move:

1. linehaul charges;
2. insurance charges - declared value;
3. cost of containers;
4. charges for packing and basic unpacking;
5. shipment of appliances and servicing; (third party fees - services provided by an individual or company other than the assigned moving company will not be reimbursed).

8.5 The following items are **not** covered in a commercial van line move:

1. extra pick-up/delivery;
2. automobiles, boats, or any other recreational or lawn vehicles
3. frozen food, and/or perishable goods;
4. swing sets, pool tables, lumber/building materials, or any other cumbersome items;
5. storage and associated costs;
6. crates and/or crating charges.

Movement of any other unusual, cumbersome or dangerous items will be subject to prior approval by the Benefits Representative in the White Plains Office with concurrence from the Director of Compensation and Benefits.

8.6 The employee who elects a self-move may be reimbursed for the following items through use of a Relocation Expense Statement when itemized receipts are furnished:

1. rental of the vehicle;
2. cost of containers and equipment for packing;
3. insurance coverage;
4. rental of a tow bar for a personal vehicle;
5. gas used during rental of a vehicle;
6. servicing of appliances.

8.7 In no event will an employee be reimbursed for any labor involved during the course of a self-move, (i.e., to help load the vehicle).

Miscellaneous Relocation Expenses

9.1 Employees will be reimbursed through the use of a Relocation Expense Statement with itemized receipts for miscellaneous expenses which may be incurred by the employee when relocating to the new location that are not otherwise listed as covered expenses in this policy, not to exceed $1,500 for new hires or $1,800 for transferees.

9.2 Proof of payment will be required in order to be reimbursed for these expenses (i.e., cancelled check and invoice).

9.3 Items that would be reimbursed may include, but are not limited to:

a) Expenses incurred for disconnecting and reconnecting of appliances, if done by a third party and separate from commercial van line charges;

b) Installation charge for telephone service at new residence;

c) Rental car expenses during house hunting, including gasoline for the rental car only in cases where the employee travels by air or train to the new location, or a personal vehicle is unavailable;

d) Child care expenses necessary to permit employee and spouse to search for a new residence. Childcare will be covered up to $7.00 per hour or $350.00 per week for in-home care for all of the employee's children. Childcare arrangements such as day care centers, family day care and live in arrangements must be discussed with the Benefits Representative in the White Plains Office or the Facility Manager of Human Resources;

e) Installation charge for television/internet/cable connection which was installed in the employee's previous residence. Proof of telephone/cable/internet connection in employee's previous residence and new residence in the form of recent invoices must be provided;

f) Fees for kennel or shipment of pets; and

9.4 See Attachment 2 for additional reimbursable expenses for transferred employees.

Reimbursement to the Authority

All benefits provided under this Policy are contingent upon the employee's continued employment with the Authority for a continuous period of at least one year from the employee's start date at the new Authority location. If the employee separates from service voluntarily prior to completion of such one-year period, he/she shall reimburse the Authority for a portion of all benefits (including any gross-up if applicable) paid under this policy in the following manner: (1) 75% of the total benefits (including any gross-up if applicable) provided if separation occurs within six months of the employee's start date at the new Authority location; (2) 50% of the total benefits (including any gross-up if applicable) provided if separation occurs on or after six months but prior to the completion of the one-year period.

10.1 In determining the portion of benefits which must be reimbursed by the employee under this policy, the one-year period shall be calculated from the first day of work at the new location.

10.2 In the event that a reimbursement is due and owing to the Authority under this policy, the Authority shall deduct such amount from monies which would otherwise be payable by the Authority to the employee until the required reimbursement is satisfied. This shall be accomplished via payroll deduction from monies which would otherwise be due the employee in the following order: first, payments allocable to unused vacation time; second, payment for services rendered. If such deductions are insufficient to satisfy the reimbursement due hereunder, the employee shall provide the Authority with a certified check for the balance prior to his/her last day of employment.

10.3 Prior to the receipt of any relocation monies available under this policy, an employee shall indicate his/her affirmative consent to the reimbursement procedures specified in this policy by signing the Relocation Reimbursement Option Certification (Attachment 3).

Vice President Human Resources

ATTACHMENT 1

RECRUITING LOCATION GUIDELINES FOR RELOCATION

The recruiting process limits the location of the search for different positions which therefore limits the availability of relocation benefits. All non-exempt positions and any position that falls outside of the following recruitment guidelines are ineligible for relocation benefits.

Eligible Positions for Relocation Benefits:

East of the Mississippi:

Exempt positions (non-engineering): grades 4-6, grades C-D, and leadership bands

Engineering and positions related to the generation and transmission of power: grades 2-6, grades C-D, and leadership bands

Nationwide:

Exempt (non-engineering) positions: leadership bands only

Engineering and positions related to the generation and transmission of power: grade D and leadership bands

ADDITIONAL REIMBURSABLE EXPENSES
FOR EMPLOYEES **TRANSFERRED**
FROM ONE AUTHORITY LOCATION TO ANOTHER

1.0 Sale of Residence at Former Location

a) The transferred employee will be reimbursed through the use of a Relocation Expense Statement, with itemized receipts and a copy of the signed Settlement Statement (HUD Form), for the following expenses which may be incurred during the sale of his/her residence at the former location:

1) Real estate broker's commission not to exceed six percent of the gross selling price;

2) Personal attorney's fees not to exceed one percent of the selling price.

Additionally the transferred employee will receive up to a maximum of 5% of the gross selling price to cover the following expenses:

3) FHA, VA, and GI mortgage fees which are paid on the old residence. Reimbursement up to a maximum of three points;

4) Appraisal fee on former residence when appraiser is certified, whether or not transfer is accepted by employee;

5) Property survey fee, if this is a seller's expense in an amount as dictated by locale;

6) Lender's legal fees for preparing and recording legal documents and searching the title, if this is a seller's expense in an amount as dictated by the locale;

7) Unavoidable prepayment penalty fees on mortgage;

8) State transfer tax;

9) Records mortgage satisfaction and mortgage pick-up fees paid to the title company; and

10) Radon testing and resultant modifications required to sell old residence, not to exceed $500.00 when proper documentation has been provided indicating that property is located in radon area.

2.0 Renting at Former Location

a) A penalty for cancellation of the lease not to exceed security deposit and two months' rent less any amount earned by subleasing will be reimbursed when itemized receipts are furnished, accompanied by a complete Relocation Expense Statement;

b) Forfeiture of security deposit as the result of damages to the residence is not covered.

3.0 Purchase of Residence at New Location

3.1 If the transferred employee was renting at former location, he/she will not be reimbursed for expenses which may be incurred if he/she chooses to purchase a residence at new location.

3.2 The transferred employee will be reimbursed through the use of a Relocation Expense Statement with itemized receipts and a copy of the signed Settlement Statement for the following expenses which may be incurred when purchasing a residence at the new location;

a) Personal attorney's fees not to exceed one percent of the purchase price;

b) Closing costs not to exceed five percent of the purchase price which have been incurred for:

1. lender's legal fees for preparing and recording legal documents and searching the title, if this is a purchaser's expense in an amount as dictated by the locale;

2. loan origination fees - one point maximum;

3. pre-purchase appraisal fees;

4. inspection fees (radon, pest and flood only - not structural);

5. lender's mortgage title insurance premium; (if not broken out by lender's/owner's premium, no amount will be reimbursed);

6. credit report;

7. application fee;

8. property survey fee, if this is a purchaser's expense in an amount as dictated by locale;

9. real estate taxes (escrow for those taxes are not reimbursed) state tax stamps only;

10. lump sum mortgage insurance premium - private mortgage insurance premium (PMI) will not be reimbursed; and

11. points - not to exceed three (this includes one point for origination fees).

4.0 Renting at New Location

The transferred employee will be reimbursed through the use of a Relocation Expense Statement with itemized receipts for real estate broker's fees in connection with the rental of an apartment or house, not to exceed ten percent of the first year's rent.

Relocation Reimbursement Option Certification

I, _____, hereby choose to receive:

[_] Relocation Expense (as outlined in Relocation Policy EP 3.8)

or

[_] A $12,000.00 stipend in lieu of the relocation benefits available under the New York Power Authority's ("Authority") Relocation Policy, EP 3.8. In doing this I relinquish all relocation benefits that I would otherwise be eligible for as a newly hired or transferred employee.

[_] Taxable lump-sum

[_] Net stipend (for **Transferred** employees **only**)

I also agree that I will provide reimbursement to the Authority according to the schedule listed below if I voluntarily separate employment prior to completion of one year of service at the Authority.

1. I will reimburse the Authority 75% of the total benefit received, provided separation occurs within six months of my start/transfer date to the new Authority location.

2. I will reimburse the Authority 50% of the total benefit received, provided separation occurs on or after six months, but prior to the completion of one year beginning from my start/transfer date to the new Authority location.

In the event that my employment should terminate involuntarily, I understand that the above mentioned reimbursement will not be required.

I further authorize the Authority to deduct any such reimbursement due and owing to the Authority from such amounts as may otherwise be payable to me until full reimbursement is made to the Authority. Specifically, I herby authorize payroll deduction first from any and all monies attributable to unused vacation time and second, if required, from compensation for services rendered.

If the above amounts provide insufficient to cover the entire reimbursement due and owing to the Authority, I hereby agree to provide the Authority with a certified check or money order for the balance prior to my last day of employment.

I acknowledge that this certification is **not** an employment contract and will not be construed or interpreted by me as containing any guarantee of continued employment. I recognize that my employment with the Authority shall be at-will and that the Authority may terminate my employment at any time and for any reason. I may also terminate my employment at any time and for any reason.

_____ _____ Sworn to before me on the _____ day of _____

Signature Date

_____

Print Name

_____

Received by HR (Initial and Date)

NEW YORK POWER AUTHORITY	EP:	3.9
	REVISION:	1
EMPLOYEE POLICY	DATE:	2/20/09

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

SICK LEAVE

1.1 This policy provides guidelines for the accrual and use of sick leave credits, sick leave at half-pay and long-term disability for salaried employees.

Sick Leave Accrual

2.1 Full-time salaried employees accrue sick time as follows:

a) 3.46 hours per pay period if on a 37.5 hour work week
b) 3.69 hours per pay period if on a 40 hour work week

2.2 Part-time salaried Authority employees (working 20 or more hours per week, but less than full-time weekly work hours) and provisional employees that work less than full-time (working at least 30 hours or more per week) accrue sick leave on a prorated basis based on the number of hours the employee is scheduled to work.

2.3 There is no maximum on the amount of sick leave credits that may be carried over from one year to another.

2.4 Sick time only accrues when an employee is on full-pay status. Accruals resume when the employee returns to full pay status.

2.5 In the event of an active employee's death, payment for accrued and unused sick leave, up to a maximum of 100 days, will be paid to the beneficiary designated by the employee for their NYPA life insurance. If no beneficiary is designated, or the designated beneficiary pre-deceases the employee, payment will be made as allowed by law.

2.6 Sick leave may be paid out to employees who retire with the New York State Retirement System directly upon separation of service from the Authority (see the Employee Benefits Handbook).

Sick Leave Usage

3.1 Sick time shall only be used for an employee's illnesses or injuries, or that of family members as specified in Section 3.4 below, and not substituted for any other type of absences.

3.2 Employees who are absent from work due to their own illness or injury (or that of eligible family members under Section 3.4), must notify their supervisor of such absence as soon as practicable, and keep the supervisor informed as to their expected date of return. Accrued sick leave may only be used in full or half-day increments.

3.3 Full or half-day absences (including reasonable travel and actual visit time) for trips to a health care provider will require use of sick leave credits, and prior approval of an employee's supervisor when foreseeable. However, supervisors may, with advanced approval, allow employees to take less than half-days off with flexible scheduling allowing employees to make up time by working extra hours on other days during the same pay period. Supervisors may also grant employees time off without using accrued sick leave if the absence is so brief that it does not affect the employee's work or performance.

3.4 Employees may use up to 10 sick days per calendar year to care for the medical needs of their sick children, spouse, parent (not parent-in-law), or domestic partner who has satisfied NYPA's Domestic Partner Benefits eligibility (even if not subscribed to NYPA Domestic Partner medical benefits). These days may also be used for caring for a wife or domestic partner recovering from routine childbirth.

3.5 An employee may not use more than three (3) consecutive or closely occurring intermittent business days due to their own "serious health condition," or that of their relatives listed in Section 3.4, without applying for and having the leave designated under the Family Medical Leave Act ("FMLA") leave (See EP: 3.3). When the absences exceed this three day period for a "serious health condition," the employee should provide notification to Human Resources, at which point the FMLA process will be initiated for eligible employees.

3.6 The Authority may require written medical documentation of an illness or injury, and/or that the employee be examined by a physician designated by the Authority before approving the use of any sick leave and/or prior to the employee's return to work. In addition, supervisors may request a doctor's note to confirm/verify a medical appointment on a given day and time.

3.7 Supervisors are responsible for monitoring sick leave usage for appropriateness, accuracy, and adherence to Employee Policies, and for remaining informed of employees' available sick and vacation balances when approving time off. Excessive and/or questionable patterns of absenteeism, frequency of requests for full or partial sick days, or low or zero balances should signal the need for further review or action which may take several courses [i.e.: need for Family Medical Leave Act ("FMLA"), performance issue, etc.] to prevent abuse of this benefit.

3.8 An employee's use of accrued sick leave is approved by his/her supervisor when the supervisor approves the employee's timesheet or electronic time record. If there are false time sheets or electronic time records of an employee's time and attendance, the employee (and depending on the circumstances, the supervisor who is responsible for approving such time) will be held accountable.

Sick Leave at Half-Pay

4.1 Employees who have completed one or more years of Authority service, are eligible for sick leave at half-pay during an approved Employee Medical Leave ("EML") under the FMLA when they do not have, or have exhausted, sufficient accumulated sick leave credits to cover their FMLA leave. For part-time or provisional employees that are less than full-time and on EML, sick leave at half-pay will be paid on a prorated basis based on their weekly work schedule.

4.2 The cumulative total of all sick leave at half-pay shall not exceed two pay periods (four weeks) for each completed year of service. However, vacation leave may be used to complete a full year of service for sick leave at half-pay purposes if it used before sick leave is exhausted. This use of vacation time does not extend any job security or FMLA time periods.

4.3 Sick leave at half-pay will be paid retroactively from the first day an eligible employee is without sick leave credits through either the end of their EML or earlier through the exhaustion of the half-pay benefit, or due to the circumstances described in Section 4.6 below.

4.4 Upon request, and with the approval of the local Human Resources Benefits Group, sick leave at half pay may be allowed to minimally exceed the 12 workweek FMLA entitlement period in those limited unique situations where there is a small gap of time between the end of the maximum sick leave at half pay benefit and the beginning of the LTD eligibility period.

4.5 Sick leave at half pay will be replenished a) if an employee has returned to work from an EML for at least 30 calendar days and then needs to go out again for that same illness or injury, or b) without regard to timing, if an employee has returned to work from an EML and then needs to go out again for a different illness or injury. However, in no event will the replenishment of sick leave at half-pay extend any job security or FMLA time periods.

4.6 If an employee who has already been on an approved FMLA leave other than an EML, returns to work and then goes back out for their own illness or injury on an approved EML within the same FMLA yearly period, the employee will only be eligible to receive sick leave at half-pay for the remaining FMLA time period (even if the employee's own medical needs extend beyond the total 12-week FMLA entitlement). See EP: 3.3.

4.7 All payroll deductions will continue to be made regardless of whether an employee on sick leave is being paid on a full or half-pay status. Any questions concerning the priority of deductions should be addressed to the Payroll Unit and/or the applicable Human Resources representative.

4.8 Employees receiving sick leave at half pay or on no pay status are not eligible to receive Holidays, Floating Holidays, sick accruals or annual vacation accruals until they return to work on either full or part-time status. Those on sick leave at half pay will receive half pay benefit for all days which occur during their sick leave at half pay.

Long Term Disability

5.1 Employees may be eligible for benefit payments under the Long-Term Disability Plan they selected at open enrollment, once they have been on leave for three consecutive months of absence for their own illness or injury, and after they have exhausted all of their accrued sick time. Provisional employees are not eligible for long-term disability. Information on long-term disability is provided in the Long-Term Disability booklet available from Human Resources and the Employee Benefits Handbook for Salaried Employees on the NYPA Intranet.

Vice President Human Resources

NEW YORK POWER AUTHORITY	EP:	4.6
	REVISION:	8
EMPLOYEE POLICY	DATE:	7/29/2013

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

ATTENDANCE AND FLEXIBLE HOURS

1.1 To ensure an efficient and productive work environment, this policy establishes basic hours of daily work, basic workweek, flexible hours, punctuality guidelines, and guidelines for reporting to work in the event of inclement weather, transportation or other emergency situations for salaried employees. The workhours, workweek and lunch schedules may vary at different NYPA work locations.

1.2 NYPA expects all employees, regardless of location, to assume responsibility for their attendance and promptness, and to begin work no later than their designated start time. Employees should anticipate that NYPA work locations will be open every workday.

Basic Workhours and Workweek

2.1 If an employee works in the White Plains or Albany Office:

a) The basic daily hours of work may vary based on department needs. This is defined as "flexible hours." The typical workday may begin anytime between 7:00 am and 9:30 am.

b) The basic workweek shall consist of five workdays (in a seven-day period) of seven and a half hours each, exclusive of mealtime.

c) A lunch period is normally provided between the hours of 12:00 pm and 2:00 pm unless adjusted by an employee's supervisor.

2.2 If an employee works at a location where NYPA generation or transmission facilities are operated or maintained and the normal workweek is 40 hours, the following shall apply:

a) For salaried employees the basic daily hours of work shall be determined by the Regional Manager or the person otherwise responsible for the work location. The typical workday may begin anytime between 7:00 am and 9:00 am.

b) The basic workweek shall consist of five workdays, (in a seven-day period) of eight hours each, exclusive of mealtime, and shall be determined by the Regional Manager or the person otherwise responsible for the work location.

c) Salaried employees' mealtime shall be determined by the Regional Manager or the person otherwise responsible for the work location.

2.3 The payroll period for a basic workweek at some locations may be Thursday through the following Wednesday, or Sunday through the following Saturday.

Punctuality Guidelines

3.1 Employees are expected to report for and remain at work during the basic daily hours as established by the applicable employing department or location, except for meal periods.

3.2 The basic daily workhours and basic workweek will vary at the Albany and White Plains Offices and at the facilities; however, the punctuality guidelines require that all employees report to work in a timely manner. Once hours have been established, employees will be expected to work the designated hours and days.

3.3 Employees who leave NYPA's employment, must physically be at work on their last day in order for it to be considered their last day of work.

3.4 Adherence to the flexible hours schedule will be enforced by the manager or supervisor. An employee may not switch his/her flexible hours schedule without approval by his/her manager or supervisor.

3.5 When an employee is late, he/she should meet with his/her supervisor to discuss the reason for the tardiness. Lateness is not charged against sick or vacation leave unless a half day or more is taken.

3.6 If the tardiness is not excusable, the employee's supervisor should document the occurrence. Specific guidelines for dealing with performance problems are established in EP 4.2, Performance Improvement. Documented cases of excessive absenteeism, tardiness or requests to leave early may result in the application of vacation time for such events and\or disciplinary action in accordance with EP 4.2.

Flex Time Schedules

4.1 In an effort to offer employees work-life balance solutions and minimize workplace commutes, flex time schedules to allow one day or a half day off within a two week pay period, will be permitted as follows:

a) Employees wishing to participate in the program must submit a matrix indicating his/her flex time period to his/her manager for approval, reference and planning. Once the flex schedule is approved, every attempt must be made to adhere to that schedule (any deviations must be pre-approved by the employee's supervisor).

b) Flex time schedules are not guaranteed and may be altered as necessary to accommodate the business needs of each Business Group/Unit and/or department.

c) Employees cannot be required to work a flex time schedule.

d) Non-exempt employee work schedules cannot exceed 40 hours in any given week as a result of an employee's working an approved flex time schedule.

e) All employees working a flex time schedule must take at least a half hour lunch period.

f) Total hours worked within a given pay period must be no less than 75 hours or 80 hours (whichever is applicable) and must correspond to a two week pay period (Monday – Friday).

g) Holidays (including floating holidays) sick, vacation, and paid volunteer time must be recorded as your base hours (i.e.: 7.5 hours at WPO and Albany). In the event of a holiday or if an employee takes a sick day, vacation day, or paid volunteer day and he/she was scheduled to work 8.5 hours, he/she will only get credit for 7.5 hours and will need to make up the extra hour another day during that pay period.

h) Employees who take more than 2 days off (sick, vacation, holiday, or paid volunteer time) in a two week pay period are not eligible to work a flex time schedule in that pay period.

i) An employee's participation in this program may be discontinued at any time at the discretion of the employee's supervisor, manager or Business Unit head.

Office Closing Due to Inclement Weather or Emergencies

5.1 Unless notified otherwise, employees should anticipate that NYPA offices will be open. Only under extreme weather conditions or other emergency situations would the Albany Office and/or White Plains Office be closed. Office closings will be announced via the NYPA voice mail message system and First Call systems.

5.2 During the workday, if conditions warrant the closing of either the White Plains or Albany Office, the Human Resources Department Head, after consultation with the SVP Corporate Support Services and the President and Chief Executive Officer, will contact the Business Group Heads to advise them of the decision to close. Business Group Heads should then notify their respective staffs. During non-work hours, employees may call the NYPA voice mail system for office closing announcements.

5.3 If an employee expects to be late due to inclement weather, difficult transportation conditions or any other reason, he/she should notify his/her supervisor of the expected

arrival time as soon as possible.

5.4 If an employee decides not to come to work, his/her immediate supervisor should be notified as soon as possible. In that instance, the absence must be charged to an employee's accumulated vacation time (accumulated sick time cannot be charged).

Records of Attendance

6.1 Records of attendance shall be maintained for all employees at all NYPA locations through completion of a time report, which is maintained using the NYPA's time and attendance tracking system (CATS). Supervisors or their designees must approve all CATS entries before the time entries are transmitted to Payroll. Part-time NYPA employees must submit their time report on a weekly basis.

6.2 Falsification of any supervisory-approved records of attendance will result in disciplinary action up to and including termination.

Vice President, Human Resources

7/30/13
Date

NEW YORK POWER AUTHORITY	EP:	4.6
	REVISION:	98
EMPLOYEE POLICY	DATE:	~~703~~/~~2901~~/20134

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1.2 NYPA expects all employees, regardless of location, to assume responsibility for their attendance and promptness, and to begin work no later than their designated start time. Employees should anticipate that NYPA work locations will be open every workday.

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a) The basic daily hours of work may vary based on department needs. This is defined as "flexible hours." The typical workday may begin anytime between 7:00am and 9:30am.

b) The basic workweek shall consist of five workdays (in a seven-day period) of seven and a half hours each, exclusive of mealtime.

c) A lunch period is normally provided between the hours of 12:00 pm and 2:00pm unless adjusted by an employee's supervisor.

2.2 If an employee works at a location where NYPA generation or transmission facilities are operated or maintained and the normal workweek is 40 hours, the following shall apply:

a) For salaried employees the basic daily hours of work shall be determined by the Regional Manager or the person otherwise responsible for the work location. The typical workday may begin anytime between 7:00 am and 9:00am.

b) The basic workweek shall consist of five workdays, (in a seven-day period) of eight hours each, exclusive of mealtime, and shall be determined by the Regional Manager or the person otherwise responsible for the work location.

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c) Salaried employees' mealtime shall be determined by the Regional Manager or the person otherwise responsible for the work location.

2.3 Salaried Employees who work in areas requiring 24/7 coverage may be on 12 hour shift rotations, with schedules based on 2,080 hours for the year.

a) Schedules will be determined by the requirements of the department at the location and approved by the Regional Manager (sites) or Department Head.

b) Various schedule rotations will result in an average of 40 hours per week over the course of the rotation (e.g. a 5 week rotation of: 48 – 36– 36 – 48 – 32 hours per week for a total of 200 hours) and employees are paid a base 80 hours per pay period, regardless of the scheduled hours in the period.

c) Pay for all hours in the schedule is at straight time inclusive of Holiday, Sick, Vacation, etc. [See EP 2.4 Salaried Non-Exempt and Facility-Based Exempt Overtime for additional information regarding hours outside of scheduled shift.]

d) Holidays, including floating holidays, are included in the 2,080 work hours in the year. For employees on 12 hour shift, holidays are adjusted to the equivalent hours based on the 12 hour days. [E.g. if there are 14 8 hour holidays in the holiday schedule, salaried employees on 12 hour shift will be adjusted to 9 12 hour holidays and 1 8 hour floater.]

a. Holidays that do not fall on a scheduled day in the rotation are moved to the nearest scheduled day. It is at management's discretion as to whether or not the employee has to work this day or take the holiday based on available coverage.

2.~~3~~4 The payroll period for a basic workweek at some locations may be Thursday through the following Wednesday, or Sunday through the following Saturday.

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3.3 Employees who leave NYPA's employment, must physically be at work on their last day in order for it to be considered their last day of work.

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3.5 When an employee is late, he/she should meet with his/her supervisor to discuss the reason for the tardiness. Lateness is not charged against sick or vacation leave unless a half day or more is taken.

3.6 If the tardiness is not excusable, the employee's supervisor should document the occurrence. Specific guidelines for dealing with performance problems are established in EP 4.2, Performance Improvement. Documented cases of excessive absenteeism, tardiness or requests to leave early may result in the application of vacation time for such events and\or disciplinary action in accordance with EP 4.2.

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b) Flex time schedules are not guaranteed and may be altered as necessary to accommodate the business needs of each Business Group/Unit and/or department.

c) Employees cannot be required to work a flex time schedule.

d) Non-exempt employee work schedules cannot exceed 40 hours in any given week as a result of an employee's working an approved flex time schedule.

e) All employees working a flex time schedule must take at least a halfhour lunch period.

f) Total hours worked within a given pay period must be no less than 75 hours or 80 hours (whichever is applicable) and must correspond to a two week pay period (Monday-Friday).

g) Holidays (including floating holidays) sick, vacation, and paid volunteer time must be recorded as your base hours (i.e.: 7.5 hours at WPO and Albany). In the event of a holiday or if an employee takes a sick day, vacation day, or paid volunteer day and he/she was scheduled to work 8.5 hours, he/she will only get credit for 7.5 hours and will need to make up the extra hour another day during that pay period.

h) Employees who take more than 2 days off (sick, vacation, holiday, or paid volunteer time) in a two week pay period are not eligible to work a flex time schedule in that pay period.

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5.2 During the workday, if conditions warrant the closing of either the White Plains or Albany Office, the Human Resources Department Head, after consultation with the SVP Corporate Support Services and the President and Chief Executive Officer, will contact the Business Group Heads to advise them of the decision to close. Business Group Heads should then notify their respective staffs. During non-work hours, employees may call the NYPA voice mail system for office closing announcements.

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arrival time as soon as possible.

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6.2 Falsification of any supervisory-approved records of attendance will result in disciplinary action up to and including termination.

Vice President, Human Resources

Date

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Vice President, Human Resources

7/30/13

~~Date~~

New York Power Authority	CAP: 1.5
Corporate Accounting	Date: 3/31/12
Subject: Reimbursement of Employee Meal Costs	Revision: 13

1.0 Objectives

1.1 This policy provides for the reimbursement of reasonable and prudent meal expenses employees incur in the performance of their duties. Each employee is responsible for ensuring that submitted expenses are accurate and in compliance with the provisions of the policy. The approving supervisor is responsible for ensuring cost effectiveness and reasonableness of expenses incurred as well as compliance with policy.

1.2 This policy is established to ensure:

a. that employees are reimbursed for the necessary and reasonable cost of meals incurred while performing their duties
b. meal reimbursement guidelines are clearly communicated to staff and consistently applied, and
c. meal expenses are reimbursed within Authority policy limits

1.3 The policy applies to reimbursement of all meals except for those covered by union agreements.

2.0 General

2.1 Meals eligible for reimbursement are incurred by an employee:

a. While traveling overnight on Authority business.
b. While taking a day trip on Authority business.
c. In connection with working overtime.
d. As a "working meal" during a business meeting.

Specific guidelines governing reimbursement are provided in the following sections of this policy.

2.2 Requests for reimbursement are required to include identification of the meal (breakfast, lunch or dinner) with an explanation of its business purpose. Receipts are required for individual meals with a cost in excess of $10. All reimbursement requests require the approval of the employee's supervisor / manager or business unit head before processing for payment.

2.3 Employees who hold the company-sponsored corporate travel card should use the card to pay for business meals. If an employee does not have a corporate travel card, the use of a personal card is encouraged. (Authority policy requires the use of the corporate travel card to pay for all business transportation*, lodging, and car rentals in order to ensure proper receipt documentation and eligibility for special negotiated fares) (Refer to CAP 1.7 Corporate Travel Card Program for further details).

* Except for air/rail travel which should be charged to the Authority's direct billed Citi card.

Employees may use the company procurement credit card (procard) with direct billing to the Authority to pay for meals incurred for internal training courses and working meetings including board and management committee meetings. The procurement card should not be used for any other meal expenses. Refer to Corporate Accounting Policy CAP 4.1 Petty Cash for further details.

3.0 Policy Details

3.1 Overnight Travel

Employees traveling on business that requires an overnight stay will be reimbursed for **actual** and reasonable meal costs up to the following maximum daily amounts:

$55	travel to upstate facilities (BG, CEC, Niagara, St. Law-FDR, Albany)
$70	travel to downstate facilities (White Plains, New York swing office, 500MW and Flynn)
$70	other travel

When on overnight travel status, employees will be reimbursed for breakfast when travel begins before 7:00 AM, for lunch when travel begins before noon, and for dinner when returning home after 7:00 PM.

Employees who spend more than the maximum daily amount should reduce their reimbursement requests for the applicable days to the appropriate amounts (i.e. $55 or $70 depending on the destination). The Authority reimburses employees for the cost of breakfast, lunch, and dinner within these meal guidelines. The cost of food and beverages between breakfast, lunch, and dinner is not reimbursable.

3.2 Day Trips

Employees taking day trips to conduct Authority business will be reimbursed for meals based on travel times. Reimbursements will be provided (1) for breakfast, if the employee leaves home at least one hour before their normal departure time, and (2) for lunch, if the employee is on travel status during their normal lunch hour, and (3) for dinner, if the employee returns home at least two hours after their normal returning time. The Authority reimburses employees for the cost of breakfast, lunch, and dinner within these meal guidelines. The cost of food and beverages between breakfast, lunch, and dinner is not reimbursable.

3.3 Overtime Meals

Employees are eligible for reimbursement of actual expenses for dinner when they work two hours of overtime during a weekday. On Saturdays, Sundays or holidays, employees are entitled to reimbursement of actual expenses for

a Lunch if they work 4 hours or more.
b Dinner if they work 7 hours or more.

Reimbursements cannot exceed the actual cost of the meal. There is no "reimbursement allowance" if a meal is not purchased.

3.4 Limits

Reimbursements for business meals incurred on day trips and for overtime meals will be based on actual and reasonable meal costs up to the following maximum amounts:

Breakfast $10 (day trips only)
Lunch $20
Dinner $40

3.5 Authority- Provided Meals

Whenever the Authority pays directly for a meal, the employee is not entitled to a meal payment. This provision also pertains to arrangements made by certain operating plants, with local restaurants, that provide a meal to an employee through the issuance of a "meal ticket". The cost of a meal obtained by a meal ticket should be within reasonable limits as determined by the Site Regional Manager. Meal tickets can only be used by the employee to whom it was issued and only on the day overtime is worked.

3.6 Business Meals

Business meals will be reimbursed when the meal is provided as a "working meal" during a meeting (on or off the premises) for the convenience of the Authority and for the efficient conduct of business. In all cases of business meals, the senior employee in attendance should pay the bill and file the expense report. All reimbursement requests require the approval of the employee's supervisor/manager or business unit head before processing for payment.

3.6.1 Meals with business guests

Employees will be reimbursed for the actual and reasonable cost of meals, up to $70 per person, with business guests (i.e. non-Authority employees) on or off the Authority's premises when the meal is approved by a vice president or equivalent or higher position. The nature of business discussed, as well as the name, title, and affiliation of each business guest, must be documented on the employee's expense statement.
The senior NYPA employee in attendance should pay the bill and file an expense report for reimbursement.
If the business meal is with an outside vendor and/or 3rd party contractor, the outside vendor and/or 3rd party contractor should **not** be permitted to pay the bill.

3.6.2 Authority Employees Only

In situations where it is necessary for the efficient conduct of business, employees will be reimbursed for the cost of an on-premises meal (breakfast or lunch only) with other Authority employees up to $30 per person, in connection with a working meeting or conference. Reimbursement of off-premises meals where only Authority employees are present will be permitted only when deemed appropriate for business purposes and authorized in writing by a vice president or equivalent or higher position. The cost of such meals should not exceed $70 per person. The senior NYPA employee in attendance should pay the bill and file an expense report for reimbursement.

3.7 Extended Assignments

Employees who work on an extended assignment (i.e. assignments for more than three months but less than one year) at a location other than their assigned work location may elect to receive: (1) reimbursement of actual and reasonable meal and lodging expenses or (2) a daily per diem, based on IRS guidelines, covering meals, lodging and incidental expenses (e.g., laundry, tips, etc.) Per Diem arrangements must be approved by the appropriate business unit head and the Vice President and Controller. Amounts will be set by the Director - General Accounting & Financial Reporting (or his designee) based on Federal guidelines.

3.8 Non-Reimbursable Meal Expenses

3.8.1 Excessive Meal Expenses

While the Authority expects its employees to have good meals at reasonably priced restaurants when traveling, and to conduct business in comfort, it also expects its employees to exercise good judgment. Accordingly, charges exceeding limits established by this policy will be considered personal expenses and will not be reimbursed.

3.8.2 Personal Meals

Meals in connection with retirement, farewells, birthdays, holidays and similar events are considered personal and will not be reimbursed.

4.0 Taxability

Meal reimbursements that are not associated with overnight travel are generally considered taxable income under IRS regulations, and are therefore subject to withholding of social security and income taxes unless such payments are classified as de minimus by the Vice President – Controller's office. Taxable meal reimbursements include those associated with day trips and overtime. Reimbursements for working meals during meetings (Section 3.6) are not subject to taxes.

5.0 Responsibilities

5.1 Employee

Employees have primary responsibility for ensuring that meal expenses are necessary and reasonable, fully - documented as to business purpose, supported by receipts, properly submitted on the appropriate form, accurately coded in the proper account, and otherwise in compliance with the provisions of this policy. Employees should also exercise sound business judgment and common sense whenever they incur expenses to be paid by the Authority.

5.2 Approving Supervisors and Managers

Approving supervisors and managers are responsible for ensuring that their employees understand the content and intent of this policy before expenses are incurred and that the provisions of this policy are met. Supervisors must ensure that Authority funds are spent wisely and carefully, that their employees have submitted appropriate, reasonable and prudent expenses and that all expenses, except as noted otherwise, are submitted on expense reports. In addition, they are responsible for ensuring that their employees seek cost-effective solutions to situations/needs, carefully reviewing their employees' expense reports and confirming that coding for expenses, to be reimbursed, is accurate.

5.3 Site Manager of Business Services
Headquarters Accounts Payable Manager

The Site Manager of Business Services, at the operating plants, and the Accounts Payable Manager, at the Headquarters Office, are responsible for implementing and administering this policy at their respective locations. This responsibility includes ensuring their staff properly reviews, processes, and retains all reimbursement requests received.

5.4 Headquarters Accounts Payable Manager and Headquarters Payroll Manager

The Headquarters Accounts Payable Manager and Headquarters Payroll Manager are jointly responsible for ensuring that meal reimbursements are properly included in the employee's paycheck (i.e. by separate check or direct deposit), taxable reimbursements are reported on the employees W-2 Wage and Tax Statement and social security and income taxes are withheld.

5.5 Director - General Accounting & Financial Reporting

The Director-General Accounting & Financial Reporting or his authorized designee is responsible for overall implementation, administration, and maintenance of this policy on a company-wide basis.

5.6 Vice President - Controller

The Vice President - Controller must approve any deviations from this policy.

6.0 References

6.1.1 Agreement between the Power Authority of the State of New York and Local Unions 2032 and 2104 of the International Brotherhood of Electrical Workers IBEW, AFL-CIO, 2006-2011, as amended or superseded from time to time.

6.1.2 Agreement between the Power Authority of the State of New York and Local Union 1-2 of the Utility Workers Union of America (UWUA), AFL-CIO, 2004 - 2009, as amended or superseded from time to time.

6.2 CAP 1.7 Corporate Travel Card Program.

6.3 CAP 7.4 Processing Overtime Meal Payments

6.4 CAP 4.1 Petty Cash

6.5 Credit Card Procurement System Policy & Procedures (Rev. 11/08)

6.6 Travel Hand Book, NYPA Power net website, Travel Desk section.

Vice President-Controller

SUBJECT: TRAVEL

1.0 SCOPE

This policy establishes guidelines for business travel arrangements and travel expense reimbursements.

2.0 IMPLEMENTATION

This policy shall be adhered to by the staff of all Authority Business Units and Departments, and contractors/consultants doing business on behalf of the Authority. Recommendations for changes to this policy or a new corporate policy shall be processed in accordance with CP 1-1 "Corporate Policy Program Administration".

3.0 MANAGEMENT CONTROLS

3.1 General

3.1.1 Employees must use Power Business Travel, (the Corporate Travel Desk), for air, hotel and car rental arrangements in order to be reimbursed for business travel expenses.

Contractors/consultants, doing business on behalf of the Authority, must use Power Business Travel for all air, hotel and car rental arrangements in order to be reimbursed for approved business travel expenses.

3.1.2 The Travel Desk will make the most cost effective arrangements that meet the traveler's needs. Exceptions or changes to Travel Desk recommended arrangements must be approved by the traveler's Business Unit/Department Head.

3.1.3 Travel associated invoices must be submitted with expense statements for reimbursement.

3.1.4 In the event that emergency travel arrangements are made by the traveler, written explanation of the circumstances must be provided to the Travel Desk, which will produce an invoice to be submitted with the expense statement.

3.1.5 Every effort will be made to meet the traveler's needs while taking advantage of New York State contracted or "economy/advance purchase" airfares, "government" or "corporate/seminar" lodging rates and "negotiated" or "discount" rates for vehicle rental.

3.1.6 Tax exempt forms should always be used to prevent state and local taxes from being added to hotel or car rental bills whenever employees or contractors/consultants are traveling for Authority business in New York State, since New York taxes will not be reimbursed.

3.1.7 The Travel Desk will make the most cost effective arrangements for out-of-office meetings, seminars and training sessions, and must be used to book the rooms and facilities required for these types of events.

3.1.8 The Travel Desk will optimize cost savings and minimize trip times by scheduling/assigning the company plane and arranging charter flights as appropriate. (See sections 3.8 and 3.9)

3.1.9 Whenever possible, employees should use fleet vehicles, which will be maintained at all sites, to secure ground transportation for business purposes; however, employees are expected to use alternate means, including personal vehicles, if fleet vehicles are not available. To request a fleet car, the traveler should go to the Authority's intranet page and follow the instructions.

3.1.10 Expenses incurred for meals while traveling will be reimbursed according to the Corporate Accounting Policy (CAP) 1.5, Reimbursement of Employee Meal Costs.

3.1.11 Any exceptions to this travel policy must be approved by the Director of Corporate Support Services and Senior Vice President (SVP) Corporate Support Services.

3.2 Responsibilities

3.2.1 The manager authorized to approve an employee's or contractor's/consultant's Expense Statement must ensure that the traveler has complied with the provisions of this policy.

3.2.2 Travelers are responsible for compliance with this Corporate Policy and also for verification that all travel arrangements are correct.

3.2.3 The Director of Corporate Support Services and the SVP Corporate Support Services implement this policy and determine appropriate revisions.

3.3 Travel Arrangements

3.3.1 Employees and contractors/consultants must book all business travel through the Travel Desk. The Travel Coordinator will book the most cost effective and time efficient travel for the trip.

3.3.2 If the traveler requests a different itinerary than provided by the Travel Desk, then the traveler must obtain approval from the appropriate manager or Departmental designee. The email with the approval should be forwarded to the Travel Desk before tickets are issued. All approved exceptions will be maintained in Travel Desk files.

3.3.3 Employees and contractors/consultants should make travel requests as far in advance as possible, so that the Travel Coordinator may obtain the lowest airfares and hotel rates. The travel request should include preferred time and departure airport, location and dates of meetings/accommodations, etc.

3.3.4 Every effort will be made to secure alternatives that meet both the traveler's preferences and this policy. The Travel Coordinator will notify the traveler if preferred routings, departure times, or hotel accommodations are not available or if preferred airlines or airports or hotel accommodations are not the most reasonable and lowest-cost alternatives. New York State Office of General Services (OGS) or Authority negotiated discount fares will always take precedence over whatever airline or routing that the passenger prefers.

3.3.5 The Authority allows travelers to participate in and keep the benefits of "frequent flyer" and other mileage/usage related programs. However, required business travel will not be arranged to meet any particular program requirements, unless program pricing is equal to or less than competing alternatives.

3.3.6 If the employee is traveling on Authority business outside the U.S., the employee may need a valid passport. If the employee needs to acquire or renew his U.S. Passport to conduct Authority business, then the Authority will reimburse the employee for that expense.

3.4 Reimbursement

3.4.1 Business air or train travel will be charged by the Travel Desk to a centralized Citibank VISA account that will be reconciled by Accounting staff. Air and/or train travel for Authority business will not be expensed by the individual traveler.

3.4.2 Employee travel expenses, other than air or train tickets, are reimbursed through the Employee Expense Statement (hard copy or electronic, whichever applies). The employee submitting an expense statement is responsible for its accuracy and completeness. Additionally, the signature or electronic approval of the employee's supervisor, manager, director (or higher) indicates that the expense statement is complete, meets documentation and receipt requirements, includes only reasonable business expenses and is in compliance with this policy.

3.4.3 Travel invoices issued by the Travel Desk in response to a traveler's requested trip arrangements must be attached to the expense statement to ensure reimbursement of approved business expenses.

3.4.4 Travelers will not be reimbursed for any travel expenses not in compliance with this policy.

3.5 Air Transportation

3.5.1 The Travel Coordinator will seek to provide economical airfares which could include a one-stop flight or a connecting flight with a layover of two hours or less. Travelers should be ready to accept alternative reservations established within a two hour "window" of their requested departure times in order to achieve fare savings.

3.5.2 A commercial aircraft must be multi-engine turbine powered with two (2) pilots flying the trip.

3.5.3 All business travel of less than 2500 one-way air miles will be booked in "coach". If a business traveler has one way flight time exceeding 7 hours to their destination, the traveler can depart a day earlier so that he/she can be rested for the next day's activity. If a business traveler has one way flights that exceed 2500 air miles, business class may be booked instead of coach upon the approval of the Senior Vice President, Corporate Support Services.

3.5.4 If a New York State Office of General Services (OGS) negotiated fare is available for a traveler's proposed trip, then that fare will be used as long as it fits the requirements of provision 3.5.1. If an "incentive" or "special" fare is also available for the same city-pair, then that roundtrip fare may be used if the savings is more than $200 over the OGS roundtrip fare. Any other exception must be approved by the traveler's supervisor.

3.5.5 "Special fares" frequently provide substantial fare savings, but may carry up to a 100% charge for changes or cancellations. These fares can be very economical, but they have scheduling drawbacks, and should be used with caution. If these fares are booked and a change or cancellation is required due to legitimate business circumstances, the Authority will absorb the expense and charge the employee's cost center.

3.5.6 Employees may use a transportation differential of up to $200.00 (roundtrip) to choose between trips originating from the New York City airports, i.e., LaGuardia, JFK and Newark, and from Westchester County Airport or Newburgh/Stewart Airport. For example, if a roundtrip originating from Newburgh/Stewart costs over $200.00 more than the same trip from Newark, then the employee's trip will be booked from Newark unless an approval of the exception is sent by the individual who signs off on the employee's expense statement or the employee agrees to pay the amount in excess of $200.00

3.5.7 Ground transportation to/from airports should be as reasonable as possible, given employee's individual travel situations. The Travel Desk will assist employees in choosing among the airport transit options most suitable for them. Car service is expensive and should be a last resort to get to the airport. Preferred car service providers, who provide cost-effective rates for Authority travelers, are listed on the Travel Desk's website. The use of the employee's personal car will be reimbursable in accordance with the Authority's "Business Mileage Rate for Transportation" which conforms to the IRS guidelines.

3.6 Hotel Reservations

3.6.1 The Travel Coordinator at the Travel Desk will always attempt to secure the lowest available rates for hotel accommodations, whether at "government", "corporate/seminar", "negotiated discount" or other special rates. The federal reimbursement rate for that location, as referenced in the U.S. General Services Administration's Domestic Per Diem Rates, will be used as a guideline.

3.6.2 It is the policy of the Travel Desk to book overnight stays in hotels that provide a "government rate", unless no such suitable accommodation is available. The Travel Coordinator will indicate a choice of median-rated hotel accommodations within reasonable proximity to the employee's meeting/business location, in order to provide the most economical rates available for the employee. For conferences, reservations will be made in the hotel where the conference is being held, provided that those accommodations are in accordance with this policy. If an employee prefers to stay at a hotel with no government rate, when, in fact, a government rate is available at a nearby hotel, the reimbursement will cover only the amount of the declined government rate. All exceptions will be reported to Accounts Payable by the Travel Desk staff.

3.6.3 Unless otherwise requested, hotel accommodations for late arrivals will be automatically guaranteed to the traveler's credit card.

3.6.4 When canceling a hotel reservation, the Travel Coordinator will give the traveler a cancellation code which should be retained in the traveler's files. If cancellations are called in directly to the hotel, then the caller should notify the Travel Desk of the cancellation number, the name of the individual who accepted the cancellation, and the date and time of the cancellation. Please note that this information is necessary if the hotel mistakenly charges the traveler a "no-show" expense (usually the cost of one night's lodging).

3.7 Car Rentals

3.7.1 The Travel Coordinator will secure car reservations using the Authority's contract vendors.

3.7.2 The Travel Coordinators will always request the lowest (most fuel-efficient) car class size suitable for the business purposes. When fewer than three employees are traveling together, an intermediate-sized car or smaller will be booked unless there are compelling business related reasons that call for a larger vehicle.

3.7.3 Employees travelling for business should use their Corporate Credit Cards for car rental transactions since the credit card is providing collision damage coverage through a separate agreement with the Authority. A traveler will NOT be reimbursed for collision damage insurance on a car rental.

3.7.4 Liability Insurance Supplement (LIS) and Personal Accident Insurance (PAI)/Personal Effects Coverage (PEC) will be offered by most car rental agencies. PAI allows renters to elect accidental death and blanket medical coverage for themselves and their passengers, while PEC provides insurance for loss of or damage to a customer's personal effects during the rental period. These extra insurances, e.g., LIS, PAI, and PEC will NOT be reimbursed by the Authority.

3.7.5 The completed car rental agreement with the receipt for payment must be attached to the traveler's expense statement submitted for reimbursement.

3.7.6 All rental vehicle accidents must be immediately reported to the Authority's Insurance Department using appropriate forms.

3.7.7 Travelers should attempt to minimize rental costs. Cars picked up at one location should be returned to the same location whenever possible to avoid incurring mileage and drop-off charges. To avoid contract vendor fill-up charges, employees should return cars with a full tank of gas.

3.7.8 Transportation to/from airports to the office/hotel by van or bus service is encouraged. Many hotels/conferences offer a complimentary transport service. The Travel Desk can often provide advance information on such services, and assist in arranging for their use.

3.8 Corporate Airplane

3.8.1 Flight Operations will reserve the corporate airplane on a first-come, first-serve basis for personnel on Authority related business.

3.8.2 Each flight on the corporate airplane must be to and from destinations within New York State. Use of the corporate airplane should be the cost-effective choice when compared to commercial flights plus hotel lodging, car rentals, and employee lost productivity. This cost comparison is done through the use of Travel$ense, a software program designed to compare the cost of travel via business aircraft versus airlines or other alternate means. If the Travel$ense analysis indicates a trip is not a cost-effective use of the corporate airplane, Flight Operations, in conjunction with the Travel Desk, will recommend other travel alternatives. Approvals are subject to the terms of Section 3.1.11. Travel$ense reports are kept by Flight Operations for all Authority flights conducted on the corporate airplane detailing the cost savings associated with each trip.

3.8.3 The corporate airplane cannot be used solely to transport members of the Board of Trustees to and from board meetings. Trustees performing Authority related work, or for government purposes, may travel on the corporate airplane only on trips which have already been scheduled for other Authority employees and which result in no additional cost to the Authority.

3.8.4 Requests for use of the corporate airplane are made through the intranet-based NYPA Aircraft Reservation System, hosted by Professional Flight Management. Select individuals are granted access to the site, and act on behalf of their Business Unit head, and the Business Unit travelers, to submit all appropriate requests to Flight Operations. These requests are then processed and scheduled by the company pilots, and a notice of trip status is then sent to all passengers via email. All changes to trip requests are made through the same process. To gain access to the intranet-version of the company airplane reservation system, a written request should be made to the Director, Aviation and Travel Operations. The basis for the request should be indicated, e.g. that he/she is replacing someone who has current access or showing that the group he/she represents is a frequent user of the corporate airplane, and has been approved by the Business Unit head to act on their behalf as a designated scheduler. The Director, Aviation and Travel Operations will then ensure that these individuals are granted access to the reservation system, which includes the corporate airplane's 90 day extended schedule.

3.8.5 Consultants or contractors, performing Authority related work, may utilize the corporate airplane provided that this trip results in no additional cost to the Authority.

The Chairman of the Board of Trustees or the President and Chief Executive Officer may authorize:

(1) Non-Authority employees to accompany an Authority employee on the corporate plane for governmental purposes; or
(2) Federal, State or Local Government officials to utilize the corporate airplane for government purposes.

All non-Authority travelers will be required to sign a written waiver of any claim or liability against the Authority arising out of such use.

3.8.6 The cost of the corporate airplane is not charged back to the employees' budgets, but is budgeted as a corporate resource for all Business Units of the Authority.

3.8.7 If the corporate airplane is unexpectedly unable to fly a scheduled trip, then other means of travel will be arranged for those booked. -If the other means of travel, due to special conditions, is a charter plane, then the cost of the charter will in most cases be charged back to the appropriate departmental budget(s).

3.9 Charters

3.9.1 The Travel Desk is responsible for arranging aircraft charters. If the Authority's corporate airplane is unable to do a trip for the business group and commercial flights are not available or cost justified, then charter prices may be sought from those vendors with contracts with the Authority to provide charter aircraft. The aircraft most closely meeting the needs of the business group and with the most cost effective pricing will be booked to satisfy extreme travel circumstances.

A charter aircraft must be multi-engine turbine powered with 2 pilots flying the trip. The pilots must meet the Authority's minimum standards for its own pilots.

3.9.2 The request for a charter aircraft must be approved by the President and Chief Executive Officer before committing to the charter provider. In the case of the President and Chief Executive Officer's request for a charter aircraft, the Senior Vice President Corporate Support Services, the General Counsel and the Chairman must approve the trip.

3.9.3 To finalize the transaction, the business group must provide the appropriate Authority cost center code and the cost element to the Travel Desk, so that the cost can be charged back to the departmental budget.

3.9.4 The charter trip will be scheduled as an additional trip on the corporate plane schedule, viewable on the Authority's intranet, so that the Authority's pilots and other users are aware of it. In this way, available seats might be filled with other travelers.

3.9.5 If there is a concern over the possibility of an emergency need for the corporate airplane, the charter plane may be kept reserved until 24 hours before the trip and then released. If the corporate airplane becomes available by the close of business on the day before the scheduled trip, it should be the preferred aircraft, replacing the charter.

3.9.6 Charters usually have cancellation penalty clauses that are substantial. Any arrangements must include the business group representative's agreement to pay the cancellation fees which may be imposed.

3.10 Meetings/Seminars/Training Sessions

3.10.1 Authority events such as meetings, seminars, and training sessions that are held out of the office must be reviewed by the Travel Desk.

All hotel accommodations must be booked for these events through the Travel Desk to ensure rate policy compliance. Employees should send written proposals to the Travel Desk in a timely fashion for review and scheduling. Only hotel rooms and meeting facilities will be reviewed and booked by Travel Desk personnel. Food requirements, meeting set-up, etc. are the responsibility of the respective Business Unit.

Plans for group business luncheons or dinners for 50 people or less, may be made by the appointed person from within the Business Unit or department sponsoring the event. The cost of all such luncheons or dinners must be kept within the limits of the reimbursement policy covering Off Premises Meals.

3.10.2 Events estimated to exceed $15,000 will be submitted to Procurement for advertising in the Contract Reporter. Procurement will issue a bidding document to interested parties. Travel Desk staff and the requesting group's representative(s) will review the bids and select the most appropriate and cost-effective provider.

Events in the $5,000 to $14,999 range will be researched by the Travel Desk staff who will recommend a vendor to Procurement for contract execution.

3.10.3 When the cost and details of the conference room(s), refreshments, and other amenities from the hotel, restaurant or conference center have been agreed upon, the designated business group representative should sign the contract from the vendor. After the event, the requestor is responsible for reviewing, entering budget codes and sending the subsequent bill for services in a timely manner to Accounts Payable for issuance of the payment.

3.10.4 Outside meeting arrangements generally include cancellation clauses. Please note that any arrangement must include the requesting business group representative's agreement to pay any cancellation fees involved.

3.11 Cancellation/Revisions

3.11.1 The Travel Coordinator should be immediately advised of any cancellations – air, hotel, car, etc. Failure to do so could result in penalties on airfares and no-show charges for hotels and cars. Please note that any Authority incurred avoidable charges will be billed to the business group's appropriate responsibility code/cost element if not properly cancelled.

3.11.2 Except in emergency situations, any revisions to an employee's itinerary must be made by the Travel Desk. Charges for emergency measures must be supported by appropriate documentation from vendors.

3.12 Contractor Travel

3.12.1 Contractors **must** make all travel arrangements through the Travel Desk. Travel arrangements made through outside travel agencies are only reimbursable in accordance with current Authority negotiated or government rates.

3.12.2 A complete Contractor Travel Profile form must be on file for each contractor prior to requesting travel services from the Travel Desk. The contractor's Authority manager will request Accounts Payable staff to assign an Authority Contractor Number for each contractor who will be traveling. The number is then added to the Contractor Travel Profile before it is forwarded to the Travel Desk.

3.12.3 All Contractor Travel requests must have the contractor's Authority manager's approval prior to submission to the Travel Desk.

3.12.4 The maximum amount of reimbursable airfare should not exceed the cost of round trip government airfare between the appropriate Authority facility and the location of the contractor's preapproved point of origin, unless otherwise authorized by the appropriate Authority Business Unit head or designee.

3.12.5 The Travel Desk will issue itineraries for all travel arrangements made for contractors. A copy of these itineraries will be sent via email to the contractor and the appropriate Authority manager. A copy of a contractor's Authority travel itinerary and associated receipts, excluding meals, must be attached to vendor's invoice.

3.12.6 The Authority Meal Policy must be adhered to by contractors at all times. Meal expenses which do not conform to this policy are not reimbursable. Meals shall be paid on a per diem based on the schedule listed below. Meal receipts are not required.

3.12.7 The Authority's N.Y. State Sales Tax Exemption forms must always be used for hotel and car expenses incurred within New York State. Sales tax charged for these services within New York State are not reimbursable.

3.12.8 Contractors/consultants will use their personal credit cards for car rental transactions.

3.12.9 Additional fees, such as auto insurance, road side assistance on car rentals, will not be reimbursed. Upgrades to larger size or luxury vehicles are also not reimbursable. Miscellaneous expenses, such as tips, fees for use of hotel gym/ fitness rooms, medications, toiletries, snacks, magazines, newspapers, etc. are personal and will not be reimbursed.

3.12.10 The Travel Desk must be advised immediately of any cancellations. Failure to do so may result in penalties or no show charges which are not reimbursable.

3.12.11 Authority managers will review all contractor travel expense invoices to ensure compliance with this policy.

4.0 REFERENCES

4.1 CP 1-1 – Corporate Policy Program Administration
4.2 CAP 1.5 – Reimbursement of Employee Meal Costs
4.3 Corporate Flight Operations Manual

5.0 ATTACHMENTS

5.1 Power Business Travel Invoice
5.2 NYS Sales Tax Exemption Certificate
5.3 NYS Hotel Tax Exemption Certificate

President and Chief Executive Officer

Attachment 5.1

NYPA Power Business TRAVEL NewYorkPower Authority

SALES PERSON: 95 ITINERARY/INVOICE NO. 0008112 DATE: 29 OCT 04
CUSTOMER NBR: 0000171000 HMTZOZ PAGE: 01

TO: NY POWER AUTHORITY
123 MAIN ST 628D
WHITE PLAINS NY 10601
ATTN

FOR: REF:

02 NOV 04 - TUESDAY
AIR US AIRWAYS FLT:4079 COACH CLASS
OPERATED BY US AIRWAYS EXPRESS-PIEDMONT AIRLINES
LV NEW YORK LGA 600P EQP: DASH 8 TPROP
DEPART: USAIRWAYS LA GUARDIA TERM 01HR 49MIN
AR BUFFALO 749P NON-STOP
REF: CFTYNP
SEAT- 7D US-1439360
CAR BUFFALO BUDGET RENT A CAR INTER CAR AUTO A/C
PICK UP-1949
RETURN-03NOV/1915
RATE PLAN 1 DAYS 0 HRS USD MI/KM EX MI/KM
DAILY RATE 42.50 UNL
XTRA DAY- 42.50 UNL
XTRA HOUR- 14.10 UNL
MANDATORY CHARGES 5.53
APPROX RENTAL COST 48.03 UNL
CONFIRMATION NUMBER 06103994US1 RATE-GUARANTEED
CD-X909800 ID-HA681D
HOTEL BUFFALO OUT-03NOV
HOLIDAY INN 1 NIGHT
HOLIDAY INN NIAGARA FALLS 1 ROOM
231 THIRD ST RATE-89.00USD PER NIGHT
NIAGARA FALLS NY 14303 CANCEL BY 06P DAY OF ARRIVAL
FONE 716-282-2211
FAX 716-282-2748
GUARANTEED LATE ARRIVAL
CONFIRMATION 6SSS1308

03 NOV 04 - WEDNESDAY
AIR US AIRWAYS FLT:3998 COACH CLASS
OPERATED BY US AIRWAYS EXPRESS-PIEDMONT AIRLINES
LV BUFFALO 715P EQP: DASH 8 TPROP
01HR 45MIN
AR NEW YORK LGA 900P NON-STOP
ARRIVE: USAIRWAYS LA GUARDIA TERM REF: CFTYNP
SEAT- 6C US-1439360

CONTINUED ON PAGE 2

ITINERARY

Attachment 5.1 Continued

NYPA Power Business TRAVEL

SALES PERSON: 95 ITINERARY/INVOICE NO. 0008112 DATE: 29 OCT 04
CUSTOMER NBR: 0000171800 HMTZOZ PAGE: 02

TO: NY POWER AUTHORITY
123 MAIN ST 628D
WHITE PLAINS NY 10601
ATTN :

FOR: REF: 12165

AIR TICKET US1100908793
ELEC TKT BILLED TO VISA 331.20*

SUB TOTAL 331.20
NET CC BILLING 331.20*

TOTAL AMOUNT DUE 0.00

TO CHANGE OR CANCEL RESERVATIONS
PLEASE CALL POWER BUSINESS TRAVEL AT 914-287-3191
DURING 8A-5P MON-FRI EXCEPT HOLIDAYS
IF ITS AN EMERGENCY HIT ZERO POUND
FOR AFTER HOURS *EMERGENCY ONLY* CALL 800-390-6474
PLEASE BE SURE TO BRING POSITIVE PHOTO IDENTIFICATION
IF YOU DO **NOT** USE ANY PART OF YOUR ETKT YOU MUST
NOTIFY THE TRAVEL DESK TO PROCESS A REFUND
FOR THE UNUSED PORTIONS OF YOUR TICKET
YOUR RECORD LOCATOR FOR THIS RESERVATION IS *CFTYNP

Attachment 5.2

For use only by Representatives or Employees of Departments or Agencies of the State of New York

TO: NEW YORK STATE
SALES TAX BUREAU

#131850882
EXEMPTION CERTIFICATE
TAXES IMPOSED PURSUANT TO ARTICLES 28 AND 29 OF THE TAX LAW

_____ DATE _____ 20____
NAME OF PERSON OR FIRM FURNISHING SERVICES

_____
ADDRESS

This is to certify that I, the undersigned, am a representative or employee of the New York State Department or Agency indicated below; that the charges for the transaction on the date set forth below have been or will be paid for by such department or agency; and that such charges are incurred in the performance of my official duties as such representative or employee.

NATURE OF TRANSACTIONS _____

DATES OF TRANSACTIONS _____ _____
SIGNATURE OF REPRESENTATIVE OR EMPLOYEE

NEW YORK STATE DEPT.
OR AGENCY NEW YORK POWER AUTHORITY _____
TITLE

NOTE: A separate exemption certificate is required for each transaction and from each person claiming exemption

Attachment 5.3

TAX EXEMPT ID# 131850882

New York State Department of Taxation and Finance

Exemption Certificate

Tax on occupancy of hotel rooms

ST-129 (10/00)

This form may only be used by government employees of the United States, New York State, or political subdivisions of New York State.

Name of hotel, motel, lodging house, etc.			Dates of occupancy From: / / To: / /
Number and street	City, village, or post office	State ZIP code	Country

This is to certify that I, the undersigned, am a representative of the department, agency, or instrumentality of New York State, the United States government, or the political subdivision of New York State indicated below; that the charges for the occupancy at the above establishment on the dates listed have been or will be paid for by that governmental entity; and that these charges are incurred in the performance of my official duties as a representative or employee of that governmental entity.

Governmental entity (federal, state, or local)	Agency, department, or division		
New York Power Authority			
Employee name (print or type)	Employee title	Employee signature	Date / /

Instructions for the government representative or employee

If you are on official New York State or federal government business and staying in a hotel or motel:

1. Complete all information requested in the box above.
2. Sign and date this exemption certificate in the box above.
3. Show the operator of the hotel or motel your appropriate and satisfactory identification.
4. Give this completed Form ST-129 to the operator of the establishment.

You may pay your hotel bill with cash, with a personal check or personal credit card, with a government voucher, or with a government credit card.

Please note:

- If, while on official business, you stay at more than one location, you must complete an exemption certificate for each establishment.
- If you are in a group traveling on official business and staying in this particular hotel, each person must complete a separate exemption certificate and give it to the hotel operator.

Caution: Willfully issuing a false or fraudulent certificate with the intent to evade tax is a misdemeanor under section 1817(m) of the Tax Law and section 210.45 of the Penal Law, punishable by a fine of up to $10,000.

Instructions for the operator of the hotel or motel

Keep this completed Form ST-129, *Exemption Certificate*, as evidence of exempt occupancy by New York State and federal government employees who are on official business and staying at your establishment. You must keep this exemption certificate for at least three years after the later of: 1) the due date of the last sales tax return to which this exemption certificate applies; or 2) the date when you filed the return.

This exemption certificate is valid if the government employee is paying with:

- Cash.
- A personal check or personal credit card.
- A government voucher.
- A government credit card.

Do not accept this certificate unless the representative or employee presenting it shows appropriate and satisfactory identification.

Western NY Power Proceeds Allocation Board

Exhibit "A"
March 25, 2014

Applicants Recommended for an Award of Fund Benefits

Line	Business	City	County	Economic Development Region	Project Description	Project Type	Recommended Award Amount	Total Project Cost	Jobs Retained	Jobs Created
1	Eden Valley Growers	Eden	Erie	Western NY	Building a new staging/packing area to increase output on produce and value added products	Business Investment	$ 80,000	$ 400,000	20	6
2	Living Green Insulation Produ	Buffalo	Erie	Western NY	Will produce cellulose insulation and hydro seed mulch from recycled paper products that are diverted from landfills	Business Investment	$ 165,570	$ 891,765	3	29
						Total:	**$ 245,570**	**$ 1,291,765**	**23**	**35**
								Total Jobs Created & Retained:		**58**

Western NY Power Proceeds Allocation Board

Exhibit "B"
February 25, 2014

Applicants Not Recommended for an Award

Line	Business	City	County	Economic Development Region	Project Description	Project Type
1	Art Services Initiative	Buffalo	Erie	Western NY	Enhance marketing efforts for the region's Path Through History	Tourism/Marketing
2	Buffalo Maritime Center	Buffalo	Niagara	Western NY	Restoration/renovation/repairs to the historic 1913 Buffalo Bronze Foundry building.	Tourism/Marketing
3	Niagara County Historical Society/ DBA the History Center of Niagara	Lockport	Niagara	Western NY	Enhance the established heritage tourism destination by creating eight exhibits	Tourism/Marketing
4	Paradigm of New York, LLC	Lockport	Niagara	Western NY	Assist in the development and testing of the Paradigm System, which cleans exhaust gases of soot from diesel and gasoline engines.	Business Investment
5	Sterling Energy Group, Inc.	Niagara Falls	Niagara	Western NY	Retrofit and bring the power plant back online to produce ~50 MW of power.	Business Investment
6	SynchroPET	Buffalo	Erie	Western NY	SynchroPET proposed to modify their small animal PET systems to make them commercial products.	Business Investment

Withdrawn Applications

Line	Business	Classification
7	Onyx Administrative Services of Buffalo	Withdrawn by applicant

Applications Deferred for Further Analysis

Line	Business	Classification
8	Buffalo Niagara Enterprise	Deferred for further analysis
9	Greater Buffalo United Accountable Healthcare Network (GBUAHN), MSO, LLC	Deferred for further analysis
10	Buffalo Syngas, LLC	Deferred for further analysis
11	Entecco, LLC	Deferred for further analysis
12	City Labs, Inc., A Florida Corporation	Deferred for further analysis
13	Humble Pie (previously deferred)	Deferred for further analysis
14	Trek Industries	Deferred for further analysis
15	Triad Recycling	Deferred for further analysis
16	Riviera Theatre and Organ Preservation Society Inc.	Deferred for further analysis

Western NY Power Proceeds Allocation Board
Exhibit C

Criteria adapted from the Western NY Power Proceeds Allocation Board's "Procedures for the Review of Applications for Fund Benefits"

1. The extent to which an award of Fund Benefits would be consistent with the strategies and priorities of the Regional Economic Development Council ("REDC") having responsibility for the region in which an Eligible Project is located.[1] The Western New York Regional Economic Development Council which is responsible for Eligible Projects in Erie and Niagara Counties Strategies & Priorities are:

   - Promote "Smart Growth" by investing in areas that infrastructure already exists and achieves certain goals, such as: preserving historic buildings; reviving downtowns; reviving main streets; investing in existing neighborhoods; and investing in former industrial sites. A project consistent with Smart Growth will also focus on: enhancing walkability; enhancing multiple modes of transportation; connecting disadvantaged communities to employment clusters; spurring mixed-use private investment in existing communities and preserving/enhancing natural lands and or resources.
   - Promote workforce development by increasing diversity in the labor force, developing and cultivating that includes workers with advancement potential, underemployed, unemployed and special population; align education and skills training to job market for current and future industry needs.
   - Foster entrepreneurship and new business formation and growth. Designing a plan that brings new technologies and/or products to the marketplace, increases new start ups in strategic industries and facilitates the commercialization of products that can lead to job growth in the Region.
   - Increase the industry profile of agriculture in WNY by: creating better access to markets; creating new products; creating new more efficient processes; creating strong regional brands; creating programs that promote careers in agriculture.
   - Utilize Western New York's proximity to Canadian and U.S. population centers to advance economic development in WNY. Bi-national projects will: utilize cross-border planning to create transportation and logistical infrastructure; improve operational relationships; promote the attractiveness of WNY as a hub for global trade.

[1] As provided for in EDL § 189-c(4), criteria 2-15 are adapted from the criteria for eligibility for Expansion Power, Replacement Power and Preservation Power under Public Authorities Law § 1005. The specific criteria identified in PAL § 1005(13)(b)(4)-(5) are relevant to power allocations under these programs but do not have any logical application to allocations of Fund Benefits. Therefore, the Board does not expect to use these criteria to evaluate applications for Fund Benefits. Additionally, in accordance with PAL § 1005(13), criteria 13-15 listed herein will only be used in the case of Eligible Projects which are proposed by Applicants as, and determined by the Board to be, "revitalization" projects.

- Position the WNY region as a global energy hub through new sources of clean energy, energy efficiency and energy efficient transportation.
- Support growth of advanced manufacturing by making research more available to manufacturers to help them innovate.
- Spur growth in the health and life sciences industry through improved commercialization, recruit high profile research talent and reducing the cost burden of healthcare while improving health outcomes.
- Expand the scope of higher education by increasing accessibility to Higher Education for communities that currently have limited access to educational opportunities; better aligning education with the industry needs and creating support structures for start-ups which will assist start-ups with commercialization, business planning, workforce preparation, facilities, etc.
- Grow visitors and visitor spending by raising the profile of WNY as a national and international destination; connect multiple tourist destinations in WNY; improve the profile of the WNY Gateway to the United States.

For more information on the Western New York Regional Economic Development Council please go to http://regionalcouncils.ny.gov/content/western-new-york.

2. The extent to which an award of Fund Benefits would be consistent with the strategies and priorities of the Regional Economic Development Council ("REDC") having responsibility for the region in which an Eligible Project is located.[2] The Finger Lakes Regional Economic Development Council which is responsible for Eligible Projects in Orleans and Genesee Counties Strategies & Priorities can be found at: http://regionalcouncils.ny.gov/content/finger-lakes.
3. The number of jobs that would be created as a result of an award of Fund Benefits.
4. The applicant's long term commitment to the region as evidenced the current and/or planned capital investment in applicant's facilities in the region.
5. The ratio of the number of jobs to be created to the amount of Fund Benefits requested.
6. The types of jobs that would be created, as measured by wage and benefit levels, security and stability of employment.
7. The amount of capital investment, including the type and cost of buildings, equipment and facilities, proposed to be constructed, enlarged or installed.
8. The extent to which an award of Fund Benefits would affect the overall productivity or competitiveness of the applicant and its existing employment.
9. The extent to which an award of Fund Benefits may result in a competitive disadvantage for other business in the State.

[2] As provided for in EDL § 189-c(4), criteria 2-15 are adapted from the criteria for eligibility for Expansion Power, Replacement Power and Preservation Power under Public Authorities Law § 1005. The specific criteria identified in PAL § 1005(13)(b)(4)-(5) are relevant to power allocations under these programs but do not have any logical application to allocations of Fund Benefits. Therefore, the Board does not expect to use these criteria to evaluate applications for Fund Benefits. Additionally, in accordance with PAL § 1005(13), criteria 13-15 listed herein will only be used in the case of Eligible Projects which are proposed by Applicants as, and determined by the Board to be, "revitalization" projects.

10. The growth potential of the applicant's facilities and the contribution of economic strength to the area in which the applicant's facilities are or would be located.
11. The extent of the applicant's willingness to satisfy affirmative action goals.
12. The extent to which an award of Fund Benefits is consistent with state, regional and local economic development strategies and priorities and supported by local units of government in the area in which the business is located.
13. The impact of an award of Fund Benefits on the operation of any other facilities of the applicant, and on other businesses within the region.
14. That the business is likely to close, partially close or relocate resulting in the loss of a substantial number of jobs.
15. That the applicant is an important employer in the community and efforts to revitalize the business are in long-term interests of both employers and the community.
16. That a reasonable prospect exists that the proposed award of Fund Benefits will enable the applicant to remain competitive and become profitable and preserve jobs for a substantial period of time.

Western New York Power Proceeds Allocation Board

Western New York Economic Development Fund Recommendation Memo

Recommended Award: Exhibit "D"

Applicant Name:	Eden Valley Growers	REDC Region:	Western New York
Project Type:	Business Investment	County:	Erie
Industry:	Agriculture	Locality:	Eden
Amount Requested:	$80,000	Start Date:	03/15/2014
		Finish Date:	05/15/2014

RECOMMENDED OFFER	
Recommended Total Award:	$80,000
Total Project Cost:	$400,000
% of Project Cost Recommended:	20%
REGIONAL IMPACT MEASUREMENTS	
Number of Jobs Retained:	20
Number of Jobs Created:	6
Average Salary of Jobs:	$35,000
Indirect Jobs Created	
Other Impact	

PROJECT DESCRIPTION

Eden Valley Growers (EVG), a cooperative of ten farms on 1,000 acres in Eden, proposes to meet new market demand by building a new staging/packing area to increase output on produce and value added products. The expansion is needed to meet increasing demand for fresh, locally grown vegetables and fruits while at the same time maintaining food safety standards.

BASIS FOR RECOMMENDATION

The Eden Valley Growers Co-op in Eden New York has long had a notable and valuable presence in WNY agriculture. This co-op has recently been offered an opportunity by Regional and Statewide grocers to significantly increase the purchase of their products. To achieve the necessary production to fulfill this new demand, the co-op must build a new cooling/staging/packing area to handle increased capacity. Fostering the growth of the agricultural industry across WNY has been deemed a high priority part of achieving a balanced approach to regional Economic development.

The Eden Valley Growers Co-op has put together a budget of $400,000 for this project. Eighty percent would be financed through its own investment and a loan/grant term from Farm Credit East. It is recommended that the Board recommend an award of $80,000 to fill the funding gap for the project which would be payable upon verification of project completion.

Western New York Power Proceeds Allocation Board

Western New York Economic Development Fund Recommendation Memo

Recommended Award: Exhibit "D"

Applicant Name:	Living Green Insulation Products and Services, LLC* *See note below under Project Description.	REDC Region:	Western New York
Project Type:	Business Investment	County:	Erie
Industry:	Advanced Manufacturing	Locality:	Buffalo
Amount Requested:	$165,570	Start Date:	3/1/2014
		Finish Date:	3/1/2016

RECOMMENDED OFFER	
Recommended Total Award:	$165,570
Total Project Cost:	$891,765
% of Project Cost Recommended:	18.5%
REGIONAL IMPACT MEASUREMENTS	
Number of Jobs Retained:	3
Number of Jobs Created:	29
Average Salary of Jobs:	$20,800
Indirect Jobs Created:	
Other Impact:	

PROJECT DESCRIPTION

Living Green Insulation Products and Services, LLC ("LGI") is a green manufacturing facility located on the eastside of Buffalo. The manufacturing plant will produce cellulose insulation and hydro seed mulch from recycled paper products that are diverted from landfills and sold locally by recycling companies. LGI is in the process of ramping up both its hydro-seeding business and its insulation business which will lead to the employment of 29 people over the next 5 years. In order to meet the current trajectory set for the hydro-seeding business, LGI must complete phase 2 of a 3 phase plan and install a freight elevator; install a sprinkler system; purchase a paneled delivery truck; purchase a hydro-seeding mulcher; and rebuild existing loading docks to handle the weight of the necessary machinery used in the distribution of LGI products.

The award request by LGI relates to the second phase of its 3 phase "plan for success." To date LGI has successfully completed the first phase of their master plan which included a $1,013,935 investment to: install the machinery that creates the 2 products; provide the necessary power upgrades to the building to run the machinery; make the necessary structural improvements to the building; purchase Borax inventory; purchase paper feedstock; replace exterior and emergency lighting; and begin hiring the workforce needed to get the project up and running. Phase 2 and 3 of the master plan for LGI will take an investment of $891,765 to reach completion.

LGI is seeking an award that will allow LGI to complete the second phase of its expansion plan in time for the spring, when the hydro-seeding business has great potential for creating revenue.

Phase 2 of the plan calls for an investment of $165,570 which will allow the company to: purchase a hydro-seeding mulcher; purchase a panel delivery truck; purchase 2 fork-lifts; reconstruct loading docks; install a sprinkler system; and install a freight elevator.

Upon the completion of phase 2 of the master plan LGI indicates that it will be in a position to take the hydro-seeding portion of its business and create a revenue stream that can be utilized for reinvestment into the completion of the third and final phase. Phase 3 of LGI's master plan consists of an investment of $726,195 which will allow LGI to: replace the roof on their facility; make additional electrical upgrades to run the green insulation production; build inventory; upgrade security; and build out office space.

*The application lists Jabril Shareef as the applicant, but indicates that LGI is the real party in interest and would be making the commitments. In correspondence, Shareef has agreed that LGI will be treated as the applicant.

PREVIOUS STATE ASSISTANCE OFFERED OR PROVIDED

TYPE	AMOUNT	STATUS
ESD – EIP Grant	$226,000	Complete
PUSH Buffalo BNSC Grant	$35,000	Complete

BASIS FOR RECOMMENDATION

LGI is a small business on the east side of Buffalo that has been building from the ground up based on an environmentally friendly product created through state of the art technology. Through a maximum personal investment and the assistance of State and local economic development programs, this company is now in a position to sell and distribute the environmentally friendly hydro-seeding currently being produced at its headquarters facility at 230 Pratt Street, Buffalo, NY.

An award of Fund Benefits would enable LGI to complete phase 2 of this project and begin the sale and distribution of their hydro-seeding product. In conversations with the Board's staff, LGI indicates that this will create approximately 29 jobs over the next 5 years. The bulk of the jobs would target unemployed residents of the East Side of Buffalo who would be trained for long term careers with LGI.

It is recommended that the Board recommend an award to LGI in the amount of up to $165,570 to be disbursed in multiple reimbursements based on documentation of LGI's completion of each of the tasks listed below. This will be in addition to any other terms and conditions that the New York Power Authority deems to be appropriate in any award contract:

- Purchase of hydro-seeding mulcher – The lesser of $21,120 or the actual purchase price
- Purchase of panel delivery truck – The lesser of $23,000 or the actual purchase price
- Purchase of 2 fork-lifts – The lesser of $36,000 or the actual purchase price.
- Reconstruction of loading docks – The lesser of $16,450 or the actual cost.
- Installation of sprinkler system – The lesser of $30,000 or the actual cost.
- Installation of freight elevator – The lesser of $39,000 or the actual cost.

President & Chief Executive Officer Report

Gil Quiniones

March 25, 2014

Board of Trustees Meeting

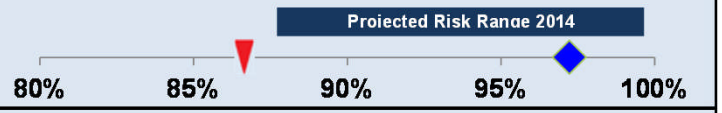
New York Power Authority

NYPA Overall Performance

February 2014

Goal	Measure	Year-to-Date 2014 Status	Target	Actual
Maintain Infrastructure	Generation Market Readiness *(%)*	▲	99.40	99.63
	Transmission System Reliability *(%)*	▲	96.81	97.29
Financial Management	Debt Coverage *(Ratio)*	▲	3.10	n/a*
	O&M Budget Performance *($ Millions)*	▲	54.9	50.1
Energy Services	MMBTU's Saved	▲	37.0	54.5
	Energy Efficiency Investment in State Facilities *($ Millions)*	▲	5.4	5.6
Workforce Management	Retention *(# of Touchpoints)*	▲	637	n/a*
Safety Leadership	DART Rate *(Index)*	●	0.78	0.82
Environmental Responsibility	Environmental Incidents *(Units)*	▲	6	4

Year 2014 Risk Range



Risk Range

- Year-to-Date Actual
- Risk Threshold

Projected risk ranges to be reported quarterly

Corporate Performance

Status
▲ Meeting or Exceeding Target
▬ Below Target
● Significantly Below Target

*Results updated quarterly

123 Main Street
White Plains, NY 10601-3170

914.681.6675
Edward.Welz@nypa.gov

Edward Welz
Chief Operating Officer

TO: NYPA BOARD OF TRUSTEES

FROM: EDWARD WELZ, CHIEF OPERATING OFFICER

DATE: MARCH 12, 2014

SUBJECT: MONTHLY REPORT FOR THE BOARD OF TRUSTEES

****

This report covers performance of the Operations group in February 2014.

Operations

Plant Performance

Systemwide net generation[1] was 1,990,463 MWh (megawatt-hours[2]) for February which is below the projected net generation of 1,996,136 MWh. For the year, net generation was 4,038,306 MWh which is below the projected target of 4,212,415 MWh.

The fleet availability factor[3] was 95.21 percent in February, and was 93.93 percent for the year. Generation Market Readiness factor[4] was 99.88 percent in February, which is better than the monthly target of 99.40 percent. Year-to-date generation market readiness factor was at 99.63 percent, which is above the annual target of 99.40 percent.

There were no significant forced outages[5] in February.

Generation net revenue in February was $51.5 million with a loss of revenue of $0.07 million. For the year, net revenue was $111.1 million while revenue loss is $0.60 million.

Niagara River flows in February were below the historical average and are expected to be near normal levels for most of the year. St. Lawrence River flows during February were above forecast level. River flows are expected to be near historical levels at the beginning of 2014, and then are anticipated to fall below average from Spring 2014 onwards.

Transmission Performance

Transmission Reliability[6] was 97.38 percent in February which was above the target of 96.53 percent. The 2014 year to date Transmission Reliability is 97.29 percent, which is above the target of 96.81 percent.

There were no significant unplanned transmission events[7] to report in February.

Safety

The NYPA DART (Days Away, Restricted or Transferred) Rate for February was 0.00 compared to the target of 0.78. For the year, the DART Rate is 0.82.

The Operations DART Rate for February is 0.00 compared to the target of 1.08. For the year, the DART Rate is 1.21.

There were no lost time incidents in February that met the DART criteria. For the year, there have been 2 injuries that resulted in lost time and met the DART criteria.

Environmental

There were three reportable incidents in February:

1. A release of R-22 refrigerant occurred at the Poletti 500MW facility.
2. Two SPDES excursions occurred at the St. Lawrence facility.

For the year, there have been four incidents. The annual target is 32 incidents.

Relicensing – Niagara Power Project

Design work for the Strawberry Island HIP continues. Consultation meetings are being arranged to receive agency feedback on the design and on plans to minimize impacts on nesting Bald Eagles present on the island.

Support continues to be provided for the Maid of the Mist project which is progressing very well. Planning and coordination is underway to coordinate the design and completion of the new facilities with the restoration of NYPA recreational facilities associated with the Discovery Center.

Relicensing – St. Lawrence-FDR Power Project

Construction of this season's Adjoining Landowner Shoreline Stabilization projects has been completed.

Relicensing – Blenheim-Gilboa Project

Preparation of the preliminary licensing documents is complete and they have been distributed for executive review and approval. The formal FERC Relicensing process will commence in the first quarter of 2014. A pre-filing meeting is being held with FERC staff in mid-March. At this time, no significant regulatory issues that would impact relicensing have been identified.

Life Extension and Modernization Programs

Transmission LEM

T-LEM is a multiyear program that will upgrade the Authority's existing transmission system to maintain availability, increase reliability, and ensure regulatory compliance. The Program encompasses Authority transmission assets in the Central, Northern, and Western Regions. The Program is estimated to cost $726 million and is comprised of several projects:

- St. Lawrence Breaker & Relay Replacement:
  - STL Breaker Installation: Proposals were received and are being evaluated for construction activities during the period 2014 – 2016. Outage plan for 2014 finalized, submitted and to OPS review for approval and implementation.
  - STL Breaker Procurement: Contract issued to HVB for procurement of circuit breakers.
  - Massena Substation Relay Replacement: Equipment delivered relays are under test and calibration.
  - 100MVAR Capacitor Banks: Award issued to Cooper Power Systems. CH2M is working on the final design package.
- CEC Autotransformer/Reactor Refurbishment:
  - One auto-transformer and one reactor will be refurbished in 2014.
- Massena Substation Reactor Refurbishment:
  - Two reactors will be refurbished in 2014.
- NIA, BG & CEC Relay Replacements:
  - The project team continues to design, procure equipment and install relays.
- Massena Substation Autotransformer Replacement:
  - The spare auto-transformer has arrived in the US. The vendor continues to encounter transportation issues in order to move the equipment to Massena. A revised schedule is being developed by the vendor.
  - Remaining auto-transformers are scheduled for delivery and installation in 2014.
- Tower Modeling:
  - Tower modeling of the weathering steel structures and grillage was completed.
- Tower Painting:

    - Tower painting proposals were received and are being evaluated for work at STL and NATL starting in 2015.
- NIA, STL, CEC & BG Switchyard LEM & STL Substation LEM:
    - The project team has assessed switchyard and substation equipment and determined the priority of equipment replacements.
    - Project Plans have been issued for review.
    - Trustee Items are being drafted.
- PV-20 Submarine Cable Replacement:
    - Preliminary engineering activities are ongoing by CHA in collaboration with VELCO.

LPGP LEM

The second new turbine was delivered in January and was installed in the second unit, Unit 5, this March as planned. The assembly of the third and fourth turbines commenced in Hitachi's facility located in Japan. The first two of seven blades that have been modified to the new design, "LBR3", for the fifth unit have been poured. The band and crown are in various stages of machining by Hitachi's sub-contractor, Litostroj located in Slovenia.

Hitachi released the fabrication of the sixth turbine at two new facilities, Japan Steel Works (JSW), located in Japan and Voestalpine located in Austria, given the reported "insolvency proceedings" of the Litostroj foundry. JSW will be fabricating the runner and crown and Voestalpine will be fabricating the blades (LBR3 design) and upon completion, these components will be sent to Hitachi's facility located in Japan for the runner assembly as on previous units.

The second unit outage, Unit 5, commenced on October 15, 2013 as scheduled and the refurbishment was completed and the unit re-assembly work is well underway. As previously reported, during the planned refurbishment and inspections of the original unit shafts (two sections), a significant crack was discovered around one of the shaft's circumference and the spare shafts that were ordered at the commencement of the LEM are being utilized. Hitachi and their sub-contractor, Gracon, work crews are working multiple shifts in order to try and recover the schedule due to the"in-place machining" that is required to connect the two shaft sections. The original return to service date was May 23, 2014 which will now be delayed up to about two weeks due to the shaft issues; the new return to service date is June 6, 2014. Two additional sets of spare shafts have been ordered by Hitachi and will be fabricated by the same sub-contractor, Hyunjin located in South Korea, who provided the spare set. One of the sets of shafts has been expedited in order to have it delivered just in time (October 2014) for the next unit outage, Unit 7, which is scheduled to start this coming June. The LPGP LEM program is scheduled to be completed in 2020.

The planned post unit upgrade testing on the first unit that was refurbished, Unit 11, commenced on March 4th. The consultant, Power Engineering, has installed over one hundred instruments and is collecting data with a high speed data analyzer which will

be utilized to determine the new turbine hydraulic performance and the units' electrical and mechanical equipment. As part of the instrumention installation process, the rotor was also removed and during the inspection, it was discovered that numerous rotor poles jumpers had indiactions of extreme heating and some had failed. This rotor was refurbished by Andritz Hydro Corp. during the unit refurbishment work as part of the Motor/Generator contract. Andritz inspected the rotor and replaced the failed jumpers and determined that the unit can operate in order for Power Engineering to perform their testing (a short term repair); Andritz is presently evaluating the root cause and their design. An outage in the near future will be required to address the rotor issues for the long term along with other "as found" conditions since the unit returned to service in October 2013.

Technical Compliance – NERC Reliability Standards

Enforcement Actions – Northeast Power Coordinating Council (NPCC):

Five (5) minimal risk enforcement actions were processed by NPCC under North American Electric Reliability Corporation's (NERC) Find, Fix, and Track process in February. As a result, NYPA has a total of nine (9) possible violations pending regulatory closure. One (1) possible violation was identified in February, which NPCC approved for processing as a minimal risk enforcement incident under a NERC-sponsored enforcement pilot program that is testing alternative enforcement methods. NYPA has three (3) possible violations in the pilot program. NYPA is the only registered entity of over 350 in the NPCC region participating in the pilot program.

Internal Investigation of Possible Violations:

No new investigations were initiated in February. Three (3) investigations, relating to various requirements, are currently in progress.

Other Compliance Activities:

In February, NYPA submitted to NPCC nine (9) self-certifications of compliance for standards applicable to NYPA.

Critical Infrastructure Protection (CIP) Standards - Version 5:

On November 21, 2013 FERC approved the CIP Version 5 reliability standards and nineteen new or revised definitions related to the new standards. FERC's draft final rule also approved the implementation plan. NYPA must demonstrate compliance to the revised standards by April 1, 2016. In February, a cyber-asset classification assessment survey required pursuant to the new standards commenced at the Clark Energy Center. The cyber-asset classification assessment survey for all of NYPA's Bulk Electric System (BES) generation and transmission facilities will be completed by June 2014. The results of the surveys will be used to confirm the scope and costs of the implementation plan NYPA will need to execute to demonstrate compliance with the revised standards.

NERC Reliability Assurance Initiative (RAI):

NYPA, along with other generation and transmission companies in North America, including Large Public Power Council (LPPC) and American Public Power

Association (APPA) members, has been actively supporting the NERC and NPCC in moving this important program forward. NYPA continued to participate in two NPCC pilot programs to test new compliance monitoring and enforcement tools; one is focusing on methods for assessing a company's internal controls for managing compliance and one is focusing on new tools for processing minimal risk violations of the standards. These pilot programs are being conducted in several regions across the country to establish the basis for a more risk-based, continent-wide compliance monitoring process. Furthermore, at the request of NERC, NYPA staff has or is participating in three (3) Industry Focus Groups related to this initiative.

Energy Resource Management

NYISO Markets

In February, Energy Resource Management (ERM) bid 2.24 million MWh of NYPA generation into the NYISO markets, netting $120.8 million in power supplier payments to the Authority. Year-to-date net power supplier payments are $265.7 million.

Fuel Planning & Operations

In February, NYPA's Fuels Group transacted $64.2 million in natural gas and oil purchases, compared with $44.8 million in February 2013. Year-to-date natural gas and oil purchases are $140.4 million, compared with $84.5 million at this point in 2013.The total $55.9 million increase is mainly due to the higher cost of fuel and/or fuel consumption at the Astoria Energy II Plant ($18.9 million), 500-MW Combined Cycle Plant ($33.2 million), and Richard M. Flynn Power Plant ($8.1 million), which was offset by a decrease at the Small Clean Power Plants (-$4.3 million).

In February, fuel oil was purchased at 500-MW Combined Cycle Plant ($5.4 million) , AEII ($8.6 million) and the Richard M. Flynn Power Plant($10.7 million).

GLOSSARY

[1] **Net Generation** – The energy generated in a given time period by a power plant or group of plants, less the amount used at the plants themselves (station service) or for pumping in a pumped storage facility. Preliminary data in the COO report is provided by Accounting and subject to revision.

[2] **Megawatt-hour (MWh)** – The amount of electricity needed to light ten thousand l00-watt light bulbs for one hour. A megawatt is equal to 1,000 kilowatts and can power about 800 homes, based on national averages.

[3] **Availability Factor** – The Available Hours of a generating unit over the Period Hours (hours in a reporting period when the unit was in an active state). Available Hours are the sum of Service Hours (hours of generation), Reserve Shutdown Hours (hours a unit was not running but was available) and Pump Hours (hours a pumped storage unit was pumping water instead of generating power).

[4] **Generation Market Readiness Factor** – The availability of generating facilities for bidding into the New York Independent System Operator (NYISO) market. It factors in available hours and forced outage hours that drive the results.

[5] **Significant Unplanned Generation Events** – Those events (forced or emergency outages of individual generator units) of duration greater than 72 hours, or have a total repair cost of greater than $75,000, or result in greater than $50,000 of lost revenues.

[6] **Transmission Reliability** - A measurement of the impact of forced and scheduled outages on the statewide system's ability to transmit power.

[7] **Significant Unplanned Transmission Events** – Those events (forced or emergency outages of individual transmission lines) which directly affect the reliability of the state's transmission network, or affect the availability of any component of the state's transmission network for greater than 8 hours, or that have a repair cost greater than $75,000.

Chief Financial Officer – Summary Report

For the two months ended February 28, 2014

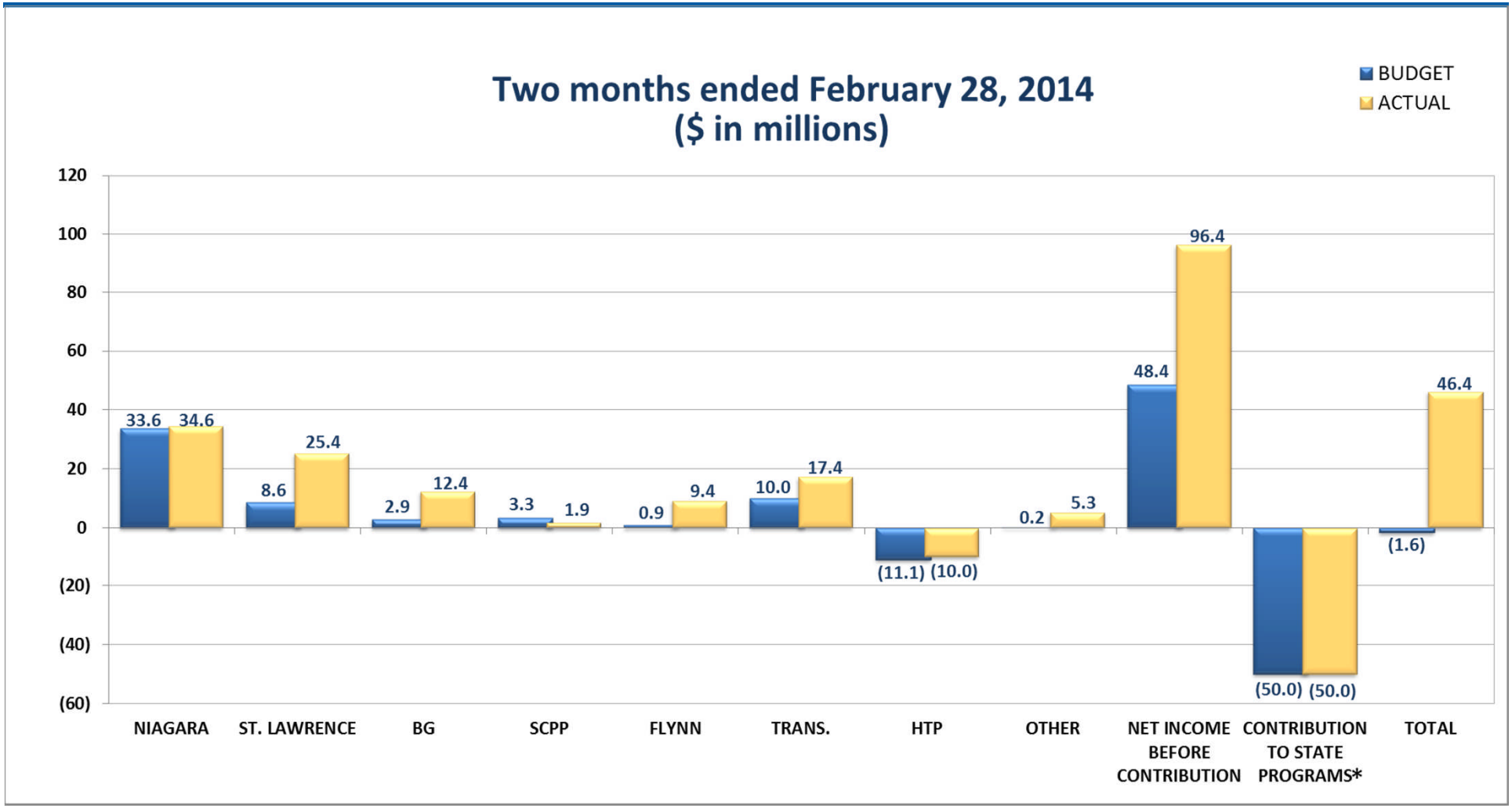
New York Power Authority

Executive Summary

Net Income

- Net income through February 2014, prior to the recognition of the voluntary contribution to New York State, was a positive $96.4 million, which was $48.0 million higher than the budget.
  - With the inclusion of the budgeted $50 million voluntary contribution, the Authority had net income of $46.4 million year-to-date compared to a budgeted net loss of $1.6 million.
- The severe weather in January and February, and its resulting impact on market prices, were significant drivers of the year-to-date results. With energy prices more than double those of a year ago, NYPA saw higher net margins on sales ($31.9 million). Higher investment income ($6.9 million) and insurance reimbursements ($6.3 million) not reflected in the budget also contributed to the variance. In sum:
  - Margins on market-based sales were higher than budgeted due to the spike in energy prices. Positive variances were significant at St. Lawrence, Blenheim-Gilboa and Flynn.
  - Investment income included a mark-to-market gain on the Authority's investment portfolio ($5.6 million) due to lower market interest rates.
  - Reimbursements related to Hurricane Irene and a prior year transformer failure were received during January and February.

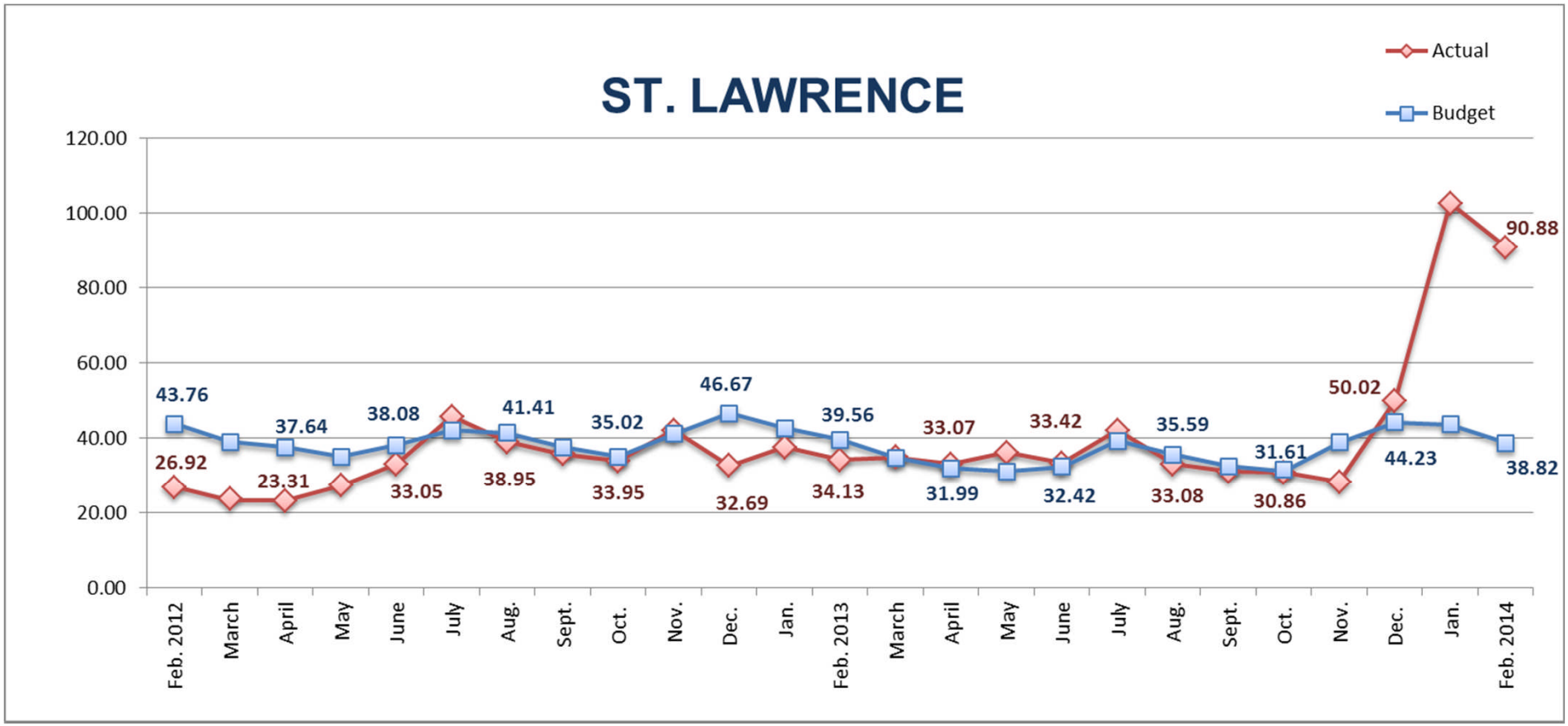
Net Income



**** As authorized by the Trustees in January, payment made in support of NY State's Open for Business economic development initiative***

Market Energy Prices

($/mwh)



Chief Risk Officer – Summary Report

March 25, 2014

Board of Trustees Meeting

William Nadeau, SVP and Chief Risk Officer

New York Power Authority

Executive Summary – Risk Pilot Project

- **Scope - Risk Response & KRI for two risks**
  - **Increasingly Difficult to Attract and Retain Workforce – SENY Region**
  - **Significant Increases in Non-Recurring O&M Expenditures**

- **Executive involvement/communication (Executive Risk Management Committee and Executive Management Committee)**

- **Results:**
  - **Create informed responses and monitoring metrics**
  - **Discovery of facts (process, constraints, etc.)**
  - **Risk awareness/culture – those participating acknowledge they are thinking differently**
  - **Time consuming – need sustainable process**

New York Power Authority
ReCharge New York Retention Power Allocation Recommendations

Exhibit "A"
March 25, 2014

Line	Company	City	County	Economic Development Region	IOU	Description	kW Request	kW Recommendation	Jobs Retained	Jobs Created	Total Job Commitment	Capital Investment ($)	Contract Term (years)
1	CCMI Inc.	Geneva	Ontario	Finger Lakes	NYSEG	Plastic fabrication manufacturer	106	50	14	0	14	$50,000	7
2	J K Jewelry, Inc.	Rochester	Monroe	Finger Lakes	RGE	Precious metal component production	158	76	65	0	65	$200,000	7
	Finger Lakes Region Sub-totals:						**264**	**126**	**79**	**0**	**79**	**$250,000**	
3	Contract Pharmacal Corp	Hauppauge	Suffolk	Long Island	LIPA	Manufacturer of contract pharmaceuticals	700	306	500	0	500	$4,000,000 [1]	7
4	CPI Aerostructures, Inc.	Edgewood	Suffolk	Long Island	LIPA	Manufacturer of aircraft component parts	262	130	268	0	268	$4,000,000	7
5	Dealertrack Technologies	TBD	Nassau	Long Island	LIPA	Developer of web-based software solutions	458	226	500	0	500	$0 [1]	7
6	Flexible Business Systems, Inc.	Hauppauge	Suffolk	Long Island	LIPA	IT training and data center	95	46	74	0	74	$0 [1]	7
7	Visual Citi Inc	West Babylon	Suffolk	Long Island	LIPA	Printing and display services	122	60	75	0	75	$2,000,000 [1]	7
8	Webair Internet Development Inc	Garden City	Suffolk	Long Island	LIPA	Managed services, cloud computing, and colocation provider	530	266	34	6	40	$15,000,000	7
	Long Island Region Sub-totals:						**2,167**	**1,034**	**1,451**	**6**	**1,457**	**$25,000,000**	
9	Metallized Carbon Corporation	Ossining	Westchester	Mid-Hudson	CONED	Mechanical components producer	521	260	118	0	118	$0 [1]	7
	Mid-Hudson Region Sub-totals:						**521**	**260**	**118**	**0**	**118**	**$0**	
10	Jos. H. Lowenstein & Sons, Inc.	Brooklyn	Kings	New York City	CONED	Dyestuff manufacturer	300	150	82	0	82	$1,500,000 [1]	7
	New York City Region Sub-totals:						**300**	**150**	**82**	**0**	**82**	**$1,500,000**	
11	Dunkirk Metal Products of WNY, Inc.	Dunkirk	Chautauqua	Western New York	NGRID	Sheet metal production	125	40	18	0	18	$500,000	7
12	SolEpoxy, Inc.	Olean	Cattaraugus	Western New York	NGRID	Manufacturer of specialty thermoset epoxy compounds	1,562	780	55	0	55	$2,000,000	7
	Western New York Region Sub-totals:						**1,687**	**820**	**73**	**0**	**73**	**$2,500,000**	
	Totals							**2,390**	**1,803**	**6**	**1,809**	**$29,250,000**	

[1] These companies are also recommended for expansion-related allocations of RNY for separate and distinct job creation and capital investment commitments associated with proposed business expansions.

New York Power Authority
ReCharge New York Expansion Power Allocation Recommendations

Exhibit "B"
March 25, 2014

Line	Company	City	County	Economic Development Region	IOU	Description	kW Request	kW Recommendation [1]	Base Employment [3]	Job Creation Commitment	Project Capital Investment ($)	Contract Term (years)
1	Contract Pharmacal Corp	Hauppauge	Suffolk	Long Island	LIPA	Manufacturer of contract pharmaceuticals	600	420	500	200	15,000,000 [2]	7
2	Dealertrack Technologies	TBD	Nassau	Long Island	LIPA	Developer of web-based software solutions	668	466	500	155	15,000,000 [2]	7
3	Flexible Business Systems, Inc.	Hauppauge	Suffolk	Long Island	LIPA	IT training and data center	95	66	74	20	3,500,000 [2]	7
4	Visual Citi Inc	West Babylon	Suffolk	Long Island	LIPA	Printing and display services	50	36	75	8	250,000 [2]	7
	Long Island Region Sub-totals:							**988**		**383**	**$33,750,000**	
5	Metallized Carbon Corporation	Ossining	Westchester	Mid-Hudson	CONED	Mechanical components producer	168	116	118	25	850,000 [2]	7
6	Pratt & Whitney Advanced Coating Technologies	Middletown	Orange	Mid-Hudson	ONR	Thermal barrier coatings	3,000	2,100	95 [4]	100	140,000,000	7
	Mid-Hudson Region Sub-totals:							**2,216**		**125**	**$140,850,000**	
7	Jos. H. Lowenstein & Sons, Inc.	Brooklyn	Kings	New York City	CONED	Dyestuff manufacturer	75	50	82	10	1,500,000 [2]	7
	New York City Region Sub-totals:							**50**		**10**	**$1,500,000**	
8	Time Warner Cable Northeast LLC	Buffalo	Erie	Western New York	NGRID	Call center and technical operations	250	176	170	152	2,084,527	7
	Western New York Region Sub-totals:							**176**		**152**	**$2,084,527**	
	Totals							**3,430**		**670**	**$178,184,527**	

(1) All Allocations are recommended to be up to the amount indicated based on the companies fulfillment of capital spending, job creation, and new electric load consistent with the ratio of recommended to requested amount.
(2) These companies are also recommended for retention-related allocations of RNY for separate and distinct job retention and capital investment commitments associated with retaining their existing businesses.
(3) New jobs committed must be above the base employment level; the base employment values should ***not*** be included when aggregating retained jobs because they are already accounted for elsewhere
(4) Jobs indicated are for a separate facility not receiving RNY power but are part of the company's commitment to the region

New York Power Authority **Exhibit "C"**
ReCharge New York Power Program **March 25, 2014**
Ineligible Applicants

Line	Company	City	County	Economic Development Region	IOU	Description	Classification
1	Generations Point LLC	Buffalo	Erie	Western New York	NGRID	Adult and child daycare	Retail
2	Heaven Scent Laundromat LLC	Albany	Albany	Capital District	NGRID	Laundromat	Retail
3	Michael Carni	Farmingdale	Nassau	Long Island	LIPA	Fine food market	Retail
4	Mt. Kisco Mechanical Service Corp.	Bedford Hills	Westchester	Mid-Hudson	CONED	HVAC Repair company	Retail
5	Oneonta Veterinary Hospital	Oneonta	Otsego	Mohawk Valley	NYSEG	Veterinarian	Retail
6	Safe Harbors of the Hudson, Inc.	Newburgh	Orange	Mid-Hudson	CHUD	NFP project to rehabilitate vacant building for retail use	Retail
7	Summit Hills Farm	Summit	Schoharie	Mohawk Valley	NGRID	Agricultural-centered year-round campground	Retail
8	The Ice Hutch	Mount Vernon	Westchester	Mid-Hudson	CONED	Ice Skating Rink	Sports venue

New York Power Authority **Exhibit "D"**
ReCharge New York Power Program **March 25, 2014**
Applications Not Considered or Not Recommended

Applications Not Considered

Line	Company	City	County	Economic Development Region	IOU	Description	Reason
1	Harvest House of South Buffalo	Buffalo	Erie	Western New York	NGRID	NFP that provides health and education services	No demand meter
2	Inx International Ink Co., Inc.	Dunkirk	Chautauqua	Western New York	NGRID	Print ink manufacturer	Withdrawn
3	Secura Space, Inc.	Staten Island	Richmond	New York City	Other	Leased co-working office space	Withdrawn

Applications Not Recommended

Line	Company	City	County	Economic Development Region	IOU	Description	Reason
1	Residency Unlimited Inc.	Brooklyn	Kings	New York City	ConEd	Supports contemporary art through residency	Demand usage too low to warrant allocation

Request for Transfer/Redistribution of Recharge New York Power Allocations

JP Morgan Chase ("JPMC"), located in Manhattan, provides a broad range of banking and financial products and services around the world. The company has three (3) RNY power allocations totaling 18,666 kW distributed across three (3) separate campuses with five (5) buildings in the NYC Metropolitan area. The company is requesting a change in how its power allocation is distributed to its facilities to accommodate the sale of one of its properties and to more accurately reflect staffing and operations among its various locations within New York City. The Trustees are requested to approve the following redistribution of allocated kW between JPMC facilities:

- Midtown campus locations: 10,490 kW
- Brooklyn campus locations: 6,226 kW
- Downtown campus locations: 1,950 kW

The job commitments and capital investment commitments across these facilities would also change as indicated:

- Midtown campus locations: 15,492 jobs committed, and $730,650,350 capital investment commitment
- Brooklyn campus locations: 4,012 jobs committed, and $296,993,007 capital investment commitment
- Downtown campus locations: 2,692 jobs committed, and $33,356,643 capital investment commitment

JPMC's total facility job and capital investment commitments would not change from the original approvals of 18,666 kW in return for a total of (i) 22,196 jobs committed and (ii) $1,061,000,000 in capital investment commitments.

JPMC is currently in compliance with their job commitments for all three allocations, and will continue to honor in aggregate all of the terms and conditions of its contracts with the Authority.

New York Power Authority
Recommendations for Allocation of Hydropower

Exhibit "A"
March 25, 2014

Exhibit Number	Company Name	Program	City	County	Power Requested (kW)	Existing Jobs	New Jobs	Estimated Capital Investment	New Jobs Avg. Wage & Benefits	Power Recommended (kW)	Contract Term
A	Trinity Packaging Corp.	RP	Cheektowaga	Erie	250	129	21	$6,500,000	$49,430	**200**	7 Years

APPLICATION SUMMARY
Replacement Power

Company:	Trinity Packaging Corporation
Project Location:	Cheektowaga
County:	Erie
IOU:	NYSEG
Business Activity:	Manufacturer of flexible packaging products for a broad base of national customers within a variety of industries.
Project Description:	The expansion and reconfiguration of Trinity's Cheektowaga facility to relocate inventories and add manufacturing equipment to increase flexible packaging production by 25 percent.
Existing Allocation(s):	New Customer
Power Request:	250 kW
Power Recommended:	200 kW
Job Commitment:	
Existing:	129 jobs
New:	21 jobs
New Jobs/Power Ratio:	105 jobs/MW
New Jobs - Avg. Wage and Benefits:	$49,430
Capital Investment:	$6.5 million
Capital Investment/MW:	$32.5 million/MW
Other ED Incentives:	Up to $556,240 in Excelsior Jobs Program support from Empire State Development, up to $400,000 from NYSEG for electric infrastructure assistance, and $73,500 in sales tax savings from the Erie County IDA.
Summary:	Trinity's Cheektowaga facility, with operations in Erie County since 1948, is competing with a sister facility in Virginia for the project as well as potentially a second, larger expansion project. A hydropower allocation along with a strong state and local package of incentives would provide an incentive for Trinity to undertake the capital expansion at the Cheektowaga facility with a resulting 21 new jobs, and position that facility for the potential future capital expansion.

POWER AUTHORITY

OF THE

STATE OF NEW YORK

30 South Pearl Street
10th Floor
Albany, New York 12207-3425

AGREEMENT FOR THE SALE OF EXPANSION POWER AND/OR REPLACEMENT POWER to TRINITY PACKAGING CORPORATION

The POWER AUTHORITY OF THE STATE OF NEW YORK ("Authority"), created pursuant to Chapter 772 of the New York Laws of 1931 and existing under Title I of Article V of the New York Public Authorities Law ("PAL"), having its office and principal place of business at 30 South Pearl Street, 10th Floor, Albany, New York 12207-3425, hereby enters into this Agreement for the Sale of Expansion Power and/or Replacement Power ("Agreement") with Trinity Packaging Corporation ("Customer"), with offices at 55 Innsbruck Drive, Cheektowaga, New York 14227 . The Authority and the Customer are from time to time referred to in this Agreement as "Party" or collectively as "Parties" and agree follows:

RECITALS

WHEREAS, the Authority is authorized to sell hydroelectric power produced by the Niagara Power Project, Federal Energy Regulatory Commission ("FERC") Project No. 2216, known as "Expansion Power" (or "EP"), as further defined in this Agreement, to qualified businesses in New York State in accordance with PAL § 1005(5) and (13);

WHEREAS, the Authority is authorized to sell hydroelectric power produced by the Niagara Power Project, FERC Project No. 2216, known as "Replacement Power" (or "RP"), as further defined in this Agreement, to qualified businesses in New York State in accordance with PAL § 1005(5) and (13);

WHEREAS, EP consists of 250 megawatts ("MW") of firm hydroelectric power and associated firm energy produced by the Niagara Power Project;

WHEREAS, RP consists of 445 MW of firm hydroelectric power and associated firm energy produced by the Niagara Power Project;

WHEREAS, the Authority is authorized pursuant to PAL § 1005(13)(a) to award EP and/or RP based on, among other things, the criteria listed in the PAL, including but not limited to an applicant's long-term commitment to the region as evidenced by the current and planned capital investment; the type and number of jobs supported or created by the allocation; and the state, regional and local economic development strategies and priorities supported by local units of governments in the area in which the recipient's facilities are located;

WHEREAS, the Customer applied to the Authority for an allocation of hydropower to support operations at a new facility to be constructed and operated by the Customer (defined in Section I of this Agreement as the "Facility");

WHEREAS, on February 25, 2014, the Authority's Board of Trustees ("Trustees") approved a 200 kilowatt ("kW") allocation of RP to the Customer for a seven (7) year term (defined in Section I of this Agreement as the "Allocation") in connection with the construction and operation of the Facility as further described in this Agreement;

WHEREAS, on February 25, 2014, the Trustees authorized the Authority to, among other things, take any and all actions and execute and deliver any and all agreements and other documents necessary to effectuate its approval of the Allocation;

WHEREAS, the provision of Electric Service associated with the Allocation is an

unbundled service separate from the transmission and delivery of power and energy to the Customer, and delivery service will be performed by the Customer's local electric utility in accordance with the Utility Tariff;

WHEREAS, the Parties have reached an agreement on the sale of the Allocation to the Customer on the terms and conditions provided for in this Agreement;

WHEREAS, the Authority has complied with requirements of PAL § 1009 which specifies the approval process for certain contracts negotiated by the Authority; and

WHEREAS, the Governor of the State of New York has approved the terms of this Agreement pursuant to PAL § 1009(3).

NOW THEREFORE, in consideration of the mutual covenants herein, the Authority and the Customer agree as follows:

NOW THEREFORE, the Parties hereto agree as follows:

I. Definitions

A. **Agreement** means this Agreement.

B. **Allocation** refers to the allocation of EP and/or RP awarded to the Customer as specified in Schedule A.

C. **Contract Demand** is as defined in Service Tariff No. WNY-1.

D. **Electric Service** is the Firm Power and Firm Energy associated with the Allocation and sold by the Authority to the Customer in accordance with this Agreement, Service Tariff No. WNY-1 and the Rules.

E. **Expansion Power** (or **EP**) is 250 MW of Firm Power and associated Firm Energy from the Project eligible to be allocated by the Authority for sale to businesses pursuant to PAL § 1005(5) and (13).

F. **Facility** means the Customer's facilities as described in Schedule A to this Agreement.

G. **Firm Power** is as defined in Service Tariff No. WNY-1.

H. **Firm Energy** is as defined in Service Tariff No. WNY-1.

I. **FERC** means the Federal Energy Regulatory Commission (or any successor organization).

J. **FERC License** means the first new license issued by FERC to the Authority for the continued operation and maintenance of the Project, pursuant to Section 15 of the Federal Power Act, which became effective September 1, 2007 after expiration of the Project's original license which became effective in 1957.

K. **Hydro Projects** is a collective reference to the Project and the Authority's St. Lawrence-FDR Project, FERC Project No. 2000.

L. **Load Serving Entity** (or **LSE**) means an entity designated by a retail electricity customer (including the Customer) to provide capacity, energy and ancillary services to serve such customer, in compliance with NYISO Tariffs, rules, manuals and procedures.

M. **NYISO** means the New York Independent System Operator or any successor organization.

N. **NYISO Tariffs** means the NYISO's Open Access Transmission Tariff or the NYISO's Market Administration and Control Area Services Tariff, as applicable, as such tariffs are modified from time to time, or any successor to such tariffs.

O. **Project** means the Niagara Power Project, FERC Project No. 2216.

P. **Replacement Power** (or **RP**) is445 MW of Firm Power and associated Firm Energy from the Project eligible to be allocated by the Authority for sale to businesses pursuant to PAL § 1005(5) and (13).

Q. **Rules** are the applicable provisions of Authority's rules and regulations (Chapter X of Title 21 of the Official Compilation of Codes, Rules and Regulations of the State of New York), as may be modified from time to time by the Authority.

R. **Service Tariff No. WNY-1** means the Authority's Service Tariff No. WNY-1, as may be modified from time to time by the Authority, which contains, among other things, the rate schedule establishing rates and other commercial terms for sale of Electric Service to Customer under this Agreement.

S. **Schedule A** refers to the Schedule A entitled "Expansion Power and/or Replacement Power Allocations" which is attached to and made part of this Agreement.

T. **Schedule B** refers to the Schedule B entitled "Expansion Power and/or Replacement Power Commitments" which is attached to and made part of this Agreement.

U. **Schedule C** refers to the Schedule C entitled "Takedown Schedule" which is attached to and made part of this Agreement.

V. **Substitute Energy** means energy that the Authority provides at the request of the Customer to replace hydroelectricity that would otherwise have been supplied to the Customer under this Agreement. Unless otherwise agreed upon by the Parties, Substitute Energy refers to energy purchased by the Authority for the Customer from markets administered by the NYISO.

W. **Taxes** is as defined in Service Tariff No. WNY-1

X. **Unforced Capacity (or "UCAP")** means the electric capacity required to be provided by LSEs to serve electric load as defined by the NYISO Tariffs, rules, manuals and procedures.

Y. **Utility Tariff** means the retail tariff(s) of the Customer's local electric utility filed and approved by the PSC applicable to the delivery of EP and/or RP.

II. Electric Service

A. The Authority shall make available Electric Service to enable the Customer to receive the Allocation in accordance with this Agreement, Service Tariff No. WNY-1 and the Rules. The Customer shall not be entitled to receive Electric Service under this Agreement for any EP and/or RP allocation unless such EP and/or RP allocation is identified on Schedule A.

B. The Authority will provide, and the Customer shall pay for, Electric Service with respect to the Allocation specified on Schedule A. If Schedule C specifies a Takedown Schedule for the Allocation, the Authority will provide, and the Customer shall take and pay for, Electric Service with respect to the Allocation in accordance with such Takedown Schedule.

C. The Authority shall provide UCAP in amounts necessary to meet the Customer's NYISO UCAP requirements associated with the Allocation in accordance with the NYISO Tariffs. The Customer shall be responsible to pay the Authority for such UCAP in accordance with Service Tariff No. WNY-1.

D. The Customer acknowledges and agrees that Customer's local electric utility shall be responsible for delivering the Allocation to the Facility specified in Schedule A, and that the Authority has no responsibility for delivering the Allocation to the Customer.

E. The Contract Demand for the Customer's Allocation may be modified by the Authority if the amount of Firm Power and Firm Energy available for sale as EP or RP from the Project is modified as required to comply with any ruling, order, or decision of any regulatory or judicial body having jurisdiction, including but not limited to FERC. Any such modification will be made on a pro rata basis to all EP and RP customers, as applicable, based on the terms of such ruling, order, or decision.

F. The Contract Demand may not exceed the Allocation.

III. Rates, Terms and Conditions

A. Electric Service shall be sold to the Customer based on the rates, terms and conditions provided for in this Agreement, Service Tariff No. WNY-1 and the Rules.

B. Notwithstanding any provision of this Agreement to the contrary, the power and energy rates for Electric Service shall be subject to increase by Authority at any time upon 30 days prior written notice to Customer if, after consideration by Authority of its legal obligations, the marketability of the output or use of the Project and Authority's

competitive position with respect to other suppliers, Authority determines in its discretion that increases in rates obtainable from any other Authority customers will not provide revenues, together with other available Authority funds not needed for operation and maintenance expenses, capital expenses, and reserves, sufficient to meet all requirements specified in Authority's bond and note resolutions and covenants with the holders of its financial obligations. Authority shall use its best efforts to inform Customer at the earliest practicable date of its intent to increase the power and energy rates pursuant to this provision. Any rate increase to Customer under this subsection shall be on a non-discriminatory basis as compared to other Authority customers after giving consideration to the factors set forth in the first sentence of this subsection. With respect to any such increase, Authority shall forward to Customer with the notice of increase, an explanation of all reasons for the increase, and shall also identify the sources from which Authority will obtain the total of increased revenues and the bases upon which Authority will allocate the increased revenue requirements among its customers. Any such increase in rates shall remain in effect only so long as Authority determines such increase is necessary to provide revenues for the purposes stated in the preceding sentences.

IV. Expansion Power and/or Replacement Power Commitments

A. Schedule B sets forth the Customer's specific "Expansion Power and/or Replacement Power Commitments." The commitments agreed to in Schedule B are in addition to any other rights and obligations of the Parties provided for in the Agreement.

B. The Authority's obligation to provide Electric Service under this Agreement, and the Customer's obligation to take and pay for such Electric Service, are expressly conditioned upon the Customer's timely completion of the commitments described in Schedule B.

C. In the event of partial completion of the Facility which has resulted in such Facility being partly operational and the partial attainment of the Base Employment Level, the Authority may, upon the Customer's request, provide Electric Service to the Customer in an amount determined by the Authority to fairly correspond to the completed portion of the Facility, provided that the Customer demonstrates that the amount of requested Electric Service is needed to support the operations of the partially completed Facility.

D. The Customer shall give the Authority not less than ninety (90) days' advance notice in writing of the anticipated date of partial or full completion of the Facility. The Authority will inspect the Facility for the purpose of verifying the completion status of the Facility and notify Customer of the results of the inspection. The Authority will thereafter commence Electric Service within a reasonable time after verification based on applicable operating procedures of the Authority, the Customer's local electric utility and the NYISO.

E. In the event the Customer fails to complete the Facility by March 25, 2017 (*i.e.*, within three (3) years of the Authority's award of the Allocation), the Allocation, at the option and discretion of the Authority, may be canceled or reduced by the total amount of kilowatts determined by the Authority to fairly correspond to the uncompleted portion of the Facility, provided that in such event, and upon request of the Customer, such date may be extended by the Authority in its sole discretion.

V. Rules and Service Tariff

Service Tariff No. WNY-1, as may be modified or superseded from time to time by the Authority, is hereby incorporated into this Agreement with the same force and effect as if set forth herein at length. In the event of any inconsistencies, conflicts, or differences between the provisions of Service Tariff No.WNY-1 and the Rules, the provisions of Service Tariff No. WNY-1 shall govern. In the event of any inconsistencies, conflicts or differences between the provisions of this Agreement and Service Tariff No. WNY-1, the provisions of this Agreement shall govern.

VI. Transmission and Delivery of Firm Power and Firm Energy; Responsibility for Charges

A. The Customer shall be responsible complying with all requirements of its local electric utility that are necessary to enable the Customer to receive delivery service for the Allocation. Delivery of the Allocation shall be subject to the Utility Tariff.

B. The Customer shall be solely responsible for paying its local electric utility for delivery service associated with the Allocation in accordance with the Utility Tariff. Should the Authority incur any charges associated with such delivery service, the Customer shall reimburse the Authority for all such charges.

C. The Customer understands and acknowledges that delivery of the Allocation will be made over transmission facilities under the control of the NYISO. The Authority will act as the LSE with respect to the NYISO, or arrange for another entity to do so on the Authority's behalf. The Customer agrees and understands that it shall be responsible to the Authority for all costs incurred by the Authority with respect to the Allocation for the services established in the NYISO Tariff, or other applicable tariff ("NYISO Charges"), as set forth in Service Tariff No. WNY-1 or any successor service tariff, regardless of whether such NYISO Charges are transmission-related. Such NYISO Charges shall be in addition to the charges for power and energy.

D. By entering into this Agreement, the Customer consents to the exchange of information between the Authority and the Customer's local electric utility pertaining to the Customer that the Authority and the local electric utility determine is necessary to provide for the Allocation, sale and delivery of EP and/or RP to the Customer, the proper and efficient implementation of the EP and/or RP programs, billing related to EP and/or RP, and/or the performance of such parties' obligations under any contracts or other arrangements between them relating to such matters.

E. The provision of Electric Service by the Authority shall be dependent upon the existence of a written agreement or other form of understanding between the Authority and the Customer's local electric utility on terms and conditions that are acceptable to the Authority.

F. The Customer understands and acknowledges that the Authority may from time to time require the Customer to complete forms, provide documentation, execute consents and provide other information (collectively, "Information") which the Authority determines is necessary for the provision of Electric Service, the delivery of EP and/or RP, billing

related to the EP and/or RP program, the effective and proper administration of the EP and/or RP program, and/or the performance of contracts or other arrangements between the Authority and the Customer's local electric utility. The Customer's failure to provide such Information shall be grounds for the Authority in its sole discretion to withhold or suspend Electric Service to the Customer.

VII. Billing and Billing Methodology

A. The billing methodology for the Allocation shall be determined on a "load factor sharing" basis in a manner consistent with the Utility Tariff and any agreement between the Authority and the Customer's local electric utility. An alternative basis for billing may be used provided the Parties agree in writing and the local electric utility provides its consent if such consent is deemed necessary.

B. The Authority will render bills by the 10th business day of the month for charges due for the previous month. Such bills shall include charges for Electric Service, NYISO Charges associated with the Allocation (subject to adjustment consistent with any later NYISO re-billings to the Authority), and other applicable charges.

C. The Authority may render bills to the Customer electronically.

D. The Authority and the Customer may agree in writing to an alternative method for the rendering of bills and for the payment of bills, including but not limited to the use of an Authority-established customer self-service web portal.

E. The Authority will charge and collect from the Customer all Taxes (including local, state and federal taxes) the Authority determines are applicable, unless the Customer furnishes the Authority with proof satisfactory to the Authority that (i) the Customer is exempt from the payment of any such Taxes, and/or (ii) the Authority is not obligated to collect such Taxes from the Customer. If the Authority is not collecting Taxes from the Customer based on the circumstances described in (i) or (ii) above, the Customer shall immediately inform the Authority of any change in circumstances relating to its tax status that would require the Authority to charge and collect such Taxes from the Customer.

F. Unless otherwise agreed to by the Authority and the Customer in writing, if the Customer fails to pay any bill when due, an interest charge of two percent (2%) of the amount unpaid shall be added thereto as liquidated damages, and thereafter, as further liquidated damages, an additional interest charge of one and one-half percent (1 1/2%) of the sum unpaid shall be added on the first day of each succeeding billing period until the amount due, including interest, is paid in full.

G. Unless otherwise agreed to by the Authority and the Customer in writing, in the event the Customer disputes any item of any bill rendered by Authority, the Customer shall pay such bill in full within the time provided for by this Agreement, and adjustments, if appropriate, will be made thereafter.

H. If at any time after commencement of Electric Service the Customer fails to make complete and timely payment of any two (2) bills for Electric Service, the Authority shall

have the right to require the Customer to deposit with the Authority a sum of money in an amount equal to all charges that would be due under this Agreement for Electric Service for two (2) consecutive calendar months as estimated by the Authority. Such deposit shall be deemed security for the payment of unpaid bills and/or other claims of the Authority against the Customer upon termination of Electric Service. If the Customer fails or refuses to provide the deposit within thirty (30) days of a request for such deposit, the Authority may, in its sole discretion, suspend Electric Service to the Customer or terminate this Agreement.

I. All other provisions with respect to billing are set forth in Service Tariff No. WNY-1 and the Rules.

J. The rights and remedies provided to the Authority in this Article are in addition to any and all other rights and remedies available to Authority at law or in equity.

VIII. Hydropower Curtailments and Substitute Energy

A. If, as a result of reduced water flows caused by hydrologic conditions, there is insufficient energy from the Hydro Projects to supply the full power and energy requirements of the Authority's firm power customers served by the Authority from the Hydro Projects, curtailments (*i.e.* reductions) in the amount of Firm Power and Firm Energy associated with the Allocation to which the Customer is entitled shall be applied on a *pro rata* basis to all firm power and energy customers served from the Hydro Projects, consistent with Service Tariff No. WNY-1 as applicable.

B. The Authority shall provide reasonable notice to Customer of any curtailments referenced in Section VIII.A of this Agreement that could impact Customer's Electric Service under this Agreement. Upon written request by the Customer, the Authority will provide Substitute Energy to the Customer to replace the Firm Power and Firm Energy that would otherwise have been supplied pursuant to this Agreement.

C. For each kilowatt-hour of Substitute Energy supplied by the Authority, the Customer will pay the Authority directly during the billing month: (1) the difference between the market cost of the Substitute Energy and the charge for firm energy as provided for in this Agreement; and (2) any NYISO charges and taxes the Authority incurs in connection with the provision of such Substitute Energy. Billing and payment for Substitute Energy shall be governed by the Billing and Payments provision of the Authority's Rules (Section 454.6) and shall apply directly to the Substitute Energy service supplied to the Customer.

D. The Parties may enter into a separate agreement to facilitate the provision of Substitute Energy, provided, however, that the provisions of this Agreement shall remain in effect notwithstanding any such separate agreement. The provision of Substitute Energy may be terminated by the Authority or the Customer on fifteen (15) days' prior written notice.

IX. Effectiveness, Term and Termination

A. This Agreement shall become effective and legally binding on the Parties upon execution of this Agreement by the Authority and the Customer.

B. Once commenced, Electric Service under the Agreement shall continue until the earliest of: (1) termination by the Customer with respect to its Allocation upon ninety (90) days prior written notice to the Authority; (2) termination by the Authority pursuant to this Agreement, Service Tariff No. WNY-1, or the Rules; or (3) expiration of the Allocation by its own term as specified in Schedule A.

C. The Customer may exercise a partial termination of the Allocation upon at least thirty (30) days' notice prior written notice to the Authority. The termination shall be effective commencing with the first billing period as defined in Service Tariff No. WNY-1.

D. The Authority may cancel service under this Agreement or modify the quantities of Firm Power and Firm Energy associated with the Allocation:(1) if such cancellation or modification is required to comply with any final ruling, order or decision of any regulatory or judicial body of competent jurisdiction (including any licensing or re-licensing order or orders of the FERC or its successor agency); or (2) as otherwise provided in this Agreement, Service Tariff No. WNY-1, or the Rules.

X. Additional Allocations

A. Upon proper application by the Customer, the Authority may in its discretion award additional allocations of EP or RP to the Customer at such rates and on such terms and conditions as the Authority establishes. If the Customer agrees to purchase Electric Service associated with any such additional allocation, the Authority will (i) incorporate any such additional allocations into Schedule A, or in its discretion will produce a supplemental schedule, to reflect any such additional allocations, and (ii) produce a modified Appendix to Schedule B, as the Authority determines to be appropriate. The Authority will furnish the Customer with any such modified Schedule A, supplemental schedule, and/or a modified Appendix to Schedule B, within a reasonable time after commencement of Electric Service for any such additional allocation.

B. In addition to any requirements imposed by law, the Customer hereby agrees to furnish such documentation and other information as the Authority requests to enable the Authority to evaluate any requests for additional allocations and consider the terms and conditions that should be applicable of any additional allocations.

XI. Notification

A. Correspondence involving the administration of this Agreement shall be addressed as follows:

To: The Authority

New York Power Authority

123 Main Street
White Plains, New York 10601
Email:
Facsimile: _____
Attention: Manager – Business Power Allocations and Compliance

To: The Customer

Trinity Packaging Corporation
55 Innsbruck Drive
Cheektowaga, NY 14227

Email:
Facsimile:
Attention:

The foregoing notice/notification information pertaining to either Party may be changed by such Party upon notification to the other Party pursuant to Section XI.B of this Agreement.

B. Except where otherwise herein specifically provided, any notice, communication or request required or authorized by this Agreement by either Party to the other shall be deemed properly given: (1) if sent by U.S. First Class mail addressed to the Party at the address set forth above; (2) if sent by a nationally recognized overnight delivery service, two (2) calendar days after being deposited for delivery to the appropriate address set forth above; (3) if delivered by hand, with written confirmation of receipt; (4) if sent by facsimile to the appropriate fax number as set forth above, with written confirmation of receipt; or (5) if sent by electronic mail to the appropriate address as set forth above, with written confirmation of receipt. Either Party may change the addressee and/or address for correspondence sent to it by giving written notice in accordance with the foregoing.

XII. Applicable Law

This Agreement shall be governed by and construed in accordance with the laws of the State of New York to the extent that such laws are not inconsistent with the FERC License and the Niagara Redevelopment Act (16 USC §§836, 836a).

XIII. Venue

Each Party consents to the exclusive jurisdiction and venue of any state or federal court within or for Albany County, New York, with subject matter jurisdiction for adjudication of any claim, suit, action or any other proceeding in law or equity arising under, or in any way relating to this Agreement.

XIV. Successors and Assigns; Resale of Hydropower

A. The Customer may not assign or otherwise transfer an interest in this Agreement.

B. The Customer may not resell or allow any other person to use any quantity of EP and/or RP it has purchased from the Authority under this Agreement.

C. Electric Service sold to the Customer pursuant to this Agreement may only be used by the Customer at the Facility specified in Schedule A.

XV. Previous Agreements and Communications

A. This Agreement shall constitute the sole and complete agreement of the Parties hereto with respect to the subject matter hereof, and supersedes all prior negotiations, representations, warranties, commitments, offers, contracts and writings, written or oral, with respect to the subject matter hereof.

B. Except as otherwise provided in this Agreement, no modification of this Agreement shall be binding upon the Parties hereto or either of them unless such modification is in writing and is signed by a duly authorized officer of each of them.

XVI. Severability and Voidability

A. If any term or provision of this Agreement shall be invalidated, declared unlawful or ineffective in whole or in part by an order of the FERC or a court of competent jurisdiction, such order shall not be deemed to invalidate the remaining terms or provisions hereof.

B. Notwithstanding the preceding paragraph, if any provision of this Agreement is rendered void or unenforceable or otherwise modified by a court or agency of competent jurisdiction, the entire Agreement shall, at the option of either Party and only in such circumstances in which such Party's interests are materially and adversely impacted by any such action, be rendered void and unenforceable by such affected Party.

XVII. Waiver

A. Any waiver at any time by either the Authority or the Customer of their rights with respect to a default or of any other matter arising out of this Agreement shall not be deemed to be a waiver with respect to any other default or matter.

B. No waiver by either Party of any rights with respect to any matter arising in connection with this Agreement shall be effective unless made in writing and signed by the Party making the waiver.

XVIII. Execution

To facilitate execution, this Agreement may be executed in as many counterparts as may be required, and it shall not be necessary that the signatures of, or on behalf of, each Party, or that the signatures of all persons required to bind any Party, appear on each counterpart; but it shall be sufficient that the signature of, or on behalf of, each Party, or that the signatures of the persons required to bind any Party, appear on one or more of the counterparts. All counterparts shall collectively constitute a single agreement. It shall

not be necessary in making proof of this Agreement to produce or account for more than a number of counterparts containing the respective signatures of, or on behalf of, all of the Parties hereto. The delivery of an executed counterpart of this Agreement by email as a PDF file shall be legal and binding and shall have the same full force and effect as if an original executed counterpart of this Agreement had been delivered.

[SIGNATURES FOLLOW ON NEXT PAGE]

AGREED:

TRINITY PACKAGING CORPORATION

By: _____

Title: _____

Date: _____

AGREED:

POWER AUTHORITY OF THE STATE OF NEW YORK

By: _____
John R. Koelmel, Chairman

Date: _____

SCHEDULE A TO AGREEMENT FOR THE SALE OF EXPANSION POWER AND/OR REPLACEMENT POWER TO CUSTOMER

EXPANSION POWER AND/OR REPLACEMENT POWER ALLOCATIONS

Customer: TRINITY PACKAGING CORPORATION

Type of Allocation	Allocation Amount (kW)	Facility	Trustee Approval Date	Expiration Date
Replacement Power	200 kW	55 Innsbruck Drive, Cheektowaga, NY 14227	March 25, 2014	Seven (7) years from commencement of Electric Service of any portion of this Allocation.

SCHEDULE B TO AGREEMENT FOR THE SALE OF EXPANSION POWER AND/ OR REPLACEMENT TO CUSTOMER

EXPANSION POWER AND/OR REPLACEMENT POWER COMMITMENTS

I. Employment Commitments

A. Employment Levels

The provision of EP and/or RP to the Customer hereunder is in consideration of, among other things, the Customer's creation and/or maintenance of the employment level set forth in the Appendix of this Schedule (the "Base Employment Level"). Such Base Employment Level shall be the total number of full-time positions held by: (1) individuals who are employed by the Customer at Customer's Facility identified in the Appendix to this Schedule, and (2) individuals who are contractors or who are employed by contractors of the Customer and assigned to the Facility identified in such Appendix (collectively, "Base Level Employees"). The number of Base Level Employees shall not include individuals employed on a part-time basis (less than 35 hours per week); provided, however, that two individuals each working 20 hours per week or more at such Facility shall be counted as one Base Level Employee.

The Base Employment Level shall not be created or maintained by transfers of employees from previously held positions with the Customer or its affiliates within the State of New York, except that the Base Employment Level may be filled by employees of the Customer laid off from other Customer facilities for *bona fide* economic or management reasons.

The Authority may consider a request to change the Base Employment Level based on a claim of increased productivity, increased efficiency or adoption of new technologies or for other appropriate reasons as determined by the Authority. Any such change shall be within Authority's sole discretion.

B. Employment Records and Reports

A record shall be kept monthly by the Customer, and provided on a calendar year basis to the Authority, of the total number of Base Level Employees who are employed at or assigned to the Customer's Facility identified in the Appendix to this Schedule, as reported to the United States Department of Labor (or as reported in such other record as agreed upon by the Authority and the Customer). Such report shall separately identify the individuals who are employed by the Customer, and the individuals who are contractors or who are employed by contractors of the Customer, and shall be certified to be correct by an officer of the Customer, plant manager or such other person authorized by the Customer to prepare and file such report and shall be provided to the Authority on or before the last day of February following the end of the most recent calendar year. The Authority shall have the right to examine and audit on reasonable advance written notice

all non-confidential written and electronic records and data concerning employment levels including, but not limited to, personnel records and summaries held by the Customer and its affiliates relating to employment in New York State.

II. Reductions of Contract Demand

A. Employment Levels

If the year-end monthly average number of employees is less than 90% of the Base Employment Level set forth in this Schedule B, for the subject calendar year, the Authority may reduce the Contract Demand subject to Article II.D of this Schedule. The maximum amount of reduction will be determined by multiplying the Contract Demand by the quantity one minus the quotient of the average monthly employment during the subject calendar year divided by the Base Employment Level. Any such reduction shall be rounded to the nearest fifty (50) kW. In the event of a reduction of the Contract Demand to zero, the Agreement shall automatically terminate.

B. Power Utilization Levels

A record shall be kept monthly by the Customer, and provided on a calendar year basis to the Authority on or before the last day of February following the end of the most recent calendar year, of the maximum demand utilized each month in the Facility receiving the power covered by the Agreement. If the average of the Customer's six (6) highest Billing Demands (as such term is described in Service Tariff No. WNY-1) for Expansion Power is less than 90% of the Customer's Contract Demand in such calendar year the Authority may reduce the Contract Demand subject to Article II.D of this Schedule. The maximum amount by which the Authority may reduce the Contract Demand shall be determined by multiplying the Contract Demand by the quantity one minus the quotient of the average of the six (6) highest Billing Demands for in such calendar year divided by the Contract Demand. Any such reduction shall be rounded to the nearest fifty (50) kW. In the event of a reduction of the Contract Demand to zero, this Agreement shall automatically terminate.

C. Capital Investment

The Customer agrees to undertake the capital investment set forth in the Appendix to this Schedule.

Notwithstanding any other provision of the Agreement, the Customer shall provide the Authority with such access to the Facility, and such documentation, as the Authority deems necessary to determine the Customer's compliance with the Customer's obligations provided for in this Schedule B.

D. Notice of Intent to Reduce Contract Demand

In the event that the Authority determines that the Contract Demand will be wholly or partially reduced pursuant to this Schedule , the Authority shall provide the Customer with at least thirty (30) days prior written notice of such reduction, specifying the amount of the reduction of Contract Demand and the reason for the reduction, provided, however, that before making the reduction, the Authority may consider the Customer's scheduled or unscheduled maintenance or Facility upgrading periods when such events temporarily reduce plant employment levels or electrical demand as well as business cycle.

III. Energy Efficiency Audits; Information Requests

Unless otherwise agreed to by the Authority in writing, the Customer shall undergo an energy efficiency audit of its Facility and equipment at which the Allocation is consumed at the Customer's expense at least once during the term of this Agreement but in any event not less than once every five years. The Customer will provide the Authority with a copy of the audit or, at the Authority's option, a report describing the results of the audit, and provide documentation requested by the Authority to verify the implementation of any efficiency measures implemented at the Facility.

The Customer agrees to cooperate to make its Facility available at reasonable times and intervals for energy audits and related assessments that the Authority desires to perform, if any, at the Authority's own expense.

The Customer shall provide information requested by the Authority or its designee in surveys, questionnaires and other information requests relating to energy efficiency and energy-related projects, programs and services.

The Customer may, after consultation with the Authority, exclude from written copies of audits, reports and other information provided to the Authority under this Article trade secrets and other information which if disclosed would harm the competitive position of the Customer.

APPENDIX TO SCHEDULE B

BASE EMPLOYMENT LEVEL

Within three (3) years of commencement of Electric Service, the Customer shall employ at least one hundred fifty (150) full-time employees ("Base Employment Level") at the Customer's Facility. The Base Employment Level shall be maintained thereafter for the term of the Allocation in accordance with Article I of Schedule B. The Base Employment Level is derived from: (1) a stipulation by the Customer that there are one hundred and twenty nine (129) full-time employees at the Facility as of the time of the award of the Allocation by the Authority; and (2) a commitment by the Customer to employ 21 additional full-time employees at the Facility.

CAPITAL INVESTMENT

The Customer shall make a total capital investment of at least $6,500,000 to renovate and furnish the Facility (the "Capital Investment"). The Capital Investment for the Facility is expected to consist of the following specific expenditures:

Oxidizer:	$860,000
Printing Press:	$3,500,000
Slitter:	$320,000
Pouch Machine:	$500,000
Compressor:	$50,000
Additional Capital Expenses:	$1,270,000
Total Capital Investment:	**$6,500,000**

The Capital Investment shall be made, and the Facility shall be completed and fully operational, no later than March 25, 2017 (*i.e.*, within three (3) years of the date of the Authority's award of the Allocation). Upon request of the Customer, such date may be extended in the sole discretion of the Authority.

SCHEDULE C TO AGREEMENT FOR THE SALE OF EXPANSION POWER AND/OR REPLACEMENT POWER TO CUSTOMER

TAKEDOWN SCHEDULE

N/A

POWER AUTHORITY OF THE STATE OF NEW YORK
30 SOUTH PEARL STREET
ALBANY, NY 12207

Schedule of Rates for Sale of Firm Power to Expansion and Replacement Customers located In Western New York

Service Tariff No. WNY-1

Date of Issue: September 24, 2013 Date Effective: October 2013 Billing Period

Issued by James F. Pasquale, Senior Vice President
Power Authority of the State of New York
30 South Pearl Street, Albany, NY 12207

Table of Contents

Schedule of Rates for Firm Power Service **Leaf No.**

I. Applicability……..3

II. Abbreviations and Terms……3

III. Monthly Rates and Charges……5
- A. New Expansion Power and Replacement Power Base Rates……....5
- B. EP and RP Rates no Lower than Rural/Domestic Rate……6
- C. Monthly Base Rates Exclude Delivery Service Charges……..6
- D. Minimum Monthly Charge……...6
- E. Billing Period……6
- F. Billing Demand……6
- G. Billing Energy……...7
- H. Contract Demand……..7

IV. General Provisions……...8
- A. Character of Service……..8
- B. Availability of Energy……...8
- C. Delivery……8
- D. Adjustment of Rates……..8
- E. Billing Methodology and Billing……..9
- F. Payment by Customer to Authority……...9
  1. Demand and Energy Rates, Taxes……..9
  2. Transmission Charge……10
  3. NYISO Transmission and Related Charges……..10
  4. Taxes Defined……...11
  5. Substitute Energy……..11
  6. Payment Information……11
- G. Adjustment of Charges……11
  1. Distribution Losses……...11
  2. Transformer Losses……...11
  3. Power Factor……11
- H. Conflicts……..12
- I. Customer Resales Prohibited……...12

V. Annual Adjustment Factor……13

Schedule of Rates for Firm Power Service

I. Applicability

To sales of Expansion Power and/or Replacement Power (as defined below) directly to a qualified business Customer (as defined below) for firm power service.

II. Abbreviations and Terms

- kW kilowatt(s)
- kW-mo. kilowatt-month
- kWh kilowatt-hour(s)
- MWh megawatt-hour(s)
- NYISO New York Independent System Operator, Inc. or any successor organization
- PAL New York Public Authorities Law
- OATT Open Access Transmission Tariff

Agreement: An executed "Agreement for the Sale of Expansion and/or Replacement Power and Energy" between the Authority and the Customer (each as defined below).

Annual Adjustment Factor or **AAF**: This term shall have the meaning set forth in Section V herein.

Authority: The Power Authority of the State of New York, a corporate municipal instrumentality and a political subdivision of the State of New York created pursuant to Chapter 772 of the New York Laws of 1931 and existing and operating under Title 1 of Article 5 of the PAL, also known as the "New York Power Authority."

Customer: A business customer who has received an allocation for Expansion Power and/or Replacement Power from the Authority and who purchases Expansion Power and/or Replacement Power directly from the Authority.

Electric Service: The power and energy provided to the Customer in accordance with the Agreement, this Service Tariff and the Rules.

Expansion Power and/or **Replacement Power**: Firm Power and Firm Energy made available under this Service Tariff by the Authority from the Project for sale to the Customer for business purposes pursuant to PAL § 1005(5) and (13).

Firm Power: Capacity (kW) that is intended to be always available from the Project subject to the curtailment provisions set forth in the Agreement between the Authority and the Customer and this Service Tariff. Firm Power shall not include peaking power.

Firm Energy: Energy (kWh) associated with Firm Power.

Load Serving Entity or **LSE**: This term shall have the meaning set forth in the Agreement.

Load Split Methodology or **LSM**: A load split methodology applicable to a Customer's allocation. It is usually provided for in an agreement between the Authority and the Customer's local electric utility, an agreement between the Authority and the Customer, or an agreement between the Authority, the Customer and the Customer's local electric utility, or such local utility's tariff, regarding the delivery of WNY Firm Power. The load split methodology is often designated as "Load Factor Sharing" or "LFS" , "First through the Meter" or "FTM", "First through the Meter Modified" or "FTM Modified", or "Replacement Power 2" or "RP 2".

Project: The Authority's Niagara Power Project, FERC Project No. 2216.

Rate Year or **RY**: The period from July 1 through June 30 starting July 1, 2013, and for any year thereafter.

Rules: The Authority's rules and regulations set forth in 21 NYCRR § 450 *et seq.*, as they may be amended from time to time.

Service Tariff: This Service Tariff No. WNY-1.

Target Rate: This term shall have the meaning set forth in Section III herein.

All other capitalized terms and abbreviations used but not defined herein shall have the same meaning as set forth in the Agreement.

III. Monthly Rates and Charges

A. Expansion Power (EP) and Replacement Power (RP) Base Rates

Beginning on July 1, 2013, there will be a 3-year phase-in to new base rates. The phase-in will be determined by the rate differential between the 2012 EP/RP rates and a "Target Rate." The Target Rate, specified in Section III.A.1. below, is based on the rates determined by the Authority to be applicable in RY 2013 for sales of "preservation power" as that term is defined in PAL § 1005(13). The following Sections III.A.1-4 describe the calculation and implementation of the phase-in.

1. The initial rate point will be established by the EP/RP rates ($/kW and $/MWh), determined by mid-April 2012 and made effective on May 1, 2012 in accordance with the Authority's then-applicable EP and RP tariffs. The Target Rate (*i.e.* demand and energy rates) for RY 2013 shall be $7.99/kW and $13.66/MWh.

2. The difference between the two rate points is calculated and divided by 3 to correspond with the number of Rate Years over which the phase-in will occur. The resulting quotients (in $/kW and $/MWh) are referred to as the "annual increment."

3. The annual increment will be applied to the base rates for the 3-year period of the 2013, 2014 and 2015 Rate Years, which shall be as follows:

   RY 2013: July 1, 2013 to June 30, 2014
   RY 2014: July 1, 2014 to June 30, 2015
   RY 2015: July 1, 2015 to June 30, 2016

   The annual rate adjustments normally made effective on May 1, 2013 under then-applicable EP and RP tariffs will be suspended, such that demand and energy rates established in 2012 shall be extended through June 30, 2013.

4. Effective commencing in RY 2013, the Annual Adjustment Factor ("AAF") described in Section V herein, shall be applied as follows:

   A. For the RY 2013 only, the AAF will be suspended, and the RY 2013 rate increase will be subject only to the annual increment.

   B. For the RYs 2014 and 2015, the AAF will be applied to the demand and energy rates after the addition of the annual increment to the rates of the previous RY rates. Such AAF will be subject to the terms and limits stated in Section V herein.

   C. Beginning in RY 2016, the AAF will be applied to the previous RY rates, and the annual increment is no longer applicable.

B. EP and RP Rates no Lower than Rural/Domestic Rate

At all times the applicable base rates for demand and energy determined in accordance with Sections III.A and V of this Service Tariff shall be no lower than the rates charged by the

Authority for the sale of hydroelectricity for the benefit of rural and domestic customers receiving service in accordance with the Niagara Redevelopment Act, 16 U.S.C. § 836(b)(1) and PAL § 1005(5) (the "Rural/Domestic Rate"). This provision shall be implemented as follows: if the base rates, as determined in accordance with Sections III.A and V of this Service Tariff, are lower than the Rural/Domestic Rate on an average $/MWh basis, each set of rates measured at 80% load factor which is generally regarded as representative for EP and RP Customers, then the base rates determined under Sections III.A and V of this Service Tariff will be revised to make them equal to the Rural/Domestic Rate on an average $/MWh basis. However, the base rates as so revised will have no effect until such time as these base rates are lower than the Rural/Domestic Rate.

C. Monthly Base Rates Exclude Delivery Service Charges

The monthly base rates set forth in this Section III exclude any applicable costs for delivery services provided by the local electric utility.

D. Minimum Monthly Charge

The minimum monthly charge shall equal the product of the demand charge and the contract demand (as defined herein). Such minimum monthly charge shall be in addition to any NYISO Charges or Taxes (each as defined herein) incurred by the Authority with respect to the Customer's Allocation.

E. Estimated Billing

If the Authority, in its sole discretion, determines that it lacks reliable data on the Customer's actual demand and/or energy usage for a Billing Period during which the Customer receives Electric Service from the Authority, the Authority shall have the right to render a bill to the Customer for such Billing Period based on estimated demand and estimated usage ("Estimated Bill").

For the purpose of calculating a Billing Demand charge for an Estimated Bill, the demand charge will be calculated based on the Customer's Load Split Methodology as following:

- For Customers whose allocation is subject to a Load Factor Sharing/LFS LSM, the estimated demand (kW) will be calculated based on an average of the Customer's Billing Demand (kW) values for the previous three (3) consecutive Billing Periods. If such historical data is not available, then the estimated demand (kW) value for the Estimated Bill will equal the Customer's Takedown (kW) amount.

- For Customers whose allocation is subject to a First through the Meter/ FTM, FTM Modified, or RP 2 LSM, the estimated demand (kW) value will equal the Customer's Takedown (kW) amount.

For the purpose of calculating a Billing Energy charge for an Estimated Bill, the energy charge will be calculated based on the Customer's Load Split Methodology as following:

- For Customers whose allocation is subject to a Load Factor Sharing/LFS LSM, the estimated energy (kWh) will be based on the average of the Customer's Billing Energy (kWh) values for the previous three (3) consecutive Billing Periods. If such historical data is not available, then the estimated energy value (kWh) will be equal to the Takedown (kW) amount at 70 percent load factor for that Billing Period.

- For Customers whose allocation is subject to a First through the Meter/FTM, FTM Modified, or RP 2 LSM, the estimated energy (kWh) will be equal to the Takedown (kW) amount at 100 percent load factor for that Billing Period.

If data indicating the Customer's actual demand and usage for any Billing Period in which an Estimated Bill was rendered is subsequently provided to the Authority, the Authority will make necessary adjustments to the corresponding Estimated Bill and, as appropriate, render a revised bill (or provide a credit) to the Customer.

The Minimum Monthly Charge provisions of Section III B.D. shall apply to Estimated Bills.

The Authority's discretion to render Estimated Bills is not intended to limit the Authority's rights under the Agreement.

F. Adjustments to Charges

In addition to any other adjustments provided for in this Service Tariff, in any Billing Period, the Authority may make appropriate adjustments to billings and charges to address such matters as billing and payment errors, the receipt of actual, additional, or corrected data concerning Customer energy or demand usage.

G. Billing Period

Any period of approximately thirty (30) days, generally ending with the last day of each calendar month but subject to the billing cycle requirements of the local electric utility in whose service territory the Customer's facilities are located.

H. Billing Demand

The billing demand shall be determined by applying the applicable billing methodology to total meter readings during the billing period. See Section IV.E, below.

I. Billing Energy

The billing energy shall be determined by applying the applicable billing methodology to total meter readings during the billing period. See Section IV.E, below.

J. Contract Demand

The contract demand of each Customer will be the amount of Expansion Power and/or Replacement Power, not to exceed their Allocation, provided to such Customer by the Authority in accordance with the Agreement.

IV. General Provisions

A. Character of Service

Alternating current; sixty cycles, three-phase.

B. Availability of Energy

1. Subject to Section IV.B.2, the Authority shall provide to the Customer in any billing period Firm Energy associated with Firm Power. The offer of Firm Energy for delivery shall fulfill the Authority's obligations for purposes of this provision whether or not the Firm Energy is taken by the Customer.

2. If, as a result of reduced water flows caused by hydrologic conditions, there is insufficient energy from the Hydro Projects to supply the full power and energy requirements of NYPA's Firm Power customers served from the Hydro Projects, hydropower curtailments (*i.e.* reductions) in the amount of Firm Power and Energy to which the Customer is entitled shall be applied on a *pro rata* basis to all Firm Power and Energy customers served from the Hydro Projects. Reductions as a percentage of the otherwise required Firm Power and Energy sales will be the same for all Firm Power and Energy customers served from the Hydro Projects. The Authority shall be under no obligation to deliver and will not deliver any such curtailed energy to the Customer in later billing periods. The Customer will receive appropriate bill credits as provided under the Rules.

C. Delivery

For the purpose of this Service Tariff, Firm Power and Firm Energy shall be deemed to be offered when the Authority is able to supply Firm Power and Firm Energy to the Authority's designated NYISO load bus. If, despite such offer, there is a failure of delivery caused by the Customer, NYISO or local electric utility, such failure shall not be subject to a billing adjustment pursuant to Section 454.6(d) of the Rules.

D. Adjustment of Rates

To the extent not inconsistent with the Agreement, the rates contained in this Service Tariff may be revised from time to time on not less than thirty (30) days written notice to the Customer.

E. Billing Methodology and Billing

Unless otherwise specified in the Agreement, the following provisions shall apply:

1. The billing methodology to be used to render bills to the Customer related to its Allocation shall be determined in accordance with the Agreement and delivery agreement between the Authority and, as applicable, the Customer or local electric utility or both.

2. Billing Demand –The Billing Demand charged by the Authority to each Customer will be the highest 15 or 30-minute integrated demand, as determined by the local utility, during each Billing Period recorded on the Customer's meter multiplied by a percentage based on the Load Split Methodology provided for in any contract between the Authority and the Customer's local electric utility, any contract between the Authority and the Customer, or any contract between the Authority, the Customer and the Customer's local electric utility for delivery of WNY Power. Billing Demand may not exceed the amount of the Contract Demand.

3. Billing Energy –The kilowatt-hours charged by the Authority to each Customer will be the total number of kilowatt-hours recorded on the Customer's meter for the Billing Period multiplied by a percentage based on the methodology provided for in any contract between the Authority and the Customer's local electric utility for delivery of WNY Power.

F. Payment by Customer to Authority

1. Demand and Energy Charges, Taxes

The Customer shall pay the Authority for Firm Power and Energy during any billing period the higher of either (i) the sum of (a), (b) and (c) below or (ii) the monthly minimum charge as defined herein:

a. The demand charge per kilowatt for Firm Power specified in this Service Tariff or any modification thereof applied to the Customer's billing demand (as defined in Section IV.E, above) for the billing period; and

b. The energy charge per MWh for Firm Energy specified in this Service Tariff or any modification thereof applied to the Customer's billing energy (as defined in Section IV.E, above) for the billing period; and

c. A charge representing reimbursement to the Authority for all applicable Taxes incurred by the Authority as a result of providing Expansion Power and/or Replacement Power allocated to the Customer.

2. Transmission Charge

The Customer shall compensate the Authority for all transmission costs incurred by the Authority with respect to the Allocation, including such costs that are charged pursuant to the OATT.

3. NYISO Transmission and Related Charges ("NYISO Charges")

The Customer shall compensate the Authority for the following NYISO Charges assessed on the Authority for services provided by the NYISO pursuant to its OATT or other tariffs (as the provisions of those tariffs may be amended and in effect from time to time) associated with providing Electric Service to the Customer:

A. Ancillary Services 1 through 6 and any new ancillary services as may be defined and included in the OATT from time to time;

B. Marginal losses;

C. The New York Power Authority Transmission Adjustment Charge ("NTAC");

D. Congestion costs, less any associated grandfathered Transmission Congestion Contracts ("TCCs") as provided in Attachment K of the OATT;

E. Any and all other charges, assessments, or other amounts associated with deliveries to Customers or otherwise associated with the Authority's responsibilities as a Load Serving Entity for the Customers that are assessed on the Authority by the NYISO under the provisions of its OATT or under other applicable tariffs; and

F. Any charges assessed on the Authority with respect to the provision of Electric Service to Customers for facilities needed to maintain reliability and incurred in connection with the NYISO's Comprehensive System Planning Process (or similar reliability-related obligations incurred by the Authority with respect to Electric Service to the Customer), applicable tariffs, or required to be paid by the Authority in accordance with law, regardless of whether such charges are assessed by the NYISO or another third party.

The NYISO Charges, if any, incurred by the Authority on behalf of the Customer, are in addition to the Authority production charges that are charged to the Customer in accordance with other provisions of this Service Tariff.
The method of billing NYISO charges to the Customer will be based on Authority's discretion.

4. Taxes Defined

Taxes shall be any adjustment as the Authority deems necessary to recover from the Customer any taxes, assessments or any other charges mandated by federal, state or local agencies or authorities that are levied on the Authority or that the Authority is required to collect from the Customer if and to the extent such taxes, assessments or charges are not recovered by the Authority pursuant to another provision of this Service Tariff.

5. Substitute Energy

The Customer shall pay for Substitute Energy, if applicable, as specified in the Agreement.

6. Payment Information

Bills computed under this Service Tariff are due and payable by electronic wire transfer in accordance with the Rules. Such wire transfer shall be made to J P Morgan Chase NY, NY / ABA021000021 / NYPA A/C # 008-030383, unless otherwise indicated in writing by the Authority. In the event that there is a dispute on any items of a bill rendered by the Authority, the Customer shall pay such bill in full. If necessary, any adjustments will be made thereafter.

G. Rendition and Payment of Bills

1. The Authority will render bills to the Customer for Electric Service on or before the tenth (10^{th}) business day of the month for charges due for the previous Billing Period. Bills will reflect the amounts due and owing, and are subject to adjustment as provided for in the Agreement, Service Tariff No. WNY-1 and the Rules. Unless otherwise agreed to by the Authority and the Customer in writing, the Authority shall render bills to the Customer electronically.

2. Payment of bills by the Customer shall be due and payable by the Customer within twenty (20) days of the date the Authority renders the bill.

3. Except as otherwise agreed by the Authority in writing, if the Customer fails to pay any bill when due an interest charge of two percent of the amount unpaid will be added thereto as liquidated damages, and thereafter, as further liquidated damages, an additional interest charge of one and one-half percent of the sum unpaid shall be added on the first day of each succeeding Billing Period until the amount due, including interest, is paid in full.

4. If at any time after commencement of Electric Service the Customer fails to make complete payment of any two (2) bills for Electric Service when such bills become due pursuant to Agreement, the Authority shall have the right to require that the Customer deposit with the Authority a sum of money in an amount equal to all charges that would be due under this Agreement for Electric Service for two (2) consecutive calendar months as estimated by the Authority. Such deposit will be deemed security for the payment of unpaid bills and/or other claims of the Authority against the Customer upon termination of Electric Service. The failure or refusal of the Customer to provide the deposit within thirty (30) days of a request for such deposit will be grounds for the Authority in its sole discretion to suspend Electric Service to the Customer or terminate this Agreement.

H. Adjustment of Charges

1. Distribution Losses

   The Authority will make appropriate adjustments to compensate for distribution losses of the local electric utility.

I. Conflicts

The Authority's Rules shall apply to the Electric Service provided under this Service Tariff. In the event of any inconsistencies, conflicts or differences between the provisions of this Service Tariff and the Rules, the provisions of this Service Tariff shall govern.

J. Customer Resales Prohibited

The Customer may not resell any quantity of Expansion Power and/or Replacement Power.

V. Annual Adjustment Factor

A. Adjustment of Rates

1. The AAF will be based upon a weighted average of three indices described below. For each new Rate Year, the index value for the latest available calendar year ("Index Value for the Measuring Year") will be compared to the index value for the calendar year immediately preceding the latest available calendar year (the Index Value for the Measuring Year -1"). The change for each index will then be multiplied by the indicated weights. As described in detail below, these products are then summed, producing the AAF. The AAF will be multiplied by the base rate for the current Rate Year to produce the base rates for the new Rate Year, subject to a maximum adjustment of ±5.0% ("±5% Collar"). Amounts outside the ±5% Collar shall be referred to as the "Excess."

   Index 1, "BLS Industrial Power Price" (35% weight): The average of the monthly Producer Price Index for Industrial Electric Power, commodity code number 0543, not seasonally adjusted, as reported by the U.S. Department of Labor, Bureau of Labor Statistics ("BLS") electronically on its internet site and consistent with its printed publication, "Producer Price Index Detailed Report". For Index 1, the Index Value for the Measuring Year will be the index for the calendar year immediately preceding July 1 of the new Rate Year.

   Index 2, "EIA Average Industrial Power Price" (40% weight): The average weighted annual price (as measured in cents/kWh) for electric sales to the industrial sector in the ten states of CT, MA, ME, NH, NJ, NY, OH, PA, RI and VT ("Selected States") as reported by Coal and Electric Data and Renewables Division; Office of Coal, Nuclear, Electric and Alternate Fuels; Energy Information Administration ("EIA"); U.S. Department of Energy Form EIA-861 Final Data File. For Index 2, the Index Value for the Measuring Year will be the index for the calendar year two years preceding July 1 of the new Rate Year.

   Index 3, "BLS Industrial Commodities Price Less Fuel" (25% weight): The monthly average of the Producer Price Index for Industrial Commodities less fuel, commodity code number 03T15M05, not seasonally adjusted, as reported by the U.S. Department of Labor, BLS electronically on its internet site and consistent with its printed publication, "Producer Price Index Detailed Report". For Index 3, the Index Value for the Measuring Year will be the index for the calendar year immediately preceding July 1 of the new Rate Year.

2. Annual Adjustment Factor Computation Guide

   Step 1: For each of the three Indices, divide the Index Value for Measuring Year by the Index Value for the Measuring Year-1.

   Step 2: Multiply the ratios determined in Step 1 by percentage weights for each Index. Sum the results to determine the weighted average. This is the AAF.

   Step 3: Commencing RY 2014, modifications to the AAF will be subject to ±5% Collar, as described below.

   a) When the AAF falls outside the ±5% Collar, the Excess will be carried over to the subsequent RY. If the AAF in the subsequent RY is within the ±5% Collar, the current RY Excess will be added to/subtracted from the subsequent Rate Year's AAF, up to the ±5% Collar.

b) Excesses will continue to accrue without limit and carry over such that they will be added to/subtracted from the AAF in any year where the AAF is within the ±5% Collar.

Step 4: Multiply the current Rate Year base rate by the AAF calculated in Step 2 to determine the new Rate Year base rate.

The foregoing calculation shall be performed by the Authority consistent with the sample presented in Section V.B below.

3. The Authority shall provide the Customer with notice of any adjustment to the current base rate per the above and with all data and calculations necessary to compute such adjustment by June 15th of each year to be effective on July 1 of such year, commencing in 2014. The values of the latest officially published (electronically or otherwise) versions of the indices and data provided by the BLS and EIA as of June 1 shall be used notwithstanding any subsequent revisions to the indices.

4. If during the term of the Agreement any of the three above indices ceases to be available or ceases to be reflective of the relevant factors or of changes which the indices were intended by the Parties to reflect, the Customer and the Authority shall mutually select a substitute Index. The Parties agree to mutually select substitute indices within 90 days, once notified by the other party that the indices are no longer available or no longer reflect the relevant factors or changes with the indices were intended by the Parties to reflect. Should the 90-day period cover a planned July 1 rate change, the current base rates will remain in effect until substitute indices are selected and the adjusted rates based on the substitute indices will be retroactive to the previous July 1. If unable to reach agreement on substitute indices within the 90-day period, the Parties agree to substitute the mathematic average of the PPI—Intermediate Materials, Supplies and Components (BLS Series ID WPUSOP2000) and the PPI-- Finished Goods (BLS Series ID WPUSOP3000) indices for one or more indices that have ceased to be available and shall assume the percentage weighting(s) of the one or more discontinued indices as indicated in Section V.A.1.

B. Sample Computation of the AAF (hypothetical values for July 1, 2014 implementation):

STEP 1

Determine the Index Value for the Measuring Year (MY) and Measuring Year - 1 (MY-1) for Each Index

- Index 1 - Producer Price Index, Industrial Power

	Measuring Year (2013)	Measuring Year - 1 (2012)
January	171.2	167.8
February	172.8	167.6
March	171.6	168.2
April	173.8	168.6
May	175.1	171.6
June	185.7	180.1
July	186.4	182.7
August	184.7	179.2
September	185.5	181.8
October	175.5	170.2
November	172.2	168.8
December	171.8	166.6
Average	177.2	172.8
Ratio of MY/MY-1		**1.03**

- Index 2 – EIA Industrial Rate

State	Revenues ($000s)	Sales (MWh)	Avg. Rate (cents/kWh)	
Measuring Year (2012)				
CT	590,972	6,814,757		
MA	1,109,723	13,053,806		
ME	328,594	4,896,176		
NH	304,363	2,874,495		
NJ	1,412,665	15,687,873		
NY	2,001,588	26,379,314		
OH	3,695,978	78,496,166		
PA	3,682,192	63,413,968		
RI	152,533	1,652,593		
VT	155,903	2,173,679		
TOTAL	13,434,511	215,442,827		**6.24**
Measuring Year -1 (2011)				
CT	579,153	6,678,462		
MA	1,076,431	12,662,192		
ME	310,521	4,626,886		
NH	298,276	2,817,005		
NJ	1,370,285	15,217,237		
NY	1,891,501	24,928,452		
OH	3,622,058	76,926,243		
PA	3,571,726	61,511,549		
RI	144,144	1,561,700		
VT	152,785	2,130,205		
TOTAL	13,016,880	209,059,931		**6.23**
	Ratio of MY/MY-1			**1.00**

- Index 3 – Producer Price Index, Industrial Commodities Less Fuel

	Measuring Year (2013)	Measuring Year -1 (2012)
January	190.1	187.2
February	190.9	188.0
March	191.6	188.7
April	192.8	189.9
May	194.7	191.8
June	195.2	192.3
July	195.5	192.3
August	196.0	193.1
September	196.1	193.2
October	196.2	193.8
November	196.6	193.7
December	196.7	194.0
Average	194.4	191.5
Ratio of MY/MY-1		**1.02**

STEP 2

Determine AAF by Summing the Weighted Indices

Index	Ratio of MY to MY-1	Weight	Weighted Factors
PPI Industrial Power	1.03	0.35	0.361
EIA Industrial Rate	1.00	0.40	0.400
PPI Industrial Commodities less fuel	1.02	0.25	0.255
AAF			**1.016**

STEP 3

Apply Collar of ±5.0% to Determine the Maximum/Minimum AAF.

-5.0% < 1.6% < 5.0%; collar does not apply, assuming no cumulative excess.

STEP 4

Apply AAF to Calculate the New Rate Year Base Rate

	Demand $/kW-mo.	Energy $/MWh
Current Rate Year Base Rate	7.56	12.91
New Rate Year Base Rate	7.68	13.12

Exhibit A

NEW YORK POWER AUTHORITY

Financial Statements

December 31, 2013 and 2012

NEW YORK POWER AUTHORITY

Table of Contents

	Page
Management Report (Unaudited)	26
Independent Auditors' Report	27
Management's Discussion and Analysis (Unaudited)	29
Statements of Net Position	40
Statements of Revenues, Expenses and Changes in Net Position	42
Statements of Cash Flows	43
Notes to the Financial Statements	44
Required Supplementary Information (Unaudited)	
Schedule of Funding Progress for the Retiree Health Plan	78

Management Report

Management is responsible for the preparation, integrity and objectivity of the financial statements of the Power Authority of the State of New York (the Authority), as well as all other information contained in the Annual Report. The financial statements have been prepared in conformity with U.S. generally accepted accounting principles and, in some cases, reflect amounts based on the best estimates and judgments of management, giving due consideration to materiality. Financial information contained in the Annual Report is consistent with the financial statements.

The Authority maintains a system of internal controls to provide reasonable assurance that transactions are executed in accordance with management's authorization, that financial statements are prepared in accordance with U.S. generally accepted accounting principles and that the assets of the Authority are properly safeguarded. The system of internal controls is documented, evaluated and tested on a continuing basis. No internal control system can provide absolute assurance that errors and irregularities will not occur due to the inherent limitations of the effectiveness of internal controls; however, management strives to maintain a balance, recognizing that the cost of such system should not exceed the benefits derived.

The Authority maintains an internal auditing program to independently assess the effectiveness of internal controls and to report findings and recommend possible improvements to management. This program includes a comprehensive assessment of internal controls as well as testing of all key controls to ensure that the system is functioning as intended. Additionally, as part of its audit of the Authority's financial statements, KPMG LLP, the Authority's independent auditors, considers internal controls over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for purpose of expressing an opinion on the effectiveness of the Authority's internal controls over financial reporting. Management has considered the recommendations of its internal auditors, the Office of the State Comptroller (OSC), and the independent auditors concerning the system of internal controls and has taken actions that it believed to be cost-effective in the circumstances to respond appropriately to these recommendations. Based on its structure and related processes, management believes that, as of December 31, 2013, the Authority's system of internal controls provides reasonable assurance as to the integrity and reliability of the financial statements, the protection of assets from unauthorized use or disposition and the prevention and detection of fraudulent financial reporting.

The members of the Authority's Board of Trustees, appointed by the Governor, by and with the advice and consent of the Senate, are not employees of the Authority. The Trustees' Audit Committee meets with the Authority's management, its Vice President of Internal Audit and its independent auditors periodically, throughout the year, to discuss internal controls and accounting matters, the Authority's financial statements, the scope and results of the audit by the independent auditors and the periodic audits by the OSC, and the audit programs of the Authority's internal auditing department. The independent auditors, the Vice President of Internal Audit and the Vice President & Chief Ethics and Compliance Officer have direct access to the Audit Committee.

Donald A. Russak
Executive Vice President and Chief Financial Officer

March 25, 2014

KPMG LLP
515 Broadway
Albany, NY 12207

Independent Auditors' Report

The Board of Trustees
Power Authority of the State of New York:

Report on the Financial Statements

We have audited the accompanying financial statements of the Power Authority of the State of New York (the Authority), which comprise the statements of net position as of December 31, 2013, and 2012, and the related statements of revenues, expenses and changes in net position, and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion on the Financial Statements

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2013 and 2012 , and the changes in net position, and its cash flows for the years then ended in accordance with U.S. generally accepted accounting principles.

Other Matters

U.S. generally accepted accounting principles require that the information in the Management's Discussion and Analysis and Required Supplementary Information sections be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audits of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated March [X,] 2014 on our consideration of the Authority's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control over financial reporting and compliance.

KPMG LLP

Albany, New York
March [X,] 2014

Overview of the Financial Statements

This report consists of three parts: management's discussion and analysis, the basic financial statements, and the notes to the financial statements.

The financial statements provide summary information about the New York Power Authority's (the Authority) overall financial condition. The notes provide explanation and more details about the contents of the financial statements.

The Authority is considered a special-purpose government entity engaged in business-type activities and follows financial reporting for enterprise funds. The Authority's financial statements are prepared in accordance with generally accepted accounting principles (GAAP) as prescribed by the Governmental Accounting Standards Board (GASB). Under the criteria set forth in GASB Statement No. 14, *The Financial Reporting Entity*, as amended by Governmental Accounting Standard (GAS) No. 39, *Determining Whether Certain Organizations Are Component Units* and GAS No. 61, *The Financial Reporting Entity: Omnibus--an amendment of GASB Statements No. 14 and No. 34*, the Authority considers its relationship to the State to be that of a related organization.

Forward Looking Statements

The statements in this management's discussion and analysis (MD&A) that are not purely historical facts are forward-looking statements based on current expectations of future events. Such forward-looking statements are necessarily based on various assumptions and estimates and are inherently subject to various risks and uncertainties, including, but not limited to, risks and uncertainties relating to the possible invalidity of the underlying assumptions and estimates and possible changes to or development in various important factors. Accordingly, actual results may vary from those we presently expect and such variations may be material. We therefore caution against placing undue reliance on the forward-looking statements contained in this MD&A. All forward-looking statements included in this MD&A are made only as of the date of this MD&A and we assume no obligation to update any such forward-looking statements as a result of new information, future events or other factors.

Summary of Revenues, Expenses and Changes in Net Position

The following is a summary of the Authority's financial information for 2013, 2012, and 2011:

	2013	2012	2011	2013 vs. 2012 favorable (unfavorable)	2012 vs. 2011 favorable (unfavorable)
	(In millions, except percentages)				
Operating revenues	$ 3,030	$ 2,673	$ 2,655	13%	1%
Operating expenses:					
Purchased power	934	744	854	(26)	13
Fuel	324	228	258	(42)	12
Wheeling	603	598	548	(1)	(9)
Operations and maintenance	566	558	519	(1)	(8)
Depreciation	228	226	194	(1)	(16)
Total operating expenses	2,655	2,354	2,373	(13)	1
Operating income	375	319	282	18	13
Nonoperating revenues	90	120	145	(25)	(17)
Nonoperating expenses	237	264	192	10	(38)
Net income	228	175	235	30	(26)
Contributed capital	21	—	59		
Change in net position	249	175	294		
Net position – beginning	3,470	3,295	3,001		
Net position – ending	$ 3,719	$ 3,470	$ 3,295		

The following summarizes the Authority's financial performance for the years 2013 and 2012:

The Authority had net income of $228 million for the year ended December 31, 2013 compared to $175 million in 2012. The current year increase of $53 million included higher operating income of $56 million and lower nonoperating expenses of $27 million; partially offset by lower nonoperating revenue of $30 million. Operating income was higher primarily due to higher prices on market-based sales of capacity into the NYISO market. Capacity prices were higher primarily due to the retirement and mothballing of power plants owned by other generators in N.Y. State. Large increases in purchased power and fuel expenses from year to year were substantially offset by the recovery of such costs through operating revenues. Nonoperating expenses were lower in 2013 due to lower voluntary contributions to New York State ($20 million) combined with lower interest expenses. Nonoperating revenue was lower primarily due to a higher unrealized loss on fixed income securities in the Authority's investment portfolio due to higher market interest rates in 2013.

The Authority had net income of $175 million for the year ended December 31, 2012 compared to $235 million in 2011. The decrease in 2012 net income of $60 million was due to lower nonoperating revenues of $25 million and higher nonoperating expenses of $72 million partially offset by higher operating income of $37 million. Nonoperating revenues were lower primarily due to reduced income on the investment portfolio attributable to the aging of fixed income securities combined with lower market interest rates. In addition, nonoperating revenues for 2011 included a nonrecurring gain of $11 million, which resulted from a claim settlement against the U.S. Department of Energy, relating to spent fuel disposal at the previously owned nuclear plants. Nonoperating expenses were higher in 2012 primarily due to a $20 million higher voluntary contribution to New York State and the recognition of higher interest expense ($52 million) mostly related to interest expense on the capital lease of the Astoria Energy II (AEII) generating station, which began operations in July 2011. The interest expense related to AEII is recovered through customer rates along with the operating expenses for this facility.

Net position increased by $249 million in 2013 due to positive net income $228 million and $21 million of contributed capital related to wind farm assets (see note 5 of the notes to the financial statements).

Operating Revenues

Operating revenues of $3,030 million in 2013 were $357 million or 13% higher than the $2,673 million in 2012, primarily due to higher prices on market-based sales of energy and capacity. Higher customer revenues resulted from higher sales volumes than 2012 and from the recovery of higher purchased power and fuel costs.

Purchased Power and Fuel

Purchased power costs increased by 26% in 2013 to $934 million from $744 million in 2012, primarily due to higher prices for purchased capacity, higher transmission congestion costs and additional transmission capacity purchased under agreement with Hudson Transmission Partners, LLC (HTP) (which went into effect in June 2013 - see note 11(b) "Governmental Customers in the New York City Metropolitan Area" of notes to the financial statements). Fuel costs were $96 million (42%) higher during 2013, primarily due to higher natural gas prices. The average natural gas price incurred by NYPA increased 34% from $3.56/MMBtu in 2012 to $4.78/MMBtu in 2013. The year-to-year price increase principally reflected the extremely low prices in 2012 due to an abnormally warm winter. Prices increased in 2013 primarily due to colder winter temperatures and high withdrawals from storage.

Operations and Maintenance (O&M)

O&M expenses increased by $8 million, or 1%, in 2013 to $566 million, primarily due to higher expenses related to non-recurring projects at the Authority's hydro facilities.

Nonoperating Revenues

For 2013, nonoperating revenues decreased by $30 million, or 25%, primarily due to a mark-to-market loss ($22 million) on fixed income securities in the Authority's investment portfolio due to higher market interest rates in 2013, and lower income from Entergy (the final payment related to Entergy's purchase of IP2 was made in 2012). Nonoperating revenues for 2013 and 2012 include income recognition of $72 million and $71.7 million, respectively, resulting from a value-sharing agreement relating to the nuclear power plants sold by the Authority to subsidiaries of Entergy Corporation in 2000. See note 10(a) "Nuclear Plant Divestiture," of notes to the financial statements, for additional information.

Nonoperating Expenses

For 2013, nonoperating expenses decreased by $27 million, or 38%, primarily due to a decrease of $20 million in the Authority's voluntary contribution to New York State (from $85 million to $65 million) and slightly lower interest expenses.

Cash Flows

During 2012, the Authority generated cash flows of $513 million from operations compared to $391 million in 2012. Cash flows from operating activities for 2013 were higher than 2012 primarily due to higher market-based sales due primarily to higher prices on energy and capacity sales, partially offset by higher purchased power and fuel costs primarily due to higher prices.

Net Generation

Net generation for 2013 was 27.9 million megawatt-hours (MWh) essentially unchanged from the level generated in 2012. Net generation from the Niagara plant in 2013 (13.0 million MWh) was 3% lower than 2012 (13.4 million MWh) due to lower water flows. Net generation from the St. Lawrence plant in 2013 (6.7 million MWh) was unchanged from 2012 (6.7 million MWh). During 2013, net hydro generation was approximately 97% of long-term average and below 2012, which was 99% of long-term average. Combined net generation of the fossil fuel plants for 2013 was 8.26 million MWh, or 3% higher than 2012 (7.99 million MWh), with a 0.3 million MWh increase attributable to the 500MW plant.

Summary of Statements of Net Position

The following is a summary of the Authority's statements of net position for 2013, 2012, and 2011:

	2013	2012	2011	2013 vs. 2012	2012 vs. 2011
	(In millions, except percentages)				
Current assets	$ 1,824	$ 1,875	$ 1,692	(3) %	11 %
Capital assets	4,771	4,819	4,910	(1)	(2)
Other noncurrent assets	2,694	2,320	2,224	16	4
Deferred outflows	42	107	209	(61)	(49)
Total assets & deferred outflows	$ 9,331	$ 9,121	$ 9,035	2	1
Current liabilities	$ 1,058	$ 1,030	$ 982	3	5
Noncurrent liabilities	4,554	4,621	4,758	(1)	(3)
Total liabilities	5,612	5,651	5,740	(1)	(2)
Net position	3,719	3,470	3,295	7	5
Total liabilities and net position	$ 9,331	$ 9,121	$ 9,035	2	1

The following summarizes the Authority's statements of net position variances for the years 2013 and 2012:

In 2013, current assets decreased by $51 million (3%) to $1,824 million primarily due to a decreases in cash resulting from the timing of payments and receipts. Capital assets decreased by $48 million (1%) to $4,771 million, primarily due to the excess of depreciation over additions to plant and construction in progress. Other noncurrent assets increased by $374 million (16%) primarily due to an increase in the nuclear decommissioning fund, transmission line interconnection costs associated with HTP and energy efficiency program work in progress. Deferred outflows decreased by $65 million (61%) primarily due to changes in fair value and settlements of derivative instruments. Current liabilities increased by $28 million (3%), to $1,058 million, primarily due to increases in short-term debt ($20 million) utilized to finance energy efficiency projects. Noncurrent liabilities decreased by $80 million (1%) to $4,554 million primarily due to the decreases in long-term debt ($96 million), risk management activities – derivatives ($63 million) and Niagara relicensing ($26 million), partially offset by increases in the nuclear plant decommissioning obligation ($114 million). The decrease in long-term debt was due to scheduled maturities. The increase in the nuclear plant decommissioning obligation reflects the increase in investment earnings of the decommissioning fund (i.e., the Authority's obligation is limited to no more than the amount in the decommissioning fund and therefore the liability increases or decreases to reflect the fair value of the decommissioning fund). The changes in net position for 2013 and 2012 are discussed in the summary of revenues, expenses and changes in net position in this Management's Discussion and Analysis.

In 2012, current assets increased by $183 million (11%) to $1,875 million primarily due to increases in cash and investments reflecting the investment of cash generated by operations. Capital assets decreased by $91 million (2%) to $4,819 million, primarily due to a full year of depreciation related to AEII capital lease, offset by additions to construction in progress. Other noncurrent assets increased $96 million (4%) primarily due to an increase in the Decommissioning Trust Fund investment portfolio and energy efficiency program work in progress, partially offset by a decrease in the capital fund investment portfolio and other deferred charges. Deferred outflows decreased by $102 million (49%) primarily due to the expiration of a medium-term forward energy swap in December 2012. Current liabilities increased by $48 million (5%), to $1,030 million, primarily due to increases in liabilities related to short-term debt ($57 million), reclassifications related to the Niagara relicensing ($12 million), long-term debt and capital lease obligations due within one year ($12 million) and other various accruals ($23 million) partially offset by decreases in fair market values related to the Authority's energy commodity hedging transactions ($59 million). Noncurrent liabilities decreased by $137 million (3%) to $4,621 million primarily due to the decreases in long-term debt resulting from reclassifications to long-term debt due within one year and scheduled repayments of debt ($132 million), risk management activities – derivatives ($45 million), Niagara relicensing ($26 million), and various other deferred credits ($46 million) partially offset by increases in the nuclear plant decommissioning obligation ($96 million) and the issuance of $25 million Subordinated Notes, Series 2012. The increase in the nuclear plant decommissioning obligation reflects the increase in investment earnings of the decommissioning fund (i.e., the Authority's obligation is limited to no more than the amount in the decommissioning fund and therefore the liability increases or decreases to reflect the fair value of the decommissioning fund). The changes in net position for

2012 and 2011 are discussed in the summary of revenues, expenses and changes in net position in this Management's Discussion and Analysis.

Capital Asset and Long-Term Debt Activity

The Authority currently estimates that it will expend approximately $1,226 million for various capital improvements over the five-year period 2014-2018. The Authority anticipates that these expenditures will be funded using existing construction funds, internally generated funds and additional borrowings. Such additional borrowings are expected to be accomplished through the issuance of commercial paper notes and/or the issuance of long-term fixed rate debt. Projected capital requirements during this period include (in millions):

Plant Modernization Program (Lewiston Pump Generation Plant)	$	269
Switchyard Modernization Program (Niagara, St. Lawrence, Blenheim-Gilboa, Clark Energy Center)		156
Breaker and Relay Replacement Program (Niagara, St. Lawrence, Blenheim-Gilboa)		102
Marcy South Series Compensation		68
Information Technology Infrastructure & Initiatives		58
MA1 & MA2 Line - 230 kV Transmission Line		45
Relicensing And Compliance (Niagara, St. Lawrence, Blenheim-Gilboa)		34
St. Lawrence Generator Step-Up (GSU) Transformer Replacement		25
Substation Upgrades (Adirondak, Plattsburgh, Saranac, Willis, Alcoa)		37
St. Lawrence Headgate Automation		21
Pv-20 Line Assessment/Replacement/Submerged Portion Only		20
Massena 765/230 Kv Mult-Unit Autotransformer Replacement		19
Niagara Stator Rewind And Restack Project - Phase III		19
Small Hydro Facilities-Units Upgrades		15
Convertible Static Compensator Control System Upgrade		11
St. Lawrence Station Service Upgrade		10
Niagara 115Kv OCB Upgrade		10
Rotor Modification For Stress Redistribution		10
Blenheim-Gilboa Facility Improvement Project		10
Niagara Governor And Controls Upgrade		10
Shunt Reactor (Coopers Corners)		9
Mark VI - Controls Replacement		9
Other (projects less than $9 million)		259
	$	1,226

In addition, the Authority's capital plan includes the provision of $1,185 million in financing for Energy Services and Technology projects to be undertaken by the Authority's governmental customers and other public entities in the State. It should also be noted that due to projects currently under review as well as energy initiatives announced in the Governor's State of the State address, there is a potential for significant increases in the capital expenditures indicated in the table above. Such additional capital expenditures would be subject to evaluation and Trustee approval.

In December 2012, the Authority's Trustees approved a $726 million Transmission Life Extension and Modernization ("LEM") Program on the Authority's Transmission system through 2025. The Transmission LEM Program encompasses transmission assets in the Central, Northern and Western regions of New York and will include work to be done such as upgrades, refurbishments and replacements associated with switchyards and substations, transmission line structures or towers and associated hardware and replacement of the submarine cable on the PV-20 line. Reinvestment in this strategic component of the Authority's overall mission supports the repair, upgrade and/or expansion of the transmission infrastructure. The Authority intends to finance this LEM Program with internal funds and proceeds from debt obligations to be issued by the Authority.

In 2010, the Authority's Trustees approved a $460 million Life Extension and Modernization ("LEM") Program at the Niagara project's Lewiston Pump-Generating Plant, of which $253 million of expenditures have been authorized and $92 million spent as of December 31, 2013. The work to be done includes a major overhaul of the plant's 12 pump turbine generator units. This LEM Program will increase pump and turbine efficiency, operating efficiency, and the peaking capacity of the overall Niagara project. The Authority filed an application with the Federal Energy Regulatory Commission (FERC) for a non-capacity license amendment in connection with the

program on December 15, 2011 and supplemented it on February 15, 2012. The amendment was approved with a FERC order issued on April 25, 2012. The unit work began in late 2012, with the final unit to be completed in 2020.

By order issued March 15, 2007, FERC issued the Authority a new 50-year license for the Niagara Project effective September 1, 2007. In doing so, FERC approved six relicensing settlement agreements entered into by the Authority with various public and private entities. In 2007, the Authority estimated that the capital cost associated with the relicensing of the Niagara project would be approximately $495 million. This estimate does not include the value of the power allocations and operation and maintenance expenses associated with several habitat and recreational elements of the settlement agreements. As of December 31, 2013, the balance in the liability associated with the relicensing on the statement of net position is $277 million ($31 million in current and $246 million in noncurrent liabilities).

More detailed information about the Authority's capital assets is presented in notes 2 and 5 of the notes to the financial statements.

Capital Structure

	2013	2012	2011
		(In millions)	
Long-term debt, net of current maturities:			
Senior:			
Revenue bonds	$ 958	$ 1,012	$ 1,064
Adjustable rate tender notes	96	106	115
Subordinated:			
Subordinated Notes, Series 2012	24	24	—
Commercial paper	70	102	173
Total long-term debt, net of current maturities	1,148	1,244	1,352
Net position	3,719	3,470	3,295
Total capitalization	$ 4,867	$ 4,714	$ 4,647

In November 2012, the Authority's Trustees authorized the issuance of Subordinated Notes, Series 2012 (Subordinated Notes), in a principal amount not to exceed $30 million for the purpose of accelerating the funding for the State Parks Greenway Fund, which was established pursuant to the Niagara Relicensing Settlement entered into by the Authority and the New York State Office of Parks, Recreation & Historic Preservation in connection with the Niagara project's relicensing. The Authority issued the Subordinated Notes on December 18, 2012 in the amount of $25 million. These Subordinated Notes are subordinate to the Series 2003 A Revenue Bonds, the Series 2006 A Revenue Bonds, the Series 2007 A, B, and C Revenue Bonds, the Series 2011 A Revenue Bonds and the Adjustable Rate Tender Notes.

During 2013, long-term debt, net of current maturities, decreased by $113 million, primarily due to scheduled maturities and cash funding of capital expenditures.

During 2012, considering the issuance of the 2012 Subordinate Notes, long-term debt, net of current maturities, decreased by $108 million, primarily due to scheduled maturities, early extinguishments of debt and cash funding of capital expenditures.

Total debt to equity ratio as of December 31, 2013, decreased to .46-to-1 from .51-to-1 as of December 31, 2012 and from 1.45-to-1 in 2003. Total debt as of December 31, 2013 is at its lowest level since December 31, 1975.

Debt Ratings

	Moody's	Standard & Poor's	Fitch
NYPA's underlying credit ratings:			
Senior debt:			
Long-term debt (a)	Aa2	AA-	AA
Adjustable rate tender notes	Aa2/VMIG1	AA-/A-1+	N/A
Subordinate debt:			
Subordinate Note, Series 2012	N/A	N/A	AA
Commercial paper	P-1	A-l	F1+

(a) Long term debt includes certain bonds - Series 2007 A, B and C Revenue Bonds, Series 2006 A Revenue Bonds and Series 2003 A Revenue Bonds - which are covered by Municipal bond insurance. In November 2011, S&P downgraded Assured Guaranty Municipal Corp's AA+ rating (formerly Financial Security Assurance Inc.) to AA-. All other bond insurers' ratings are no longer above the Authority's underlying rating and/or are no longer rated. Consequently, the insured bonds carry the Authority's underlying rating set forth in the table above. The impact of the bond insurers' credit downgrades on the market value of the Authority's insured bonds was not discernible because of the Authority's strong underlying ratings.

The Authority has a revolving credit agreement (Agreement) with The Bank of Nova Scotia, which terminates on September 1, 2015, to provide a supporting line of credit for the purpose of repaying, redeeming or purchasing the Adjustable Rate Tender Notes. Under the Agreement, the Authority may borrow up to the outstanding principle of the ART Notes, which at December 31, 2013 was $106 million. The Agreement provides for interest on outstanding borrowings at either (i) the Federal Funds Rate plus a percentage, or (ii) a rate based on the London Interbank Offered Rate (LIBOR) plus a percentage. The Authority expects that it will be able to renew or replace this Agreement as necessary. In addition, the Authority also has a $550 million line of credit with a syndicate of banks supporting the Series1-3 Commercial Paper Notes, as amended, which line expires January 20, 2015. As of December 31, 2013 and 2012, there were no outstanding borrowings under either the Agreement supporting the ART Notes or line of credit supporting the Series1-3 Commercial Paper Notes. More detailed information about the Authority's debt is presented in note 6 of notes to the financial statements.

In December 2012, Fitch Ratings affirmed the Authority's senior and subordinate debt ratings. In December 2013, Standard & Poor's Rating Service affirmed the Authority's senior and subordinate debt ratings and also assigned a positive outlook. Moody's Investor Service, Inc. affirmed the Authority's senior and subordinate debt ratings in December 2013.

Economic Conditions

Competitive Environment

The Authority's mission is to provide clean, low-cost, and reliable energy consistent with its commitment to the environment and safety, while promoting economic development and job development, energy efficiency, renewables and innovation, for the benefit of its customers and all New Yorkers. The Authority's financial performance goal is to have the resources necessary to achieve its mission, to maximize opportunities to serve its customers better and to preserve its strong credit rating.

According to the National Bureau of Economic Research, a recession in the United States began in December 2007 and ended in June 2009. However, the economy continues to grow slowly and unemployment remains high. Forecasted recovery time for these economic conditions ranges from a few to many years. In this environment, the Authority has continued to utilize its financial flexibility to support its mission and its customers.

To maintain its position as a low cost provider of power in a changing environment, the Authority has undertaken and continues to carry out a multifaceted program, including: (a) the upgrade and relicensing of the Niagara and St. Lawrence-FDR projects; (b) long-term supplemental electricity supply agreements with its governmental customers located mainly within the City of New York (NYC Governmental Customers); (c) construction of a 500-megawatt (MW) combined-cycle electric generating plant at the Authority's Poletti plant site (500-MW plant); (d) a long-term electricity supply contract with Astoria Generating LLC for the purchase of the output of a new 550-MW power plant in Astoria, Queens, which entered into service on July 1, 2011; (e) contracting a new 660 MW, seven mile, underground and underwater transmission line connecting into the PJM ISO, which went operational in June 2013; (f) a significant reduction of outstanding debt; and (g) implementation of an enterprise-wide and energy/fuel risk management program. The Authority operates in a competitive and sometimes volatile market environment. Volatility in the energy market has impacted the Authority in its

role as a buyer and until recent years had resulted in higher costs of purchased power and fuel in its NYC Governmental Customer and other market areas. The NYC Governmental Customer market cost situation is mitigated by the cost-recovery provisions in the long-term supplemental electricity supply agreements and generation from the Authority's 500-MW plant. The Authority also has implemented a restructuring program for its long-term debt through open-market purchases, early retirements and refundings, which has resulted in cost savings and increased financial flexibility. The Authority can give no assurance that even with these measures it will not lose customers in the future as a result of the restructuring of the State's electric utility industry and the emergence of new competitors or increased competition from existing participants.

Through its participation in the NYISO and other commodity markets, the Authority is subject to electric energy price, fuel price and electric capacity price risks that impact the revenue and purchased power streams of its facilities and customer market areas. Such volatility can potentially have adverse effects on the Authority's financial condition. To mitigate downside effects, many of the Authority's customer contracts provide for the complete or partial pass-through of these costs and to moderate cost impacts to its customers, the Authority hedges market risks through the use of financial instruments and physical contracts. Hedges are transacted by the Authority to mitigate volatility in the cost of energy or related products needed to meet customer needs; to mitigate risk related to the price of energy and related products sold by the Authority; to mitigate risk related to margins (electric sales versus fuel use) where the Authority owns generation or other capacity; and mitigation of geographic cost differentials of energy procured or sold for transmission or transportation to an ultimate location. Commodities to be hedged include, but are not limited to, natural gas, natural gas basis, electric energy, electric capacity and congestion costs associated with the transmission of electricity. Any such actions are taken pursuant to policies and procedures approved by the Authority's Trustees and under the oversight of an Executive Risk Management Committee headed by the Chief Financial Officer.

Rate Actions

Power and energy from the St. Lawrence-FDR and Niagara hydroelectric facilities are sold to municipal electric systems, rural electric cooperatives, industrial and other business customers, certain public bodies, investor-owned utilities, and out-of-state customers, as provided for under the state and federal laws. The charges for firm and/or firm peaking power and associated energy sold by the Authority, as applicable, to the fifty-one municipal electric systems and rural electric cooperatives in New York State, two public transportation agencies, three investor-owned utilities for the benefit of rural and domestic customers, and seven out-of-state public customers have been established on the basis of the cost to serve these loads. These charges are among the lowest found throughout the United States. In November 2011, the Authority's Trustees approved a 41-month rate plan providing for certain phased-in increases to these rates which result in effective hydro rate increases of 5.5% on December 1, 2011 and annual increases of approximately 5.5% from May 1, 2012 to May 1, 2014.

Niagara's expansion and replacement power industrial customers and St. Lawrence-FDR's industrial customers are allocated over 40% of the firm contract demand of the plants. Their rates are subject to annual adjustment based on the average of three contractually agreed-upon economic indices reflecting changes in industrial energy prices.

In an order issued January 27, 1999, the Federal Energy Regulatory Commission ("FERC") approved the use of a $165.4 million transmission system revenue requirement in developing rates for use of NYPA's transmission facilities in the NYISO market. FERC also approved, among other things, the imposition of a NYPA Transmission Adjustment Charge ("NTAC") and the NYPA Transmission Service Charges ("TSC") which are the tariff elements established to effect full recovery of the Authority's annual transmission revenue requirement. In July 2012, the Authority filed for its first requested increase in the revenue requirement with FERC since the implementation of the NYISO. A number of NYISO market participants challenged the level of the increase and settlement talks began with a FERC order dated September 25, 2012. In March 2013 NYPA and intervening parties reached a settlement-in-principle and on May 10, 2013 filed an unconditional settlement for a new revenue requirement of $175.5 million. FERC accepted the settlement via an order dated October 4, 2013.

Recharge New York Power Program

Chapter 60 (Part CC) of the Laws of 2011 (Chapter 60) established the "Recharge New York Power Program" (RNYPP). The RNYPP is a new power program, administered by the Authority, which has as its central benefit up to 910 MW of low cost power comprised of up to 455 MW of hydropower from the Niagara and St. Lawrence-FDR Projects and up to 455 MW of other power procured by the Authority from other sources. The 910 MW of power is available for allocation as provided by Chapter 60 to eligible new and existing businesses and not-for-profit corporations under contracts of up to seven years. RNYPP was effective beginning July 1, 2012.

The RNYPP replaced two other programs, the Power for Jobs (PFJ) and Energy Cost Savings Benefit (ECSB) Programs, which had extended benefits of low-cost power to certain businesses, small businesses and not-for-profit organizations. Those PFJ and ECSB

Program customers who are in substantial compliance with contractual commitments under the PFJ and ECSB Programs and who apply but do not receive RNYPP allocations are eligible to apply for transitional electricity discounts, as provided for in Chapter 60. This transitional electricity discounts program provides for declining levels of discounts through June 30, 2016 when the program terminates, if payment of such discounts is deemed feasible and advisable by the Authority's Trustees. In June 2012, the Authority's Trustees authorized transitional electricity discount payments of up to $9 million for the year July 1, 2012 – June 30, 2013. As of December 31, 2013, approximately $1.2 million of such discounts have been paid.

The hydropower used for the RNYPP was power formerly used to provide low-cost electricity to domestic and rural customers of the three private utilities that serve upstate New York. To mitigate the impacts from the redeployment of this hydropower for the RNYPP, Chapter 60 created a "Residential Consumer Discount Program" (RCDP). The RCDP authorizes the Authority, as deemed feasible and advisable by its Trustees, to provide annual funding of $100 million for the first three years following withdrawal of the hydropower from the residential and farm customers, $70 million for the fourth year, $50 million for the fifth year, and $30 million each year thereafter, for the purpose of funding a residential consumer discount program for those customers that had formerly received the hydropower that is utilized in the RNYPP. Chapter 60 further authorizes the Authority, as deemed feasible and advisable by the Trustees, to use revenues from the sales of hydroelectric power, and such other funds of the Authority, as deemed feasible and advisable by the Trustees, to fund the RCDP. The Authority's Trustees have authorized the release of a total $337.5 million through January 2014 in support of the RCDP. The Authority supplemented the market revenues through the use of internal funds, from the August 2011 start of the program through December 31, 2013, totaling cumulatively $77 million. Operations and maintenance expenses included $100 million of residential consumer discounts in each of the years ended December 31, 2013 and 2012.

New York State Legislation

The Authority is requested, from time to time, to make financial contributions or transfers of funds to the State. Any such contribution or transfer of funds must (i) be authorized by law (typically, legislation enacted in connection with the State budget), and (ii) satisfy the requirements of the Bond Resolution. The Bond Resolution requirements to withdraw moneys "free and clear of the lien and pledge created by the (Bond) Resolution" are as follows: (1) such withdrawal must be for a "lawful corporate purpose as determined by the Authority," and (2) the Authority must determine "taking into account, among other considerations, anticipated future receipt of Revenues or other moneys constituting part of the Trust Estate, that the funds to be so withdrawn are not needed" for (a) payment of reasonable and necessary operating expenses, (b) an Operating Fund reserve for working capital, emergency repairs or replacements, major renewals, or for retirement from service, decommissioning or disposal of facilities, (c) payment of, or accumulation of a reserve for payment of, interest and principal on senior debt, or (d) payment of interest and principal on subordinate debt.

In May 2011, the Authority's Trustees adopted a policy statement (Policy Statement) which relates to, among other things, voluntary contributions, transfers, or other payments to the State by the Authority after that date. The Policy Statement provides, among other things, that in deciding whether to make such contributions, transfers, or payments, the Authority shall use as a reference point the maintenance of a debt service coverage ratio of at least 2.0, in addition to making the other determinations required by the Bond Resolution. The Policy Statement may at any time be modified or eliminated at the discretion of the Authority's Trustees.

Legislation enacted into law, as part of the 2000-2001 State budget, as amended up to the present time, has authorized the Authority as deemed feasible and advisable by the trustees, to make a series of voluntary contributions into the State treasury in connection with the PFJ Program and for other purposes as well. The PFJ Program, which had been extended to June 30, 2012, has ended and was replaced by the RNYPP, as discussed above in note 11(a) "Recharge New York Power Program" of the notes to the financial statements. Cumulatively through December 31, 2012, the Authority has made voluntary contributions to the State totaling $475 million in connection with the ended PFJ Program.

In 2013 and 2012, the Authority made $65 million and $85 million, respectively, in contributions to the State that are not related to the PFJ Program and which were recorded as nonoperating expenses in the year ended December 31, 2013 and 2012 statements of revenues, expenses and changes in net position. These contributions were consistent with the related State fiscal year budgets and were authorized by the Authority's Trustees. The 2013 contributions of $65 million include $45 million that was paid to Empire State Development to support the New York State Open for Business economic development initiative in lieu of the voluntary contributions to the State's General Fund for the State fiscal year 2013-2014. Of the $85 million contributed in 2012, $10 million was paid to support the Open for Business initiative. An additional $50 million was paid to support this initiative in January 2014 pursuant to Trustee approval and this amount will be recognized as a nonoperating expense in the Authority's 2014 fiscal year. Cumulatively, between January 2008 and December 31, 2013, the Authority has made voluntary contributions to the State totaling $492 million unrelated to the PFJ program.

The Governor's Executive Budget for State Fiscal Year 2014-2015, released in January 2014, and subsequently amended, contains language authorizing the Authority, as deemed feasible and advisable by its Trustees, to (i) make a contribution to the State treasury to the

credit of the General Fund, or as otherwise directed in writing by the Director of the Budget, in an amount of up to $90 million for the State fiscal year commencing April 1, 2014, the proceeds of which will be utilized for to support energy-related initiatives of the State or for economic development purposes, and (ii) transfer up to $25 million of any such contribution by June 30, 2014 and the remainder of any such contribution by March 31, 2015.

Temporary Asset Transfers

In addition to the authorization for voluntary contributions, as a result of budget legislation enacted in February 2009, the Authority was requested to provide temporary asset transfers to the State of funds held in reserves. Pursuant to the terms of a Memorandum of Understanding dated February 2009 (MOU) between the State, acting by and through the State's Director of Budget, and the Authority, the Authority agreed to transfer approximately $215 million associated with its Spent Nuclear Fuel Reserves (Asset B) by March 27, 2009. The Spent Nuclear Fuel Reserves are funds that had been set aside for payment to the federal government sometime in the future when the federal government accepts the spent nuclear fuel for permanent storage. The MOU provides for the return of these funds to the Authority, subject to appropriation by the State Legislature and the other conditions described below, at the earlier of the Authority's payment obligation related to the transfer and disposal of the spent nuclear fuel or September 30, 2017. Further, the MOU provides for the Authority to transfer within 180 days of the enactment of the 2009-2010 State budget $103 million of funds set aside for future construction projects (Asset A), which amounts would be returned to the Authority, subject to appropriation by the State Legislature and the other conditions described below, at the earlier of when required for operating, capital or debt service obligations of the Authority or September 30, 2014. In February 2009, the Authority's Trustees authorized the execution of the MOU relating to the temporary transfers of Asset B ($215 million) and Asset A ($103 million) and such transfers were made in March 2009 and September 2009, respectively, following Trustee approval.

The MOU provides that the obligation of the State to return all or a portion of an amount equal to the moneys transferred by the Authority to the State is subject to annual appropriation by the State Legislature. Further, the MOU provides that as a condition to any such appropriation for the return of the moneys earlier than September 30, 2017 for the Spent Nuclear Fuel Reserves and earlier than September 30, 2014 for the construction projects, the Authority must certify that the monies available to the Authority are not sufficient to satisfy the purposes for which the reserves, which are the source of the funds for the transfer, were established.

The Governor's Executive Budget for State Fiscal Year 2014-2015, released in January 2014, and subsequently amended, contains language that would make the $103 million appropriation available to the Authority either: (i) pursuant to a repayment agreement submitted by the Authority and approved by the Director of the Budget, or (ii) upon certification of the Director of the Budget , at the request of the Authority when and to the extent that the Authority certifies to the Director that the monies available to the Authority are not sufficient to meet the Authority's obligations with respect to its debt service or operating or capital programs.

The Authority has classified the transfers of Assets A and B ($318 million) as a long-term loan receivable. In lieu of interest payments, the State has waived certain future payments from the Authority to the State. The waived payments include the Authority's obligation to pay until September 30, 2017 the amounts to which the State is entitled under a governmental cost recovery process for the costs of central governmental services. These payments would have been approximately $5 million per year based on current estimates but the waiver is limited to a maximum of $45 million in the aggregate during the period. Further, the obligation to make payments in support of certain State park properties and for the upkeep of State lands adjacent to the Niagara and St. Lawrence power plants is waived from April 1, 2011 to March 31, 2017. These payments would have been approximately $8 million per year but the waiver would be limited to a maximum of $43 million for the period. The present value of the waivers approximates the present value of the lost interest income.

New York Energy Highway

In his January 2012 State of the State address, Governor Andrew M. Cuomo announced the New York Energy Highway initiative, which is envisioned as a public-private partnership to upgrade and modernize the State's electric power system. The Governor formed a task force comprised of various State officials to oversee implementation of the initiative (Task Force) which is co-chaired by the Authority's President and Chief Executive Officer, Gil C. Quiniones. In April 2012, the Task Force issued a request for information seeking ideas and proposals in furtherance of the initiative. Approximately 85 organizations responded to the Task Force's request for *information* and the responses included a large number of different generation and transmission project proposals. Based on the response of all these organizations, the Energy Highway Task Force issued an action plan in October 2012. The resulting Energy Highway Blueprint, calling for public and private investments in the State's energy system of about $5.7 billion over the next five to 10 years, proposed 13 specific actions, divided among four major categories: Expand and Strengthen the System, Accelerate Construction and Repair, Support Clean Energy and Technology Innovation.

In November 2012, the New York Public Service Commission (NYPSC) announced new proceedings addressing various actions described in the Blueprint including (i) the initiation of electric transmission upgrades to move excess power from upstate to downstate ("AC Transmission"), (ii) the creation of contingency plans to prepare for large generator retirements ("Generation Retirement Contingency Plans") and (iii) the expansion of natural gas delivery to homeowners and businesses in New York State.

In response to the request for information and the Generation Retirement Contingency Plans and AC Transmission proceedings, the New York Transmission Owners (NYTOs), comprised of the State's largest private utilities, the Long Island Power Authority, and the Authority, indicated that they were exploring the creation of a new Statewide transmission entity (NY Transco) to pursue development, construction, operation, and ownership of new transmission projects. The NYTOs proposed to the Task Force and to NYPSC several transmission projects that could be undertaken by a NY Transco entity.

In its November 4, 2013 Generation Retirement Contingency Plan Order, the NYPSC selected three transmission projects to be built by Consolidated Edison, New York State Gas and Electric and the Authority. The NYPSC also requested that the NYTOs seek Federal Energy Regulatory Commission (FERC) approval for these three projects.

Continued participation of the Authority in the NY Transco is contingent on the enactment of legislation by the State that enables the Authority to participate.

Other recommended actions, some of which will include the Authority, are investments to improve the reliability, safety and storm resilience in the areas of electric generation and transmission and natural gas distribution, facilitation of clean and renewable energy development, and funding of "Smart-Grid" technologies to improve the transmission system performance.

Build Smart NY Initiative

On December 28, 2012, Governor Andrew M. Cuomo issued Executive Order No. 88 (EO 88) directing state agencies collectively to reduce energy consumption in state-owned and managed buildings by 20 percent within seven years – an initiative designed to produce significant savings for New York taxpayers, generate jobs, and significantly reduce greenhouse gas emissions. To meet this initiative, the Governor launched Build Smart NY, a plan to strategically implement EO 88 by accelerating priority improvements in energy performance. The Authority has offered to provide $450 million in low-cost financing for this initiative for state owned buildings and an additional $350 million for towns and municipalities. Such low-cost financing would be funded by proceeds of the Authority's commercial paper or another form of debt. The Authority's costs of financing would be recovered from the energy efficiency customers in this program. In addition, as provided for in EO 88, the Authority has established a central management and implementation team to carry out the Build Smart NY plan. In 2013, the Authority provided approximately $75 million in financing for energy efficiency projects at State agencies and authorities covered by EO 88.

Contacting the Authority

This financial report is designed to provide our customers and other interest parties with a general overview of the Authority's finances. If you have any questions about this report or need additional financial information, contact the New York Power Authority, 123 Main Street, White Plains, New York 10601-3107.

NEW YORK POWER AUTHORITY

Statements of Net Position

(In millions)

	December 31, 2013	December 31, 2012
Assets and Deferred Outflows		
Current Assets:		
Cash and cash equivalents	$ 8	$ 77
Investment in securities	1,287	1,269
Receivables - customers	238	223
Materials and supplies, at average cost:		
Plant and general	88	83
Fuel	22	18
Miscellaneous receivables and other	181	205
Total current assets	1,824	1,875
Noncurrent Assets:		
Restricted funds:		
Cash and cash equivalents	18	21
Investment in securities	1,365	1,245
Total restricted assets	1,383	1,266
Capital funds:		
Cash and cash equivalents	7	–
Investment in securities	43	58
Total capital funds	50	58
Capital Assets:		
Capital assets not being depreciated	379	334
Capital assets, net of accumulated depreciation	4,392	4,485
Total capital assets	4,771	4,819
Other Noncurrent Assets:		
Receivable - New York State	318	318
Notes receivable - nuclear plant sale	19	37
Other long-term assets	924	641
Total other noncurrent assets	1,261	996
Total noncurrent assets	7,465	7,139
Total assets	9,289	9,014
Deferred outflows:		
Accumulated decrease in fair value of hedging derivatives	42	107
Total assets and deferred outflows	$ 9,331	$ 9,121

(Continued)

NEW YORK POWER AUTHORITY

Statements of Net Position

(In millions)

Liabilities and Net Position	December 31, 2013	December 31, 2012
Current liabilities:		
Accounts payable and accrued liabilities	$ 456	$ 442
Short-term debt	452	431
Long-term debt due within one year	93	91
Capital lease obligation due within one year	12	8
Risk management activities - derivatives	45	58
Total current liabilities	1,058	1,030
Noncurrent liabilities:		
Long-term debt:		
Senior:		
Revenue bonds	958	1,012
Adjustable rate tender notes	96	106
Subordinated:		
Subordinated Notes, Series 2012	24	24
Commercial paper	70	102
Total long-term debt	1,148	1,244
Other noncurrent liabilities:		
Capital lease obligation	1,205	1,217
Liability to decommission divested nuclear facilities	1,300	1,186
Disposal of spent nuclear fuel	216	216
Relicensing	277	303
Risk management activities - derivatives	24	81
Other long-term liabilities	384	374
Total other noncurrent liabilities	3,406	3,377
Total noncurrent liabilities	4,554	4,621
Total liabilities	5,612	5,651
Net position:		
Net investment in capital assets	1,949	1,893
Restricted	24	27
Unrestricted	1,746	1,550
Total net position	3,719	3,470
Total liabilities and net position	$ 9,331	$ 9,121

See accompanying notes to the financial statements.

NEW YORK POWER AUTHORITY

Statements of Revenues, Expenses and Changes in Net Position

(In millions)

	Year Ended December 31,	
	2013	2012
Operating revenues:		
Power sales	$ 2,264	$ 1,925
Transmission charges	163	150
Wheeling charges	603	598
Total operating revenues	3,030	2,673
Operating Expenses:		
Purchased power	934	744
Fuel oil and gas	324	228
Wheeling	603	598
Operations	451	454
Maintenance	115	104
Depreciation	228	226
Total operating expenses	2,655	2,354
Operating income	375	319
Nonoperating revenues and expenses:		
Nonoperating revenues:		
Investment income	5	27
Other	85	93
Total nonoperating revenues	90	120
Nonoperating expenses		
Contribution to New York State	65	85
Interest on long-term debt	63	67
Interest - other	119	120
Interest capitalized	(7)	(5)
Amortization of debt premium	(3)	(3)
Total nonoperating expenses	237	264
Net income before contributed capital	228	175
Contributed capital – Wind farm transmission assets	21	–
Change in net position	249	175
Net position, January 1	3,470	3,295
Net position, December 31	$ 3,719	$ 3,470

See accompanying notes to the financial statements.

NEW YORK POWER AUTHORITY

Statements of Cash Flows

(In millions)

	Year Ended December 31, 2013	Year Ended December 31, 2012
Cash flows from operating activities:		
Received from customers for the sale of power, transmission and wheeling	$ 2,972	$ 2,577
Disbursements for:		
Purchased power	(950)	(748)
Fuel, oil and gas	(325)	(218)
Wheeling of power by other utilities	(605)	(600)
Operations and maintenance	(579)	(620)
Net cash provided by operating activities	513	391
Cash flows from capital and related financing activities:		
Gross additions to capital assets	(165)	(145)
Issuance of Subordinated Notes, Series 2012	–	25
Repayment of notes	(10)	(8)
Repayment of bonds	(49)	(43)
Repayment of commercial paper	(33)	(46)
Earnings received on construction fund investments	1	2
Interest paid, net	(63)	(67)
Net cash used in capital and related financing activities	(319)	(282)
Cash flow from noncapital–related financing activities:		
Energy conservation program payments received from participants	119	178
Energy conservation program costs	(208)	(239)
Issuance of commercial paper	143	218
Repayment of commercial paper	(122)	(185)
Interest paid on commercial paper	(4)	(4)
Transmission line interconnection costs	(173)	–
Contributions to OPEB trust fund	(22)	(22)
Contributions to New York State	(65)	(91)
Payments received from value sharing agreement	72	72
Payments received from notes receivable	20	30
Net cash used in noncapital-related financing activities	(240)	(43)
Cash flow from investing activities:		
Earnings received on investments	23	26
Purchase of investment securities	(5,802)	(5,278)
Sale of investment securities	5,760	5,194
Net cash used in investing activities	(19)	(58)
Net (decrease) increase in cash	(65)	8
Cash and cash equivalents, January 1	98	90
Cash and cash equivalents, December 31	$ 33	$ 98
Reconciliation to net cash provided by operating activities:		
Operating income	$ 375	$ 319
Adjustments to reconcile operating income to net cash provided by operating activities:		
Change in assets, deferred outflows, liabilities and deferred inflows:		
Provision for depreciation	228	226
Net (increase) decrease in prepayments and other	(1)	30
Net increase in receivables and inventory	(78)	(88)
Net decrease in accounts payable and accrued liabilities	(11)	(96)
Net cash provided by operating activities	$ 513	$ 391

See accompanying notes to the financial statements.

(1) General

The Power Authority of the State of New York (the Authority), doing business as The New York Power Authority, is a corporate municipal instrumentality and political subdivision of the State of New York (State) created in 1931 by Title 1 of Article 5 of the Public Authorities Law, Chapter 43-A of the Consolidated Laws of the State, as amended (Power Authority Act or Act).

The Authority's mission is to provide clean, low-cost and reliable energy consistent with its commitment to the environment and safety, while promoting economic development and job development, energy efficiency, renewables and innovation, for the benefit of its customers and all New Yorkers. The Authority's financial performance goal is to have the resources necessary to achieve its mission, to maximize opportunities to serve its customers better, and to preserve its strong credit rating.

The Authority is authorized by the Power Authority Act to help provide a continuous and adequate supply of dependable electricity to the people of the State. The Authority generates, transmits and sells electricity principally at wholesale. The Authority's primary customers are municipal and investor-owned utilities, rural electric cooperatives, high load factor industries and other businesses located throughout New York State, various public corporations located in Southeastern New York within the metropolitan area of New York City ("SENY governmental customers"), and certain out-of-state customers.

To provide electric service, the Authority owns and operates five major generating facilities, eleven small gas-fired electric generating facilities, and four small hydroelectric facilities in addition to a number of transmission lines, including major 765-kV and 345-kV transmission facilities. The Authority's five major generating facilities consist of two large hydroelectric facilities ("Niagara" and "St. Lawrence-FDR"), a large pumped-storage hydroelectric facility ("Blenheim-Gilboa"), the combined cycle electric generating plant located in Queens, New York (the "500-MW plant") and the Richard M. Flynn combined cycle plant located on Long Island ("Flynn"). To provide additional electric generation capacity to the Authority's NYC Governmental Customers, the Authority entered into a long-term electricity supply agreement with Astoria Energy II LLC in 2008 for the purchase of the output of an Astoria, Queens based natural-gas fueled 550-MW generating plant, which entered service in the summer of 2011.

The Authority acts through a Board of Trustees. The Authority's Trustees are appointed by the Governor of the State of New York, with the advice and consent of the State Senate. The Authority is a fiscally independent public corporation that does not receive State funds or tax revenues or credits. It generally finances construction of new projects through projects through a combination of internally generated funds and sales of bonds and notes to investors and pays related debt service with revenues from the generation and transmission of electricity. Accordingly, the financial condition of the Authority is not controlled by or dependent on the State or any political subdivision of the State. Under the criteria set forth in Governmental Accounting Standards Board (GASB) the Authority considers its relationship to the State to be that of a related organization.

Income of the Authority and properties acquired by it for its projects are exempt from taxation. However, the Authority is authorized by the Act to enter into agreements to make payments in lieu of taxes with respect to property acquired for any project where such payments are based solely on the value of the real property without regard to any improvement thereon by the Authority and where no bonds to pay any costs of such project were issued prior to January 1, 1972.

The ''Public Authorities Accountability Act of 2005'' (''PAAA'') was signed into law in January 2006 and its various provisions address public authority reporting, governance, budgeting, oversight, and auditing matters, among other things. Additional public authority reforms were made by Chapter 506 of the Laws of 2009 ("Chapter 506") which took effect on March 1, 2010. For example, Chapter 506 provided for (i) the creation of an "Authorities Budget Office" to provide oversight and other functions regarding public authorities, including the Authority; (ii) enhanced reporting requirements for public authorities, including the Authority; (iii) additional governance responsibilities for the boards of public authorities, including the Authority; (iv) New York State Comptroller review and approval of certain contracts of public authorities, including the Authority; (v) restrictions on property disposal by public authorities, including the Authority; and (vi) State Senate approval of certain authorities' chief executive officers, including the Authority.

(2) Summary of Significant Accounting Policies

The Authority's significant accounting policies include the following:

(a) Basis of Reporting

The Authority complies with all applicable pronouncements of the GASB. In accordance with GAS No. 62, *Codification of Accounting and Financial Reporting Guidance Contained in Pre-November 30, 1989 FASB and AICPA Pronouncements*, the Authority applies all authoritative pronouncements applicable to nongovernmental entities (i.e., Accounting Standards

Codification (ASC) of the Financial Accounting Standards Board) that do not conflict with GASB pronouncements. The operations of the Authority are presented as an enterprise fund following the accrual basis of accounting in order to recognize the flow of economic resources. Accordingly, revenues are recognized in the period in which they are earned and expenses are recognized in the period in which they are incurred.

(b) Regulatory Accounting

The Authority's Board of Trustees has broad rate setting authority for its power sales agreements with customers. The sale of transmission service over the Authority's facilities is provided pursuant to New York Independent System Operator (NYISO) tariffs and under contracts that pre-dated existence of the NYISO. The Authority files its transmission system revenue requirement with the Federal Energy Regulatory Commission (FERC) for inclusion in the NYISO's open access tariff.

The Authority accounts for the financial effects of the rate regulated portion of its operations in accordance with the provisions of ASC Topic 980, *Regulated Operations.* These provisions recognize the economic ability of regulators, through the ratemaking process, to create future economic benefits and obligations affecting rate-regulated entities. Accordingly, the Authority records these future economic benefits and obligations as regulatory assets and regulatory liabilities, respectively. Regulatory assets represent probable future revenues associated with previously incurred costs that are expected to be recovered from customers. Regulatory liabilities represent amounts that are collected from customers through the ratemaking process associated with costs to be incurred in future periods. Based on the action of the Board of Trustees, the Authority believes the future collection of the costs held over through regulatory assets is probable. For regulatory assets and liabilities see note 2(l) "Other Long-Term Assets" and 2(m) "Other Long-Term Liabilities" of the notes to the financial statements.

(c) Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

(d) Capital Assets

Capital assets are recorded at original cost and consist of amounts expended for labor, materials, services and indirect costs to license, construct, acquire, complete and place in operation the projects of the Authority. Interest on amounts borrowed to finance construction of the Authority's projects is charged to the project prior to completion. Borrowed funds for a specific construction project are deposited in a capital fund account. Earnings on fund investments are held in this fund to be used for construction. Earnings on unexpended funds are credited to the cost of the related project (construction work in progress) until completion of that project. Construction work in progress costs are reduced by revenues received for power produced (net of expenditures incurred in operating the projects) prior to the date of completion. The costs of current repairs are charged to operating expense, and renewals and betterments are capitalized. The cost of capital assets retired less salvage is charged to accumulated depreciation. Depreciation of capital assets is generally provided on a straight-line basis over the estimated lives of the various classes of capital assets.

The related depreciation provisions at December 31, 2013 and 2012 expressed as a percentage of average depreciable capital assets on an annual basis are:

	Average depreciation rate	
	2013	**2012**
Type of plant:		
Production:		
Hydro	2.0%	2.0%
Gas turbine/combined cycle	3.2	3.2
Transmission	2.5	2.5
General	3.6	3.6
	2.8%	2.8%

(e) Asset Retirement Obligation

The Authority applies the applicable provisions of ASC Topic 410, *Asset Retirement and Environmental Obligations,* which requires an entity to record a liability at fair value to recognize legal obligations for asset retirements in the period incurred and to capitalize the cost by increasing the carrying amount of the related long-lived asset. The Authority determined that it had legal liabilities for the retirement of certain Small Clean Power Plants (SCPPs) in New York City and, accordingly, has recorded a liability for the retirement of this asset. In connection with these legal obligations, the Authority has also recognized a liability for the remediation of certain contaminated soils discovered during the construction process.

ASC Topic 410 does not apply to asset retirement obligations involving pollution remediation obligations that are within the scope of GAS No. 49, *Accounting and Financial Reporting for Pollution Remediation Obligations.* The Authority applies GAS No. 49 which, upon the occurrence of any one of five specified obligating events, requires an entity to estimate the components of expected pollution remediation outlays and determine whether outlays for those components should be accrued as a liability or, if appropriate, capitalized when goods and services are acquired. The Authority had no liabilities recorded related to GAS No. 49 at December 31, 2013 or 2012.

In addition to asset retirement obligations, the Authority has other cost of removal obligations that are being collected from customers and accounted for under the provisions of ASC Topic 980. See note 2(m) "Other Long-Term Liabilities" of notes to the financial statements.

Asset retirement obligations (ARO) and cost of removal obligation amounts included in other noncurrent liabilities are as follows:

		ARO amounts	Cost of removal obligation
		(In millions)	
Balance – December 31, 2012	$	22	241
Depreciation expense		—	6
Balance – December 31, 2013	$	22	247

(f) Long Lived Assets

The Authority applies GAS No. 42, *Accounting and Financial Reporting for Impairment of Capital Assets and for Insurance Recoveries*, which states that asset impairments are generally recognized only when the service utility of an asset is reduced or physically impaired.

GAS No. 42 states that asset impairment is a significant, unexpected decline in the service utility of a capital asset. The service utility of a capital asset is the usable capacity that at acquisition was expected to be used to provide service, as distinguished from the level of utilization which is the portion of the usable capacity currently being used. Decreases in utilization and existence of or increases in surplus capacity that are not associated with a decline in service utility are not considered to be impairments.

(g) Cash, Cash Equivalents and Investments

Cash includes cash and cash equivalents and short-term investments with maturities, when purchased, of three months or less. The Authority accounts for investments at their fair value. Fair value is determined using quoted market prices. Investment income includes changes in the fair value of these investments. Realized and unrealized gains and losses on investments are recognized as investment income in accordance with GAS No. 31, *Accounting and Financial Reporting for Certain Investments and for External Investment Pools*.

(h) Derivative Instruments

The Authority uses financial derivative instruments to manage the impact of interest rate, energy and capacity price and fuel cost changes on its earnings and cash flows. The Authority recognizes the fair value of all financial derivative instruments as either an asset or liability on its statements of net position with the offsetting gains or losses recognized in earnings or deferred charges. The Authority applies GAS No. 53, *Accounting and Financial Reporting for Derivative Instruments*,

which establishes accounting and reporting requirements for derivative instruments (see note 8 "Risk and Hedging Activities" of the notes to the financial statements).

(i) Accounts Receivable

Accounts receivable are classified as current assets and are reported net of an allowance for uncollectible amounts.

(j) Materials and Supply Inventory

Material and supplies are valued at weighted average cost and are charged to expense during the period in which the material or supplies are used.

(k) Debt Refinancing Charges

Debt refinancing charges, representing the difference between the reacquisition price and the net carrying value of the debt refinanced, are amortized using the interest method over the life of the new debt or the old debt, whichever is shorter, in accordance with GAS No. 23, *Accounting and Financial Reporting for Refundings of Debt Reported by Proprietary Activities.*

(l) Other Long- Term Assets

Other long-term assets at December 31, 2013 and 2012 consist of the following:

	December 31,	
	2013	**2012**
Other long-term assets:		
Regulatory assets (a):		
Recoverable electricity supply market costs	$ 132	$ 78
Risk management activities	27	32
Other regulatory assets	26	30
Total regulatory assets	185	140
Energy efficiency program costs (b)	253	203
Other long-term receivables	223	225
Transmission line interconnection costs	190	–
Other	73	73
Total other long-term assets	$ 924	$ 641

(a) Regulatory assets reflect previously incurred costs that are expected to be recovered from customers through the ratemaking process.

(b) Energy efficiency program costs will be recovered from certain customers through the terms of contracts.

(m) Other Long-Term Liabilities

Other long-term liabilities at December 31, 2013 and 2012 consist of the following:

	December 31,	
	2013	**2012**
Other long-term liabilities:		
Regulatory liabilities (a):		
Cost of removal	$ 247	$ 241
Other regulatory liabilities	7	6
Total regulatory liabilities	254	247
Other	130	127
	$ 384	$ 374

(a) See note 2(e) "Asset Retirement Obligation" of the notes to the financial statements.

(n) Compensated Absences

The Authority accrues the cost of unused sick leave which is payable upon the retirement of its employees. The Authority has accrued $30 million at December 31, 2013 and 2012 in other non-current liabilities on the statements of net position. The current year's cost is accounted for as a current operating expense in the statements of revenues, expenses, and changes in net position

(o) Net Position

In 2012, the Authority retroactively adopted GASB Statement No. 63, *Financial Reporting of Deferred Outflows of Resources, Deferred Inflows of Resources and Net Position.* This Statement provides reporting guidance for deferred outflows of resources and deferred inflows of resources to be reported in the statement of financial position in a separate section following assets and liabilities, respectively. This Statement also amends the net asset requirements of Statement No. 34, *Basic Financial Statements–and Management's Discussion and Analysis–for State and Local Governments*, and other pronouncements by incorporating deferred outflows of resources and deferred inflows of resources into the definitions of the required components of the residual measure and by renaming that measure as net position, rather than net assets.

Net Position represents the difference between assets plus deferred outflows and liabilities plus deferred inflows and is classified into three components:

a. Net investment in capital assets – This consists of capital assets, net of depreciation reduced by related outstanding debt and accounts. This indicates that these assets are not accessible for other purposes.

b. Restricted – This represents restricted assets reduced by related liabilities and deferred inflows of resources that are not accessible for general use because their use is subject to restrictions enforceable by third parties.

c. Unrestricted – This represents the net amount of assets, deferred outflows of resources, liabilities and deferred inflows of resources that are not included in the components noted above and that are available for general use.

(p) New York Independent System Operator (NYISO)

The Authority is a member and a customer of the New York Independent System Operator (NYISO). The NYISO schedules the use of the bulk transmission system in the State, which normally includes all the Authority's transmission facilities, and collects ancillary services, losses and congestion fees from customers. In addition, the Authority dispatches power from its generating facilities in conjunction with the NYISO. The NYISO coordinates the reliable dispatch of power and operates a market for the sale of electricity and ancillary services within the State.

Based upon the Authority's scheduled customer power needs and available electricity generated by the Authority's operating assets, the Authority buys and sells energy in an electricity market operated by the NYISO. A significant amount of the Authority's energy and capacity revenues result from sales of the Authority's generation into the NYISO market. A significant amount of the Authority's operating expenses consist of various NYISO purchased power charges in combination with generation related fuel expenses.

(q) Operating Revenues

The customers served by the Authority and the rates paid by such customers vary with the NYPA facilities designated to serve such loads. These customers are served under contracts and tariffs approved by the Trustees.

The principal operating revenues are generated from the sale, transmission, and wheeling of power. Revenues are recorded when power is delivered or service is provided. Customers' meters are read, and bills are rendered, monthly. Wheeling charges are for costs the Authority incurred for the transmission and/or delivery of power and energy to customers over transmission lines owned by other utilities. Sales to the Authority's five (5) largest customers operating in the State accounted for approximately 47% and 54% of the Authority's operating revenues in 2013 and 2012, respectively.

In addition to contractual sales to customers, the Authority also sells power into an electricity market operated by the NYISO. These sales are affected at market prices and are not subject to rate regulation by the Authority's Board of Trustees or other regulatory bodies. Accordingly, the Authority does not apply the provisions of ASC Topic 980 to these transactions.

(r) Operating Expenses

The Authority's operating expenses include fuel, operations and maintenance, depreciation, purchased power costs, and other expenses related to the sale of power. Energy costs are charged to expense as incurred.

Purchased power costs include capacity, energy and ancillary service purchases made in the wholesale market on behalf of its customers (except for those made through previously approved purchased power agreements). Wheeling expenses are based on contractual and/or tariff rates of the service provider and are recovered through pass-through provisions in customer contracts.

(s) New Accounting Pronouncements

In 2013, the Authority adopted GASB Statement No. 65, *Items Previously Reported as Assets and Liabilities* (Statement No. 65), which establishes accounting and financial reporting standards that reclassify, as deferred outflows of resources or deferred inflows of resources, certain items that were previously reported as assets and liabilities, such as refunding of debt, and recognizes as outflows of resources (expenses or expenditures) and inflows of resources (revenues), certain items that were previously reported as assets and liabilities, such as debt issuance costs. The provisions of this statement are effective for financial statements for periods beginning after December 15, 2012. The adoption of GASB Statement No. 65 did not have a significant impact on the Authority's financial statements.

(t) Reclassifications

Certain prior year amounts have been reclassified to conform to the current year's presentation including the reclassification of debt issuance costs as a regulatory asset. These reclassifications had no effect on net income and changes in net position or cash flows.

(3) Bond Resolution

On February 24, 1998, the Authority adopted its "General Resolution Authorizing Revenue Obligations" (as amended and supplemented up to the present time, the Bond Resolution). The Bond Resolution covers all of the Authority's projects, which it defines as any project, facility, system, equipment or material related to or necessary or desirable in connection with the generation, production, transportation, transmission, distribution, delivery, storage, conservation, purchase or use of energy or fuel, whether owned jointly or singly by the Authority, including any output in which the Authority has an interest authorized by the Act or by other applicable State statutory provisions, provided, however, that the term "Project" shall not include any Separately Financed Project as that term is defined in the Bond Resolution. The Authority has covenanted with bondholders under the Bond Resolution that at all times the Authority shall maintain rates, fees or charges, and any contracts entered into by the Authority for the sale, transmission, or distribution of power shall contain rates, fees or charges sufficient together with other monies available therefor (including the anticipated receipt of proceeds of sale of Obligations, as defined in the Bond Resolution, issued under the Bond Resolution or other bonds, notes or other obligations or evidences of indebtedness of the Authority that will be used to pay the principal of Obligations issued under the Bond Resolution in anticipation of such receipt, but not including any anticipated or actual proceeds from the sale of any Project), to meet the financial requirements of the Bond Resolution. Revenues of the Authority (after deductions for operating expenses and reserves, including reserves for working capital, operating expenses or compliance purposes) are applied first to the payment of, or accumulation as a reserve for payment of, interest on and the principal or redemption price of Obligations issued under the Bond Resolution and the payment of Parity Debt issued under the Bond Resolution.

The Bond Resolution also provides for withdrawal for any lawful corporate purpose as determined by the Authority, including but not limited to the retirement of Obligations issued under the Bond Resolution, from amounts in the Operating Fund in excess of the operating expenses, debt service on Obligations and Parity Debt issued under the Bond Resolution, and subordinated debt service requirements. The Authority has periodically reacquired revenue bonds when available at favorable prices.

(4) Cash and Investments

Investment of the Authority's funds is administered in accordance with the applicable provisions of the Bond Resolution and with the Authority's investment guidelines. These guidelines comply with the New York State Comptroller's investment guidelines for public authorities and were adopted pursuant to Section 2925 of the New York Public Authorities Law.

(a) Credit Risk

The Authority's investments are restricted to (a) collateralized certificates of deposit, (b) direct obligations of or obligations guaranteed by the United States of America or the State of New York, (c) obligations issued or guaranteed by certain specified federal agencies and any agency controlled by or supervised by and acting as an instrumentality of the United States government, and (d) obligations of any state or any political subdivision thereof or any agency, instrumentality or local government unit of any such state or political subdivision which is rated in any of the three highest long-term rating categories, or the highest short-term rating category, by nationally recognized rating agencies. The Authority's investments in the debt securities of Federal National Mortgage Association (FNMA), Federal Home Loan Bank (FHLB), Federal Farm Credit Bank (FFCB) and Federal Home Loan Mortgage Corp. (FHLMC) were rated Aaa by Moody's Investors Services (Moody's), AAA by Fitch Ratings (Fitch) and AA+ by Standard & Poor's (S&P).

(b) Interest Rate Risk

Securities that are the subject of repurchase agreements must have a market value at least equal to the cost of the investment. The agreements are limited to a maximum fixed term of five business days and may not exceed the greater of 5% of the investment portfolio or $100 million. The Authority has no other policies limiting investment maturities.

(c) Concentration of Credit Risk

There is no limit on the amount that the Authority may invest in any one issuer; however, investments in authorized certificates of deposit shall not exceed 25% of the Authority's invested funds. At December 31, 2013, the Authority's total investment portfolio of $2,728 million included investments of $553 million (20%), $322 million (12%), $260 million (10%) and $86 million (3%) and $135 million (5%) in securities of FNMA, FHLMC, FHLB and FFCB and other various municipal debt securities, respectively.

At December 31, 2012, the Authority's total investment portfolio of $2,670 million included investments of $513 million (19%), $407 million (15%), $276 million (10%) and $49 million (2%) and $105 million (4%) in securities of FNMA, FHLMC, FHLB and FFCB and other various municipal debt securities, respectively.

(d) Decommissioning Fund

The Decommissioning Trust Fund is managed by external investment portfolio managers. Under the Decommissioning Agreements (see note 10(c) "Nuclear Plant Decommissioning" of notes to the financial statements), the Authority will make no further contributions to the Decommissioning Funds. The Authority's decommissioning responsibility will not exceed the amounts in each of the Decommissioning Funds. Therefore, the Authority's obligation is not affected by various risks which include credit risk, interest rate risk, and concentration of credit risk. In addition, the Decommissioning Trust Fund is not held within the Trust Estate of the Bond Resolution and therefore is administered under separate investment guidelines from those of the Authority or New York State.

(e) Other

All investments are held by designated custodians in the name of the Authority. At December 31, 2013, the Authority had no investments in repurchase agreements and $50 million of such investments at December 31, 2012. The bank balances at December 31, 2013 and 2012 were $43 million and $60 million, respectively, of which $42 million and $59 million, respectively, were uninsured, but were collateralized by assets held by the bank in the name of the Authority.

Cash and Investments of the Authority at December 31, 2013 and 2012 are as follows:

December 31, 2013	Total	Total restricted	Restricted: Decommissioning Trust Fund	Restricted: POCR and CAS projects and other	Restricted: ART note debt reserve	Capital fund	Unrestricted
				(In millions)			
Cash and investments:							
Cash and cash equivalents	$ 33	18	—	18	—	7	8
U.S. government:							
Treasury Bills	28	20	—	20	—	8	—
GNMA	11	—	—	—	—	—	11
	39	20	—	20	—	8	11
Other debt securities:							
FNMA	553	36	—	25	11	—	517
FHLMC	322	1	—	—	1	6	315
FHLB	260	4	—	—	4	22	234
FFCB	86	—	—	—	—	—	86
All other	135	4	—	—	4	7	124
	1,356	45	—	25	20	35	1,276
Portfolio Manager	1,300	1,300	1,300	—	—	—	—
Total investments	2,695	1,365	1,300	45	20	43	1,287
Total cash and investments	$ 2,728	1,383	1,300	63	20	50	1,295
Summary of maturities (years):							
0 – 1	$ 333	67	—	63	4	43	223
1 – 5	1,026	16	—	—	16	3	1,007
5 – 10	12	—	—	—	—	—	12
10+	57	—	—	—	—	4	53
Portfolio manager	1,300	1,300	1,300	—	—	—	—
	$ 2,728	1,383	1,300	63	20	50	1,295

Petroleum Overcharge Restitution (POCR) Funds and Clean Air for Schools (CAS) Projects Funds – Legislation enacted into State law from 1995 to 2002, 2007 and 2008 authorized the Authority to utilize petroleum overcharge restitution (POCR) funds and other State funds (Other State Funds), to be made available to the Authority by the State pursuant to the legislation, for a variety of energy-related purposes, with certain funding limitations. The legislation also states that the Authority "shall transfer" equivalent amounts of money to the State prior to dates specified in the legislation. The use of POCR funds is subject to comprehensive Federal regulations and judicial orders, including restrictions on the type of projects that can be financed with POCR funds, the use of funds recovered from such projects and the use of interest and income generated by such funds and projects. Pursuant to the legislation, the Authority is utilizing POCR funds and the Other State Funds to implement various energy services programs that have received all necessary approvals.

The disbursements of the POCR funds and the Other State Funds to the Authority, and the Authority's transfers to the State totaling $60.9 million, took place from 1996 to 2009. The POCR funds are included in restricted funds in the statements of net position. The funds are held in a separate escrow account until they are utilized.

The New York State Clean Water/Clean Air Bond Act of 1996 made available $125 million for Clean Air for Schools Projects (CAS Projects) for elementary, middle and secondary schools, with the Authority authorized to undertake implementation of the CAS Projects program. The CAS Projects are designed to improve air quality for schools and include, but are not limited to, projects that replace coal-fired furnaces and heating systems with furnaces and systems fueled with oil or gas. As of December 31, 2013, the conversions to the schools are substantially complete and the Authority is in its closeout process regarding the CAS projects.

As of December 31, 2013, restricted funds include the POCR fund ($11 million), the CAS Projects fund ($2 million), the Lower Manhattan Energy Independence Initiative fund ($7 million) and the Fish & Wildlife Habitat Enhancement fund related to the Niagara relicensing costs ($14 million), the Western New York Economic Development Fund ($25 million) – see note 11(a) "Recharge New York Power Program" – and other ($3 million).

			Restricted				
December 31, 2012	Total	Total restricted	Decommissioning Trust Fund	POCR and CAS projects and other	ART note debt reserve	Capital fund	Unrestricted
				(In millions)			
Cash and investments:							
Cash and cash equivalents	$ 98	21	—	21	—	—	77
U.S. government:							
Treasury Bills	18	3	—	3	—	15	—
GNMA	18	—	—	—	—	—	18
	36	3	—	3	—	15	18
Other debt securities:							
FNMA	513	—	—	—	—	6	507
FHLMC	407	21	—	21	—	4	382
FHLB	276	24	—	15	9	4	248
FFCB	49	—	—	—	—	12	37
All other	105	11	—	—	11	17	77
	1,350	56	—	36	20	43	1,251
Portfolio Manager	1,186	1,186	1,186	—	—	—	—
Total investments	2,572	1,245	1,186	39	20	58	1,269
Total cash and investments	$ 2,670	1,266	1,186	60	20	58	1,346
Summary of maturities (years):							
0 – 1	$ 421	71	—	60	11	47	303
1 – 5	1,022	9	—	—	9	5	1,008
5 – 10	1	—	—	—	—	—	1
10+	40	—	—	—	—	6	34
Portfolio manager	1,186	1,186	1,186	—	—	—	—
	$ 2,670	1,266	1,186	60	20	58	1,346

(5) Capital Assets

The following schedule summarizes the capital assets activity of the Authority for the year ended December 31, 2013:

	Beginning balance	Additions	Retirements/ Transfers	Ending balance
	(Amounts in millions)			
Capital assets, not being depreciated:				
Land	$ 156	4	—	160
Construction in progress	178	148	(107)	219
Total capital assets not being depreciated	334	152	(107)	379
Capital assets, being depreciated:				
Production – Steam (a)	437	—	(437)	—
Production – Hydro	1,830	72	(4)	1,898
Production – Gas turbine/combined cycle	2,418	1	—	2,419
Transmission	1,928	35	(1)	1,962
General	1,134	23	(1)	1,156
Total capital assets being depreciated	7,747	131	(443)	7,435
Less accumulated depreciation for:				
Production – Steam	436	1	(437)	—
Production – Hydro	684	30	(4)	710
Production – Gas turbine/combined cycle	675	103	—	778
Transmission	1,040	49	—	1,089
General	427	39	—	466
Total accumulated depreciation	3,262	222	(441)	3,043
Net value of capital assets, being depreciated	4,485	(91)	(2)	4,392
Net value of all capital assets	$ 4,819	61	(109)	4,771

(a) Reflects the removal of the fully depreicated Polletti power plant from the balance sheet in 2013.

The following schedule summarizes the capital assets activity of the Authority for the year ended December 31, 2012:

	Beginning balance	Additions	Retirements/ Transfers	Ending balance
	(Amounts in millions)			
Capital assets, not being depreciated:				
Land	$ 154	2	—	156
Construction in progress	134	126	(82)	178
Total capital assets not being depreciated	288	128	(82)	334
Capital assets, being depreciated:				
Production – Steam	437	—	—	437
Production – Hydro	1,796	37	(3)	1,830
Production – Gas turbine/combined cycle	2,414	4	—	2,418
Transmission	1,909	20	(1)	1,928
General	1,113	23	(2)	1,134
Total capital assets being depreciated	7,669	84	(6)	7,747
Less accumulated depreciation for:				
Production – Steam	436	—	—	436
Production – Hydro	657	30	(3)	684
Production – Gas turbine/combined cycle	571	104	—	675
Transmission	993	48	(1)	1,040
General	390	38	(1)	427
Total accumulated depreciation	3,047	220	(5)	3,262
Net value of capital assets, being depreciated	4,622	(136)	(1)	4,485
Net value of all capital assets	$ 4,910	(8)	(83)	4,819

Wind Farm Transmission Assets

The Authority is allowing three Wind Farm power facilities to interconnect to its bulk transmission system between the Willis and Plattsburgh 230 kV substations. In 2013, Marble River LLC, the wind farm developers, transferred title to one substation (valued at $21 million) to the Authority in order for the Authority to maintain reliability standards and control of its bulk transmission system. The transfer was accounted for as a capital contribution.

(6) Long-Term Debt

(a) Components

	Amount					Earliest redemption date prior to maturity
	2013	2012	Interest rate	Maturity		
	(In millions)					
Senior debt:						
Revenue Bonds (Tax-Exempt):						
Series 2002 A Revenue Bonds:						
Serial Bonds	$ —	$ 27	N/A	N/A		N/A
Series 2006 A Revenue Bonds:						
Serial Bonds	100	111	3.75% to 5.0%	11/15/2014 to 2020		11/15/2015
Series 2007 A Revenue Bonds:						
Term Bonds	82	82	4.5% to 5.0%	11/15/2047		11/15/2017
Series 2007 C Revenue Bonds:						
Serial Bonds	264	264	4.0% to 5.0%	11/15/2014 to 2021		11/15/2017
Series 2011 A Revenue Bonds:						
Serial Bonds	68	69	3.0% to 5.0%	11/15/2014 to 2031	*	11/15/2021
Term Bonds	39	39	4.0% to 5.0%	11/15/2032 to 2038		11/15/2021
Revenue Bonds (Taxable):						
Series 2003 A Revenue Bonds:						
Serial Bonds	—	5	N/A	N/A		N/A
Term Bonds	186	186	5.230% to 5.749%	11/15/2018 to 2033		Any date
Series 2007 B Revenue Bonds:						
Serial Bonds	14	18	5.373% to 5.603%	11/15/2014 to 2017		Any date
Term Bonds	239	239	5.905% to 5.985%	11/15/2037 and 2043		Any date
	992	1,040				
Plus unamortized premium and discount	26	29				
Less deferred refinancing costs	9	9				
	1,009	1,060				
Less due in one year	51	48				
	$ 958	$ 1,012				

* $26.4 million due 2022 is non-callable.

	Amount				Earliest redemption date prior to maturity
	2013	2012	Interest rate	Maturity	
	(In millions)				
Adjustable Rate Tender Notes:					
2016 Notes	$ 31	$ 40	0.15%	3/1/2016	Any adjustment date
2020 Notes	75	75	0.15%	3/1/2020	Same as above
	106	115			
Less due in one year	10	9			
	96	106			
Subordinate debt:					
Subordinated Notes, Series 2012	24	25	0.64% to 4.05%	2014 to 2037	N/A
Commercial Paper:					
EMCP (Series 1)	62	70	0.13%	2014 to 2023	
CP (Series 2)	40	65	0.08%	2014 to 2015	
	126	160			
Less due within one year	32	34			
	94	126			
Total Long-term debt	1,241	1,335			
Less due within one year	93	91			
Long-term debt, net of due in one year	$ 1,148	$ 1,244			

Interest on Series 2003 A and 2007 B Revenue Bonds and Subordinated Notes, Series 2012 is not excluded from gross income for bondholders' Federal income tax purposes.

Senior Debt

As indicated in note 3 of notes to the financial statements, "Bond Resolution," the Authority has pledged future revenues to service the Obligations and Parity Debt (Senior Debt) issued under the Bond Resolution. Annual principal and interest payments on the Senior Debt are expected to require less than 35% of operating income plus depreciation. The total principal and interest remaining to be paid on the Senior Debt is $1.804 billion as of December 31, 2013. Principal and interest paid for 2013 and operating income plus depreciation were $112 million and $603 million, respectively. Principal and interest paid for 2012 and operating income plus depreciation were $108 million and $545 million, respectively.

Senior revenue bonds are subject to redemption prior to maturity in whole or in part as provided in the supplemental resolutions authorizing the issuance of each series of bonds, beginning for each series on the date indicated in the table above, at principal amount or at various redemption prices according to the date of redemption, together with accrued interest to the redemption date.

In prior years, the Authority defeased certain revenue bonds and general purpose bonds by placing the proceeds of new bonds in an irrevocable trust to provide for all future debt service payments on the old bonds. Accordingly, the trust account assets and the liability for the defeased bonds are not included in the Authority's financial statements. As of December 31, 2013 and 2012, there were no bonds outstanding that were considered defeased.

The Adjustable Rate Tender Notes may be tendered to the Authority by the holders on any adjustment date. The rate adjustment dates are March 1 and September 1. The Authority has a revolving credit agreement (Agreement) with The Bank of Nova Scotia, which terminates on September 1, 2015, to provide a supporting line of credit for the purpose of repaying, redeeming or purchasing the Adjustable Rate Tender Notes. Under the Agreement, the Authority may borrow up to the outstanding principle of the ART Notes, which at December 31, 2013 was $106 million. The Agreement provides for interest on outstanding borrowings at either (i) the Federal Funds Rate plus a percentage, or (ii) a rate based on the London Interbank Offered Rate (LIBOR) plus a percentage. As of December 31, 2013 and 2012, there were no outstanding borrowings under this Agreement. The Authority expects that it will be able to renew or replace this Agreement as necessary. In accordance with the Adjustable Rate Tender Note Resolution, a Note Debt Service Reserve account has been established in the amount of $20 million. See note 8 of notes to the financial statements for the Authority's risk management program relating to interest rates.

At December 31, 2013 and 2012, the current market value of the senior debt was approximately $1.175 billion and $1.329 billion, respectively. Market values were obtained from a third party that utilized a matrix-pricing model.

Subordinate Debt:

Subordinate Notes – In November 2012, the Authority's Trustees authorized the issuance of Subordinated Notes, Series 2012 (Subordinated Notes), in a principal amount not to exceed $30 million for the purpose of accelerating the funding for the State Parks Greenway Fund, which was established pursuant to the Niagara Relicensing Settlement entered into by the Authority and the New York State Office of Parks, Recreation & Historic Preservation. The Authority issued the Subordinated Notes on December 18, 2012 in the amount of $25 million. These Subordinated Notes are subordinate to the Series 2003 A Revenue Bonds, the Series 2006 A Revenue Bonds, the Series 2007 A, B, and C Revenue Bonds, the Series 2011 A Revenue Bonds and the Adjustable Rate Tender Notes.

Commercial Paper – Under the Extendible Municipal Commercial Paper (EMCP) Note Resolution, adopted December 17, 2002, and as subsequently amended and restated, the Authority may issue a series of notes, designated EMCP Notes, Series 1, maturing not more than 270 days from the date of issue, up to a maximum amount outstanding at any time of $200 million (EMCP Notes). It is the Authority's intent to remarket the EMCP Notes as they mature with their ultimate retirement to range from 2014 to 2023. The Authority has the option to extend the maturity of the EMCP Notes and would exercise such right in the event there is a failed remarketing. This option serves as a substitute for a liquidity facility for the EMCP Notes.

Under the Commercial Paper Note Resolution adopted June 28, 1994, as subsequently amended and restated, the Authority may issue from time to time a separate series of notes maturing not more than 270 days from the date of issue, up to a maximum amount outstanding at any time of $400 million (Series 1 CP Notes), $450 million (Series 2 CP Notes), $350 million (Series 3 CP Notes) and $220 million (Series 4 CP Notes). See note 7 of the notes to the financial statements for Series 1, and certain Series 2 and Series 3 CP Notes designated as short-term debt. There were no Series 4 CP Notes outstanding at December 31, 2013.

The proceeds of certain Series 2 and 3 Commercial Paper Notes (CP Notes) were used to refund General Purpose Bonds and the proceeds of the EMCP Notes were used to refund Series 2 and 3 CP Notes. CP Notes and EMCP Notes have been used, and may in the future be used, for other corporate purposes. It is the Authority's intention to renew the Series 2 and 3 CP Notes and the EMCP Notes as they mature so that their ultimate maturity dates will range from 2014 to 2023, as indicated in the table above.

The Authority has a line of credit under a 2011 revolving credit agreement, as amended, (the 2011 RCA) with a syndicate of banks, to provide liquidity support for the Series 1-3 CP Notes, under which the Authority may borrow up to $550 million in aggregate principal amount outstanding at any time for certain purposes, including the repayment of the Series 1–3 CP Notes. This revolving line of credit expires January 20, 2015. There are no outstanding borrowings under the 2011 RCA.

CP Notes and EMCP Notes are subordinate to the Series 2003 A Revenue Bonds, the Series 2006 A Revenue Bonds, the Series 2007 A, B, and C Revenue Bonds, the Series 2011 A Revenue Bonds and the Adjustable Rate Tender Notes.

Interest on the CP (Series 3) is taxable to holders for Federal income tax purposes.

Maturities and Interest Expense:	Long-Term Debt (In millions)				Capitalized Lease Obligations (In millions)		
	Principal	Interest	Hedging Derivative Instruments, Net	Total	Principal	Interest	Total
Year:							
2014	$ 93	53	4	150	$ 12	97	109
2015	90	51	3	144	16	95	111
2016	77	48	3	128	20	95	115
2017	85	45	—	130	25	93	118
2018	85	42	—	127	31	91	122
2019 – 2023	307	164	—	471	254	402	656
2024 – 2028	97	124	—	221	489	257	746
2029 – 2033	143	92	—	235	370	40	410
2034 – 2038	90	57	—	147	—	—	—
2039 – 2043	78	33	—	111	—	—	—
2044 – 2048	79	10	—	89	—	—	—
	1,224	719	10	1,953	1,217	1,170	2,387
Plus unamortized bond premium	26	—	—	26	—	—	—
Less deferred refinancing cost	9	—	—	9	—	—	—
	$ 1,241	719	10	1,970	$ 1,217	1,170	2,387

The interest rate used to calculate future interest expense on variable rate debt is the interest rate at December 31, 2013.

(b) Terms by Which Interest Rates Change for Variable Rate Debt

Adjustable Rate Tender Notes

In accordance with the Adjustable Rate Tender Note Resolution adopted April 30, 1985, as amended up to the present time (Note Resolution), the Authority may designate a rate period of different duration, effective on any rate adjustment date. The Authority and the remarketing agent appointed under the Note Resolution determine the rate for each rate period which, in the agent's opinion, is the minimum rate necessary to remarket the notes at par.

CP Notes and EMCP Notes (Long-Term Portion)

The Authority determines the rate for each rate period which is the minimum rate necessary to remarket the notes at par in the Dealer's opinion. If the Authority exercises its option to extend the maturity of the EMCP Notes, the reset rate will be the higher of (SIFMA + E) or F, where SIFMA is the Securities Industry and Financial Markets Association Municipal Swap Index, which is calculated weekly, and where "E" and "F" are fixed percentage rates expressed in basis points (each basis point being 1/100 of one percent) and yields, respectively, that are determined based on the Authority's debt ratings subject to a cap rate of 12%. As of December 31, 2013, the reset rate would have been 7.33%.

(c) Changes in Noncurrent Liabilities

Changes in the Authority's noncurrent liabilities for the year ended December 31, 2013 are comprised of the following:

	Beginning balance	Additions	Maturities/ refundings and other	Ending balance	Due within one year
			(In millions)		
Senior debt:					
Revenue bonds	$ 1,040	—	48	992	51
Adjustable rate tender bonds	115	—	9	106	10
Subtotal	1,155	—	57	1,098	61
Subordinate debt:					
Subordinated Notes, Series 2012	25	—	1	24	1
Commercial paper	135	—	33	102	31
Subtotal	160	—	34	126	32
Net unamortized discounts/ premiums and deferred losses	20	—	3	17	—
Total debt, net of unamortized discounts/ premiums/ deferred losses	$ 1,335	—	94	1,241	93
Other noncurrent liabilities:					
Capitalized lease obligation	$ 1,217	—	12	1,205	12
Nuclear decommissioning	1,186	114	—	1,300	—
Disposal of nuclear fuel	216	—	—	216	—
Relicensing	303	18	44	277	—
Other	455	31	78	408	—
Total other noncurrent liabilities	$ 3,377	163	134	3,406	12

Changes in the Authority's long-term liabilities for the year ended December 31, 2012 are comprised of the following:

	Beginning balance	Additions	Maturities/ refundings and other	Ending balance	Due within one year
			(In millions)		
Senior debt:					
Revenue bonds	$ 1,083	—	43	1,040	48
Adjustable rate tender bonds	123	—	8	115	9
Subtotal	1,206	—	51	1,155	57
Subordinate debt:					
Subordinated Notes, Series 201	—	25	—	25	1
Commercial paper	204	—	69	135	33
Subtotal	204	25	69	160	34
Net unamortized discounts/ premiums and deferred losses	24	—	4	20	—
Total debt, net of unamortized discounts/ premiums/ deferred losses	$ 1,434	25	124	1,335	91
Other long-term liabilities:					
Capitalized lease obligation	$ 1,230	—	13	1,217	8
Nuclear decommissioning	1,090	96	—	1,186	—
Disposal of nuclear fuel	216	—	—	216	—
Relicensing	329	20	46	303	—
Other	546	16	107	455	—
Total other noncurrent liabilities	$ 3,411	132	166	3,377	8

(7) Short-Term Debt

CP Notes (short-term portion) outstanding was as follows:

	2013		2012	
	Availability	Outstanding	Availability	Outstanding
	(In millions)			
CP Notes (Series 1)	$ 53	$ 347	$ 70	$ 330
CP Notes (Series 2) (a)	332	78	305	80
CP Notes (Series 3)	323	27	329	21

(a) Availability includes long-term CP Notes (Series 2) of $40 million and $65 million outstanding at December 31, 2013 and 2012, respectively (see note 6 of notes to the financial statements).

Under the Commercial Paper Note Resolution adopted June 28, 1994, as subsequently amended and restated, the Authority may issue from time to time a separate series of notes maturing not more than 270 days from the date of issue, up to a maximum amount outstanding at any time of $400 million (Series 1 CP Notes), $450 million (Series 2 CP Notes), $350 million (Series 3 CP Notes) and $220 million (Series 4 CP Notes). See note 6 "Long-term Debt – Subordinated Debt–Commercial Paper" of notes to the financial statements for Series 2 CP Notes designated as long-term debt. It had been and shall be the intent of the Authority to use the proceeds of the Series 1 CP Notes and certain Series 2 and Series 3 CP Notes to finance the Authority's current and future energy efficiency programs and for other corporate purposes.

The changes in short-term debt are as follows:

	Beginning balance	Increases	Decreases	Ending balance
		($ in millions)		
Year:				
2013	$ 431	143	122	452
2012	$ 374	242	185	431

(8) Risk Management and Hedging Activities

Overview

The Authority purchases insurance coverage for its operations, and in certain instances, is self-insured. Property insurance purchase protects the various real and personal property owned by the Authority and the property of others while in the care, custody and control of the Authority for which the Authority may be held liable. Liability insurance purchase protects the Authority from third-party liability related to its operations, including general liability, automobile, aircraft, marine and various bonds. Insured losses by the Authority did not exceed coverage for any of the four preceding fiscal years. The Authority self-insures a certain amount of its general liability coverage and the physical damage claims for its owned and leased vehicles. The Authority is also self-insured for portions of its medical, dental and workers' compensation insurance programs. The Authority pursues subrogation claims as appropriate against any entities that cause damage to its property.

Another aspect of the Authority's risk management program is to manage risk and related volatility on its earnings and cash flows associated with electric energy prices, fuel prices, electric capacity prices and interest rates.

Through its participation in the NYISO and other commodity markets, the Authority is subject to electric energy price, fuel price and electric capacity price risks that impact the revenue and purchased power streams of its facilities and customer market areas. Such volatility can potentially have adverse effects on the Authority's financial condition. To mitigate downside effects, many of the Authority's customer contracts provide for the complete or partial pass-through of these costs and to moderate cost impacts to its customers, the Authority hedges market risks through the use of financial instruments and physical contracts. Hedges are transacted by the Authority to mitigate volatility in the cost of energy or related products needed to meet customer needs; to mitigate risk related to the price of energy and related products sold by the Authority; to mitigate risk related to margins (electric sales versus fuel use) where the Authority owns generation or other capacity; and mitigation of geographic cost differentials of energy procured or sold for transmission or transportation to an ultimate location. Commodities to be hedged include, but are not limited to, natural gas, natural gas basis, electric energy, electric capacity and congestion costs associated with the transmission of electricity.

To achieve the Authority's risk management program objectives, the Authority's Trustees have authorized the use of various interest rate, energy, and fuel derivative instruments for hedging purposes that are considered derivatives under GAS No. 53, *Accounting and Financial Reporting for Derivative Instruments* (GAS No. 53).

The fair values of all Authority derivative instruments, as defined by GAS No. 53, are reported in current and noncurrent assets or liabilities on the statements of net position as risk management activities. For designated hedging derivative instruments, changes in the fair values are deferred and classified as deferred outflows or deferred inflows on the statements of net position. For designated interest rate and commodity option hedging derivative instruments the change in fair value is applied to interest expense and related commodity revenue or expense. For renewable energy derivative instruments, designated as investment derivative instruments, changes in fair value are deferred as regulatory assets or liabilities, as they are recoverable from customers by contractual agreements. The fair value of interest rate swap agreements take into consideration the prevailing interest rate environment and the specific terms and conditions of each agreement. The fair values were estimated using the zero-coupon

discounting method. The fair value of interest rate options were measured using an option pricing model that considers certain variables such as volatilities, time and underlying prices. The fair value for over-the-counter and exchange-traded energy, renewable energy natural gas, natural gas transportation and capacity derivative instruments are determined by the monthly market prices over the lifetime of each outstanding derivative instrument using the latest end-of-trading-month forward prices published by Platts or derived from pricing models for option and/or option-based derivative instruments using the underlying price, time and observed volatilities based upon Platts published prices and other variables.

Derivative Instruments

The following table shows the fair value of outstanding derivatives instruments for 2013 and 2012:

Derivative instrument description	Fair value balance December 31, 2012	Net change in fair value	Fair value balance December 31, 2013	Type of hedge or transaction	Financial statement classification for changes in fair value	Notional amount December 31, 2013	Volume
		($ in millions)					
Interest rate swaps	$ (15)	$ 6	$ (9)	Cash Flow	Deferred outflow	146.1	USD
Energy :							
Swaps	(92)	55	(37)	Cash Flow	Deferred outflow	1,902,680	MWh
Call option	—	2	2	Cash Flow	Deferred inflow	107,520	MWh
Renewable energy swaps	(32)	5	(27)	Investment	Regulatory Asset	727,525	MWh
Energy capacity swaps/futures	—	—	—	Cash Flow	Deferred outflow	(300,000)	MWh
Fuel swaps	—	2	2	Cash Flow	Deferred inflow	3,010,000	MMBtu
Totals	$ (139)	$ 70	$ (69)				

Interest rate swaps – The Authority has outstanding forward interest rate swaps to fix rates on long-term obligations initially issued to refinance revenue bonds that were required to be tendered in the year 2002 (the 2002 Swaps). Based upon the terms of these forward interest rate swaps, the Authority would pay interest calculated at a fixed rate of 5.123% to the counterparties through February 15, 2015. In return, the counterparties would pay interest to the Authority based upon the Securities Industry and Financial Markets Association municipal swap index (SIFMA Index) on the established reset dates. The 2002 swaps are scheduled to terminate on February 15, 2015. Net settlement payments were $2.2 and $3.4 million in 2013 and 2012, respectively.

In addition, the Authority has outstanding a forward interest rate swap to fixing the interest rates on the Authority's Adjustable Rate Tender Notes (ART Notes) for the period September 1, 2006 to September 1, 2016. Based upon the terms of the forward interest rate swap, the Authority pays interest calculated at a fixed rate of 3.7585% on the outstanding notional amount. In return, the counterparty pays interest to the Authority based upon 67% of the six-month LIBOR established on the reset dates that coincide with the ART Notes interest rate reset dates. Net settlement payments were $3.7 and $3.8 million in 2013 and 2012, respectively.

Energy swaps – The Authority has outstanding a medium-term forward energy swap to fix its exposure for the cost of energy purchases in the NYISO electric market in meeting certain governmental customer load requirements for 2014. Net settlement payments were $35.7 million and $49.6 million in 2013 and 2012, respectively. In 2013, the Authority entered into short-term forward energy swaps and options to manage the cost of forecasted purchased power requirements and transmission congestion for certain business customers in 2013 and 2014. Net settlement receipts were $0.3 million in 2013.

Renewable energy swaps – The Authority has outstanding long-term forward energy swaps and purchase agreements based upon a portion of the generation of the counterparties' wind-farm-power-generating facilities through 2017. The fixed price ranges from $74 to $75 per MWh and includes the purchase of the related environmental attributes. The intent of the swaps and purchase agreements is to assist certain customers in acquiring and investing in wind power and related environmental attributes to satisfy certain New York State mandates to support renewable energy. Net settlement payments were $6.7 million and $7.1 million in 2013 and 2012, respectively. The Authority anticipates the recovery or distribution of any net settlements through specific contractual agreements with customers.

Energy Capacity Swaps/Futures – In 2013, the Authority sold and purchased forward installed capacity swaps and futures to mitigate the volatility of market prices for sales into the NYISO markets in 2013 and 2014. Net settlement payments were $0.5 million in 2013.

Fuel Swaps and Futures – In 2013, the Authority purchased forward natural gas swaps and natural gas futures to mitigate the volatility of market prices for fuel to operate certain natural gas facilities in 2013 and 2014 for the benefit of certain of the Authority's customers. Net settlement receipts were $1.0 million in 2013. In 2013, in connection with the purchase of fuel swaps and futures and for the benefit of the Authority's customers, the Authority purchased natural gas transportation basis swaps to mitigate the volatility of market prices for gas line usage/transportation to New York City in 2013 and 2014. There were no settlements in 2013.

Other – The Authority from time to time enters into certain derivative instruments that may become ineffective as hedging instruments due to changes in the hedged item. The change in fair value of such derivative instruments is recognized as other nonoperating charges or credits in the statements of revenues, expenses and changes in net position. The fair value of these derivative instruments was insignificant to the Authority's 2013 financial statements.

Counterparty Credit Risk

The Authority's policy regarding the creditworthiness of counterparties for interest rate derivative instruments is defined in the Bond Resolution. The policy requires that such counterparties be rated in at least the third highest rating category for each appropriate rating agency maintaining a rating for qualified swap providers at the time the derivative instrument is executed or have a guarantee from another appropriate entity or an opinion from the rating agencies that the underlying bonds or notes will not be downgraded on the derivative instrument alone. The Authority's Board of Trustees has adopted a Policy for the Use of Interest Rate Exchange Agreements which provides the overall framework for delegation of authority; allowable interest rate hedging instruments; counterparty qualifications and diversification as well as reporting standards.

The Authority also imposes thresholds, based on agency-published credit ratings, for unsecured credit that can be extended to counterparties to the Authority's commodity derivative transactions. The thresholds are established in bilateral credit support agreements with counterparties and require collateralization of mark-to-market values in excess of the thresholds. In addition, the Authority regularly monitors each counterparty's market-implied credit ratings and financial ratios and the Authority can restrict transactions with counterparties on the basis of that monitoring, even if the applicable unsecured credit threshold is not exceeded.

Based upon the fair values as of December 31, 2013, the Authority's individual or aggregate exposure to derivative instrument counterparty credit risk is not significant.

Other Considerations

The Authority from time to time may be exposed to any of the following risks:

Basis risk – The Authority is exposed to basis risk on its pay-fixed interest rate swaps since it receives variable-rate payments on these hedging derivative instruments based on indexes which differ from the actual interest rates the Authority pays on its variable-rate debt. The Authority remarkets its Notes at rates that approximate SIFMA and LIBOR after considering other factors such as the Authority's creditworthiness.

The Authority is exposed to other basis risk in a portion of its electrical commodity-based swaps where the electrical commodity swap payments received are based upon a reference price in a NYISO Market Zone that differs from the Zone in which the hedged electric energy load is forecasted. If the correlation between these Zones' prices should fall, the Authority may incur costs as a result of the hedging derivative instrument's inability to offset the delivery price of the related energy.

Tax risk – The Authority is at risk that a change in Federal tax rates will alter the relationship between the interest rates incurred on its ART Notes and LIBOR Index used in the pay-fixed receive-variable interest rate swap transaction.

Rollover risk – The Authority is exposed to rollover risk on hedging derivative instruments that terminate prior to the maturity of the Authority's ART Notes, which these derivative instruments hedge. When the derivative instruments terminate the Authority will be re-exposed to the variable interest rate risk being hedged by the derivative instruments. The termination of the interest rate swaps on September 1, 2016 exposes the Authority to rollover risk since the hedged debt matures on March 1, 2020.

Certain electrical commodity-based derivative instruments are based upon projected future customer loads or facility operations. Beyond the terms of these derivative instruments (varying from one month to 48 months) the Authority is subject to the corresponding market volatilities.

Termination risk – The Authority or its counterparties may terminate a derivative instrument agreement if the either party fails to perform under the terms of the agreement. The risk that such termination may occur at a time which may be disadvantageous to the Authority has been mitigated by including certain terms in these agreements by which the counterparty has the right to terminate only as a result of certain events, which includes a payment default by the Authority; other Authority defaults which remain uncured within a defined time-frame after notice; bankruptcy or insolvency of the Authority (or similar events); or a downgrade of the Authority's credit rating below investment grade. If at the time of termination the Authority has a liability position, related to its hedging derivative instruments, the Authority would be liable to the counterparty for a payment equal to the liability, subject to netting arrangements.

Market access risk – The Authority remarkets its CP Notes on a continuous basis and its ART Notes every March 1 and September 1. Should the market experience a disruption or dislocation, the Authority may be unable to remarket its Notes for a period of time. To mitigate this risk, the Authority has entered into liquidity facilities with highly rated banks to provide loans to support both the CP Note and ART Note programs. See note 6 of the notes to the financial statements.

Dodd Frank Act

On July 21, 2010, President Obama signed into law the Dodd-Frank Wall Street Reform and Consumer Protection Act ("DF Act") which addresses, among other things, interest rate and energy related commodity swap transactions of the type in which the Authority engages ("Swaps"). The requirements and processes are set forth in regulations promulgated by the Commodities Futures Trading Commission ("CFTC"). Pursuant to CFTC rules thus far, the Authority, as a public entity and electric utility which uses swaps solely to manage its risk, will be exempted from posting collateral beyond that of any existing credit support annexes in support of its open over-the-counter ("OTC") hedge positions. These CFTC rules are not anticipated to have significant impact on the Authority's liquidity and/or future risk mitigation activities. CFTC DF Act rules are still being promulgated, and Authority will continue to monitor their potential impact on the Authority's liquidity and/or future risk mitigation activities.

(9) Pension Plans, Other Postemployment Benefits, Deferred Compensation and Savings

(a) Pension Plans

The Authority and substantially all of the Authority's employees participate in the New York State and Local Employees' Retirement System (ERS) and the Public Employees' Group Life Insurance Plan (the Plan). These are cost-sharing, multiple-employer defined benefit retirement plans. The ERS and the Plan provide retirement benefits as well as death and disability benefits. Obligations of employers and employees to contribute and benefits to employees are governed by the New York State Retirement and Social Security Law (NYSRSSL). As set forth in the NYSRSSL, the Comptroller of the State of New York (Comptroller) serves as sole trustee and administrative head of the ERS and the Plan. The Comptroller adopts and may amend rules and regulations for the administration and transaction of the business of the ERS and the Plan, and for the custody and control of their funds. The ERS and the Plan issue a publicly available financial report that includes financial statements and required supplementary information. That report may be obtained by writing to the New York State and Local Employees' Retirement System, 110 State Street, Albany, NY 12236.

The ERS is contributory except for employees who joined the ERS on or prior to July 27, 1976. Employees, who joined between July 28, 1976 and December 31, 2009 and have less than ten years of service, contribute 3% of their salary. Employees who joined the ERS on or after January 1, 2010 contribute 3% of their salary during their entire length of service. Employees who joined the ERS on or after April 1, 2012 contribute 3% of their salary through March 31, 2013 and up to 6% thereafter, based on their annual salary, during their entire length of service. Under the authority of the NYSRSSL, the Comptroller shall certify annually the rates expressed as proportions of payroll of members, which shall be used in computing the contributions required to be made by employers.

The Authority is required to contribute at an actuarially determined rate. The required contributions for 2013, 2012 and 2011 were $29 million, $27 million and $21 million, respectively. The Authority's contributions to the ERS were equal to 100% of the required contributions for each year.

A decline in financial markets could adversely impact state pension investment market values, including those of the ERS. If ERS's investment market values are adversely impacted, increases in the annual contributions to ERS in subsequent years may occur. The average contribution rate relative to payroll for the fiscal years ended March 31, 2013 was 18%. The average contribution rates relative to payroll for the fiscal years ended March 31, 2014 and 2015 have been set at approximately 19% for each year.

(b) Other Postemployment Benefits (OPEB)

The Authority provides certain health care and life insurance benefits for eligible retired employees and their dependents under a single employer noncontributory (except for certain optional life insurance coverage) health care plan. Employees and/or their dependents become eligible for these benefits when the employee has at least 10 years of service and retires or dies while working at the Authority. Approximately 4,300 participants, including 1,600 current employees and 2,700 retired employees and/or spouses and dependents of retired employees, were eligible to receive these benefits at December 31, 2013 The Authority's post-retirement health care trust does not issue a stand-alone financial report.

Through 2006, other postemployment benefits (OPEB) provisions were financed on a pay-as-you-go basis and the plan was unfunded. In December 2006, the Authority's Trustees authorized staff to initiate the creation of a trust for OPEB obligations (OPEB Trust), with the trust fund to be held by an independent custodian. Prior to 2009, the Authority funded the OPEB Trust with contributions totaling $225 million. Plan members are not required to contribute to the OPEB Trust. The Authority did not make any contributions to the OPEB Trust in 2010. During 2011, the Authority's Trustees approved ongoing annual funding of the Trust in order to strengthen the Authority's financial position. Contributions of $22 million were made to the OPEB Trust in both 2013 and 2012.

The following table shows the components of the Authority's annual OPEB cost for the year, the amount actually contributed to the plan, and changes in the Authority's net OPEB obligation.

	2013	2012	2011
		($ in millions)	
Annual OPEB cost:			
Annual required contribution (ARC):			
Normal cost	$ 11	$ 10	$ 9
Amortization payment	31	27	22
Total	42	37	31
ARC adjustment	4	9	7
Interest on net OPEB obligation	(5)	(5)	(3)
Annual OPEB cost	$ 41	$ 41	$ 35
Net OPEB obligation:			
Net OPEB (asset) obligation at beginning of fiscal year	$ (71)	$ (71)	$ (46)
Annual OPEB cost	41	41	35
Employer contribution:			
Benefit payments for retirees during the year	(20)	(19)	(20)
Trust fund contributions	(22)	(22)	(40)
Total employer contribution	(42)	(41)	(60)
Net OPEB (asset) obligation at end of fiscal year	$ (72)	$ (71)	$ (71)

The net OPEB asset of $72 million, which consists of $21 million current assets and $51 million noncurrent assets, is reported in miscellaneous receivables and other long-term assets, respectively, in the statements of net position at December 31, 2013.

The Authority's annual OPEB cost for 2013 was $41 million, which is reflected as an expense in the statements of revenues, expenses, and changes in net position. The Authority's annual OPEB cost (expense) is calculated based on the annual required contribution of the employer (ARC), an amount actuarially determined in accordance with the parameters of GAS No. 45, *Accounting and Financial Reporting by Employers for Postemployment Benefits Other Than Pensions*. The ARC represents a level of funding that, if paid on an ongoing basis, is projected to cover normal cost each year to amortize any unfunded actuarial liabilities (or funding excess) over a period not to exceed thirty years. As indicated herein, the Authority uses a 20-year amortization period.

Actuarial valuations of an ongoing plan involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events far into the future. Examples include assumptions about future employment, mortality, and the healthcare cost trend. Amounts determined regarding the funded status of the plan and the annual required contributions of the employer are subject to continual revision as actual results are compared with past expectations and new estimates are made about the future. The schedule of funding progress, presented as required supplementary information following the notes to the financial statements, presents multiyear trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liabilities for benefits. The Authority's most recent actuarial valuation was performed as of January 1, 2012 and resulted in an actuarial accrued liability of $517 million which was funded with assets totaling $283 million indicating that the Authority's retiree health plan was 55% funded as of the valuation date. As of December 31, 2013 and 2012, the balance in the OPEB Trust was $422 million and $341 million, respectively, and the actuarial accrued liability was $573 million and $544 million, respectively, resulting in the retirees' health plan being 74% funded.

Projections of benefits for financial reporting purposes are based on the substantive plan (the plan as understood by the employer and plan members) and include the types of benefits provided at the time of each valuation. The actuarial methods and assumptions used include techniques that are designed to reduce short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations. In the 2012 actuarial valuation, the projected unit credit actuarial cost method was used with benefits attributed on a level basis. The actuarial assumptions included a 7% investment rate of return (net of administrative expenses) and an annual healthcare cost trend rate of approximately 9% (net of administrative expenses), including inflation, declining approximately 1/2% each year to an ultimate trend rate of approximately 5%. Both the cost trend rate and the ultimate trend rate include a 3% inflation assumption. The Authority amortizes gains and losses over an open 20-year period while continuing to amortize its initial unfunded accrued liability over a closed 20-year period.

(c) Deferred Compensation and Savings Plans

The Authority offers union employees and salaried employees a deferred compensation plan created in accordance with Internal Revenue Code, Section 457. This plan permits participants to defer a portion of their salaries until future years. Amounts deferred under the plan are not available to employees or beneficiaries until termination, retirement, death or unforeseeable emergency.

The Authority also offers salaried employees a savings plan created in accordance with Internal Revenue Code, Section 401(k). This plan also permits participants to defer a portion of their salaries. The Authority matches contributions of employees up to limits specified in the plan. Such matching annual contributions were approximately $2.5 million and $2.4 million for 2013 and 2012, respectively.

Both the deferred compensation plan and the savings plan have a loan feature.

Independent trustees are responsible for the administration of the 457 and 401(k) plan assets under the direction of a committee of union representatives and nonunion employees and a committee of nonunion employees, respectively. Various investment options are offered to employees in each plan. Employees are responsible for making the investment decisions relating to their savings plans.

(10) Nuclear Plant Divestiture and Related Matters

(a) Nuclear Plant Divestiture

On November 21, 2000 (Closing Date), the Authority sold its nuclear plants—Indian Point 3 (IP3) and James A. FitzPatrick (JAF) to two subsidiaries of Entergy Corporation (collectively Entergy or the Entergy Subsidiaries) for cash and noninterest-bearing notes totaling $967 million (subsequently reduced by closing adjustments to $956 million) maturing over a 15-year

period. The present value of these payments recorded on the Closing Date, utilizing a discount rate of 7.5%, was $680 million.

As of December 31, 2013 and 2012, the present value of the notes receivable were:

	2013	2012
	(In millions)	
Notes receivable – nuclear plant sale	$ 37	$ 53
Less due within one year	18	16
	$ 19	$ 37

The long-term portion of this notes receivable is reported in other noncurrent assets in the statements of net position at December 31, 2013 and 2012.

On September 6, 2001, a subsidiary of Entergy Corporation completed the purchase of Indian Point 1 and 2 (IP1 and IP2) nuclear power plants from Consolidated Edison Company of New York Inc. Under an agreement between the Authority and Entergy, which was entered into in connection with the sale of the Authority's nuclear plants to Entergy, the acquisition of the IP2 nuclear plant by a subsidiary of Entergy resulted in the Entergy subsidiary which now owns IP3 being obligated to pay the Authority $10 million per year for 10 years beginning September 6, 2003, subject to certain termination and payment reduction provisions upon the occurrence of certain events, including the sale of IP3 or IP2 to another entity and the permanent retirement of IP2 or IP3. The Authority received its final $10 million payment on September 6, 2012. The 2012 payment received was included in other nonoperating income.

As part of the Authority's sale of its nuclear projects to Entergy Subsidiaries in November 2000, the Authority entered into two Value Sharing Agreements (VSAs) with them. These VSAs, as amended, provide for the Entergy Subsidiaries to pay the Authority a set price ($6.59 per MWh for IP3 and $3.91 per MWh for JAF) for all MWhs metered from each plant between 2007 and 2014, with the Authority being entitled to receive annual payments up to a maximum of $72 million. Nonoperating income, in the statements of revenues, expenses, and changes in net position, for the years ended December 31, 2013 and 2012 included $72 million and $71.7 million, respectively, relating to these agreements. The payments are subject to continued ownership of the facilities by the Entergy Subsidiaries or its affiliates.

If the license for IP3 or JAF is extended, an amount equal to $2.5 million (per plant) per year for a maximum of 20 years, would be paid to the Authority by the relevant Entergy Subsidiary for each year of life extension during which the plant operates. The original licenses for JAF and IP3 expire in 2014 and 2015, respectively. In April 2007, the NRC received a license renewal application (for an additional 20 years) for IP3. On September 9, 2008, the NRC renewed the operating license of JAF for 20 years to October 17, 2034.

As a result of competitive bidding, and not related to the sale of the nuclear plants, the Authority's agreement to purchase energy from Entergy's IP3 and IP2 nuclear power plants in the total amount of 200 MW expired in 2013.

(b) Nuclear Fuel Disposal

In accordance with the Nuclear Waste Policy Act of 1982, in June 1983, the Authority entered into a contract with the U.S. Department of Energy (DOE) under which DOE, commencing not later than January 31, 1998, would accept and dispose of spent nuclear fuel. In conjunction with the sale of the nuclear plants, the Authority's contract with the DOE was assigned to Entergy. The Authority remains liable to Entergy for the pre-1983 spent fuel obligation (see note 11(e)) "New York State Budget and Other Matters" relating to a temporary transfer of such funds to the State). As of December 31, 2013 and 2012, the liability to Entergy totaled $216 million.

(c) Nuclear Plant Decommissioning

In connection with the Authority's sale of the nuclear plants, the Authority entered into a Decommissioning Agreement with each of the Entergy Subsidiaries relating to the responsibility for decommissioning the nuclear plants acquired. The Decommissioning Agreements deal with the decommissioning funds (the Decommissioning Funds) currently maintained by the Authority under a master decommissioning trust agreement (the Trust Agreement). Under the Decommissioning Agreements, the Authority will make no further contributions to the Decommissioning Funds.

The Authority retains contractual decommissioning liability for IP3 and JAF until license expiration, a change in the tax status of the fund, or any early dismantlement of the plant, at which time the Authority will have the option of terminating its decommissioning responsibility and transferring the plant's fund to the Entergy Subsidiary owning the plant. At that time, the Authority will be entitled to be paid an amount equal to the excess of the amount in the Fund over the Inflation Adjusted Cost Amount, if any. The Inflation Adjusted Cost Amount for a plant means a fixed estimated decommissioning cost amount adjusted in accordance with the effect of increases and decreases in the U.S. Nuclear Regulatory Commission (NRC) minimum cost estimate amounts applicable to the plant. The Authority's decommissioning responsibility is limited to the lesser of the Inflation Adjusted Cost Amount or the amount of the plant's Fund.

Certain provisions of the Decommissioning Agreements provide that if the relevant Entergy Subsidiary purchases, or operates, with the right to decommission, another plant at the IP3 site, then the Inflation Adjusted Cost Amount would decrease by $50 million. In September 2001, a subsidiary of Entergy purchased the Indian Point 1 and Indian Point 2 plants adjacent to IP3.

If the Authority is required to decommission IP3 or JAF pursuant to the relevant Decommissioning Agreement, an affiliate of the Entergy Subsidiaries, Entergy Nuclear, Inc. would be obligated to enter into a fixed price contract with the Authority to decommission the plant, the price being equal to the lower of the Inflation Adjusted Cost Amount or the plant's Fund amount.

Decommissioning Funds of $1,300 million and $1,186 million are included in restricted funds and other noncurrent liabilities in the statements of net position at December 31, 2013 and 2012, respectively.

(11) Commitments and Contingencies

(a) Power Programs

Recharge New York Power Program

Chapter 60 (Part CC) of the Laws of 2011 (Chapter 60) established the "Recharge New York Power Program" (RNYPP), administered by the Authority, which has as its central benefit up to 910 MW of low cost power comprised of up to 455 MW of hydropower from the Niagara and St. Lawrence-FDR Projects and up to 455 MW of other power procured by the Authority from other sources. The 910 MW of power is available for allocation as provided by Chapter 60 to eligible new and existing businesses and not-for-profit corporations under contracts of up to seven years. RNYPP was effective beginning July 1, 2012.

The RNYPP replaced two other programs, the Power for Jobs (PFJ) and Energy Cost Savings Benefit (ECSB) Programs, which had extended benefits of low-cost power to certain businesses, small businesses and not-for-profit organizations. Those PFJ and ECSB Program customers who were in substantial compliance with contractual commitments under the PFJ and ECSB Programs and who applied but did not receive RNYPP allocations are eligible to apply for transitional electricity discounts, as provided for in Chapter 60. This transitional electricity discounts program provides for declining levels of discounts through June 30, 2016 when the program terminates, if payment of such discounts is deemed feasible and advisable by the Authority's Trustees. In June 2012, the Authority's Trustees authorized transitional electricity discount payments of up to $9 million for the year July 1, 2012 – June 30, 2013. As of December 31, 2013, approximately $1.2 million of such discounts have been paid.

The hydropower used for the RNYPP was power formerly used to provide low-cost electricity to domestic and rural customers of the three private utilities that serve upstate New York. To mitigate the impacts from the redeployment of this hydropower for the RNYPP, Chapter 60 created a "Residential Consumer Discount Program" (RCDP). The RCDP authorizes the Authority, as deemed feasible and advisable by its Trustees, to provide annual funding of $100 million for the first three years following withdrawal of the hydropower from the residential and farm customers, $70 million for the fourth year, $50 million for the fifth year, and $30 million each year thereafter, for the purpose of funding a residential consumer discount program for those customers that had formerly received the hydropower that is utilized in the RNYPP. Chapter 60 further authorizes the Authority, as deemed feasible and advisable by the Trustees, to use revenues from the sales of hydroelectric power, and such other funds of the Authority, as deemed feasible and advisable by the Trustees, to fund the RCDP. The Authority's Trustees have authorized the release of a total $337.5 million through January 2014 in support of the RCDP. The Authority supplemented the market revenues through the use of internal funds, from the August 2011 start of the program through December 31, 2013, totaling cumulatively $77 million. Operations and maintenance expenses included $100 million of residential consumer discounts in each of the years ended December 31, 2013 and 2012.

Western New York Power Proceeds Allocation Act

Effective March 30, 2012, Chapter 58 (Part GG) of the Laws of 2012 (Chapter 58) created the Western New York Power Proceeds Act (WNYPPA). The WNYPPA authorizes the Authority, as deemed feasible and advisable by the Trustees, to deposit net earnings from the sale of unallocated Expansion Power and Replacement Power from the Authority's Niagara project into an account administered by the Authority known as the Western New York Economic Development Fund (Fund). Net earnings are defined as any excess revenues earned from such power sold into the wholesale market over the revenues that would have been received had the power been sold at the Expansion Power and Replacement Power rates. Proceeds from the Fund may be used to support eligible projects undertaken within a 30-mile radius of the Niagara power project that satisfy applicable criteria. Chapter 58 also establishes a five-member Western New York Power Allocations Board, which is appointed by the Governor. Chapter 58 also repealed Chapter 436 of the Laws of 2010 which had created a similar program that could not be effectively implemented.

The Authority's Trustees have approved the release of up to $35 million in net earnings, calculated for the period August 30, 2010 through December 31, 2013 as provided in the legislation, for deposit into the Fund. As of December 31, 2013, $26 million has been deposited into the Fund. As of December 31, 2013, the Authority has approved awards of Fund money totaling approximately $12.8 million to businesses that have proposed eligible projects and has made payments totaling $1 million to such businesses. Payment of these awards is contingent upon the execution of acceptable contracts between the Authority and individual awardees.

(b) Governmental Customers in the New York City Metropolitan Area

In 2005, the Authority and its eleven NYC Governmental Customers, including the Metropolitan Transportation Authority, the City of New York, the Port Authority of New York and New Jersey (Port Authority), the New York City Housing Authority, and the New York State Office of General Services, entered into long-term supplemental electricity supply agreements (Agreements). Under the Agreements, the NYC Governmental Customers agreed to purchase their electricity from the Authority through December 31, 2017, with the NYC Governmental Customers having the right to terminate service from the Authority at any time on three years' notice and, under certain limited conditions, on one year's notice, provided that they compensate the Authority for any above-market costs associated with certain of the resources used to supply the NYC Governmental Customers.

Under the Agreements, the Authority will modify rates annually through a formal rate case where there is a change in fixed costs to serve the NYC Governmental Customers. Except for the minimum volatility price option, changes in variable costs, which include fuel and purchased power, will be captured through contractual pricing adjustment mechanisms. Under these mechanisms, actual and projected variable costs are reconciled and all or a portion of the variance is either charged or credited to the NYC Governmental Customers. The Authority provides the customers with indicative electricity prices for the following year reflecting market-risk hedging options designated by the NYC Governmental Customers. Such market-risk hedging options include a full cost energy charge adjustment ("ECA") pass-through arrangement relating to fuel, purchased power, and NYISO-related costs (including such an arrangement with some cost hedging) and a sharing option where the customers and the Authority will share in actual cost variations as specified in the Agreements. For 2012 and 2013, the NYC Governmental Customers chose a market-risk hedging price option designated an "ECA with hedging" pricing option whereby actual cost variations in variable costs are passed through to the customers as specified above. Under the Agreements, the Authority committed to finance up to $100 million annually over the term of the Agreements for energy efficiency projects and initiatives at such governmental customers' facilities. Amounts financed may exceed $100 million if mutually agreed to by the customers and the Authority. The costs of such projects are recovered from such customers.

As a result of a Request for Proposals for Long-Term Supply issued in 2005 and the Trustees' 2011 authorization, Authority staff entered into negotiations with Hudson Transmission Partners, LLC (HTP) for an agreement to serve the long-term requirements of the Authority's NYC Governmental Customers and to improve the transmission infrastructure serving New York City through the transmission rights associated with HTP's proposed transmission line (the Line) extending from Bergen County, New Jersey, to Consolidated Edison's West 49th Street substation. In 2011, the Authority executed a Firm Transmission Capacity Purchase Agreement (FTCPA) with HTP which would provide the Authority with 75% of the Line's 660 MW capacity, or 495 MW, for 20 years. The Authority's capacity payment obligations under the FTCPA began upon the Line's commencement of commercial operation, which occurred on June 3, 2013. Also upon commercial operation, the FTCPA obligates the Authority to reimburse HTP for the cost of interconnection and transmission upgrades in New York and New Jersey associated with the Line and to pay for all remaining upgrade costs as they are incurred. Accordingly,

through December 2013, the Authority paid approximately $173 million to HTP for completed upgrades. The total cost of the upgrades is estimated to be approximately $250 million.

The Authority is currently in negotiations with certain of its NYC Governmental Customers and other third parties regarding partial recovery of the costs of the Line. It is estimated that the revenues derived from the Authority's rights under the FTCPA will not be sufficient to fully cover the Authority's costs under the FTCPA during the initial 20-year term of the FTCPA. Depending on a number of variables, it is estimated that the Authority's under-recovery of costs under the FTCPA could be in the range of approximately $50 million to $70 million per year during the first five years of commercial operation. In April 2013, the Authority entered into a three-year contract with Con Edison Energy, Inc. (CEE), an affiliate of Consolidated Edison Company of New York, Inc. to manage the Authority's transmission capacity on the Line and make economical energy transactions.

In anticipation of the closure of the Authority's Poletti plant in 2010, the Authority, in 2007, issued a nonbinding request for proposals for up to 500 MW of in-city unforced capacity and optional energy to serve the needs of its NYC Governmental Customers. This process, which included approval of the NYC Governmental Customers, resulted in a long-term electricity supply contract in 2008 between the Authority and Astoria Energy II LLC for the purchase of the output of Astoria Energy II, a new 550-MW plant, which was constructed and entered into commercial operation on July 1, 2011 in Astoria, Queens. The costs associated with the contract will be borne by these customers for the life of the Astoria Energy II contract. The Authority is accounting for and reporting this lease transaction as a capital lease in the amount of $1.217 billion as of December 31, 2013, which reflects the present value of the monthly portion of lease payments allocated to real and personal property. The balance of the monthly lease payments represents the portion of the monthly lease payment allocated to operations and maintenance costs which are recorded monthly. Fuel for the plant is provided by the Authority and the costs thereof are being recovered from the NYC Governmental Customers.

The Authority's other Southeastern New York (SENY) Governmental Customers are Westchester County and numerous municipalities, school districts, and other public agencies located in Westchester County (collectively, the "Westchester Governmental Customers"). By early 2008, the Authority had entered into a new supplemental electricity supply agreement with all 103 Westchester Governmental Customers. Among other things, under the agreement, an energy charge adjustment mechanism is applicable, and customers are allowed to partially terminate service from the Authority on at least two months' notice prior to the start of the NYISO capability periods. Full termination is allowed on at least one year's notice, effective no sooner than January 1 following the one year notice.

(c) Small, Clean Power Plants and 500-MW Plant

To meet capacity deficiencies and ongoing load requirements in the New York City metropolitan area that could also adversely affect the statewide electric pool, the Authority placed in operation, in 2001, the Small, Clean Power Plants (SCPPs), consisting of eleven natural-gas-fueled combustion-turbine electric units, each having a nameplate rating of 47 MW at six sites in New York City and one site in the service region of LIPA.

As a result of the settlement of litigation relating to certain of the SCPPs, the Authority has agreed under the settlement agreement to cease operations at one of the SCPP sites, which houses two units, under certain conditions and if the Mayor of New York City directs such cessation. No such cessation has occurred.

(d) Legal and Related Matters

St. Regis Litigation

In 1982 and again in 1989, several groups of Mohawk Indians, including a Canadian Mohawk tribe, filed lawsuits against the State, the Governor of the State, St. Lawrence and Franklin counties, the St. Lawrence Seaway Development Corporation, the Authority and others, claiming ownership to certain lands in St. Lawrence and Franklin counties and to Barnhart, Long Sault and Croil islands (St. Regis litigation). These islands are within the boundary of the Authority's St. Lawrence-FDR Project and Barnhart Island is the location of significant Project facilities. Settlement discussions were held periodically between 1992 and 1998. In 1998, the Federal government intervened on behalf of all Mohawk plaintiffs.

The parties agreed to a land claim settlement, dated February 1, 2005, which if implemented would include, among other things, the payment by the Authority of $2 million a year for 35 years to the tribal plaintiffs, the provision of up to 9 MW of low cost Authority power for use on the reservation, the transfer of two Authority-owned islands; Long Sault and Croil, and a 215 acre parcel on Massena Point to the tribal plaintiffs, and the tribal plaintiffs withdrawing any judicial challenges to the Authority's new license, as well as any claims to annual fees from the St. Lawrence FDR project.

The legislation required to effectuate the settlement was never enacted and the litigation was reactivated. In November 2006, all defendants moved to dismiss the three Mohawk complaints as well as the United States' complaint based on the lengthy delay in asserting the land claims (i.e., the laches defense).

On September 28, 2012, the U.S. Magistrate recommended dismissal of all land claims brought against the Authority by three St. Regis tribal factions as well as the Federal government. The Magistrate upheld the Authority's laches defense and also recommended dismissal on the same grounds of all claims by the same plaintiffs against the other defendants relating to all but one of the other challenged mainland parcels.

In orders dated July 2013, the Judge assigned to the case accepted the Magistrate's recommendation and granted the Authority judgment on the pleadings. The Judge accepted all but one of the Magistrate's other recommendations, which results in dismissal of all land claims against the other defendants except those relating to two mainland parcels. Barring an appeal by the plaintiffs, all claims against the Authority have been dismissed and the lawsuit against the Authority is concluded.

In the interim, the State and the St. Regis plaintiffs have been discussing a settlement of the land claims, as well as other issues between the State and the tribes. The discussions are ongoing. Federal and State legislation would be required for any settlement, including one that would involve payments or concessions to be made by the Authority, to become effective.

The Authority had accrued an estimated liability based upon the provisions of the February 1, 2005 settlement related to this litigation. However, while the Authority is unable to predict the outcome of the matters described above, the Authority believes that it has meritorious defenses and positions with respect thereto and as result of current court rulings has reversed its previously accrued estimated liability. The reversal of this liability did not have any effect on the Authority's net income for 2012.

Tropical Storm Irene

In late November 2011, approximately 14 notices of claim were received by the Authority involving the heavy rains and widespread flooding resulting from Tropical Storm Irene's passage through the Northeast in late August 2011. The notices of claim essentially claim that property and other damages allegedly incurred by certain landowners were the result of the Authority's negligence in its operations at its Blenheim-Gilboa Pumped Storage Power Project located on the Schoharie Creek in Schoharie County, New York. In addition, in mid-January 2012, the County of Schoharie, eight towns and villages therein, and one school district ("Municipalities") filed a motion in Schoharie County Supreme Court requesting leave to serve late notices of claim on the Authority. In August 2012, the Municipalities initiated a lawsuit in Schoharie County Supreme Court against the Authority involving the heavy rains and widespread flooding resulting from Tropical Storm Irene's passage through the Northeast in August 2011. The Municipalities essentially allege that they sustained property damage and lost tax revenues resulting from lowered assessed valuation of taxable real property due to the Authority's negligence in its operations at the Blenheim-Gilboa pumped-storage hydroelectric facility located on the Schoharie Creek in Schoharie County, New York. The Municipalities complaint seeks judgment "in an amount to be determined at trial with respect to each of the ten plaintiffs in the sum of at least $5,000,000, plus punitive damages in the sum of at least $5,000,000" as well as attorney fees. In February 2012, a private landowner filed a similar motion and lawsuit in such court on behalf of a park campground and makes nearly the same allegations with the plaintiff seeking at least $5 million in damages, at least $5 million in punitive damages, as well as attorney's fees. In November 2012, the Authority formally responded to the two lawsuits.

In December 2012, the Authority was served with a third lawsuit by five plaintiffs arising out of Tropical Storm Irene and the Authority's operation of its Blenheim-Gilboa Pumped Storage Project. Plaintiffs previously filed timely notices of claim. The five plaintiffs include three individual landowners and two corporations. The three individual landowners own properties located in Schoharie, NY and Central Bridge, NY and are claiming damages in the aggregate amount of $1.55 million. The two corporations also own properties in Schoharie, NY and are claiming damages in the aggregate amount of $1.05 million.

While the Authority is unable to predict whether and to what extent any lawsuits will be initiated based on notices of claim or similar claims that may be filed in the future, or the outcome of any litigation, the Authority believes that it has meritorious defenses and positions with respect thereto. Conversely, adverse decisions of a certain type in the matters discussed above could adversely affect Authority operations and revenues.

Other Actions or Claims

In addition to the matters described above, other actions or claims against the Authority are pending for the taking of property in connection with its projects, for negligence, for personal injury (including asbestos-related injuries), in contract, and for environmental, employment and other matters. All of such other actions or claims will, in the opinion of the Authority, be disposed of within the amounts of the Authority's insurance coverage, where applicable, or the amount which the Authority has available therefore and without any material adverse effect on the business of the Authority.

(e) New York State Budget and Other Matters

Section 1011 of the Power Authority Act (Act) constitutes a pledge of the State to holders of Authority obligations not to limit or alter the rights vested in the Authority by the Act until such obligations together with the interest thereon are fully met and discharged or unless adequate provision is made by law for the protection of the holders thereof. Bills are periodically introduced into the State Legislature, which propose to limit or restrict the powers, rights and exemption from regulation that the Authority currently possesses under the Act and other applicable law or otherwise would affect the Authority's financial condition or its ability to conduct its business, activities, or operations, in the manner presently conducted or contemplated by the Authority. It is not possible to predict whether any such bills or other bills of a similar type which may be introduced in the future will be enacted.

In addition, from time to time, legislation is enacted into New York law that purports to impose financial and other obligations on the Authority, either individually or along with other public authorities or governmental entities. The applicability of such provisions to the Authority would depend upon, among other things, the nature of the obligations imposed and the applicability of the pledge of the State set forth in Section 1011 of the Act to such provisions. There can be no assurance that in the case of each such provision, the Authority will be immune from the financial obligations imposed by such provision. Examples of such legislation affecting only the Authority include legislation, discussed below and elsewhere herein, relating to the Authority's voluntary contributions to the State, the Authority's temporary transfer of funds to the State, and contributions and transfers to fund temporary and permanent programs administered by the Authority and other State entities.

Budget

The Authority is requested, from time to time, to make financial contributions or transfers of funds to the State. Any such contribution or transfer of funds must (i) be authorized by law (typically, legislation enacted in connection with the State budget), and (ii) satisfy the requirements of the Bond Resolution. The Bond Resolution requirements to withdraw moneys "free and clear of the lien and pledge created by the (Bond) Resolution" are as follows: (1) such withdrawal must be for a "lawful corporate purpose as determined by the Authority," and (2) the Authority must determine "taking into account, among other considerations, anticipated future receipt of Revenues or other moneys constituting part of the Trust Estate, that the funds to be so withdrawn are not needed" for (a) payment of reasonable and necessary operating expenses, (b) an Operating Fund reserve for working capital, emergency repairs or replacements, major renewals, or for retirement from service, decommissioning or disposal of facilities, (c) payment of, or accumulation of a reserve for payment of, interest and principal on senior debt, or (d) payment of interest and principal on subordinate debt.

In May 2011, the Authority's Trustees adopted a policy statement (Policy Statement) which relates to, among other things, voluntary contributions, transfers, or other payments to the State by the Authority after that date. The Policy Statement provides, among other things, that in deciding whether to make such contributions, transfers, or payments, the Authority shall use as a reference point the maintenance of a debt service coverage ratio of at least 2.0, in addition to making the other determinations required by the Bond Resolution. The Policy Statement may at any time be modified or eliminated at the discretion of the Authority's Trustees.

Legislation enacted into law, as part of the 2000-2001 State budget, as amended up to the present time, has authorized the Authority as deemed feasible and advisable by the trustees, to make a series of voluntary contributions into the State treasury in connection with the PFJ Program and for other purposes as well. The PFJ Program, which had been extended to June 30, 2012, has ended and was replaced by the RNYPP, as discussed above in note 11(a) "Recharge New York Power Program" of the notes to the financial statements. Cumulatively through December 31, 2012, the Authority has made voluntary contributions to the State totaling $475 million in connection with the ended PFJ Program.

In 2013 and 2012, the Authority made $65 million and $85 million, respectively, in contributions to the State that are not related to the PFJ Program and which were recorded as nonoperating expenses in the year ended December 31, 2013 and

2012 statements of revenues, expenses and changes in net position. These contributions were consistent with the related State fiscal year budgets and were authorized by the Authority's Trustees. The 2013 contributions of $65 million include $45 million that was paid to Empire State Development to support the New York State Open for Business economic development initiative in lieu of the voluntary contributions to the State's General Fund for the State fiscal year 2013-2014. Of the $85 million contributed in 2012, $10 million was paid to support the Open for Business initiative. An additional $50 million was paid to support this initiative in January 2014 pursuant to Trustee approval and this amount will be recognized as a nonoperating expense in the Authority's 2014 fiscal year. Cumulatively, between January 2008 and December 31, 2013, the Authority has made voluntary contributions to the State totaling $492 million unrelated to the PFJ program.

The Governor's Executive Budget for State Fiscal Year 2014-2015, released in January 2014, and subsequently amended, contains language authorizing the Authority, as deemed feasible and advisable by its Trustees, to (i) make a contribution to the State treasury to the credit of the General Fund, or as otherwise directed in writing by the Director of the Budget, in an amount of up to $90 million for the State fiscal year commencing April 1, 2014, the proceeds of which will be utilized for to support energy-related initiatives of the State or for economic development purposes, and (ii) transfer up to $25 million of any such contribution by June 30, 2014 and the remainder of any such contribution by March 31, 2015.

Temporary Asset Transfers

In addition to the authorization for voluntary contributions, as a result of budget legislation enacted in February 2009, the Authority was requested to provide temporary asset transfers to the State of funds held in reserves. Pursuant to the terms of a Memorandum of Understanding dated February 2009 (MOU) between the State, acting by and through the State's Director of Budget, and the Authority, the Authority agreed to transfer approximately $215 million associated with its Spent Nuclear Fuel Reserves (Asset B) by March 27, 2009. The Spent Nuclear Fuel Reserves are funds that had been set aside for payment to the federal government sometime in the future when the federal government accepts the spent nuclear fuel for permanent storage (see note10(b) "Nuclear Fuel Disposal". The MOU provides for the return of these funds to the Authority, subject to appropriation by the State Legislature and the other conditions described below, at the earlier of the Authority's payment obligation related to the transfer and disposal of the spent nuclear fuel or September 30, 2017. Further, the MOU provides for the Authority to transfer within 180 days of the enactment of the 2009-2010 State budget $103 million of funds set aside for future construction projects (Asset A), which amounts would be returned to the Authority, subject to appropriation by the State Legislature and the other conditions described below, at the earlier of when required for operating, capital or debt service obligations of the Authority or September 30, 2014. In February 2009, the Authority's Trustees authorized the execution of the MOU relating to the temporary transfers of Asset B ($215 million) and Asset A ($103 million) and such transfers were made in March 2009 and September 2009, respectively, following Trustee approval.

The MOU provides that the obligation of the State to return all or a portion of an amount equal to the moneys transferred by the Authority to the State is subject to annual appropriation by the State Legislature. Further, the MOU provides that as a condition to any such appropriation for the return of the moneys earlier than September 30, 2017 for the Spent Nuclear Fuel Reserves and earlier than September 30, 2014 for the construction projects, the Authority must certify that the monies available to the Authority are not sufficient to satisfy the purposes for which the reserves, which are the source of the funds for the transfer, were established.

The Governor's Executive Budget for State Fiscal Year 2014-2015, released in January 2014, and subsequently amended, contains language that would make the $103 million appropriation available to the Authority either: (i) pursuant to a repayment agreement submitted by the Authority and approved by the Director of the Budget, or (ii) upon certification of the Director of the Budget , at the request of the Authority when and to the extent that the Authority certifies to the Director that the monies available to the Authority are not sufficient to meet the Authority's obligations with respect to its debt service or operating or capital programs.

The Authority has classified the transfers of Assets A and B ($318 million) as a long-term loan receivable. In lieu of interest payments, the State has waived certain future payments from the Authority to the State. The waived payments include the Authority's obligation to pay until September 30, 2017 the amounts to which the State is entitled under a governmental cost recovery process for the costs of central governmental services. These payments would have been approximately $5 million per year based on current estimates but the waiver is limited to a maximum of $45 million in the aggregate during the period. Further, the obligation to make payments in support of certain State park properties and for the upkeep of State lands adjacent to the Niagara and St. Lawrence power plants is waived from April 1, 2011 to March 31, 2017. These payments would have been approximately $8 million per year but the waiver would be limited to a maximum of $43 million for the period. The present value of the waivers approximates the present value of the forgone interest income.

(f) Relicensing of Niagara

By order issued March 15, 2007, FERC issued the Authority a new 50-year license for the Niagara project effective September 1, 2007. In doing so, FERC approved six relicensing settlement agreements entered into by the Authority with various public and private entities. By decision dated March 13, 2009, the U.S. Court of Appeals for the District of Columbia Circuit denied a petition for review of FERC's order filed by certain entities, thereby concluding all litigation involving FERC's issuance of the new license. In 2007, the Authority estimated that the capital cost associated with the relicensing of the Niagara project would be approximately $495 million. This estimate does not include the value of the power allocations and operation and maintenance expenses associated with several habitat and recreational elements of the settlement agreements. As of December 31, 2013, the balance in the liability associated with the relicensing on the statement of net position is $277 million ($31 million in current and $246 million in other noncurrent liabilities)

In addition to internally generated funds, the Authority issued additional debt obligations in October 2007 to fund, among other things, Niagara relicensing costs. The costs associated with the relicensing of the Niagara project, including the debt issued therefore, were incorporated into the cost-based rates of the project beginning in 2007.

(g) Regional Greenhouse Gas Initiative and Air Pollution Rule

The Regional Greenhouse Gas Initiative (RGGI) is a cooperative effort by Northeastern and Mid-Atlantic states, including New York, to hold carbon dioxide emission levels steady from 2009 to 2014 and then reduce such levels by 2.5% annually in the years 2015 to 2018 for a total 10% reduction. Central to this initiative is the implementation of a multi-state cap-and-trade program with a market-based emissions trading system. The program requires electricity generators to hold carbon dioxide allowances in a compliance account in a quantity that matches their total emissions of carbon dioxide for the compliance period. The Authority's Flynn plant, SCPPs, and 500-MW Plant are subject to the RGGI requirements as is AEII. The Authority has participated in program auctions commencing in September 2008 and expects to recover RGGI costs through its power sales revenues. The Authority is monitoring federal legislation and proposed programs that would impact RGGI. For 2014, the number of allowances offered in the auction by RGGI cap and trade program has been reduced (from allowances covering 165 million tons of carbon dioxide emissions in 2013 to 91 million tons in 2014), and will continue to decline by 2.5% each year from 2015 through 2020. This reduction may well likely increase the price for carbon dioxide allowances, which NYPA acquires to cover operation of its fossil- fuelled power plants and the Astoria Energy II plant. The Authority continues to monitor federal legislation and proposed programs that would impact RGGI.

During 2011, the Environmental Protection Agency ("EPA") issued a series of rulings to establish the Cross-State Air Pollution Rule ("CSAPR"). The CSAPR establishes emission allowance budgets for sulfur dioxide and nitrogen oxides for eastern states, including New York, and requires power plants in those states to hold allowances to cover their emissions. Certain trading of allowances is authorized under the CSAPR. In December 2011, the U.S. Court of Appeals for the D.C. Circuit (the "Court") granted a stay of the CSAPR pending the Court's resolution of numerous petitions for review and in the interim, the Court indicated that the EPA should continue to enforce its Clean Air Interstate Rule ("CAIR") which the CSAPR was designed to replace. By decision issued August 21, 2012, the Court vacated the CSAPR; directed the EPA to develop a replacement rule; and directed that the CAIR continue to be enforced pending the development of the replacement rule. On October 5, 2012, the EPA filed a petition seeking en banc rehearing of the Court's decision, which the Court denied on January 24, 2013. In 2013, the U.S. Supreme Court granted the EPA's request to review the D.C. Circuit's decision to invalidate EPA's CSAPR. Pending the U.S. Supreme Court decision, CAIR remains in effect. The Authority continues to operate its fossil-fuelled power plants and the Astoria Energy II plant within the allocated allowances under the CAIR. In the event the CSAPR, as promulgated by the EPA, is ultimately implemented, the Authority anticipates that operation of its fossil-fuelled power plants and the Astoria Energy II plant would not be impacted.

In 2013, President Obama sent a memorandum to EPA on "Power Sector Carbon Pollution Standards" (the 'Presidential Memorandum") as part of the President's Climate Action Plan. The Presidential Memorandum requires the EPA to propose carbon pollution standards for power plants. In 2013, the EPA met the first milestone in the Presidential Memorandum by proposing stringent new carbon pollution standards affecting new large and small gas-fired and coal-fired generating units. Next, the EPA will issue proposed standards for existing power plants by June 1, 2014.

(h) Wind and Solar Initiatives

The Long-Island-New York City Offshore Wind Collaborative (Collaborative), which consists of the Authority, Con Edison, the Long Island Power Authority (LIPA), the City of New York and other New York City and New York State governmental entities, is evaluating the potential development of between 350 MW up to 700 MW of offshore wind. The Collaborative is currently planning the next steps in project evaluation. In January 2013, the Bureau of Ocean Energy

Management (BOEM) issued a request to determine whether there is competitive interest in wind power development in federal waters off the coast of the Rockaway Peninsula and Long Island. When BOEM's review is completed, the agency will determine whether competitive interest in the lease area exists. If there is such interest, BOEM will use an auction(s) to award lease(s) under its competitive lease process.

In March 2012, the Authority's Trustees authorized up to $30 million in funding over five years for a solar market acceleration program involving solar research, training, and demonstration projects.

(i) Construction Contracts and Net Operating Leases

Estimated costs to be incurred on outstanding contracts in connection with the Authority's construction programs aggregated approximately $579 million at December 31, 2013.

Noncancelable operating leases primarily include leases on real property (office and warehousing facilities and land) utilized in the Authority's operations. Rental expense for years ended December 31, 2013 and 2012 was $2 million in each year. Commitments under noncancelable operating leases are as follows:

	Total	2014	2015	2016	2017	2018	Thereafter
				(In millions)			
Operating leases	$ 1.9	0.7	0.4	0.3	0.2	0.1	0.2

(j) Other Developments

New York Energy Highway

In his January 2012 State of the State address, Governor Andrew M. Cuomo announced the New York Energy Highway initiative, which is envisioned as a public-private partnership to upgrade and modernize the State's electric power system. The Governor formed a task force comprised of various State officials to oversee implementation of the initiative (Task Force) which is co-chaired by the Authority's President and Chief Executive Officer, Gil C. Quiniones. In April 2012, the Task Force issued a request for information seeking ideas and proposals in furtherance of the initiative. Approximately 85 organizations responded to the Task Force's request for *information* and the responses included a large number of different generation and transmission project proposals. Based on the response of all these organizations, the Energy Highway Task Force issued an action plan in October 2012. The resulting Energy Highway Blueprint, calling for public and private investments in the State's energy system of about $5.7 billion over the next five to 10 years, proposed 13 specific actions, divided among four major categories: Expand and Strengthen the System, Accelerate Construction and Repair, Support Clean Energy and Technology Innovation.

In November 2012, the New York Public Service Commission (NYPSC) announced new proceedings addressing various actions described in the Blueprint including (i) the initiation of electric transmission upgrades to move excess power from upstate to downstate ("AC Transmission"), (ii) the creation of contingency plans to prepare for large generator retirements ("Generation Retirement Contingency Plans") and (iii) the expansion of natural gas delivery to homeowners and businesses in New York State.

In response to the request for information and the Generation Retirement Contingency Plans and AC Transmission proceedings, the New York Transmission Owners (NYTOs), comprised of the State's largest private utilities, the Long Island Power Authority, and the Authority, indicated that they were exploring the creation of a new Statewide transmission entity (NY Transco) to pursue development, construction, operation, and ownership of new transmission projects. The NYTOs proposed to the Task Force and to the NYPSC several transmission projects that could be undertaken by a NY Transco entity.

In its November 4, 2013 Generation Retirement Contingency Plan Order, the NYPSC selected three transmission projects to be built by Consolidated Edison, New York State Gas and Electric and the Authority. The NYPSC also requested that the NYTOs seek Federal Energy Regulatory Commission (FERC) approval for these three projects.

Continued participation of the Authority in the NY Transco is contingent on the enactment of legislation by the State that enables the Authority to participate.

Build Smart NY Initiative

On December 28, 2012, Governor Andrew M. Cuomo issued Executive Order No. 88 (EO 88) directing state agencies collectively to reduce energy consumption in state-owned and managed buildings by 20 percent within seven years – an initiative designed to produce significant savings for New York taxpayers, generate jobs, and significantly reduce greenhouse gas emissions. To meet this initiative, the Governor launched Build Smart NY, a plan to strategically implement EO 88 by accelerating priority improvements in energy performance. The Authority has offered to provide $450 million in low-cost financing for this initiative for state owned buildings and an additional $350 million for towns and municipalities. Such low-cost financing would be funded by proceeds of the Authority's commercial paper or another form of debt. The Authority's costs of financing would be recovered from the energy efficiency customers in this program. In addition, as provided for in EO 88, the Authority has established a central management and implementation team to carry out the Build Smart NY plan. In 2013, the Authority provided approximately $75 million in financing for energy efficiency projects at State agencies and authorities covered by EO 88.

Energy Efficiency Market Acceleration Program

In June 2012, the Authority's Trustees authorized up to $30 million in funding over five years for an energy efficiency market acceleration program involving energy efficiency research, demonstration projects, and market development. As of December 31, 2013, the Authority's Trustees have approved the award of contracts with a cumulative value of up to approximately $22 million.

REQUIRED SUPPLEMENTARY INFORMATION
(UNAUDITED)

New York Power Authority

Required Supplementary Information

(Unaudited)

Schedule of Funding Progress for the Retiree Health Plan

(In millions)

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL)--- Projected Unit Credit Method (b)	Unfunded AAL (UAAL) (b-a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as a Percentage of Covered Payroll ((b-a)/c)
January 1, 2012	$ 283	$ 517	$ 234	55%	$ 143	163%
January 1, 2010	218	400	182	55	141	129
January 1, 2008*	100	337	237	30	133	178
January 1, 2006	—	301	301	—	130	232

* During 2007, a trust for the Authority's OPEB obligations was funded with an initial amount of $100 million. This amount is reflected in the table above as of the 1/1/08 Actuarial Valuation Date.

Exhibit B

New York Power Authority
Net Income - Actual vs. Budgeted
For The Year ended December 31, 2013
($ in millions)

	Actual	Budget	Variance Favorable/ (Unfavorable)
Operating Revenues			
Customer	$ 2,087	$ 2,114	$ (28)
NYISO Market Revenues	944	680	264
	3,030	**2,794**	**236**
Operating Expenses			
Purchased Power	898	770	(128)
Fuel Consumed - Oil & Gas	324	323	(2)
Wheeling	603	583	(21)
Operations & Maintenance	593	615	22
Depreciation & Amortization	228	228	(0)
	2,646	**2,518**	**(129)**
Operating Income	**384**	**276**	**108**
Nonoperating Revenues			
Investment Income	15	28	(13)
Other Income	76	76	-
	91	**104**	**(13)**
Nonoperating Expenses			
Contribution to New York State	65	65	-
Interest and Other Expenses	182	185	3
	247	**250**	**3**
Net Income	**$ 228**	**$ 130**	**$ 98**

Net income for the year ended December 31, 2013 was $228 compared to a budget of $130 resulting in a $98 favorable variance including higher operating income ($108) partially offset by lower investment income ($13).

Operating income was higher than anticipated primarily due to higher market-based sales (resulting from higher hydro generation and higher capacity prices) and lower operations and maintenance expenses. Precipitation over the Great Lakes was higher than average in the second half of the year, resulting in combined net generation for Niagara and St. Lawrence 6% higher than budgeted. Capacity prices were higher primarily due to the retirement and mothballing of power plants owned by other generators in N.Y. State. Higher purchased power costs due to higher prices for purchased capacity and higher transmission congestion costs were recovered through customer revenues. Operations and maintenance expenses reflected lower than anticipated costs, due to the timing of Industrial Incentive awards and certain economic development fund and Solar and Energy Efficiency Market Acceleration program expenditures. In addition, an adjustment was booked decreasing the estimate for Power for Jobs rebates to be paid in the future as this program winds down and the final rebate amounts are trued-up to actuals. Investment income included a higher than anticipated mark-to-market loss on the Authority's investment portfolio ($13) due to a sharp increase in market interest rates.

Exhibit A

New York Power Authority

Generating More Than Electricity

2014 Mission Statement and Strategic Plan

MISSION	1
VISION	1
VALUES	2
CORPORATE STRATEGIC GOALS	3
STRATEGIC INITIATIVES	4

MISSION

Our Mission is to ...

Power the economic growth and competitiveness of New York State by providing customers with low cost, clean, reliable power and the innovative energy infrastructure and services they value.

VISION

Our vision is a Power Authority that enables a thriving New York State through the provision of sustainable, affordable energy, stewardship of the state's natural resources and leadership in innovative technologies and energy efficiency services.

VALUES

Integrity – We will operate at the highest level of honesty, ethical conduct, and public trust in all of our activities.

Safety – We will always strive to encourage and support an accident-free workplace for our employees.

Employee Development – We value our employees and will invest in their development.

Job Development – We will utilize our power resources to support the creation and retention of New York State jobs

Sustainability – We will manage all aspects of our business through the lens of sustainability, which holistically integrates business objectives with environmental and social concerns (i.e., the "triple bottom line" approach).

Clean Energy – We are committed to the efficient use of energy and promoting clean power supply technologies.

Stakeholder Management – We will aggressively build awareness of NYPA's Mission and purpose by cultivating positive relationships with our Stakeholders – customers, public officials, regulatory agencies, community representatives, and other opinion leaders – to ensure they support us and share in our commitment.

Financial Strength – We will maintain NYPA's financial strength so as to have the financial resources needed to fulfill our Mission.

CORPORATE STRATEGIC GOALS

Financial Effectiveness:

This goal is defined as maximizing the financial capacity of NYPA to make capital investments that help achieve our goals.

Operational Effectiveness:

This goal is defined as maximizing the efficiency, reliability and flexibility of our assets and organization.

Value from Energy:

This goal is defined as maximizing the benefit and minimizing the negative impact of each unit of energy delivered to the state / customer.

STRATEGIC INITIATIVES

Customer Solutions:

Expand NYPA's customer solution offerings through the provision of a seamless bundle of services aligned to customer needs.

Asset Management:

Develop an asset management capability and process to improve the efficiency and effectiveness of our O&M and capital investments.

Smart Generation and Transmission:

Make the generation and transmission system more flexible, resilient, and agile utilizing existing and emerging technologies.

Workforce Planning:

Develop and implement a strategic workforce plan that ensures that NYPA attracts, retains, and develops employees with the skills competencies to achieve our goals.

Knowledge Management:

Establish an enterprise wide system to capture, organize, distribute, and adopt NYPA intellectual assets.

Process Excellence:

Create a business process improvement function to increase productivity; reduce cost, and minimize environmental impact.